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# RETRACING CANTOR'S FIRST STEPS IN BROUWER'S COMPANY

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*What we call the beginning is often the end  
And to make an end is to make a beginning.  
The end is where we start from.*

*T.S. Eliot, Little Gidding, 1942*

ABSTRACT. We prove intuitionistic versions of the classical theorems saying that all countable *closed* subsets of  $[-\pi, \pi]$  and even all countable subsets of  $[-\pi, \pi]$  are sets of uniqueness. We introduce the *co-derivative* of an open subset of the set  $\mathcal{R}$  of the real numbers as a constructively possibly more useful notion than the derivative of a closed subset of  $\mathcal{R}$ . We also have a look at an intuitionistic version of Cantor's theorem that a closed set is the union of a perfect set and an at most countable set.

## 1. INTRODUCTION

G. Cantor discovered the transfinite and the uncountable while studying and extending B. Riemann's work on trigonometric series. He then began set theory and forgot his early problems, see [17] and [22].

Cantor's work fascinated L.E.J. Brouwer but he did not come to terms with it and started *intuitionistic mathematics*. Like Shakespeare, who wrote his plays as new versions of works by earlier playwrights, he hoped to turn Cantor's tale into a better story.

Brouwer never returned to the questions and results that caused the creation of set theory. Adopting Brouwer's point of view, we do so now.

Brouwer insisted upon a constructive interpretation of statements of the form  $A \vee B$  and  $\exists x \in V[A(x)]$ . One is only allowed to affirm  $A \vee B$  if one has a reason to affirm  $A$  or a reason to affirm  $B$ . One is only allowed to affirm  $\exists x \in V[A(x)]$  if one is able to produce an element  $x_0$  of the set  $V$  and also evidence for the corresponding statement  $A(x_0)$ . Brouwer therefore had to reject the logical principle  $A \vee \neg A$ .

Brouwer also came to formulate and accept certain new axioms, most importantly: the *Fan Theorem*, the *Principle of Induction on Monotone Bars* and the *Continuity Principle*. We shall make use of these three principles and introduce them at the place where we first need them. The first two of them are constructive versions of results in classical, non-intuitionistic analysis. The third one does not stand a non-constructive reading of its quantifiers. It will make its appearance only in Section 10.

The paper has 12 Sections. In Sections 2 and 3, we verify that the two basic results of Riemann that became Cantor's starting point were proven by Riemann in a constructive way. Section 4 contains an intuitionistic proof of Cantor's Uniqueness Theorem. The proof requires the Cantor-Schwarz Lemma and this Lemma obtains two proofs. Section 5 proves an intuitionistic version of Cantor's result that every finite subset of  $[-\pi, \pi]$  is a *set of uniqueness*. In Section 6 we introduce the *co-derivative set* of an open subset of  $\mathcal{R}$ , which is itself also an open subset of  $\mathcal{R}$ . Cantor called a closed subset  $\mathcal{F}$  of  $[-\pi, \pi]$  *reducible* if one, starting from  $\mathcal{F}$ ,

and iterating the operation of taking the derivative (if needed, transfinitely many times, forming the intersection at limit steps), at last obtains the empty set. We shall call an open subset  $\mathcal{G}$  of  $(-\pi, \pi)$  *eventually full* if one, starting from  $\mathcal{G}$ , and iterating the operation of taking the co-derivative (if needed, transfinitely many times, forming the union at limit steps), at last obtains the whole set  $(-\pi, \pi)$ . In Section 6 we prove the intuitionistic version of Cantor's result that every closed and reducible subset of  $[-\pi, \pi]$  is a set of uniqueness: every open and eventually full subset of  $(-\pi, \pi)$  *guarantees uniqueness*. Section 7 gives *examples* of open sets that are eventually full. Section 8 offers an intuitionistic proof, using the Principle of Induction on Monotone Bars, that every co-enumerable and *co-located* open subset of  $(-\pi, \pi)$  is eventually full and, therefore, guarantees uniqueness. Section 9 proves the intuitionistic version of a stronger theorem, dating from 1911 and due to F. Bernstein and W. Young: all co-enumerable subsets of  $[-\pi, \pi]$ , not only the ones that are open and co-located, guarantee uniqueness. This proof needs an extended form of the Cantor-Schwarz Lemma that is proven in two ways. Section 10 shows the simplifying effect of Brouwer's Continuity Principle: it makes Cantor's Uniqueness Theorem trivial and solves Cantor's first and nasty problem in the field of trigonometric expansions, see Lemma 10.1(i), in an easy way. Section 11 treats an intuitionistic version of *Cantor's Main Theorem*: every closed set satisfies one of the alternatives offered by the continuum hypothesis. In Section 12 we make some observations on Brouwer's work on Cantor's Main Theorem in [3].

Our journey starts in the middle of the nineteenth century.

## 2. RIEMANN'S TWO RESULTS

We let  $\mathcal{R}$  denote the set of the real numbers. A *real number*  $x$  is an infinite sequence  $x(0), x(1), \dots$  of pairs  $x(n) = (x'(n), x''(n))$  of rationals such that  $\forall n[x'(n) \leq x'(n+1) \leq x''(n+1) \leq x''(n)]$  and  $\forall m \exists n[x''(n) - x'(n) < \frac{1}{2^m}]$ . For all real numbers  $x, y$ , we define:  $x <_{\mathcal{R}} y \leftrightarrow \exists n[x''(n) < y'(n)]$  and  $x \#_{\mathcal{R}} y \leftrightarrow (x <_{\mathcal{R}} y \vee y <_{\mathcal{R}} x)$ . The latter *apartness relation* is, in constructive mathematics, more important than the *equality* or *real coincidence* relation. For all real numbers  $x, y$ , we define:  $x \leq_{\mathcal{R}} y \leftrightarrow \forall n[x'(n) \leq y''(n)] \leftrightarrow \neg(y <_{\mathcal{R}} x)$  and  $x =_{\mathcal{R}} y \leftrightarrow (x \leq_{\mathcal{R}} y \wedge y \leq_{\mathcal{R}} x) \leftrightarrow \neg(x \#_{\mathcal{R}} y)$ .

It is important that the relations  $\leq_{\mathcal{R}}$  and  $=_{\mathcal{R}}$  are *negative* relations. If one wants to prove:  $x \leq_{\mathcal{R}} y$  (or:  $x =_{\mathcal{R}} y$ , respectively) one may start from the (positive) assumption  $y <_{\mathcal{R}} x$  (or:  $x \#_{\mathcal{R}} y$ , respectively) and try to obtain a contradiction.

We sometimes use the fact that the relations  $<_{\mathcal{R}}$  and  $\#_{\mathcal{R}}$  are *co-transitive*, that is, for all  $x, y, z$  in  $\mathcal{R}$ ,  $x <_{\mathcal{R}} z \rightarrow (x <_{\mathcal{R}} y \vee y <_{\mathcal{R}} z)$  and  $x \#_{\mathcal{R}} z \rightarrow (x \#_{\mathcal{R}} y \vee y \#_{\mathcal{R}} z)$ .

All these relations are, in general, *undecidable*. For instance, given real numbers  $x, y$  one may be unable to say which of the two statements ' $x \leq_{\mathcal{R}} y$ ' or ' $y \leq_{\mathcal{R}} x$ ' is true. Nevertheless, for all numbers  $x, y$ , one may build a number  $z$  such that  $x \leq_{\mathcal{R}} z \wedge y \leq_{\mathcal{R}} z \wedge \forall u \in \mathcal{R}[(x \leq_{\mathcal{R}} u \wedge y \leq_{\mathcal{R}} u) \rightarrow z \leq_{\mathcal{R}} u]$ . This number, *the least upper bound of  $\{x, y\}$* , is denoted by ' $\sup(x, y)$ '. Similarly, one has  $\inf(x, y)$ , *the greatest lower bound of  $\{x, y\}$* .

If confusion seems improbable, we sometimes write ' $<, \leq, =$ ' where one might expect ' $<_{\mathcal{R}}, \leq_{\mathcal{R}}, =_{\mathcal{R}}$ '.

B. Riemann studied the question: for which functions  $F : [-\pi, \pi] \rightarrow \mathcal{R}$  do there exist real numbers  $b_0, a_1, b_1, \dots$  such that, for all  $x$  in  $[-\pi, \pi]$ ,

$$F(x) = \frac{b_0}{2} + \sum_{n>0} a_n \sin nx + b_n \cos nx?$$

He did so in his *Habilitationsschrift* [23], written in 1854 and published, one year after his death at the age of 39, by R. Dedekind, in 1867. Riemann started from a given infinite sequence of reals  $b_0, a_1, b_1, \dots$  and assumed:

$$\text{for each } x \text{ in } [-\pi, \pi], \lim_{n \rightarrow \infty} (a_n \sin nx + b_n \cos nx) = 0.$$

Under this assumption, the function  $F$  defined by

$$F(x) := \frac{b_0}{2} + \sum_{n>0} a_n \sin nx + b_n \cos nx$$

is, in general, only a *partial* function from  $[-\pi, \pi]$  to  $\mathcal{R}$ . Riemann decided to study the function:

$$G(x) := \frac{1}{4} b_0 x^2 + \sum_{n>0} \frac{-a_n}{n^2} \sin nx + \frac{-b_n}{n^2} \cos nx$$

that we obtain by taking, for each term in the infinite sequence defining  $F$ , the primitive of the primitive. Note that, still under the above assumption,  $G$  is defined everywhere on  $[-\pi, \pi]$ .

One might hope that the function  $G$  is twice differentiable and that  $G'' = F$ , but, no, that hope seems idle. Riemann decided to replace the second derivative by a symmetric variant. He defined, for all  $a, b$  in  $\mathcal{R}$  such that  $a < b$ , for every function  $H$  from  $[a, b]$  to  $\mathcal{R}$ , for every  $x$  in  $(a, b)$ ,

$$D^2 H(x) = \lim_{h \rightarrow 0} \frac{H(x+h) + H(x-h) - 2H(x)}{h^2}$$

and

$$D^1 H(x) = \lim_{h \rightarrow 0} \frac{H(x+h) + H(x-h) - 2H(x)}{h}$$

and proved, for our functions  $F, G$ :

1. for any  $x$  in  $(-\pi, \pi)$ , if  $F(x)$  is defined, then  $F(x) = D^2 G(x)$ , and,
2. for all  $x$  in  $(-\pi, \pi)$ ,  $D^1 G(x) = 0$ .

Note that, for all  $a, b$  in  $\mathcal{R}$  such that  $a < b$ , for every function  $H$  from  $[a, b]$  to  $\mathcal{R}$ , for all  $x$  in  $(a, b)$ , if  $H'(x)$  exists, then  $D^1 H(x)$  exists and  $D^1 H(x) = 0$ . For assume:  $H'(x)$  exists. Then:

$$\begin{aligned} & \lim_{h \rightarrow 0} \frac{H(x+h) + H(x-h) - 2H(x)}{h} = \\ & \lim_{h \rightarrow 0} \frac{H(x+h) - H(x)}{h} - \lim_{h \rightarrow 0} \frac{H(x) - H(x-h)}{h} = H'(x) - H'(x) = 0. \end{aligned}$$

Also note that, for any function  $H$  from  $[a, b]$  to  $\mathcal{R}$ , for all  $x$  in  $(a, b)$ , if  $H''(x)$  exists, then  $D^2 H(x)$  exists and  $H''(x) = D^2 H(x)$ . The proof of this fact in [1], p. 186, is constructive and we quote it here. Assume:  $H''(x)$  exists. Then:

$$H(x+h) + H(x-h) - 2H(x) = \int_0^h H'(x+t) - H'(x-t) dt.$$

Therefore:

$$\begin{aligned} & \lim_{h \rightarrow 0} \left| \frac{H(x+h) + H(x-h) - 2H(x)}{h^2} - H''(x) \right| = \\ & \lim_{h \rightarrow 0} \left| \int_0^h \frac{2t}{h^2} \left( \frac{H'(x+t) - H'(x-t)}{2t} - H''(x) \right) dt \right| \leq \\ & \lim_{h \rightarrow 0} \sup_{t \in [0, h]} \left| \frac{H'(x+t) - H'(x-t)}{2t} - H''(x) \right| = 0. \end{aligned}$$

Note that, for all functions  $H, K$  from  $[a, b]$  to  $\mathcal{R}$ , for every  $x$  in  $(a, b)$ , if  $D^1 H(x)$  and  $D^1 K(x)$  both exist, then  $D^1(H+K)(x)$  exists and  $D^1(H+K)(x) = D^1 H(x) +$

$D^1K(x)$ , and, similarly, if  $D^2H(x)$  and  $D^2K(x)$  both exist, than  $D^2(H + K)(x)$  exists and  $D^2(H + K)(x) = D^2H(x) + D^2K(x)$ .

Our final observation will be so useful that we put it into a Lemma.

**Lemma 2.1.** *Let  $a, b$  in  $\mathcal{R}$  be given such that  $a < b$  and let  $H$  be a function from  $[a, b]$  to  $\mathcal{R}$ . Let  $z$  in  $(a, b)$  be given such that  $D^2H(z)$  is defined. Then:*

- (i) *if  $D^2H(z) > 0$  then  $\exists y \in [a, b][H(y) > H(z)]$ , and,*
- (ii) *if  $\forall y \in [a, b][H(y) \leq H(z)]$ , then  $D^2H(z) \leq 0$ .*

*Proof.* (i) Assume:  $a < z < b$  and  $D^2H(z) > 0$ . Find  $h$  such that  $0 < h$  and  $(z - h, z + h) \subseteq (a, b)$  and  $\frac{H(z+h)+H(z-h)-2H(z)}{h^2} = \frac{H(z+h)-H(z)}{h^2} + \frac{H(z-h)-H(z)}{h^2} > 0$  and conclude: either  $\frac{H(z+h)-H(z)}{h^2} > 0$  or  $\frac{H(z-h)-H(z)}{h^2} > 0$ , and therefore, either  $H(z) < H(z + h)$  or  $H(z) < H(z - h)$ , so, in any case:  $\exists y \in [a, b][H(z) < H(y)]$ .

(ii) This follows from (i), by contraposition.  $\square$

It is important that the positive statement 2.1(i) is behind the negative and often used fact 2.1(ii).

Note that Riemann's first result is already a partial answer to the problem he tried to solve: *if a function  $F$  has a trigonometric expansion on  $[-\pi, \pi]$ , there must exist a continuous function  $G$  from  $[-\pi, \pi]$  to  $\mathcal{R}$  such that  $\forall x \in (-\pi, \pi)[F(x) = D^2G(x)]$ .* Riemann draws more sophisticated conclusions.

### 3. RIEMANN'S CONSTRUCTIVE PROOFS OF HIS TWO BASIC RESULTS

**3.1. Riemann's first result.** We prove, for the functions  $F$  and  $G$  introduced in Section 2: *for every  $x$  in  $(-\pi, \pi)$ , if  $F(x)$  is defined, then  $F(x) = D^2G(x)$ .*

We follow Riemann's own argument.

Note that, for all  $x$  in  $[-\pi, \pi]$ , for all  $n > 0$ , for all  $h \neq 0$ ,  $\sin n(x + 2h) + \sin n(x - 2h) - 2 \sin nx = 2 \sin nx (\cos 2nh - 1) = -4 \sin nx \sin^2 nh$ , and  $\cos n(x + 2h) + \cos n(x - 2h) - 2 \cos nx = 2 \cos nx (\cos 2nh - 1) = -4 \cos nx \sin^2 nh$ , and, therefore:  $\frac{\sin n(x+2h)+\sin n(x-2h)-2 \sin nx}{(2h)^2} \cdot -\frac{1}{n^2} = \sin nx \left(\frac{\sin nh}{nh}\right)^2$  and  $\frac{\cos n(x+2h)+\cos n(x-2h)-2 \cos nx}{(2h)^2} \cdot -\frac{1}{n^2} = \cos nx \left(\frac{\sin nh}{nh}\right)^2$ .

Let  $x$  in  $(-\pi, \pi)$  be given. Define  $A_0 := \frac{1}{2}b_0$  and, for each  $n > 0$ ,  $A_n := a_0 \sin nx + b_n \cos nx$ . Assume:  $F(x)$  is defined, that is:  $\sum A_n$  converges. Note: the infinite sequence  $A_0, A_1, \dots$  is bounded and, for all  $h$ , if  $h \neq 0$  and  $(x - h, x + h) \subseteq (-\pi, \pi)$ , then  $S_h^0 := \frac{G(x+h)+G(x-h)-2G(x)}{h^2} = A_0 + \sum_{n>0} A_n \left(\frac{\sin nh}{nh}\right)^2$  converges. Define, for each  $n$ ,  $R_n := \sum_{k=n+1}^{\infty} A_k$ .

Let  $\varepsilon > 0$  be given. Find  $N$  such that, for all  $m \geq N$ ,  $|R_m| < \frac{1}{6}\varepsilon$ .

Note: for each  $n$ ,  $\lim_{h \rightarrow 0} \frac{\sin nh}{nh} = 1$  and find  $h_0$  such that, for each  $h < h_0$ ,  $|\sum_{n=0}^{n=N} A_n - \sum_{n=0}^{n=N} A_n \left(\frac{\sin nh}{nh}\right)^2| < \frac{1}{6}\varepsilon$ .

Note: for each  $n$ ,  $R_n - R_{n+1} = A_{n+1}$ , and, for each  $m$ ,  $S_h^{m+1} := \sum_{n=m+1}^{\infty} A_n \left(\frac{\sin nh}{nh}\right)^2 = \sum_{n=m}^{\infty} (R_n - R_{n+1}) \left(\frac{\sin nh}{nh}\right)^2 = R_m \left(\frac{\sin mh}{mh}\right)^2 + \sum_{n=m+1}^{\infty} R_n \left(\left(\frac{\sin(n+1)h}{(n+1)h}\right)^2 - \left(\frac{\sin nh}{nh}\right)^2\right)$ .

Note: the function  $x \mapsto \frac{\sin(x)}{x}$  is strictly decreasing on the interval  $(0, 4]$  and bounded by  $1 = \lim_{x \rightarrow 0} \frac{\sin x}{x}$ .

Define  $h_1 = \min(h_0, \frac{1}{2}, \frac{3}{N})$ . Let  $h$  be given such that  $0 < h < h_1$ . Find  $M$  in  $\mathbb{N}$  such that  $3 < Mh < 4$ . Note:  $Nh < Nh_1 \leq 3$  and  $Mh > 3$  and, therefore:  $M > N$ . Also: for all  $n$ , if  $n < M - 1$ , then  $0 < (n + 1)h < 4$  and  $\frac{\sin nh}{nh} > \frac{\sin(n+1)h}{(n+1)h}$  and  $\left(\frac{\sin nh}{nh}\right)^2 > \left(\frac{\sin(n+1)h}{(n+1)h}\right)^2$ . Conclude:  $|\sum_{n=N+1}^{M-1} R_n \left(\left(\frac{\sin(n+1)h}{(n+1)h}\right)^2 - \left(\frac{\sin nh}{nh}\right)^2\right)| \leq \frac{1}{6}\varepsilon \sum_{n=N+1}^{M-1} \left(\left(\frac{\sin nh}{nh}\right)^2 - \left(\frac{\sin(n+1)h}{(n+1)h}\right)^2\right) = \frac{1}{6}\varepsilon \left(\left(\frac{\sin(N+1)h}{(N+1)h}\right)^2 - \left(\frac{\sin Mh}{Mh}\right)^2\right) \leq \frac{2}{6}\varepsilon$ .

Observe: for each  $n$ ,  $|(\frac{\sin(n+1)h}{(n+1)h})^2 - (\frac{\sin nh}{nh})^2| \leq |(\frac{\sin(n+1)h}{(n+1)h})^2 - (\frac{\sin(n+1)h}{nh})^2| + |(\frac{\sin(n+1)h}{nh})^2 - (\frac{\sin nh}{nh})^2| \leq \frac{1}{h^2}((\frac{1}{n^2} - \frac{1}{(n+1)^2}) + \frac{2h}{n^2})$ .

(We are using: for all  $x$ ,  $|(\sin(n+1)h)^2 - (\sin nh)^2| = |\sin(n+1)h + \sin nh| \cdot |\sin(n+1)h - \sin nh| \leq 2h$ .)

Conclude:  $\sum_{n=M}^{\infty} |(\frac{\sin(n+1)h}{(n+1)h})^2 - (\frac{\sin nh}{nh})^2| \leq \frac{1}{h^2}(\frac{1}{M^2} + \frac{2h}{M-1})$ .

(We are using:  $\sum_{n=M}^{\infty} \frac{1}{n^2} \leq \sum_{n=M}^{\infty} \frac{1}{n(n-1)} \leq \sum_{n=M}^{\infty} \frac{1}{n-1} - \frac{1}{n} = \frac{1}{M-1}$ .)

Conclude:  $|\sum_{n=M}^{\infty} R_n((\frac{\sin(n+1)h}{(n+1)h})^2 - (\frac{\sin nh}{nh})^2)| \leq \frac{1}{6}\varepsilon \cdot \frac{1}{h^2}(\frac{1}{M^2} + \frac{2h}{M-1}) = \frac{1}{6}\varepsilon(\frac{1}{(Mh)^2} + \frac{2}{(M-1)h}) \leq \frac{1}{6}\varepsilon(\frac{1}{9} + \frac{4}{5}) < \frac{1}{6}\varepsilon$ .

(We are using:  $0 < h < \frac{1}{2}$  and  $3 < Mh < 4$ , and, therefore:  $2\frac{1}{2} < (M-1)h < 3\frac{1}{2}$  and  $\frac{4}{7} < \frac{2}{(M-1)h} < \frac{4}{5}$ .)

Conclude:  $|S_h^0 - F(x)| \leq |\sum_{n=0}^{N-1} A_n - \sum_{n=0}^{N-1} A_n(\frac{\sin nh}{nh})^2| + |R_N| + |R_N(\frac{\sin Nh}{Nh})^2| + |\sum_{n=N+1}^{M-1} R_n((\frac{\sin(n+1)h}{(n+1)h})^2 - (\frac{\sin nh}{nh})^2)| + |\sum_{n=M}^{\infty} R_n((\frac{\sin(n+1)h}{(n+1)h})^2 - (\frac{\sin nh}{nh})^2)| < \frac{6}{6}\varepsilon = \varepsilon$ .

We thus see:  $\forall h < h_1[|S_h^0 - F(x)| < \varepsilon]$  and:  $\forall \varepsilon > 0 \exists k \forall h < k[|S_h^0 - F(x)| < \varepsilon]$ , that is:  $D^2G(x) = \lim_{h \rightarrow 0} S_h^0 = F(x)$ .

**3.2. Riemann's second result.** We prove, for the function  $G$  introduced in Section 2: for every  $x$  in  $(-\pi, \pi)$ ,  $D^1G(x) = 0$ .

Let  $x$  in  $(-\pi, \pi)$  be given. Define  $A_0 := \frac{1}{2}b_0$  and, for each  $n > 0$ ,  $A_n := a_0 \sin nx + b_n \cos nx$ . Riemann's assumption implies:  $\lim_{n \rightarrow \infty} A_n = 0$ . Therefore, the infinite sequence  $A_0, A_1, \dots$  is bounded and, for all  $h \neq 0$ ,  $S_h^0 := \frac{G(x+h) + G(x-h) - 2G(x)}{h^2} = \sum A_n(\frac{\sin nh}{nh})^2$  converges. Note:  $D^1G(x) = \lim_{h \rightarrow 0} hS_h^0$ .

Let  $\varepsilon > 0$  be given. Find  $N$  such that, for all  $n \geq N$ ,  $|A_n| < \frac{1}{5}\varepsilon$ . Define  $Q := \sum_{n=1}^N |A_n|$ . Let  $h$  be given such that  $0 < h < \frac{1}{2}$  and  $h < \frac{3}{N}$ . Find  $M$  such that  $3 < Mh < 4$ . Note:  $M > N$ , and:  $|S_h^0| \leq \sum_{n=0}^N |A_n(\frac{\sin nh}{nh})^2| + \sum_{n=N+1}^M |A_n(\frac{\sin nh}{nh})^2| + \sum_{n=M+1}^{\infty} |A_n(\frac{\sin nh}{nh})^2| \leq Q + M\frac{1}{5}\varepsilon + \sum_{n=M+1}^{\infty} |A_n|(\frac{1}{nh})^2 \leq Q + M\frac{1}{5}\varepsilon + \frac{1}{h^2}\frac{1}{5}\varepsilon \sum_{n=M+1}^{\infty} \frac{1}{n^2} \leq Q + M\frac{1}{5}\varepsilon + \frac{1}{M}\frac{1}{5h^2}\varepsilon \leq Q + \frac{4}{h}\frac{1}{5}\varepsilon + \frac{1}{3h}\frac{1}{5}\varepsilon$ , and  $|hS_h^0| \leq Qh + \frac{13}{15}\varepsilon$ . Conclude: for all  $h$ , if  $0 < h < \frac{2}{15}\frac{\varepsilon}{Q}$ , then  $|hS_h^0| < \varepsilon$ . Conclude:  $D^1G(x) = \lim_{h \rightarrow 0} hS_h^0 = 0$ .

## 4. CANTOR'S UNIQUENESS THEOREM

**4.1. An intuitionistic proof of the Cantor-Schwarz-lemma.** Cantor, after studying Riemann's *Habilitationschrift* [23], tried to prove: for every function  $F$  from  $[-\pi, \pi]$  to  $\mathcal{R}$  there exists at most one infinite sequence of reals  $b_0, a_0, b_1, \dots$  such that, for all  $x$  in  $[-\pi, \pi]$ ,  $F(x) = \frac{b_0}{2} + \sum_{n>0} a_n \sin nx + b_n \cos nx$ .

He quickly saw that this statement is equivalent to the statement: for every infinite sequence of reals  $b_0, a_0, b_1, \dots$ , if, for all  $x$  in  $[-\pi, \pi]$ ,  $0 = \frac{b_0}{2} + \sum_{n>0} a_n \sin nx + b_n \cos nx$ , then  $b_0 = 0$  and, for all  $n > 0$ ,  $a_n = b_n = 0$ .

Cantor needed the following lemma. The proof is due to H.A. Schwarz, see [11]. The intuitionistic proof we give now is a nontrivial elaboration of the argument given by Schwarz and uses the *Fan Theorem*. We found some inspiration for this proof in the classical proof of a Lemma we shall consider later in this paper, Lemma 9.3.

The Fan Theorem appears in [4] and [5]. We now give a brief exposition.

We let  $Bin$  denote the set of all finite sequences  $a$  such that  $\forall i < \text{length}(a)[a(i) = 0 \vee a(i) = 1]$ . The elements of  $Bin$  are called finite *binary* sequences. Cantor space  $\mathcal{C}$  is the set of all infinite sequences  $\alpha$  such that  $\forall i[\alpha(i) = 0 \vee \alpha(i) = 1]$ . For all  $\alpha$

in  $\mathcal{N}$ , for all  $n$ , we define:  $\bar{\alpha}n := (\alpha(0), \alpha(1), \dots, \alpha(n-1))$ , the *initial part* of  $\alpha$  of length  $n$ . A subset  $B$  of *Bin* is called a *bar in  $\mathcal{C}$*  if and only if  $\forall \alpha \in \mathcal{C} \exists n [\bar{\alpha}n \in B]$ . The (*unrestricted*)<sup>1</sup> Fan Theorem is the statement that every subset of *Bin* that is a bar in  $\mathcal{C}$  has a finite subset that is a bar in  $\mathcal{C}$ . The argument Brouwer gives is philosophical rather than mathematical.

The Fan Theorem fails to be true in *recursive* or *computable* mathematics, see [28].

**Lemma 4.1** (Cantor-Schwarz). *Let  $a < b$  in  $\mathcal{R}$  be given and let  $G$  be a continuous function from  $[a, b]$  to  $\mathcal{R}$  such that  $G(a) = G(b) = 0$  and, for all  $x$  in  $(a, b)$ ,  $D^2G(x)$  exists.*

- (i) *For each  $\varepsilon > 0$ , if  $\exists x \in [a, b][G(x) = \varepsilon]$ , then  $\exists z \in (a, b)[D^2G(z) \leq -2\varepsilon]$ , and,*
- (ii) *if  $\forall x \in (a, b)[D^2G(x) = 0]$ , then  $\forall x \in [a, b][G(x) = 0]$ .*

*Proof.* (i) Assume we find  $x$  in  $[a, b]$  such that  $G(x) = \varepsilon > 0$ . Let  $H$  be the function from  $[a, b]$  to  $\mathcal{R}$  such that, for all  $y$  in  $[a, b]$ ,  $H(y) = G(y) - \varepsilon \frac{(b-y)(y-a)}{(b-a)^2}$ . Note:  $H(x) \geq \frac{3}{4}\varepsilon$  and, for all  $y$  in  $(a, b)$ ,  $D^2H(y)$  exists and  $D^2H(y) = D^2G(y) + 2\varepsilon$ .

Cantor, upon the advice of Schwarz, now used Weierstrass's result that a continuous function defined on a closed interval assumes at some point its greatest value and produced  $z$  in  $[a, b]$  such that, for all  $y$  in  $[a, b]$ ,  $H(y) \leq H(z)$ . The constructive mathematician knows that such a  $z$  may not always be found and has to adapt the argument.

We use the fact that, for all  $a, b$  in  $\mathcal{R}$  such that  $a < b$ , for every continuous function  $f$  from  $[a, b]$  to  $\mathcal{R}$ , one may find  $s$  in  $\mathcal{R}$  such that (i) for all  $y$  in  $[a, b]$ ,  $f(y) \leq s$ , and (ii) for every  $\varepsilon > 0$  there exists  $y$  in  $[a, b]$  such that  $f(y) > s - \varepsilon$ . This number  $s$  is the *supremum* or *least upper bound* of the set  $\{f(y) | y \in [a, b]\}$ , notation:  $\sup_{[a, b]}(f)$ . The fact that this number exists is a consequence of the (restricted) Fan Theorem, see [28].

For each real number  $\rho$  we let  $H_\rho$  be the function from  $[a, b]$  to  $\mathcal{R}$  such that, for all  $y$  in  $[a, b]$ ,  $H_\rho(y) = H(y) + \rho \frac{y-a}{b-a}$ . Note: for each  $\rho$ , for all  $y$  in  $(a, b)$ ,  $D^2H_\rho(y)$  exists and  $D^2H_\rho(y) = D^2H(y) = D^2G(y) + 2\varepsilon$ . Also note: for all  $\rho$  in  $[0, \frac{1}{2}\varepsilon]$ ,  $H_\rho(x) = H(x) + \rho \frac{x-a}{b-a} \geq \frac{3}{4}\varepsilon > 0 = H_\rho(a)$  and  $H_\rho(x) \geq \frac{3}{4}\varepsilon > \rho = H_\rho(b)$ .

We now simultaneously construct  $\rho$  in  $[0, \frac{1}{2}\varepsilon]$  and  $z$  in  $[a, b]$  such that, for all  $y$  in  $[a, b]$ , if  $y \neq_{\mathcal{R}} z$ , then  $H_\rho(y) < H_\rho(z)$ .

To this end, we define two infinite sequences of pairs of reals,  $(a_0, b_0), (a_1, b_1), \dots$  and  $(c_0, d_0), (c_1, d_1), \dots$  and an infinite sequence  $\delta_0, \delta_1, \dots$  of reals such that  $(a_0, b_0) = (a, b)$  and  $(c_0, d_0) = (0, \frac{1}{2}\varepsilon)$ , and, for each  $n$ ,

- (i)  $a_n \leq a_{n+1} < b_{n+1} \leq b_n$  and  $c_n \leq c_{n+1} < d_{n+1} \leq d_n$ , and,
- (ii)  $\delta_n = \frac{1}{81}(b_n - a_n)(d_n - c_n)$ , and,
- (iii) for each  $\rho$  in  $[c_{n+1}, d_{n+1}]$ ,  $\sup_{[a_{n+1}, b_{n+1}]} H_\rho = \sup_{[a_n, b_n]} H_\rho$  and, for each  $y$  in  $[a, b]$ , if  $y \notin [a_{n+1}, b_{n+1}]$ , then  $H_\rho(y) + \delta_n \leq \sup_{[a_{n+1}, b_{n+1}]} H_\rho$ .

We do so as follows. Suppose:  $n \in \mathbb{N}$  and we defined  $a_n, b_n, c_n, d_n$ . Define  $z_0 := \frac{2}{3}a_n + \frac{1}{3}b_n$  and  $z_1 := \frac{1}{3}a_n + \frac{2}{3}b_n$  and consider  $[a_n, z_0]$  and  $[z_1, b_n]$ , the *left third part* and the *right third part* of  $[a, b]$ . Define  $\rho_0 := \frac{2}{3}c_n + \frac{1}{3}d_n$  and  $\rho_1 := \frac{1}{3}c_n + \frac{2}{3}d_n$ .

Note:  $\rho_0 < \rho_1$ , and, for all  $x$  in  $[a, b]$ ,  $H_{\rho_0}(x) + (\rho_1 - \rho_0)x = H_{\rho_1}(x)$ , and, therefore,

$$\sup_{[a_n, z_0]} (H_{\rho_1}) \leq \sup_{[a_n, z_0]} (H_{\rho_0}) + z_0(\rho_1 - \rho_0),$$

<sup>1</sup>One sometimes *restricts* the Fan Theorem to *decidable* subsets of *Bin*. Brouwer's argument seems to establish the unrestricted version, and, in any case, the unrestricted version follows from the restricted version with the help of a strong form of Brouwer's Continuity Principle, see Section 10.

and also:

$$\sup_{[z_1, b_n]} (H_{\rho_1}) \geq \sup_{[z_1, b_n]} (H_{\rho_0}) + z_1(\rho_1 - \rho_0).$$

Conclude:

$$\sup_{[z_1, b_n]} (H_{\rho_1}) - \sup_{[a_n, z_0]} (H_{\rho_1}) \geq \sup_{[z_1, b_n]} (H_{\rho_0}) - \sup_{[a_n, z_0]} (H_{\rho_0}) + (z_1 - z_0)(\rho_1 - \rho_0).$$

Define  $\delta_n := \frac{1}{3}(z_1 - z_0)(\rho_1 - \rho_0)$ . Then:

$$\left( \sup_{[z_1, b_n]} (H_{\rho_1}) - \sup_{[a_n, z_0]} (H_{\rho_1}) \right) + \left( \sup_{[a_n, z_0]} (H_{\rho_0}) - \sup_{[z_1, b_n]} (H_{\rho_0}) \right) > 6\delta_n,$$

and, therefore, either:

$$\sup_{[z_1, b_n]} (H_{\rho_1}) - \sup_{[a_n, z_0]} (H_{\rho_1}) > 3\delta_n$$

or:

$$\sup_{[a_n, z_0]} (H_{\rho_0}) - \sup_{[z_1, b_n]} (H_{\rho_0}) > 3\delta_n.$$

We thus have two cases.

*Case (i).*  $\sup_{[z_1, b_n]} (H_{\rho_1}) > \sup_{[a_n, z_0]} (H_{\rho_1}) + 3\delta_n$ . Define  $(a_{n+1}, b_{n+1}) := (z_0, b_n)$  and  $(c_{n+1}, d_{n+1}) := (\sup(\rho_0, \rho_1 - \delta_n), \inf(d_n, \rho_1 + \delta_n))$ .

Note: for each  $\rho$  in  $[c_{n+1}, d_{n+1}]$ ,  $\forall y \in [a, b][H_{\rho_1}(y) - H_{\rho}(y)] \leq |\rho_1 - \rho| \frac{y-a}{b-a}$  and  $|\sup_{[z_1, b_n]} (H_{\rho_1}) - \sup_{[z_1, b_n]} (H_{\rho})| \leq \delta_n$  and  $|\sup_{[a_n, z_0]} (H_{\rho_1}) - \sup_{[a_n, z_0]} (H_{\rho})| \leq \delta_n$ , and, therefore:  $\sup_{[z_1, b_n]} (H_{\rho}) > \sup_{[a_n, z_0]} (H_{\rho}) + \delta_n$  and:  $\sup_{[a_{n+1}, b_{n+1}]} H_{\rho} = \sup_{[z_0, b_n]} H_{\rho} = \sup_{[a_n, b_n]} H_{\rho}$ .

Also note: for each  $\rho$  in  $[c_{n+1}, d_{n+1}]$ , for each  $y$  in  $[a, b]$ , if  $y \notin [a_{n+1}, b_{n+1}]$ , then  $\exists z \in [a_{n+1}, b_{n+1}][H_{\rho}(y) + \delta_n < H_{\rho}(z)]$ .

*Case (ii).*  $\sup_{[z_1, b_n]} (H_{\rho_0}) + 3\delta_n < \sup_{[a_n, z_0]} (H_{\rho_0})$ . Define  $(a_{n+1}, b_{n+1}) := (a_n, z_1)$  and  $(c_{n+1}, d_{n+1}) := (\sup(c_n, \rho_0 - \delta_n), \inf(\rho_1, \rho_0 + \delta_n))$ .

Note: for each  $\rho$  in  $[c_{n+1}, d_{n+1}]$ ,  $\forall y \in [a, b][H_{\rho_0}(y) - H_{\rho}(y)] \leq |\rho_0 - \rho| \frac{y-a}{b-a}$  and  $|\sup_{[z_1, b_n]} (H_{\rho_0}) - \sup_{[z_1, b_n]} (H_{\rho})| \leq \delta_n$  and  $|\sup_{[a_n, z_0]} (H_{\rho_0}) - \sup_{[a_n, z_0]} (H_{\rho})| \leq \delta_n$ , and, therefore:  $\sup_{[z_1, b_n]} (H_{\rho}) + \delta_n < \sup_{[a_n, z_0]} (H_{\rho})$  and:  $\sup_{[a_{n+1}, b_{n+1}]} H_{\rho} = \sup_{[a_n, z_1]} H_{\rho} = \sup_{[a_n, b_n]} H_{\rho}$ .

Again: for each  $\rho$  in  $[c_{n+1}, d_{n+1}]$ , for each  $y$  in  $[a, b]$ , if  $y \notin [a_{n+1}, b_{n+1}]$ , then  $\exists z \in [a_{n+1}, b_{n+1}][H_{\rho}(y) + \delta_n < H_{\rho}(z)]$ .

Note that *Case (i)* does not exclude case *Case (ii)*. We have to make choices. One may provide details as to how to make these choices in terms of  $a, b$  and  $\varepsilon$ , real numbers that are given to us as infinite sequences of rational approximations. There is no need to apply an Axiom of Countable Choice.

This completes the description of our construction. Note: for each  $n$ ,  $b_{n+1} - a_{n+1} = \frac{2}{3}(b_n - a_n)$  and  $d_{n+1} - c_{n+1} \leq \frac{2}{3}(d_n - c_n)$ . Applying the Cantor Intersection Theorem, we find  $\rho, z$  such that, for all  $n$ ,  $c_n \leq \rho \leq d_n$  and  $a_n \leq z \leq b_n$ . Assume:  $y \in [a, b]$  and  $y \neq_{\mathcal{R}} z$ . Find  $n$  such that  $y \notin [a_n, b_n]$  and conclude:  $H_{\rho}(y) + \delta_n \leq H_{\rho}(z)$ . The function  $H_{\rho}$  thus assumes its greatest value at  $z$ .

As we observed earlier,  $H_{\rho}(a) < H_{\rho}(x) \leq H_{\rho}(z)$  and also  $H_{\rho}(b) < H_{\rho}(x) \leq H_{\rho}(z)$ , and, therefore<sup>2</sup>:  $a < z < b$  and  $D^2 H_{\rho}(z)$  exists. Use Lemma 2.1(ii) and conclude:  $D^2(H_{\rho})(z) \leq 0$ , and:  $D^2 G(z) = D^2(H_{\rho})(z) - 2\varepsilon \leq -2\varepsilon$ .

(ii) Consider the function  $-G$ . Applying (i), conclude:

$$\forall \varepsilon > 0[\exists x \in [a, b][G(x) = -\varepsilon] \rightarrow \exists z \in (a, b)[D^2 G(z) \geq 2\varepsilon]].$$

Assume  $\forall x \in (a, b)[D^2 G(x) = 0]$ , and conclude

$$\forall \varepsilon > 0 \neg \exists x \in [a, b][G(x) = \varepsilon \vee G(x) = -\varepsilon],$$

<sup>2</sup>For this step, see Lemma 9.2(i).



that is:  $\forall x \in [a, b][G(x) = 0]$ .  $\square$

**Corollary 4.2.** *Let  $a, b$  be real numbers such that  $a < b$  and let  $G$  be a function from  $[a, b]$  to  $\mathcal{R}$  such that, for all  $x$  in  $(a, b)$ ,  $D^2G(x) = 0$ . Then  $G$  is linear on  $[a, b]$ , that is: for all  $x$  in  $[a, b]$ ,  $G(x) = G(a) + \frac{x-a}{b-a}(G(b) - G(a))$ .*

*Proof.* Define, for each  $x$  in  $[a, b]$ :

$$G^*(x) := G(x) - G(a) - \frac{x-a}{b-a}(G(b) - G(a))$$

and conclude, using Lemma 4.1(ii): for all  $x$  in  $[a, b]$ ,  $G^*(x) = 0$ .  $\square$

## 4.2. A second proof of the Cantor-Schwarz-Lemma.

4.2.1. Let  $a, b$  in  $\mathcal{R}$  be given such that  $a < b$ . We define a function  $D$  associating to every  $s$  in  $B$  in a pair  $D(s) = (D_0(s), D_1(s))$  of real numbers, such that  $D(( )) := (a, b)$  and, for all  $s \in B$  in,  $D(s * (0)) = (D_0(s), \frac{1}{3}D_0(s) + \frac{2}{3}D_1(s))$  and  $D(s * (1)) = (\frac{2}{3}D_0(s) + \frac{1}{3}D_1(s), D_1(s))$ . Let  $\phi$  be a function from Cantor space  $\mathcal{C}$  to  $[a, b]$  such that, for every  $\alpha$  in  $\mathcal{C}$ , for every  $n$ ,  $D_0(\bar{\alpha}n) < \phi(\alpha) < D_1(\bar{\alpha}n)$ . Note that  $\phi$  is a surjective map from  $\mathcal{C}$  onto  $[a, b]$ . Using this function and applying the unrestricted Fan Theorem, one may prove the following statement, the *unrestricted Heine-Borel Theorem*:

*Let  $a, b$  in  $\mathcal{R}$  be given such that  $a < b$ . Let  $\mathcal{B}$  be a subset of  $\mathcal{R}^2$  such that  $\forall x \in [a, b]\exists(c, d) \in \mathcal{B}[c < x < d]$ . Then there exist  $n$  in  $\mathbb{N}$ ,  $(c_0, d_0), (c_1, d_1), \dots, (c_n, d_n)$  in  $\mathcal{B}$  such that  $\forall x \in [a, b]\exists i \leq n[c_i < x < d_i]$ .*

The proof is as follows. Let  $B$  be the set of all  $a$  in  $B$  in such that, for some  $(c, d)$  in  $\mathcal{B}$ ,  $c < D_0(a) < D_1(a) < d$ . Note that  $B$  is a bar in Cantor space  $\mathcal{C}$ . Find a finite subset of  $B$  that is bar in  $\mathcal{C}$  and enumerate its elements:  $a_0, a_1, \dots, a_n$ . Then find  $(c_0, d_0), (c_1, d_1), \dots, (c_n, d_n)$  in  $\mathcal{B}$  such that, for each  $i \leq n$ ,  $c_i < D_0(a_i) < D_1(a_i) < d_i$ . This finite sequence of elements of  $\mathcal{B}$  satisfies the requirements.

In [28], the restricted Heine-Borel Theorem is derived from the restricted Fan theorem.

4.2.2. Weierstrass's Theorem, saying that a continuous function defined on a closed interval assumes at some point its greatest value, fails constructively. We have the following negative substitute:

**Theorem 4.3.** *Let  $a < b$  in  $\mathcal{R}$  be given and let  $H$  be a continuous function from  $[a, b]$  to  $\mathcal{R}$ . Then  $\neg\forall z \in [a, b]\exists y \in [a, b][H(z) < H(y)]$ .*

*Proof.* Assume:  $\forall z \in [a, b]\exists y \in [a, b][H(z) < H(y)]$ . Let  $\mathcal{B}$  be the set of all pairs  $(c, d)$  of reals such that  $\exists z \in (a, b)[c < z < d]$  and  $\exists y \in [a, b]\forall v \in (c, d)\cap[a, b][H(v) < H(y)]$ . Assume:  $z \in [a, b]$ . Find  $y \in [a, b]$  such that  $H(z) < H(y)$ . Using the continuity of  $H$ , find  $c, d$  such that  $c < z < d$  and  $\forall v \in (c, d)\cap[a, b][H(v) < H(y)]$ . Note:  $(c, d) \in \mathcal{B}$ . We thus see:  $\forall z \in [a, b]\exists(c, d) \in \mathcal{B}[c < z < d]$ .

Applying the Heine-Borel Theorem, find  $n$  in  $\mathbb{N}$ ,  $(c_0, d_0), (c_1, d_1), \dots, (c_n, d_n)$  in  $\mathcal{B}$  such that  $\forall z \in [a, b]\exists i \leq n[c_i < z < d_i]$ .

Define a binary relation  $<_*$  on the set  $\{0, 1, \dots, n\}$  such that, for all  $i, j \leq n$ ,

$$i <_* j \leftrightarrow \exists y \in (c_j, d_j) \cap [a, b]\forall z \in (c_i, d_i) \cap [a, b][H(z) < H(y)].$$

Note:  $\forall i \leq n[\neg(i <_* i)]$  and  $\forall i \leq n\forall j \leq n\forall k \leq n[(i <_* j \wedge j <_* k) \rightarrow i <_* k]$  and  $\forall i \leq n\exists j \leq n[i <_* j]$ .

That is impossible. Conclude:  $\neg\forall z \in [a, b]\exists y \in [a, b][H(z) < H(y)]$ .  $\square$

4.2.3. The proof<sup>3</sup> of the Cantor-Schwarz Lemma we now are to set forth is shorter than the first one but, from a constructive point of view, it is less informative, as the conclusion of Lemma 4.1(i) is not obtained.

**Lemma 4.4** (Cantor-Schwarz, version II). *Let  $a < b$  in  $\mathcal{R}$  be given and let  $G$  be a continuous function from  $[a, b]$  to  $\mathcal{R}$  such that  $G(a) = G(b) = 0$ . If  $\forall x \in (a, b)[D^2G(x) = 0]$ , then  $\forall x \in [a, b][G(x) = 0]$ .*

*Proof.* (i) Assume we find  $x$  in  $[a, b]$  such that  $G(x) > 0$ . Define a function  $H$  from  $[a, b]$  to  $\mathcal{R}$  such that, for all  $y$  in  $[a, b]$ ,  $H(y) = G(y) - G(x)\frac{(b-y)(y-a)}{(b-a)^2}$ . Note:  $H(x) \geq \frac{3}{4}G(x) > 0$  and, for all  $y$  in  $(a, b)$ ,  $D^2H(y)$  exists and  $D^2H(y) = D^2G(y) + 2G(x) > 0$ . We are going to show that every point in  $[a, b]$  positively refuses to be the point where  $H$  assumes its greatest value, and this, according to Theorem 4.3, is impossible.

First, use the fact that  $H$  is continuous at  $a$  and at  $b$  and that  $H(a) = H(b) = 0$  and find  $\delta > 0$  such that  $\forall z \in [a, a + \delta) \cup (b - \delta, b][H(z) < H(x)]$ . Then, assume:  $z \in (a, b)$ . Note:  $D^2H(z) > 0$ . Use Lemma 2.1 and conclude:  $\exists y \in [a, b][H(z) < H(y)]$ . Observe:  $[a, b] = [a, a + \delta) \cup (a, b) \cup (b - \delta, b]$ , and conclude:  $\forall z \in [a, b]\exists y \in [a, b][H(z) < H(y)]$ . Contradiction, according to Theorem 4.3.

Therefore:  $\neg\exists x \in [a, b][G(x) > 0]$ .

One may prove in the same way:  $\neg\exists x \in [a, b][G(x) < 0]$  and conclude:  $\forall x \in [a, b][G(x) = 0]$ .  $\square$

#### 4.3. The Uniqueness Theorem.

**Theorem 4.5** (Cantor's Uniqueness Theorem, see [11]). *For every infinite sequence of reals  $b_0, a_1, b_1, \dots$ , if, for all  $x$  in  $[-\pi, \pi]$ ,*

$$F(x) := \frac{b_0}{2} + \sum_{n>0} a_n \sin nx + b_n \cos nx = 0,$$

*then  $b_0 = 0$  and, for all  $n > 0$ ,  $a_n = b_n = 0$ .*

*Proof.* Assume: the infinite sequence of reals  $b_0, a_1, b_1, \dots$  satisfies the conditions of the theorem. Then also, for each  $x$  in  $[-\pi, \pi]$ ,  $\lim_{n \rightarrow \infty} a_n \sin nx + b_n \cos nx = 0$ , that is: Riemann's condition is satisfied. Taking  $x = 0$ , we also see:  $\lim_{n \rightarrow \infty} b_n = 0$ .

*We make a provisional assumption:* the infinite sequence  $b_0, a_1, b_1, \dots$  is bounded. Consider

$$G(x) := \frac{1}{4}b_0x^2 + \sum_{n>0} \frac{-a_n}{n^2} \sin nx + \frac{-b_n}{n^2} \cos nx.$$

According to Riemann's first result, for all  $x$  in  $(-\pi, \pi)$ ,  $D^2G(x) = 0$ . Use Corollary 4.2 and find  $a, b$  such that, for all  $x$  in  $[-\pi, \pi]$ ,  $G(x) = ax + b$ .

Note:  $G(\pi) = G(-\pi)$  and conclude:  $a = 0$  and, for all  $x$  in  $[-\pi, \pi]$ ,  $G(x) = b$ .

Note: for each  $n > 0$ ,  $\frac{1}{\pi} \int_{-\pi}^{\pi} x^2 \cos nxdx = \frac{-1}{n\pi} \int_{-\pi}^{\pi} 2x \sin nxdx = \frac{2x}{n^2\pi} \cos nx \Big|_{-\pi}^{\pi} = (-1)^n \frac{4}{n^2}$ , and, for each  $n > 0$ ,  $\frac{1}{\pi} \int_{-\pi}^{\pi} x^2 \sin nxdx = 0$ .

Define, for each  $N > 0$  in  $\mathbb{N}$ ,  $G_N(x) := \frac{1}{4}b_0x^2 + \sum_{n=1}^N \frac{-a_n}{n^2} \sin nx + \frac{-b_n}{n^2} \cos nx$ .

As the infinite sequence  $b_0, a_1, b_1, \dots$  is bounded, the sequence of functions  $G_1, G_2, \dots$  converges to the function  $G$  uniformly on  $[-\pi, \pi]$ .

Conclude: for each  $n > 0$ ,  $0 = \frac{1}{\pi} \int_{-\pi}^{\pi} b \sin nxdx = \frac{1}{\pi} \int_{-\pi}^{\pi} G(x) \sin nxdx = \lim_{N \rightarrow \infty} \frac{1}{\pi} \int_{-\pi}^{\pi} G_N(x) \sin nxdx = \frac{-a_n}{n^2}$ , so  $a_n = 0$ .

Also conclude: for each  $n > 0$ ,  $0 = \frac{1}{\pi} \int_{-\pi}^{\pi} b \cos nxdx = \frac{1}{\pi} \int_{-\pi}^{\pi} G(x) \cos nxdx = \lim_{N \rightarrow \infty} \frac{1}{\pi} \int_{-\pi}^{\pi} G_N(x) \cos nxdx = \frac{(-1)^n b_0 - b_n}{n^2}$ , so  $b_n = (-1)^n b_0$ . As  $\lim_{n \rightarrow \infty} b_n = 0$ , we conclude: for all  $n$ ,  $b_n = 0$ .

<sup>3</sup>The proof of the Cantor-Schwarz Lemma in [25] is wrong.

One may do without the provisional assumption. We no longer assume that the infinite sequence  $b_0, a_1, b_1, \dots$  is bounded.

Using a suggestion made by Riemann himself in par. 12 of [23] and repeated by L. Kronecker in a letter to Cantor, see the footnote in [12], we continue as follows.

Assume:  $x \in [-\pi, \pi]$ . Define for each  $t$  in  $[-\pi, \pi]$ ,

$$K(t) = F(x+t) + F(x-t)$$

and note:

$$K(t) = b_0 + 2 \sum_{n>0} (a_n \sin nx + b_n \cos nx) \cos nt,$$

as, for each  $n$ , for each  $t$ ,  $\sin(nx+nt) + \sin(nx-nt) = 2 \sin nx \cos nt$  and  $\cos(nx+nt) + \cos(nx-nt) = 2 \cos nx \cos nt$ . Note: for each  $t$  in  $[-\pi, \pi]$ ,  $K(t) = 0$ , and: the sequence  $n \mapsto a_n \sin nx + b_n \cos nx$  converges and is bounded. Using the first part of the proof, conclude:  $b_0 = 0$  and, for each  $n > 0$ ,  $a_n \sin nx + b_n \cos nx = 0$ . This conclusion holds for all  $x$  in  $[-\pi, \pi]$ . Conclude:  $b_0 = 0$  and, for each  $n > 0$ ,  $a_n = b_n = 0$ .  $\square$

#### 5. EVERY CO-FINITE SUBSET OF $[-\pi, \pi]$ GUARANTEES UNIQUENESS

Let  $\mathcal{X}$  be a subset of  $[-\pi, \pi]$ . We define:  $\mathcal{X}$  *guarantees uniqueness*<sup>4</sup> if and only if, for every infinite sequence of reals  $b_0, a_1, b_1, \dots$ , if for all  $x$  in  $\mathcal{X}$ ,  $F(x) := \frac{b_0}{2} + \sum_{n>0} a_n \sin nx + b_n \cos nx = 0$ , then  $b_0 = 0$  and, for all  $n > 0$ ,  $a_n = b_n = 0$ .

We define: a subset  $\mathcal{X}$  of  $\mathcal{R}$  is *co-finite* if and only if there exist  $n$  in  $\mathbb{N}$ ,  $x_0, x_1, \dots, x_{n-1}$  in  $\mathcal{R}$  such that, for all  $x$  in  $\mathcal{R}$ , if  $\forall i < n [x \#_{\mathcal{R}} x_i]$ , then  $x \in \mathcal{X}$ .

A set of the form  $\{x_0, x_1, \dots, x_{n-1}\}$  is called a *finitely enumerable* set of real numbers. As the relation  $=_{\mathcal{R}}$  of real coincidence is not a decidable relation, we often are unable to determine the number of elements of a finitely enumerable set of reals; that is, constructively, a finitely enumerable set of reals is not necessarily a *finite* set of reals.

Cantor saw, how to prove, with the help of Riemann's second result, the following extension of his Uniqueness Theorem, see [12].

**Theorem 5.1.** *Every co-finite subset of  $[-\pi, \pi]$  guarantees uniqueness.*

*Proof.* Find  $n$  in  $\mathbb{N}$  and  $x_0, x_1, \dots, x_{n-1}$  in  $\mathcal{R}$  such that, for all  $x$  in  $[-\pi, \pi]$ , if  $\forall i < n [x \#_{\mathcal{R}} x_i]$ , then  $x \in \mathcal{X}$ .

The numbers  $x_0, x_1, \dots, x_{n-1}$  may not belong to  $[-\pi, \pi]$ . We solve this little problem as follows. Define, for each  $i < n$ ,  $y_i := \inf(\pi, \sup(x_i, -\pi))$ . Note: for each  $i < n$ ,  $y_i \in [-\pi, \pi]$  and, for all  $x$  in  $[-\pi, \pi]$ ,  $\forall i < n [x \#_{\mathcal{R}} x_i]$  if and only if  $\forall i < n [x \#_{\mathcal{R}} y_i]$ . Define  $\mathcal{Y} := \{\pi, -\pi, y_0, y_1, \dots, y_{n-1}\}$ .

We make a *provisional assumption*:  $\forall x \in \mathcal{Y} \forall y \in \mathcal{Y} [x =_{\mathcal{R}} y \vee x \#_{\mathcal{R}} y]$ .

We then may determine  $m \leq n$  and  $c_0, c_1, \dots, c_{m-1}$  in  $\mathcal{X}$  such that  $-\pi < c_0 < \dots < c_{m-1} < \pi$  and  $\mathcal{Y} = \{-\pi, c_0, \dots, c_{m-1}, \pi\}$ .

Now let  $b_0, a_1, b_1, \dots$  be given such that, for all  $x$  in  $\mathcal{X}$ ,

$$F(x) := \frac{b_0}{2} + \sum_{n>0} a_n \sin nx + b_n \cos nx = 0.$$

We make a *second provisional assumption*: the infinite sequence  $b_0, a_1, b_1, \dots$  is *bounded*.

The function

$$G(x) := \frac{1}{4} b_0 x^2 + \sum_{n>0} \frac{-a_n}{n^2} \sin nx + \frac{-b_n}{n^2} \cos nx$$

<sup>4</sup> In classical mathematics, one uses the expression:  $[-\pi, \pi] \setminus \mathcal{X}$  is a *set of uniqueness* where we say:  $\mathcal{X}$  *guarantees uniqueness*.

then is defined everywhere on  $[-\pi, \pi]$  and everywhere continuous, and, according to Riemann's first result, for all  $x$  in  $\mathcal{X}$ ,  $D^2G(x) = 0$ . Find  $n_0$  such that  $-\pi + \frac{1}{2^{n_0-1}} < c_0$ . According to Corollary 4.2, for each  $n \geq n_0$ ,  $G$  is linear on  $[-\pi + \frac{1}{2^n}, c_0 - \frac{1}{2^n}]$ . Conclude:  $G$  is linear on  $(-\pi, c_0)$ . For similar reasons,  $G$  is linear on each of the intervals  $(c_0, c_1), (c_1, c_2), \dots, (c_{m-1}, \pi)$ .

Find  $d_0, e_0, d_1, e_1$  such that, for every  $x$  in  $(-\pi, c_0)$ ,  $G(x) = d_0x + e_0$  and, for every  $x$  in  $(c_0, c_1)$ ,  $G(x) = d_1x + e_1$ . According to Riemann's second result,  $D^1G(c_0) = 0$ , and, therefore:  $d_0 = d_1$  and also:  $e_0 = e_1$ . We thus see:  $G$  is linear on  $(-\pi, c_1)$  and, continuing the argument, we find:  $G$  is linear on  $(-\pi, \pi)$  and thus also on  $[-\pi, \pi]$ . Now conclude, as in the proof of Theorem 4.5:  $b_0 = 0$  and, for all  $n > 0$ ,  $a_n = b_n = 0$ .

*One may do without the first provisional assumption.* As the set  $\mathcal{Y}$  is finitely enumerable, one may prove intuitionistically<sup>5</sup>:  $\neg(\forall x \in \mathcal{Y} \forall y \in \mathcal{Y} [x =_{\mathcal{R}} y \vee x \#_{\mathcal{R}} y])$ . The proof thus far established:

$$\forall x \in \mathcal{Y} \forall y \in \mathcal{Y} [x =_{\mathcal{R}} y \vee x \#_{\mathcal{R}} y] \rightarrow b_0 = 0.$$

Taking two times the contraposition, we obtain:

$$\neg \neg \forall x \in \mathcal{Y} \forall y \in \mathcal{Y} [x =_{\mathcal{R}} y \vee x \#_{\mathcal{R}} y] \rightarrow \neg \neg (b_0 = 0).$$

Therefore:  $\neg \neg (b_0 = 0)$ . As is well-known, equality on the reals is a *stable* relation, that is, one may conclude:  $b_0 = 0$ .

For similar reasons: for all  $n > 0$ ,  $a_n = b_n = 0$ .

*One may do without the second provisional assumption.* We no longer assume that the infinite sequence  $b_0, a_1, b_1, \dots$  is bounded.

Using the suggestion made by Riemann and Kronecker, we reason as follows.

Assume:  $x \in [-\pi, \pi]$  and  $\forall i < n [x \#_{\mathcal{R}} x_i]$ . Define for each  $t$  in  $[-\pi, \pi]$ ,

$$K(t) := F(x+t) + F(x-t)$$

and note:

$$K(t) = b_0 + 2 \sum_{n>0} (a_n \sin nx + b_n \cos nx) \cos nt,$$

Let  $\mathcal{Y}$  be the set of all numbers of one of the forms  $x_i - x, x_i + x$ , where  $i < n$ . Note: for each  $t$  in  $[-\pi, \pi]$ , if  $\forall y \in \mathcal{Y} [t \#_{\mathcal{R}} y]$  then  $\forall i < n [x+t \#_{\mathcal{R}} x_i \wedge x-t \#_{\mathcal{R}} x_i]$  and  $K(t) = 0$ . Also, as  $\forall i < n [x \#_{\mathcal{R}} x_i]$ , the sequence  $n \mapsto a_n \sin nx + b_n \cos nx$  converges and is bounded. Using the first part of the proof, we conclude:  $b_0 = 0$  and, for each  $n > 0$ ,  $a_n \sin nx + b_n \cos nx = 0$ . This conclusion holds for all  $x$  in  $[-\pi, \pi]$  such that  $\forall i < n [x \#_{\mathcal{R}} x_i]$ . We conclude:  $b_0 = 0$  and, for each  $n > 0$ ,  $a_n = b_n = 0$ .  $\square$

## 6. EVERY OPEN SUBSET OF $[-\pi, \pi]$ THAT IS EVENTUALLY FULL GUARANTEES UNIQUENESS

**6.1. An important Lemma.** Let  $\mathcal{G}$  be a subset of  $\mathcal{R}$ .  $\mathcal{G}$  is *open* if and only if, for each  $x$  in  $\mathcal{G}$ , there exists  $n$  such that  $(x - \frac{1}{2^n}, x + \frac{1}{2^n}) \subseteq \mathcal{G}$ .

Let  $a, b$  in  $\mathcal{R}$  be given such that  $a < b$ . Let  $\mathcal{G}$  be an open subset of  $(a, b)$  and let  $H$  be a function from  $(a, b)$  to  $\mathcal{R}$ . We define:  $H$  is *locally linear on  $\mathcal{G}$*  if and only if, for each  $x$  in  $\mathcal{G}$  there exists  $n$  such that  $(x - \frac{1}{2^n}, x + \frac{1}{2^n}) \subseteq \mathcal{G}$  and  $H$  is linear on  $(x - \frac{1}{2^n}, x + \frac{1}{2^n})$ .

**Lemma 6.1.** *For all  $a, b$  in  $\mathcal{R}$  such that  $a < b$ , for every function  $H$  from  $(a, b)$  to  $\mathcal{R}$ , if  $H$  is locally linear on  $(a, b)$ , then  $H$  is linear on  $(a, b)$ .*

<sup>5</sup>One may prove this by induction on the length of the enumeration, using:  $\neg \neg (x \#_{\mathcal{R}} y \vee x =_{\mathcal{R}} y)$  and  $(\neg \neg A \wedge \neg \neg B) \rightarrow \neg \neg (A \wedge B)$ .

*Proof.* The proof we give is elementary in the sense that it avoids the use of the Heine-Borel Theorem, or, equivalently, the Fan Theorem.

Assume:  $a < x_0 < x_1 < b$ . Find  $m$  in  $\mathbb{N}$ ,  $d_0, d_1, e_0, e_1$  in  $\mathcal{R}$ , such that:  $(x_0 - \frac{1}{2^m}, x_0 + \frac{1}{2^m}) \subseteq \mathcal{G}$  and, for all  $x$  in  $(x_0 - \frac{1}{2^m}, x_0 + \frac{1}{2^m})$ ,  $H(x) = d_0x + e_0$ , and:  $(x_1 - \frac{1}{2^m}, x_1 + \frac{1}{2^m}) \subseteq \mathcal{G}$ , and, for all  $x$  in  $(x_1 - \frac{1}{2^m}, x_1 + \frac{1}{2^m})$ ,  $H(x) = d_1x + e_1$ . We want to prove:  $d_0 = d_1$  and  $e_0 = e_1$ . Assume:  $d_0 \#_{\mathcal{R}} d_1$ . We will obtain a contradiction by the method of *successive bisection*.

We define an infinite sequence  $(a_n, d_{n,0}, e_{n,0}, b_n, d_{n,1}, e_{n,1})_{n \in \mathbb{N}}$  of sextuples of reals such that  $(a_0, d_{0,0}, e_{0,0}, b_0, d_{0,1}, e_{0,1}) = (x_0, d_0, e_0, x_1, d_1, e_1)$ , and, for each  $n$ ,

1. either:  $(a_{n+1}, b_{n+1}) = (a_n, \frac{1}{2}(a_n + b_n))$ , or:  $(a_{n+1}, b_{n+1}) = (\frac{1}{2}(a_n + b_n), b_n)$ , and
2. there exists  $m$  such that:  $(a_n - \frac{1}{2^m}, a_n + \frac{1}{2^m}) \subseteq \mathcal{G}$  and, for all  $x$  in  $(a_n - \frac{1}{2^m}, a_n + \frac{1}{2^m})$ ,  $H(x) = d_{n,0}x + e_{n,0}$ , and:  $(b_n - \frac{1}{2^m}, b_n + \frac{1}{2^m}) \subseteq \mathcal{G}$  and, for all  $x$  in  $(b_n - \frac{1}{2^m}, b_n + \frac{1}{2^m})$ ,  $H(x) = d_{n,1}x + e_{n,1}$ , and
3.  $d_{n,0} \#_{\mathcal{R}} d_{n,1}$ .

We do so as follows. Suppose  $n$  is given and  $(a_n, d_{n,0}, e_{n,0}, b_n, d_{n,1}, e_{n,1})$  has been defined. Define  $c_n := \frac{a_n + b_n}{2}$  and find  $d, e, n$  such that for all  $x$  in  $(c - \frac{1}{2^n}, c + \frac{1}{2^n})$ ,  $H(x) = dx + e$ . Note: either  $d \#_{\mathcal{R}} d_{n,0}$  or  $d \#_{\mathcal{R}} d_{n,1}$ . If you first discover  $d \#_{\mathcal{R}} d_{n,0}$ , define:  $a_{n+1} := a_n$ ,  $d_{n+1,0} := d_{n,0}$  and  $e_{n+1,0} := e_{n,0}$  and  $b_{n+1} := c$ ,  $d_{n+1,1} := d$  and  $e_{n+1,1} := e$ , and, if you first discover  $d \#_{\mathcal{R}} d_{n,1}$ , define:  $a_{n+1} := c$ ,  $d_{n+1,0} := d$  and  $e_{n+1,0} := e$  and  $b_{n+1} := b_n$ ,  $d_{n+1,1} := d_{n,1}$  and  $e_{n+1,1} := e_{n,1}$ . We use the expression '*first discover*' in order to indicate that one may formulate a rule as to which of the two alternatives we should choose in terms of the way the numbers  $d, d_{n,0}$  and  $d_{n,1}$  are given to us as infinite sequences of rational approximations. One may avoid the use of an Axiom of Countable Choice.

Now find  $z$  such that, for each  $n$ ,  $a_n \leq z \leq b_n$ . Find  $d, e, m$  such that  $(z - \frac{1}{2^m}, z + \frac{1}{2^m}) \subseteq \mathcal{G}$  and, for all  $x$  in  $(z - \frac{1}{2^m}, z + \frac{1}{2^m})$ ,  $H(x) = dx + e$ . Find  $n_0$  such that  $b_{n_0} - a_{n_0} < \frac{1}{2^m}$  and conclude:  $d = d_{n_0,0} = d_{n_0,1}$ . Contradiction.

We have to admit:  $d_0 = d_1$ , and:  $e_0 = e_1$ , and:  $G$  is linear on  $(a, b)$ .  $\square$

**6.2. The co-derivative extension of an open set.** Let  $\mathcal{G}$  be an open subset of  $\mathcal{R}$ . We define:

$$\mathcal{G}^+ := \{x \in \mathcal{R} \mid \exists n \in \mathbb{N} \exists y \in \mathcal{R} [|x-y| < \frac{1}{2^n} \wedge \forall z (|x-z| < \frac{1}{2^n} \wedge z \#_{\mathcal{R}} y) \rightarrow z \in \mathcal{G}]\}.$$

$\mathcal{G}^+$  is called: the (*first*) *co-derivative extension* of  $\mathcal{G}$ .

Note:  $x \in \mathcal{G}^+$  if and only if all members of some neighbourhood of  $x$  are in  $\mathcal{G}$  with one possible and well-known exception.

Note:  $\mathcal{G} \subseteq \mathcal{G}^+$  and:  $\mathcal{G}^+$  is an open subset of  $\mathcal{R}$ .

Note: if  $\mathcal{G}^+$  is *inhabited*, that is, one may effectively find an element of  $\mathcal{G}^+$ , then so is  $\mathcal{G}$ .

The next Lemma explains the use Cantor is making of Riemann's second result.

**Lemma 6.2.** For all  $a, b$  in  $\mathcal{R}$  such that  $a < b$ , for every open subset  $\mathcal{G}$  of  $(a, b)$ , for every function  $H$  from  $(a, b)$  to  $\mathcal{R}$ , if, for all  $x$  in  $(a, b)$ ,  $D^1H(x) = 0$ , and  $H$  is locally linear on  $\mathcal{G}$ , then  $H$  is locally linear on the co-derivative extension  $\mathcal{G}^+$  of  $\mathcal{G}$ .

*Proof.* Assume:  $x \in \mathcal{G}^+$ . Find  $n, y$  such that  $|x - y| < \frac{1}{2^n}$  and  $\forall z (|x - z| < \frac{1}{2^n} \wedge z \#_{\mathcal{R}} y) \rightarrow z \in \mathcal{G}$ . Note: both  $(x - \frac{1}{2^n}, y)$  and  $(y, x + \frac{1}{2^n})$  are subsets of  $\mathcal{G}$ , so  $H$  is locally linear on both  $(x - \frac{1}{2^n}, y)$  and  $(y, x + \frac{1}{2^n})$ . Using Lemma 6.1, we conclude that  $H$  is linear on both  $(x - \frac{1}{2^n}, y)$  and  $(y, x + \frac{1}{2^n})$ . Find  $d_0, e_0, d_1, e_1$  such that: for every  $x$  in  $(x - \frac{1}{2^n}, y)$ ,  $H(x) = d_0x + e_0$  and: for every  $x$  in  $(y, x + \frac{1}{2^n})$ ,  $H(x) = d_1x + e_1$ . As  $D^1H(y) = 0$ ,  $d_0 = d_1$  and also:  $e_0 = e_1$ . Therefore,  $H$  is linear on  $(x - \frac{1}{2^n}, x + \frac{1}{2^n})$ .

We thus see:  $H$  is locally linear on  $\mathcal{G}^+$ .  $\square$

**6.3. Repeating the operation of taking the co-derivative extension.** Let  $\mathcal{G}$  be an open subset of  $\mathcal{R}$ . We let  $Ext_{\mathcal{G}}^{<\omega}$  be the smallest collection  $\mathcal{E}$  of subsets of  $\mathcal{R}$  such that

- (i)  $\mathcal{G} \in \mathcal{E}$ , and
- (ii) for every  $\mathcal{H}$  in  $\mathcal{E}$ , also  $\mathcal{H}^+ \in \mathcal{E}$ .

We let  $Ext_{\mathcal{G}}$  be the smallest collection  $\mathcal{E}$  of subsets of  $\mathcal{R}$  such that

- (i)  $\mathcal{G} \in \mathcal{E}$ , and
- (ii) for every  $\mathcal{H}$  in  $\mathcal{E}$ , also  $\mathcal{H}^+ \in \mathcal{E}$ , and
- (iii) for every infinite sequence  $\mathcal{H}_0, \mathcal{H}_1, \mathcal{H}_2, \dots$  of elements of  $\mathcal{E}$ , also  $\bigcup_{n \in \mathbb{N}} \mathcal{H}_n \in \mathcal{E}$ .

The elements of  $Ext_{\mathcal{G}}$  are called *the (co-derivative) extensions of  $\mathcal{G}$* .

A definition by *transfinite* or *generalized induction* like the definition of  $Ext_{\mathcal{G}}$  we just gave is acceptable in intuitionistic mathematics, although Brouwer has not always been clear on this point. We may use the following *principle of transfinite induction on  $Ext_{\mathcal{G}}$* :

For every collection  $\mathcal{E}'$  of subsets of  $\mathcal{R}$ , if

- (i)  $\mathcal{G} \in \mathcal{E}'$ , and
- (ii) for every  $\mathcal{H}$  in  $\mathcal{E}'$ , also  $\mathcal{H}^+ \in \mathcal{E}'$ , and
- (iii) for every infinite sequence  $\mathcal{H}_0, \mathcal{H}_1, \mathcal{H}_2, \dots$  of elements of  $\mathcal{E}'$ , also  $\bigcup_{n \in \mathbb{N}} \mathcal{H}_n \in \mathcal{E}'$ ,

then  $\mathcal{E} \subseteq \mathcal{E}'$ .

The elements of  $Ext_{\mathcal{G}}^{<\omega}$  are called *the (co-derivative) extensions of  $\mathcal{G}$  of finite rank*.

Note: for all  $a, b$  in  $\mathcal{R}$  such that  $a < b$ , if  $\mathcal{G} \subseteq (a, b)$ , then, for every  $\mathcal{H}$  in  $Ext_{\mathcal{G}}$ ,  $\mathcal{H} \subseteq (a, b)$ .

Also: for every  $\mathcal{H}$  in  $Ext_{\mathcal{G}}$ , if  $\mathcal{H}$  is inhabited, then so is  $\mathcal{G}$ .

One may prove these facts by transfinite induction on  $Ext_{\mathcal{G}}$ .

**Lemma 6.3.** *For all  $a, b$  in  $\mathcal{R}$  such that  $a < b$ , for every open subset  $\mathcal{G}$  of  $(a, b)$ , for every function  $H$  from  $(a, b)$  to  $\mathcal{R}$ , if, for all  $x$  in  $(a, b)$ ,  $D^1 H(x) = 0$ , and  $H$  is locally linear on  $\mathcal{G}$ , then  $H$  is locally linear on every element of  $Ext_{\mathcal{G}}$ .*

*Proof.* The proof uses Lemma 6.2 and is by transfinite induction on  $Ext_{\mathcal{G}}$ .  $\square$

Let  $a, b$  in  $\mathcal{R}$  be given such that  $a < b$  and let  $\mathcal{G}$  be an open subset of  $(a, b)$ .  $\mathcal{G}$  is called *swiftly full in  $(a, b)$*  if and only if  $(a, b) \in Ext_{\mathcal{G}}^{<\omega}$ .  $\mathcal{G}$  is called *eventually full in  $(a, b)$*  if and only if  $(a, b) \in Ext_{\mathcal{G}}$ .<sup>6</sup>

Note: if  $\mathcal{G}$  is eventually full in  $(a, b)$ , then  $\mathcal{G}$  is inhabited.

For every open subset  $\mathcal{G}$  of  $(-\pi, \pi)$ , for each  $x$  in  $(-\pi, \pi)$ , we let  $x +_{\pi} \mathcal{G}$  be the set of all  $t$  in  $(-\pi, \pi)$  such that either:  $-x + t \in \mathcal{G}$  or:  $-x + t + 2\pi \in \mathcal{G}$  or:  $-x + t - 2\pi \in \mathcal{G}$ .

We need the following observation.

**Lemma 6.4.** *For every open subset  $\mathcal{G}$  of  $(-\pi, \pi)$ , for every  $x$  in  $(-\pi, \pi)$ ,*

- (i)  $x +_{\pi} \mathcal{G}$  is an open subset of  $(-\pi, \pi)$ , and
- (ii)  $Ext_{x +_{\pi} \mathcal{G}} = \{x +_{\pi} \mathcal{Y} \mid \mathcal{Y} \in Ext_{\mathcal{G}}\}$ , and
- (iii) if  $\mathcal{G}$  is eventually full in  $(-\pi, \pi)$ , then  $x +_{\pi} \mathcal{G}$  is eventually full in  $(-\pi, \pi)$ .

*Proof.* The proof is straightforward and left to the reader.  $\square$

**Lemma 6.5.** *For all open subsets  $\mathcal{G}_0, \mathcal{G}_1$  of  $\mathcal{R}$ ,  $(\mathcal{G}_0)^+ \cap \mathcal{G}_1 \subseteq (\mathcal{G}_0 \cap \mathcal{G}_1)^+$ .*

<sup>6</sup> Cantor says:  $[a, b] \setminus \mathcal{G}$  is reducible where we use the expression:  $\mathcal{G}$  is *swiftly/eventually full in  $(a, b)$* .

*Proof.* Assume:  $x \in (\mathcal{G}_0)^+ \cap \mathcal{G}_1$ . Find  $n, y$  such that  $|x - y| < \frac{1}{2^n}$  and  $(x - \frac{1}{2^n}, y) \cup (y, x + \frac{1}{2^n}) \subseteq \mathcal{G}_0$ . Find  $m > n$  such that  $(x - \frac{1}{2^m}, x + \frac{1}{2^m}) \subseteq \mathcal{G}_1$  and  $y \#_{\mathcal{R}} x - \frac{1}{2^m}$  and  $y \#_{\mathcal{R}} x + \frac{1}{2^m}$ . Then either:  $y < x - \frac{1}{2^m}$ , and:  $x \in \mathcal{G}_0 \cap \mathcal{G}_1$ , or:  $x - \frac{1}{2^m} < y < x + \frac{1}{2^m}$  and:  $x \in (\mathcal{G}_0 \cap \mathcal{G}_1)^+$ , or:  $y > x + \frac{1}{2^m}$ , and:  $x \in \mathcal{G}_0 \cap \mathcal{G}_1$ . In any case:  $x \in (\mathcal{G}_0 \cap \mathcal{G}_1)^+$ .  $\square$

**Lemma 6.6.** *Let  $a, b$  in  $\mathcal{R}$  be given such that  $a < b$ .*

- (i) *For all open subsets  $\mathcal{G}_0, \mathcal{G}_1$  of  $(a, b)$ , for all  $\mathcal{X}$  in  $Ext_{\mathcal{G}_0}$ , there exists  $\mathcal{Y}$  in  $Ext_{\mathcal{G}_0 \cap \mathcal{G}_1}$  such that  $\mathcal{X} \cap \mathcal{G}_1 \subseteq \mathcal{Y}$ .*
- (ii) *For all open subsets  $\mathcal{G}_0, \mathcal{G}_1$  of  $(a, b)$ , if both  $\mathcal{G}_0$  and  $\mathcal{G}_1$  are eventually full in  $(a, b)$ , then  $\mathcal{G}_0 \cap \mathcal{G}_1$  is eventually full in  $(a, b)$ .*

*Proof.* (i) We use transfinite induction on  $Ext_{\mathcal{G}_0}$ .

1.  $\mathcal{G}_0 \cap \mathcal{G}_1 \subseteq \mathcal{G}_0 \cap \mathcal{G}_1$ .
2. Assume:  $\mathcal{X} \in Ext_{\mathcal{G}_0}$  and  $\mathcal{Y} \in Ext_{\mathcal{G}_0 \cap \mathcal{G}_1}$  and  $\mathcal{X} \cap \mathcal{G}_1 \subseteq \mathcal{Y}$ . Use Lemma 6.5 and note:  $\mathcal{X}^+ \cap \mathcal{G}_1 \subseteq (\mathcal{X} \cap \mathcal{G}_1)^+ \subseteq \mathcal{Y}^+$ .
3. Let  $\mathcal{X}_0, \mathcal{X}_1, \dots$  and  $\mathcal{Y}_0, \mathcal{Y}_1, \dots$  be infinite sequences of elements of  $Ext_{\mathcal{G}_0}$  and  $Ext_{\mathcal{G}_0 \cap \mathcal{G}_1}$ , respectively, such that, for each  $n$ ,  $\mathcal{X}_n \cap \mathcal{G}_1 \subseteq \mathcal{Y}_n$ . Note:  $\bigcup_{n \in \mathbb{N}} \mathcal{X}_n \in Ext_{\mathcal{G}_0}$  and  $\bigcup_{n \in \mathbb{N}} \mathcal{Y}_n \in Ext_{\mathcal{G}_0 \cap \mathcal{G}_1}$  and  $(\bigcup_{n \in \mathbb{N}} \mathcal{X}_n) \cap \mathcal{G}_1 \subseteq \bigcup_{n \in \mathbb{N}} \mathcal{Y}_n$ .

(ii) Assume:  $\mathcal{G}_0$  and  $\mathcal{G}_1$  are eventually full in  $(a, b)$ . Then:  $(a, b) \in Ext_{\mathcal{G}_0}$ . Using (i), find  $\mathcal{Y}$  in  $Ext_{\mathcal{G}_0 \cap \mathcal{G}_1}$  such that  $\mathcal{G}_1 \subseteq \mathcal{Y}$ . One may prove now, by transfinite induction on  $Ext_{\mathcal{G}_1}$ : for each  $\mathcal{X}$  in  $Ext_{\mathcal{G}_1}$  there exists  $\mathcal{Z}$  in  $Ext_{\mathcal{G}_0 \cap \mathcal{G}_1}$  such that  $\mathcal{X} \subseteq \mathcal{Z}$ . In particular, there exists  $\mathcal{Z}$  in  $Ext_{\mathcal{G}_0 \cap \mathcal{G}_1}$  such that  $(a, b) \subseteq \mathcal{Z}$ , and, therefore:  $(a, b) \in Ext_{\mathcal{G}_0 \cap \mathcal{G}_1}$ , that is:  $\mathcal{G}_0 \cap \mathcal{G}_1$  is eventually full in  $(a, b)$ .  $\square$

**Theorem 6.7.** *Every open subset of  $(-\pi, \pi)$  that is eventually full in  $(\pi, \pi)$  guarantees uniqueness.*

*Proof.* Let  $\mathcal{G}$  be an open subset of  $(-\pi, \pi)$  that is eventually full. Let  $b_0, a_1, b_1, \dots$  be given such that, for all  $x$  in  $\mathcal{G}$ ,  $F(x) := \frac{b_0}{2} + \sum_{n>0} a_n \sin nx + b_n \cos nx = 0$ .

*We make a provisional assumption:* the infinite sequence  $b_0, a_1, b_1, \dots$  is bounded. The function

$$G(x) := \frac{1}{4}b_0x^2 + \sum_{n>0} \frac{-a_n}{n^2} \sin nx + \frac{-b_n}{n^2} \cos nx$$

is therefore defined everywhere on  $[-\pi, \pi]$  and everywhere continuous, and, according to Riemann's first result, for all  $x$  in  $\mathcal{G}$ ,  $D^2G(x) = 0$ . Using Corollary 4.2, we conclude:  $G$  is locally linear on  $\mathcal{G}$ . Riemann's second result is that, for all  $x$  in  $[-\pi, \pi]$ ,  $D^1G(x) = 0$ . Using Lemma 6.3, we conclude:  $G$  is locally linear on every co-derivative extension of  $\mathcal{G}$ . As  $\mathcal{G}$  is eventually full,  $(-\pi, \pi)$  is such an extension, and  $G$  is locally linear on  $(-\pi, \pi)$ , and therefore, according to Lemma 6.1,  $G$  is linear on  $[-\pi, \pi]$ . As in the proof of Theorem 4.5, we conclude:  $b_0 = 0$  and, for all  $n > 0$ ,  $a_n = b_n = 0$ .

*One may do without the provisional assumption.* We no longer assume that the infinite sequence  $b_0, a_1, b_1, \dots$  is bounded.

Again using the suggestion made by Riemann and Kronecker, we reason as follows.

Assume:  $x \in \mathcal{G}$ . Define for each  $t$  in  $[-\pi, \pi]$ ,

$$K(t) := F(x+t) + F(x-t)$$

and note:

$$K(t) = b_0 + 2 \sum_{n>0} (a_n \sin nx + b_n \cos nx) \cos nt,$$

Note: for each  $t$  in  $[-\pi, \pi]$ , if both  $x + t \in \mathcal{G}$  and  $x - t \in \mathcal{G}$  then  $K(t) = 0$ . Note that  $-\mathcal{G} := \{-y | y \in \mathcal{G}\}$  is, like  $\mathcal{G}$  itself, an eventually full open subset of  $\mathcal{G}$ . The set  $(-x + \pi \mathcal{G}) \cap (x + \pi(-\mathcal{G}))$  is eventually full, according to Lemmas 6.4 and 6.6, and, for all  $t$  in  $(-x + \pi \mathcal{G}) \cap (x + \pi(-\mathcal{G}))$ ,  $K(t) = 0$ . In addition, as  $x \in \mathcal{G}$ ,  $F(x) = 0$  and the sequence  $n \mapsto a_n \sin nx + b_n \cos nx$  converges and is bounded. Using the first part of the proof, we conclude:  $b_0 = 0$  and, for each  $n > 0$ ,  $a_n \sin nx + b_n \cos nx = 0$ . This conclusion holds for all  $x$  in  $\mathcal{G}$ . As  $\mathcal{G}$  is eventually full,  $\mathcal{G}$  is inhabited and there exist  $c, d$  such that  $c < d$  and, for all  $n > 0$ , for all  $x$  in  $(c, d)$ ,  $a_n \sin nx + b_n \cos nx = 0$ . We conclude: for all  $n > 0$ ,  $a_n = b_n = 0$ .  $\square$

Cantor proved, in [13], (the classical equivalent of the statement) that every *swiftly* full open subset of  $(-\pi, \pi)$  guarantees uniqueness.

Note that we gave an *ordinal-free* treatment of the statement that every *eventually* full open subset of  $(-\pi, \pi)$  guarantees uniqueness. The classical version of this result, clearly present in Cantor's mind, appears in print in a paper by H. Lebesgue, see [20]. As we just saw, one does not need ordinals in order to obtain this result. The set  $Ext_{\mathcal{G}}$  had to be introduced by a (generalized) inductive definition. Using countable ordinals does not make it possible to avoid such definitions, as the class of all countable ordinals itself has to be introduced by one.

## 7. SOME EXAMPLES

In this Section, we want to show that there exists a rich variety of open subsets of  $(-\pi, \pi)$  that are eventually full.

**7.1. Cantor-Bendixson sets.** For all  $a, b$  in  $\mathcal{R}$  such that  $a < b$ , we define a collection  $En_{(a,b)}$  of functions from  $\mathbb{N}$  to  $[a, b]$ .

- (i) For all  $a, b$  in  $\mathcal{R}$  such that  $a < b$ , the function  $f$  satisfying:  $f(0) = a$  and, for all  $n$ ,  $f(n+1) = b$ , belongs to  $En_{(a,b)}$ , and,
- (ii) for all  $a, b, c$  in  $\mathcal{R}$  such that  $a < c < b$ , for all infinite sequences of reals  $a = a_0, a_1, a_2, \dots$  and  $b = b_0, b_1, b_2, \dots$  such that  $\forall n [a_n < a_{n+1} < c < b_{n+1} < b_n]$  and  $\forall m \exists n [b_n - a_n < \frac{1}{2^m}]$ , for every infinite sequence  $f_0, f_1, f_2, \dots$  such that, for all  $n$ ,  $f_{2n} \in En_{(a_n, a_{n+1})}$  and  $f_{2n+1} \in En_{(b_{n+1}, b_n)}$ , the function  $f$  satisfying  $f(0) = a$ ,  $f(1) = b$  and  $f(2) = c$ , and, for all  $n$ , for all  $m$ ,  $f(2^n(2m+1)+2) = f_n(m)$ , belongs to  $En_{(a,b)}$ .

The elements of  $En_{(a,b)}$  are called the *Cantor-Bendixson enumerations associated with the open interval  $(a, b)$* .

For every  $a, b$  in  $\mathcal{R}$  such that  $a < b$ , for every  $f$  in  $En_{(a,b)}$ , we let  $\mathcal{G}_{(a,b)}^f$  be the set of all  $x$  in  $[a, b]$  such that, for all  $n$ ,  $f(n) \#_{\mathcal{R}} x$ .

Note that, in general, if  $f$  is a function from  $\mathbb{N}$  to  $(a, b)$ , then the set of all  $x$  in  $(a, b)$  such that, for all  $n$ ,  $f(n) \#_{\mathcal{R}} x$ , is not an open subset of  $(a, b)$  but a countable intersection of open subsets of  $(a, b)$ .

**Theorem 7.1.** *Let  $a, b$  in  $\mathcal{R}$  be given such that  $a < b$ .*

*For every  $f$  in  $En_{(a,b)}$ , the set  $\mathcal{G}_{(a,b)}^f$  is an open subset of  $(a, b)$ .*

*Proof.* We use induction. Assume:  $f \in En_{(a,b)}$ . Note: either  $f(2) = b$  or  $f(2) < b$ .

If  $f(2) = b$ , then  $\mathcal{G}_{(a,b)}^f = (a, b)$ .

Assume  $f(2) < b$ . Define  $c := f(0)$ . Define, for each  $n$  a function  $f_n$  from  $\mathbb{N}$  to  $[a, b]$  such that, for all  $m$ ,  $f_n(m) := f(2^n(2m+1)+2)$ . Define, for each  $n$ ,  $a_n := f_{2n}(0)$  and  $b_n := f_{2n+1}(1)$ . Note that, for all  $n$ ,  $f_{2n} \in En_{(a_n, a_{n+1})}$  and



$f_{2n+1} \in En_{(b_{n+1}, b_n)}$ , and:  $\mathcal{G}_{(a,b)}^f = \bigcup_{n \in \mathbb{N}} \mathcal{G}_{(a_n, a_{n+1})}^{f_{2n}} \cup \mathcal{G}_{(b_{n+1}, b_n)}^{f_{2n+1}}$ . Assuming that, for each  $n$ ,  $\mathcal{G}_{(a_n, a_{n+1})}^{f_{2n}}$  and  $\mathcal{G}_{(b_{n+1}, b_n)}^{f_{2n+1}}$  are open subsets of  $(a_n, a_{n+1})$  and  $(b_{n+1}, b_n)$ , respectively, we conclude that  $\mathcal{G}_{(a,b)}^f$  is an open subset of  $(a, b)$ .  $\square$

**Lemma 7.2.** *Let  $a, b, c, d$  in  $\mathcal{R}$  be given such that  $a < c < d < b$ . Let  $\mathcal{G}$  be an open subset of  $(a, b)$ . Then  $Ext_{\mathcal{G} \cap (c, d)} = \{\mathcal{X} \cap (c, d) \mid \mathcal{X} \in Ext_{\mathcal{G}}\}$ .*

*Proof.* We use induction. Clearly,  $(c, d) = (a, b) \cap (c, d)$ . Note, using Lemma 6.5, for all  $\mathcal{X}$  in  $Ext_{\mathcal{G} \cap (c, d)}$ , for all  $\mathcal{Y}$  in  $Ext_{\mathcal{G}}$ , if  $\mathcal{X} = \mathcal{Y} \cap (c, d)$ , then  $\mathcal{X}^+ = \mathcal{Y}^+ \cap (c, d)$ . Finally, if  $\mathcal{X}_0, \mathcal{X}_1, \dots$  and  $\mathcal{Y}_0, \mathcal{Y}_1, \dots$  are infinite sequences of elements of  $Ext_{\mathcal{G} \cap (c, d)}$  and  $Ext_{\mathcal{G}}$ , respectively, such that, for each  $n$ ,  $\mathcal{X}_n = \mathcal{Y}_n \cap (c, d)$ , then  $\bigcup_{n \in \mathbb{N}} \mathcal{X}_n = (\bigcup_{n \in \mathbb{N}} \mathcal{Y}_n) \cap (c, d)$ .  $\square$

**Theorem 7.3.** *Let  $a, b$  in  $\mathcal{R}$  be given such that  $a < b$ .*

*For every  $f$  in  $En_{(a,b)}$ , the set  $\mathcal{G}_{(a,b)}^f$  is eventually full in  $(a, b)$ .*

*Proof.* We use induction. Assume:  $f \in En_{(a,b)}$ . Note: either  $f(2) = b$  or  $f(2) < b$ .

If  $f(2) = b$ , then  $\mathcal{G}_{(a,b)}^f = (a, b)$ .

Assume  $f(2) < b$ . Define  $c := f(0)$ . Define, for each  $n$  a function  $f_n$  from  $\mathbb{N}$  to  $[a, b]$  such that, for all  $m$ ,  $f_n(m) := f(2^n(2m+1)+2)$ . Define, for each  $n$ ,  $a_n := f_{2n}(0)$  and  $b_n := f_{2n+1}(1)$ . Note that, for all  $n$ ,  $f_{2n} \in En_{(a_n, a_{n+1})}$  and  $f_{2n+1} \in En_{(b_{n+1}, b_n)}$ , and:  $\mathcal{G}_{(a,b)}^f = \bigcup_{n \in \mathbb{N}} \mathcal{G}_{(a_n, a_{n+1})}^{f_{2n}} \cup \mathcal{G}_{(b_{n+1}, b_n)}^{f_{2n+1}}$ . Assuming that, for each  $n$ ,  $\mathcal{G}_{(a_n, a_{n+1})}^{f_{2n}}$  is eventually full in  $(a_n, a_{n+1})$  and  $\mathcal{G}_{(b_{n+1}, b_n)}^{f_{2n+1}}$  is eventually full in  $(b_{n+1}, b_n)$ , respectively, we determine, using Lemma 7.2, an infinite sequence  $\mathcal{Y}_0, \mathcal{Y}_1, \dots$  of elements of  $Ext_{\mathcal{G}}$  such that, for each  $n$ ,  $\mathcal{Y}_{2n} \cap (a_n, a_{n+1}) = (a_n, a_{n+1})$  and  $\mathcal{Y}_{2n+1} \cap (b_{n+1}, b_n) = (b_{n+1}, b_n)$ . Define  $\mathcal{Y} = \bigcup_{n \in \mathbb{N}} \mathcal{Y}_n$  and note:  $\bigcup_{n \in \mathbb{N}} (a_n, a_{n+1}) \cup (b_{n+1}, b_n) \subseteq \mathcal{Y}$ . Conclude:  $\{x \in (a, b) \mid x \#_{\mathcal{R}} c\} \subseteq \mathcal{Y}^+$  and:  $\mathcal{Y}^{++} = (a, b)$ . Conclude:  $\mathcal{G}$  is eventually full in  $(a, b)$ .  $\square$

We let  $\mathcal{CBO}_{(a,b)}$  be the collection of all sets  $\mathcal{G}_{(a,b)}^f$ , where  $f \in En_{(a,b)}$ .

These sets are called the *Cantor-Bendixson-open subsets of  $(a, b)$* .

**Corollary 7.4.** *Every Cantor-Bendixson-open subset of  $(-\pi, \pi)$  guarantees uniqueness.*

*Proof.* Use Theorems 6.7 and 7.3.  $\square$

Let  $a, b$  in  $\mathcal{R}$  be given such that  $a < b$ . For every  $f$  in  $En_{(a,b)}$ , we define:

$$\mathcal{F}_{(a,b)}^f := \{x \in [a, b] \mid x \notin \mathcal{G}_{(a,b)}^f\}.$$

A set of this form is called a *Cantor-Bendixson-closed subset of  $(a, b)$* .

7.1.1. A subset  $\mathcal{X}$  of  $\mathcal{R}$  is called a *located* subset of  $\mathcal{R}$  if and only if, for each  $x$  in  $\mathcal{R}$ , one may find  $s$  in  $\mathcal{R}$  such that (i) for all  $y$  in  $\mathcal{X}$ ,  $|y - x| \geq s$ , and (ii) for every  $\varepsilon > 0$  there exists  $y$  in  $\mathcal{X}$  such that  $|y - x| < s + \varepsilon$ . This number  $s$ , if it exists, is the *infimum* or *greatest lower bound* of the set  $\{|y - x| \mid y \in [a, b]\}$ , and is called the *distance from  $x$  to  $\mathcal{X}$*  notation:  $d(x, \mathcal{X})$ .

**Theorem 7.5.** *For all  $a, b$  in  $\mathcal{R}$  such that  $a < b$ , every Cantor-Bendixson-closed subset of  $(a, b)$  is a located subset of  $\mathcal{R}$ .*

*Proof.* The proof is by induction. Let  $f$  in  $En_{(a,b)}$  be given. Note: either  $f(2) = b$  or  $f(2) < b$ .

If  $f(2) = b$ , then  $\mathcal{F}_{(a,b)}^f = \{a, b\}$  is clearly located.

Now assume  $f(2) < b$ . Define  $c := f(2)$ . Define, for each  $n$ , a function  $f_n$  from  $\mathbb{N}$  to  $[a, b]$  such that, for all  $m$ ,  $f_n(m) := f(2^n(2m + 1))$ . Define, for each  $n$ ,  $a_n := f_{2n}(0)$  and  $b_n := f_{2n+1}(1)$ . Note that, for all  $n$ ,  $f_{2n} \in En_{(a_n, a_{n+1})}$  and  $f_{2n+1} \in En_{(b_{n+1}, b_n)}$ .

Assume:  $x \in [a, b]$  and  $x \#_{\mathcal{R}} c$ . If  $x > c$ , find  $n$  such that  $b_n < x$  and note:  $d(x, \mathcal{F}_{(a,b)}^f) = \inf(x - b_n, \inf_{i \leq n} d(x, \mathcal{F}_{(b_{i+1}, b_i)}^{f_{2i+1}}))$ . If  $x < c$ , find  $n$  such that  $x < a_n$  and note:  $d(x, \mathcal{F}_{(a,b)}^f) = \inf(a_n - x, \inf_{i \leq n} d(x, \mathcal{F}_{(a_i, a_{i+1})}^{f_{2i}}))$ .

Let  $x$  in  $[a, b]$  be given. Find  $\alpha$  in  $\mathcal{C}$  such that, for each  $n$ , if  $\alpha(n) = 0$ , then  $|x - c| < \frac{1}{2^n}$ , and, if  $\alpha(n) = 1$  then  $|x - c| > \frac{1}{2^{n+1}}$ . Define, for each  $n$ , if  $\forall i \leq n[\alpha(i) = 0]$ , then  $x_n = c + \frac{1}{2^n}$ , and, if  $\alpha(n) = 1$ , then  $x_n = x$ . Note:  $d(x, \mathcal{F}_{(a,b)}^f) = \lim_{n \rightarrow \infty} d(x_n, \mathcal{F}_{(a,b)}^f)$ .  $\square$

7.1.2. *Ordering  $En_{(-\pi, \pi)}$ .* One may define relations  $\prec_{CB}$  and  $\preceq_{CB}$  on the collection of the Cantor-Bendixson-enumerations associated with  $(-\pi, \pi)$ , as follows.

$f \prec_{CB} g$  if and only if there exists a co-derivative extension  $\mathcal{H}$  of the set  $(-\frac{1}{2}\pi + \frac{1}{2}\mathcal{G}_{(-\pi, \pi)}^f) \cup (\frac{1}{2}\pi + \frac{1}{2}\mathcal{G}_{(-\pi, \pi)}^g)$  such that  $(-\pi, 0) \subseteq \mathcal{H}$  and *not*:  $(0, \pi) \subseteq \mathcal{H}$ , and

$f \preceq_{CB} g$  if and only if for all co-derivative extensions  $\mathcal{H}$  of the set  $(-\frac{1}{2}\pi + \frac{1}{2}\mathcal{G}_{(-\pi, \pi)}^f) \cup (\frac{1}{2}\pi + \frac{1}{2}\mathcal{G}_{(-\pi, \pi)}^g)$ , if  $(0, \pi) \subseteq \mathcal{H}$ , then  $(-\pi, 0) \subseteq \mathcal{H}$ .

$f \prec_{CB} g$  means roughly: *the Cantor-Bendixson-rank of  $\mathcal{F}_{(-\pi, \pi)}^f$  is strictly lower than the Cantor-Bendixson-rank of  $\mathcal{F}_{(-\pi, \pi)}^g$* , and

$f \preceq_{CB} g$  means roughly: *the Cantor-Bendixson-rank of  $\mathcal{F}_{(-\pi, \pi)}^f$  is not higher than the Cantor-Bendixson-rank of  $\mathcal{F}_{(-\pi, \pi)}^g$* .

One may study these relations and prove important facts, like:

For every infinite sequence  $\mathcal{G}_0, \mathcal{G}_1, \dots$  of elements of  $\mathcal{CBO}_{(-\pi, \pi)}$  there exists  $\mathcal{H}$  in  $\mathcal{CBO}_{(-\pi, \pi)}$  such that, for all  $n$ ,  $\mathcal{G}_n \prec_{CB} \mathcal{H}$ .

7.2. **Perhaps.** For every function  $f$  from  $\mathbb{N}$  to  $\mathcal{R}$ , we define:  $Ran(f) := \{f(n) | n \in \mathbb{N}\}$ . The set  $Ran(f)$  is called *the subset of  $\mathcal{R}$  enumerated by  $f$* .

For each subset  $\mathcal{X}$  of  $\mathcal{R}$  we define:  $\overline{\mathcal{X}} := \{x \in \mathcal{R} | \forall n \exists y \in \mathcal{X} [|y - x| < \frac{1}{2^n}]\}$ .  $\overline{\mathcal{X}}$  is called *the closure of the set  $\mathcal{X}$* .

**Theorem 7.6.** *For all  $a, b$  in  $\mathcal{R}$  such that  $a < b$ , for every  $f$  in  $En_{(a,b)}$ ,  $\mathcal{F}_{(a,b)}^f = \overline{Ran(f)}$ .*

*Proof.* Note:  $Ran(f) \subseteq \mathcal{F}_{(a,b)}^f$  and conclude:  $\overline{Ran(f)} \subseteq \mathcal{F}_{(a,b)}^f$ . For the converse we use induction. Assume:  $f \in En_{(a,b)}$ . Note: either  $f(2) = b$  or  $f(2) < b$ .

If  $f(2) = b$ , then  $Ran(f) = \{a, b\}$  and  $\mathcal{F}_{(a,b)}^f = \{a, b\} = \overline{\{a, b\}}$ .

Assume  $f(2) < b$ . Define  $c := f(0)$ . Define, for each  $n$  a function  $f_n$  from  $\mathbb{N}$  to  $[a, b]$  such that, for all  $m$ ,  $f_n(m) := f(2^n(2m + 1) + 2)$ . Define, for each  $n$ ,  $a_n := f_{2n}(0)$  and  $b_n := f_{2n+1}(1)$ . Assume:  $x \in \mathcal{F}_{(a,b)}^f$ . Let  $k$  be given and note: either: (i)  $|x - c| < \frac{1}{2^k}$  or: (ii)  $x > c$  or (iii)  $x < c$ . In case (i):  $|x - f(2)| < \frac{1}{2^k}$ . In case (ii), find  $k, n$  such that either (ii)a:  $|b_n - x| < \frac{1}{2^k}$  or (ii)b:  $b_{n+1} < x < b_n$ . In case (ii)a:  $|f(2^{2n+1} \cdot 3 + 2) - x| < \frac{1}{2^k}$ . In case (ii)b, use the induction hypothesis in order to find  $j$  such that  $|f(2^{2n+1}(2j + 1) + 2) - x| < \frac{1}{2^k}$ . Case (iii) is handled like case (ii). In all cases:  $\exists i [|f(i) - x| < \frac{1}{2^k}]$ . We thus see:  $\mathcal{F}_{(a,b)}^f \subseteq \overline{Ran(f)}$ .  $\square$

For every subset  $\mathcal{X}$  of  $\mathcal{R}$  the class  $Perh_{\mathcal{X}}$  is the least class  $\mathcal{E}$  of subsets of  $\mathcal{R}$  such that

- (i)  $\mathcal{X} \in \mathcal{E}$ , and,
- (ii) for each  $\mathcal{Y}$  in  $\mathcal{E}$ , also  $Perhaps(\mathcal{X}, \mathcal{Y}) := \{y \in \mathcal{R} \mid \exists x \in \mathcal{X} [x \#_{\mathcal{R}} y \rightarrow y \in \mathcal{Y}]\}$  belongs to  $\mathcal{E}$ , and,
- (iii) for every infinite sequence  $\mathcal{Y}_0, \mathcal{Y}_1, \mathcal{Y}_2, \dots$  of elements of  $\mathcal{E}$ , also  $\bigcup_{n \in \mathbb{N}} \mathcal{Y}_n \in \mathcal{E}$ .

The elements of  $Perh_{\mathcal{X}}$  are called the *perhapsive extensions* of  $\mathcal{X}$ .

Note: for all  $a, b$  in  $\mathcal{R}$  such that  $a < b$ , if  $\mathcal{X} \subseteq [a, b]$ , then, for every  $\mathcal{Y}$  in  $Perh_{\mathcal{X}}$ ,  $\mathcal{X} \subseteq \mathcal{Y} \subseteq \overline{\mathcal{X}} \subseteq [a, b]$ . The perhapsive extensions of  $\mathcal{X}$  make us see how large, intuitionistically, the distance may be between the set  $\mathcal{X}$  and its closure  $\overline{\mathcal{X}}$ , even if the classical mathematician is saying:  $\mathcal{X}$  is closed and coincides with  $\overline{\mathcal{X}}$ .

The expression *perhapsive* is used for the reason that the sentences *John is smart*, *John is perhaps smart*, *John is perhaps*, *perhaps smart* seem to decrease in affirmative power. The same is true for the statements  $x \in \mathcal{X}$ ,  $x \in Perh(\mathcal{X}, \mathcal{X})$ ,  $x \in Perh(\mathcal{X}, Perh(\mathcal{X}, \mathcal{X}))$ .

**Lemma 7.7.** *For all  $a, b$  in  $\mathcal{R}$  such that  $a < b$ , for all subsets  $\mathcal{Z}, \mathcal{Y}$  of  $\mathcal{R}$ , if  $\mathcal{Z} \subseteq \mathcal{Y}$ , then  $Perhaps(\mathcal{Z} \cap [a, b], \mathcal{Y} \cap [a, b]) = Perhaps(\mathcal{Z}, \mathcal{Y}) \cap [a, b]$ .*

*Proof.* The proof is left to the reader. □

**Lemma 7.8.** *Let  $a, b, c, d$  in  $\mathcal{R}$  be given such that  $a < c < d < b$ . Let  $\mathcal{Z}$  be a subset of  $[a, b]$ . Then  $Perh_{\mathcal{Z} \cap [c, d]} = \{\mathcal{X} \cap [c, d] \mid \mathcal{X} \in Perh_{\mathcal{Z}}\}$ .*

*Proof.* We use induction. Clearly,  $\mathcal{Z} \cap [c, d] = \mathcal{Z} \cap [c, d]$ . Also, according to Lemma 7.7, for all  $\mathcal{X}$  in  $Perh_{\mathcal{Z} \cap [c, d]}$ , for all  $\mathcal{Y}$  in  $Perh_{\mathcal{Z}}$ , if  $\mathcal{X} = \mathcal{Y} \cap [c, d]$ , then  $Perhaps(\mathcal{Z} \cap [c, d], \mathcal{X}) = Perhaps(\mathcal{Z}, \mathcal{Y}) \cap [c, d]$ . Finally, if  $\mathcal{X}_0, \mathcal{X}_1, \dots$  and  $\mathcal{Y}_0, \mathcal{Y}_1, \dots$  are infinite sequences of elements of  $Perh_{\mathcal{Z} \cap [c, d]}$  and  $Perh_{\mathcal{Z}}$ , respectively, such that, for each  $n$ ,  $\mathcal{X}_n = \mathcal{Y}_n \cap [c, d]$ , then  $\bigcup_{n \in \mathbb{N}} \mathcal{X}_n = (\bigcup_{n \in \mathbb{N}} \mathcal{Y}_n) \cap [c, d]$ . □

A subset  $\mathcal{X}$  of  $\mathcal{R}$  is *eventually closed* if and only if  $\overline{\mathcal{X}} \in Perh_{\mathcal{X}}$ .

**Theorem 7.9.** *Let  $a, b$  in  $\mathcal{R}$  be given such that  $a < b$ .*

*For every  $f$  in  $En_{(a,b)}$ , the set  $Ran(f)$  is eventually closed.*

*Proof.* We use induction. Let  $f$  in  $En_{(a,b)}$  be given. Note: either  $f(2) = b$  or  $f(2) < b$ . If  $f(2) = b$ , then  $Ran(f) = \{a, b\} = \overline{Ran(f)}$ . Assume  $f(2) < b$ . Define, for each  $n$ , a function  $f_n$  from  $\mathbb{N}$  to  $[a, b]$  such that, for each  $m$ ,  $f_n(m) := f(2^n(2m+1))$ . Define  $c := f(0)$  and, for each  $n$ ,  $a_n := f(2^{2n} + 2)$  and  $b_n := f(2^{2n+1} \cdot 3 + 2)$ . Note: for each  $n$ ,  $f_{2n} \in En_{(a_n, a_{n+1})}$  and  $f_{2n+1} \in En_{(b_{n+1}, b_n)}$ , and  $Ran(f) = \{c\} \cup \bigcup_{n \in \mathbb{N}} Ran(f_n)$ . Assuming that, for each  $n$ ,  $Ran(f_n)$  is eventually closed, we determine, using Lemma 7.8, an infinite sequence  $\mathcal{Y}_0, \mathcal{Y}_1, \dots$  of elements of  $Perh_{\mathcal{G}}$  such that, for each  $n$ ,  $\mathcal{Y}_{2n} \cap [a_n, a_{n+1}] = \overline{Ran(f_{2n})}$  and  $\mathcal{Y}_{2n+1} \cap [b_{n+1}, b_n] = \overline{Ran(f_{2n+1})}$ . Define  $\mathcal{Y} = \bigcup_{n \in \mathbb{N}} \mathcal{Y}_n$  and note:  $\mathcal{Y} \in Perh_{\mathcal{G}}$ .

Assume  $x \#_{\mathcal{R}} c$  and  $x \in \overline{Ran(f)}$ . First assume  $x > c$ . Find  $n$  such that  $b_{n+2} < c \leq b_n$ . Note: if  $x \#_{\mathcal{R}} b_{n+1}$ , then either  $x < b_{n+1}$  and, therefore,  $x \in \overline{Ran(f_{2n+3})} \subseteq \mathcal{Y}_{2n+3} \subseteq \mathcal{Y}$ , or  $x > b_{n+1}$  and, therefore,  $x \in \overline{Ran(f_{2n+1})} \subseteq \mathcal{Y}_{2n+1} \subseteq \mathcal{Y}$ . Conclude:  $x \in \mathcal{Y}^+$ . Now assume:  $x < c$ , and conclude, by a similar argument,  $x \in \mathcal{Y}^+$ .

We thus see:  $\forall x \in \overline{Ran(f)} [x \#_{\mathcal{R}} c \rightarrow x \in \mathcal{Y}^+]$ . Conclude:  $\overline{Ran(f)} \subseteq \mathcal{Y}^{++}$ . Conclude:  $Ran(f)$  is eventually closed. □

7.2.1. *Ordering  $En_{(-\pi,\pi)}$  again.* One may define relations  $\prec_{Perh}$  and  $\preceq_{Perh}$  on the collection of the Cantor-Bendixson-enumerations associated with  $(-\pi, \pi)$ , as follows.

$f \prec_{Perh} g$  if and only if there exists a perhapsive extension  $\mathcal{H}$  of the set  $(-\frac{1}{2}\pi + \frac{1}{2}\overline{Ran(f)}) \cup (\frac{1}{2}\pi + \frac{1}{2}\overline{Ran(g)})$  such that  $(-\frac{1}{2}\pi + \frac{1}{2}\overline{Ran(f)}) \subseteq \mathcal{H}$  and *not*:  $(\frac{1}{2}\pi + \frac{1}{2}\overline{Ran(g)}) \subseteq \mathcal{H}$ , and

$f \preceq_{Perh} g$  if and only if for all perhapsive extensions  $\mathcal{H}$  of the set  $(-\frac{1}{2}\pi + \frac{1}{2}\overline{Ran(f)}) \cup (\frac{1}{2}\pi + \frac{1}{2}\overline{Ran(g)})$ , if  $(-\frac{1}{2}\pi + \frac{1}{2}\overline{Ran(g)}) \subseteq \mathcal{H}$ , then  $(\frac{1}{2}\pi + \frac{1}{2}\overline{Ran(f)}) \subseteq \mathcal{H}$ .

One might say:  $f \prec_{Perh} g$  means: *the perhapsive rank of  $Ran(f)$  is strictly lower than the perhapsive rank of  $Ran(g)$* , and

$f \preceq_{Perh} g$  means: *the perhapsive rank of  $Ran(f)$  is not higher than the perhapsive rank of  $Ran(g)$* .

There are close connections between the relations  $\prec_{Perh}$  and  $\preceq_{Perh}$  and the relations  $\prec_{CB}$ ,  $\preceq_{CB}$ , introduced in Subsubsection 7.1.2, see [26].

For a classical mathematician, this is (perhaps) embarrassing, as, in his world, for all  $f$  in  $En_{(-\pi,\pi)}$ , for every perhapsive extension  $\mathcal{Y}$  of  $Ran(f)$ ,  $Ran(f) = \mathcal{Y} = \overline{Ran(f)}$ .

## 8. EVERY OPEN SUBSET $\mathcal{G}$ OF $[-\pi, \pi]$ SUCH THAT $[-\pi, \pi] \setminus \mathcal{G}$ IS LOCATED AND ALMOST-ENUMERABLE GUARANTEES UNIQUENESS

### 8.1. The complement of an eventually full open subset of $(-\pi, \pi)$ is almost-enumerable.

8.1.1. Let  $\mathcal{X}$  be a subset of  $\mathcal{R}$  and let  $f$  be a function from  $\mathbb{N}$  to  $\mathcal{R}$ .

$f$  is an enumeration of  $X$  or  $f$  enumerates  $X$  if and only if  $\forall x \in X \exists n[x = f(n)]$ .

$f$  is an almost-enumeration of  $X$  or  $f$  almost-enumerates  $X$  if and only if  $\forall x \in X \forall \gamma \in \mathcal{N} \exists n[\exists n[|f(n) - x| < \frac{1}{2^\gamma(n)}]]$ .

In order to understand the second definition one should think of  $\gamma$  as *possible evidence* for showing:  $\forall n[x \#_{\mathcal{R}} f(n)]$ : one is hoping:  $\forall n[|f(n) - x| \geq \frac{1}{2^\gamma(n)}]$ .  $f$  is an almost-enumeration if every possible evidence for the statement  $\forall n[x \#_{\mathcal{R}} f(n)]$  fails in a constructive way.

A subset  $\mathcal{X}$  of  $\mathcal{R}$  is *enumerable* or: *almost-enumerable*, respectively, if and only if there exists an enumeration of  $\mathcal{X}$ , or: an almost-enumeration of  $\mathcal{X}$ , respectively.

Theorem 8.1(ii) is a substitute for the classical theorem that every closed and reducible subset of  $[-\pi, \pi]$  is at most countable.

**Theorem 8.1.** *Let  $\mathcal{G}$  be an open subset of  $(-\pi, \pi)$ .*

- (i) *For each  $\mathcal{X}$  in  $Ext_{\mathcal{G}}$ , for all  $a, b$  such that  $-\pi \leq a < b \leq \pi$ , if  $[a, b] \subseteq \mathcal{X}$ , then  $[a, b] \setminus \mathcal{G}$  is almost-enumerable, and,*
- (ii) *if  $\mathcal{G}$  is eventually full, then the set  $[-\pi, \pi] \setminus \mathcal{G}$  is almost-enumerable.*

*Proof.* (i) We use induction on (the definition of)  $Ext_{\mathcal{G}}$ .

1. Note: if  $[a, b] \subseteq \mathcal{G}$ , then  $[a, b] \setminus \mathcal{G} = \emptyset$  is almost-enumerable.
2. Assume:  $\mathcal{X} \in Ext_{\mathcal{G}}$  and for all  $a, b$  such that  $-\pi \leq a < b \leq \pi$ , if  $[a, b] \subseteq \mathcal{X}$ , then  $[a, b] \setminus \mathcal{G}$  is almost-enumerable.  
Assume  $-\pi \leq a < b \leq \pi$  and  $[a, b] \subseteq \mathcal{X}^+$ . Then:

$$\forall x \in [a, b] \exists c \exists d \exists y [c < x < d \wedge c < y < d \wedge (c, y) \subseteq \mathcal{X} \wedge (y, d) \subseteq \mathcal{X}].$$

Using the Heine-Borel Theorem we find  $n$  in  $\mathbb{N}$ , and, for each  $i < n$ ,  $c_i, d_i, y_i$  such that

$$\forall x \in [a, b] \exists i < n [c_i < x < d_i \wedge c_i < y_i < d_i \wedge (c_i, y_i) \subseteq \mathcal{X} \wedge (y_i, d_i) \subseteq \mathcal{X}].$$

Find  $m_0 > 0$  such that, for each  $i < n$ ,  $y_i - c_i > \frac{1}{2^{m_0-1}}$  and  $d_i - y_i > \frac{1}{2^{m_0-1}}$ . Find, for each  $i < n$ , for each  $m$ , an almost-enumeration  $f_{i,m}$  of  $[c_i + \frac{1}{2^{m_0+m}}, y_i - \frac{1}{2^{m_0+m}}] \setminus \mathcal{G}$  and an almost-enumeration  $g_{i,m}$  of  $[y_i + \frac{1}{2^{m_0+m}}, d_i - \frac{1}{2^{m_0+m}}] \setminus \mathcal{G}$ . Define  $f$  from  $\mathbb{N}$  to  $\mathcal{R}$  such that, for each  $i < n$ ,  $f(i) = y_i$  and, for each  $m$ , for each  $i < n$ , for each  $k$ ,  $f(n + 2^{m \cdot 2n + 2i}(2k + 1)) := f_{i,m}(k)$  and  $f(n + 2^{m \cdot 2n + 2i + 1}(2k + 1)) = g_{i,m}(k)$ . We now prove that  $f$  is an almost-enumeration of  $[a, b] \setminus \mathcal{G}$ . Assume:  $x \in [a, b] \setminus \mathcal{G}$  and  $\gamma \in \mathcal{N}$ . Find  $i < n$  such that  $x \in (c_i, d_i)$ . Note: either (i)  $|x - y_i| = |x - f(i)| < \frac{1}{2^{\gamma(i)}}$ , or (ii)  $x \in (c_i, y_i)$ , or: (iii)  $x \in (y_i, d_i)$ . In case (i), we are sure that  $\exists n[|x - f(n)| < \frac{1}{2^{\gamma(n)}}]$ . In case (ii), find  $m$  such that  $x \in [c_i + \frac{1}{2^{m_0+m}}, y_i - \frac{1}{2^{m_0+m}}] \setminus \mathcal{G}$ . Define  $\delta$  in  $\mathcal{N}$  such that, for each  $k$ ,  $\delta(k) = \gamma(n + 2^{m \cdot 2n + 2i}(2k + 1))$ , and find  $k$  such that  $|x - f_{i,m}(k)| < \frac{1}{2^{\delta(k)}}$ . Define  $l := n + 2^{m \cdot 2n + 2i}(2k + 1)$  and conclude:  $|x - f(l)| < \frac{1}{2^{\gamma(l)}}$ . Again, we may conclude:  $\exists n[|x - f(n)| < \frac{1}{2^{\gamma(n)}}]$ . In case (iii), we reason similarly. We thus see that  $\mathcal{X}^+$  has the required property.

3. Assume:  $\mathcal{X}_0, \mathcal{X}_1, \mathcal{X}_2, \dots$  is an infinite sequence of elements of  $Ext_{\mathcal{G}}$  and, for all  $n$ , for all  $a, b$  such that  $-\pi \leq a < b \leq \pi$ , if  $[a, b] \subseteq \mathcal{X}_n$ , then  $[a, b] \setminus \mathcal{G}$  is almost-enumerable. Assume:  $-\pi \leq a < b \leq \pi$  and  $[a, b] \subseteq \bigcup_{n \in \mathbb{N}} \mathcal{X}_n$ . Then

$$\forall x \in [a, b] \exists c \exists d \exists n [c < x < d \wedge (c, d) \subseteq \mathcal{X}_n].$$

Applying the Heine-Borel Theorem, we find  $n$  in  $\mathbb{N}$  and, for each  $i < n$ ,  $c_i, d_i, m_i$  such that

$$\forall x \in [a, b] \exists i < n [c_i < x < d_i] \wedge \forall i < n [[c_i, d_i] \subseteq \mathcal{X}_{m_i}].$$

Find, for each  $i < n$ , an almost-enumeration  $f_i$  of  $[c_i, d_i] \setminus \mathcal{G}$ . Let  $f$  be a function from  $\mathbb{N}$  to  $\mathcal{R}$  such that, for each  $i < n$ , for each  $m$ ,  $f(m \cdot n + i) = f_i(m)$ . One verifies easily that  $f$  is an almost-enumeration of  $[a, b] \setminus \mathcal{G}$ . We thus see that  $\bigcup_{n \in \mathbb{N}} \mathcal{X}_n$  has the required property.

- (ii) This is an easy consequence of (i). □

**8.2. A converse result.** Theorem 8.2 will be a converse to Theorem 8.1(ii) and a substitute for the classical result that every countable and closed subset of  $[-\pi, \pi]$  is reducible. The argument the classical mathematician uses for this statement is that the kernel of a countable closed set must be empty as a non-empty kernel gives an uncountable set. We keep far from thoughts about cardinality.

8.2.1. Located subsets of  $\mathcal{R}$  entered this paper in Subsubsection 7.1.1.

We shall use the following extension of the *Heine-Borel Theorem*:

*Let  $a, b$  in  $\mathcal{R}$  be given such that  $a < b$ . Let  $\mathcal{G}$  be an open subset of  $(a, b)$  such that  $[a, b] \setminus \mathcal{G}$  is located. Let  $\mathcal{B}$  be a subset of  $\mathcal{R}^2$  such that  $\forall x \in [a, b] \setminus \mathcal{G} \exists (c, d) \in \mathcal{B} [c < x < d]$ . Then there exist  $n$  in  $\mathbb{N}$ ,  $(c_0, d_0), (c_1, d_1), \dots, (c_n, d_n)$  in  $\mathcal{B}$  such that  $\forall x \in [a, b] \setminus \mathcal{G} \exists i \leq n [c_i < x < d_i]$ .*

The proof resembles the proof in Subsubsection 4.2.1 but it is a bit more difficult. Let  $a, b$  in  $\mathcal{R}$  be given such that  $a < b$  and let  $\mathcal{G}$  be an open subset of  $(a, b)$  such that  $\mathcal{F} := [a, b] \setminus \mathcal{G}$  is located. Note:  $\{a, b\} \subseteq \mathcal{F}$ .

We define a function  $E$  associating to every  $s$  in  $Bin$  a pair  $E(s) = (E_0(s), E_1(s))$  of real numbers, such that  $\exists x \in \mathcal{F} [E_0(s) \leq x \leq E_1(s)]$ . The definition is by induction to  $length(s)$ . We first define  $E(( )) := (a, b)$ . Now assume  $s \in Bin$  and  $E(s) := (r, u)$  has been defined. Define  $L(r, u) := (r, \frac{r+u}{2})$  and  $R(r, u) := (\frac{r+u}{2}, u)$ , the *left half* and the *right half* of  $(r, u)$ , respectively. Also define  $L^+(r, u) :=$

$(r - \frac{1}{12}(r - u), \frac{r+u}{2} + \frac{1}{12}(r - u))$  and  $R^+(r, u) := (\frac{r+u}{2} - \frac{1}{12}(r - u), u + \frac{1}{12}(r - u))$ , the *extended left half* and the *extended right half* of  $(r, u)$ , respectively.

We may decide: either  $d(\frac{3}{4}r + \frac{1}{4}u, \mathcal{F}) > \frac{1}{4}(u - r)$  or:  $d(\frac{3}{4}r + \frac{1}{4}u, \mathcal{F}) < \frac{1}{4}(u - r)$ , and we may also decide: either  $d(\frac{1}{4}r + \frac{3}{4}u, \mathcal{F}) > \frac{1}{4}(u - r)$  or:  $d(\frac{1}{4}r + \frac{3}{4}u, \mathcal{F}) < \frac{1}{4}(u - r)$ .

Note: if both  $d(\frac{3}{4}r + \frac{1}{4}u, \mathcal{F}) > \frac{1}{4}(u - r)$  and  $d(\frac{1}{4}r + \frac{3}{4}u, \mathcal{F}) > \frac{1}{4}(u - r)$ , then  $\neg \exists x \in \mathcal{F}[r \leq x \leq u]$ , so this can not happen. We distinguish three cases.

If we first discover  $d(\frac{3}{4}r + \frac{1}{4}u, \mathcal{F}) < \frac{1}{4}(u - r)$  and  $d(\frac{1}{4}r + \frac{3}{4}u, \mathcal{F}) < \frac{1}{4}(u - r)$ , we define:  $E(s * \langle 0 \rangle) := L^+(r, u)$  and  $E(s * \langle 1 \rangle) := R^+(r, u)$ .

If we first discover  $d(\frac{3}{4}r + \frac{1}{4}u, \mathcal{F}) < \frac{1}{4}(u - r)$  and  $d(\frac{1}{4}r + \frac{3}{4}u, \mathcal{F}) > \frac{1}{4}(u - r)$ , we define:  $E(s * \langle 0 \rangle) := E(s * \langle 1 \rangle) := L^+(r, u)$ .

If we first discover  $d(\frac{3}{4}r + \frac{1}{4}u, \mathcal{F}) > \frac{1}{4}(u - r)$  and  $d(\frac{1}{4}r + \frac{3}{4}u, \mathcal{F}) < \frac{1}{4}(u - r)$ , we define:  $E(s * \langle 0 \rangle) := E(s * \langle 1 \rangle) := R^+(r, u)$ .

Note: for both  $i \leq 1$ ,  $E_1(s * \langle i \rangle) - E_0(s * \langle i \rangle) = \frac{2}{3}(E_1(s) - E_0(s))$ . This completes the definition of the function  $E$ .

Let  $\phi$  be a function from Cantor space  $\mathcal{C}$  to  $[a, b]$  such that, for every  $\alpha$  in  $\mathcal{C}$ , for every  $n$ ,  $E_0(\bar{\alpha}n) < \phi(\alpha) < E_1(\bar{\alpha}n)$ . One may verify that  $\phi$  is a well-defined surjective map from  $\mathcal{C}$  onto  $\mathcal{F}$ .

One may complete the argument as in Subsubsection 4.2.1.

**8.2.2. Bar Induction.** We also need *Brouwer's Thesis on Bars*, or: *Brouwer's Principle of Monotone Bar Induction*. In [4], [5] and [7], Brouwer used this principle for proving the Fan Theorem. The Principle of Monotone Bar Induction is much stronger than the Fan Theorem.

Let  $\mathbb{N}^*$  be the set of all finite sequences  $c = (c(0), c(1), \dots, c(n-1))$  of natural numbers, where  $n = \text{length}(c)$ . The empty sequence  $()$  is one of the elements of  $\mathbb{N}^*$ . For all  $c = (c(0), c(1), \dots, c(n-1))$ ,  $d = (d(0), d(1), \dots, d(p-1))$  in  $\mathbb{N}^*$ ,  $c * d$  is the element of  $\mathbb{N}^*$  that we obtain by putting  $d$  behind  $c$ :  $c * d = (c(0), c(1), \dots, c(n-1), d(0), d(1), \dots, d(p-1))$ .

Let  $\mathcal{N}$  be the set of all infinite sequences  $\alpha = \alpha(0), \alpha(1), \dots$  of natural numbers. For every  $\alpha$  in  $\mathcal{N}$ , for every  $n$ , we define:  $\bar{\alpha}n := (\alpha(0), \alpha(1), \dots, \alpha(n-1))$ . A subset  $B$  of  $\mathbb{N}^*$  is called a *bar* (in  $\mathcal{N}$ ) if and only if  $\forall \alpha \in \mathcal{N} \exists n [\bar{\alpha}n \in B]$ . A subset  $B$  of  $\mathbb{N}^*$  is called *monotone* if and only if  $\forall c [c \in B \rightarrow \forall m [c * (m) \in B]]$ . A subset  $C$  of  $\mathbb{N}^*$  is called *inductive* if and only if  $\forall c [\forall m [c * (m) \in C] \rightarrow c \in C]$ . Brouwer's Principle of Induction on Monotone Bars says the following, see [19], \*27.13 and [27].

*For all subsets  $B, C$  of  $\mathbb{N}^*$ , if  $B$  is monotone and a bar in  $\mathcal{N}$ , and  $B \subseteq C$  and  $C$  is inductive, then  $() \in C$ .*

**Theorem 8.2.** *Let  $\mathcal{G}$  be an open subset of  $(-\pi, \pi)$ . If  $[-\pi, \pi] \setminus \mathcal{G}$  is located and almost-enumerable, then  $\mathcal{G}$  is eventually full.*

*Proof.* Define  $\mathcal{F} := [-\pi, \pi] \setminus \mathcal{G}$  and let  $f$  be a function from  $\mathbb{N}$  to  $\mathcal{R}$  that almost-enumerates  $\mathcal{F}$ . Note:  $\forall x \in \mathcal{F} \forall \gamma \exists n [|f(n) - x| \leq \frac{1}{2^{\gamma(n)}}]$ , that is:  $\forall \gamma \forall x \in \mathcal{F} \exists n [|f(n) - x| \leq \frac{1}{2^{\gamma(n)}}]$ . Using the extended Heine-Borel Theorem, see Subsubsection 8.2.1, we conclude:

$$\forall \gamma \in \mathcal{N} \exists N \forall x \in \mathcal{F} \exists n \leq N [|f(n) - x| \leq \frac{1}{2^{\gamma(n)}}].$$

We now let  $B$  be the set of all  $c$  in  $\mathbb{N}^*$  such that  $\forall x \in \mathcal{F} \exists n < \text{length}(c) [|f(n) - x| \leq \frac{1}{2^{c(n)}}]$ . Note:  $B$  is a bar in  $\mathcal{N}$  and, clearly,  $B$  is monotone.

For every  $c$  in  $\mathbb{N}^*$ , we let  $\mathcal{H}_c$  be the set of all  $x$  in  $[-\pi, \pi]$  such that  $\forall n < \text{length}(c) [|f(n) - x| > \frac{1}{2^{c(n)}}]$ . We let  $C$  be the set of all  $c$  in  $\mathbb{N}^*$  such that, for some  $\mathcal{X}$  in  $\text{Ext}_{\mathcal{G}}$ ,  $\mathcal{H}_c \subseteq \mathcal{X}$ .

Note: for all  $c$  in  $\mathbb{N}^*$ , if  $c \in B$ , then  $\mathcal{H}_c = \emptyset$ , and, therefore,  $c \in C$ . We thus see:  $B \subseteq C$ .

Assume:  $c \in \mathbb{N}^*$  and, for all  $m$ ,  $c*(m) \in C$ . Find  $n := \text{length}(c)$ . Find  $\mathcal{X}_0, \mathcal{X}_1, \dots$  in  $\text{Ext}_{\mathcal{G}}$  such that, for each  $m$ ,  $\mathcal{H}_{c*(m)} = \mathcal{H}_c \cap \{x \in [-\pi, \pi] \mid |x - f(n)| > \frac{1}{2^m}\} \subseteq \mathcal{X}_m$ . We now prove:  $\mathcal{H}_c \subseteq (\bigcup_{p \in \mathbb{N}} \mathcal{X}_p)^+$ .

Assume:  $x \in \mathcal{H}_c$ . Find  $m$  such that  $(x - \frac{1}{2^m}, x + \frac{1}{2^m}) \subseteq \mathcal{H}_c$  and both:  $x - \frac{1}{2^m} \#_{\mathcal{R}} f(n)$  and  $x + \frac{1}{2^m} \#_{\mathcal{R}} f(n)$ . Distinguish two cases.

*Case (i).*  $f(n) < x - \frac{1}{2^m}$  or  $f(n) > x + \frac{1}{2^m}$ . Then  $|x - f(n)| > \frac{1}{2^m}$  and  $x \in \mathcal{X}_m \subseteq (\bigcup_{p \in \mathbb{N}} \mathcal{X}_p)^+$ .

*Case (ii).*  $x - \frac{1}{2^m} < f(n) < x + \frac{1}{2^m}$ . Find  $q$  such that  $x - \frac{1}{2^m} < f(n) - \frac{1}{2^q} < f(n) + \frac{1}{2^q} < x + \frac{1}{2^m}$ . Note: for each  $p > q$ ,  $(x - \frac{1}{2^m}, f(n) - \frac{1}{2^p}) \subseteq \mathcal{X}_p$  and  $(f(n) + \frac{1}{2^p}, x + \frac{1}{2^m}) \subseteq \mathcal{X}_p$ . Also, for each  $p > q$ ,  $(f(n) + \frac{1}{2^p}, x + \frac{1}{2^m}) \subseteq \mathcal{X}_p$  and  $(f(n), x + \frac{1}{2^m}) = \bigcup_{p > q} (f(n) + \frac{1}{2^p}, x + \frac{1}{2^m}) \subseteq \bigcup_{p \in \mathbb{N}} \mathcal{X}_p$ . Conclude:  $(x - \frac{1}{2^m}, f(n)) \cup (f(n), x + \frac{1}{2^m}) \subseteq \bigcup_{p \in \mathbb{N}} \mathcal{X}_p$  and  $x \in (x - \frac{1}{2^m}, x + \frac{1}{2^m}) \subseteq (\bigcup_{p \in \mathbb{N}} \mathcal{X}_p)^+$ .

Conclude:  $\mathcal{H}_c \subseteq (\bigcup_{p \in \mathbb{N}} \mathcal{X}_p)^+ \in \text{Ext}_{\mathcal{G}}$ , and  $c \in C$ .

We have shown: for all  $c$  in  $\mathbb{N}^*$ , if  $\forall m [c*(m) \in C]$ , then  $c \in C$ , that is:  $C$  is inductive.

We thus see:  $B$  is a bar in  $\mathcal{N}$ ,  $B$  is monotone,  $B \subseteq C$  and  $C$  is inductive. Using the Principle of Induction on Monotone Bars, we conclude:  $( ) \in C$ , so there exists  $\mathcal{X}$  in  $\text{Ext}_{\mathcal{G}}$  such that  $(-\pi, \pi) = \mathcal{H}_{( )} \subseteq \mathcal{X}$ . Conclude:  $(-\pi, \pi) \in \text{Ext}_{\mathcal{G}}$ , that is:  $\mathcal{G}$  is eventually full.  $\square$

It is possible to prove a Theorem that is a little bit stronger than Theorem 8.2. One obtains this Theorem from Theorem 8.2 by replacing the conclusion: ‘ $\mathcal{G}$  is eventually full’ by the statement: ‘there exists  $\mathcal{H}$  in  $\text{CBO}_{(-\pi, \pi)}$  such that  $\mathcal{H} \subseteq \mathcal{G}$ ’. As every  $\mathcal{H}$  in  $\text{CBO}_{(-\pi, \pi)}$  is eventually full, this statement implies that  $\mathcal{G}$  itself is eventually full.

## 9. EVERY CO-ENUMERABLE SUBSET OF $[-\pi, \pi]$ GUARANTEES UNIQUENESS

A subset  $\mathcal{X}$  of  $\mathcal{R}$  is *co-enumerable* if and only if there exists a function  $f$  from  $\mathbb{N}$  to  $\mathcal{R}$  such that, for all  $x$  in  $\mathcal{R}$ , if  $\forall n [x \#_{\mathcal{R}} f(n)]$ , then  $x \in \mathcal{X}$ .

**9.1. An intuitionistic proof of an extended Cantor-Schwarz-Lemma.** We first prove two preliminary Lemmas.

**Lemma 9.1.** *Let  $a, b$  in  $\mathcal{R}$  be given such that  $a < b$  and let  $H$  be a function from  $[a, b]$  to  $\mathcal{R}$ . Assume:  $a < z < b$  and  $\forall y \in [a, b] [H(y) \leq H(z)]$ , that is,  $H$  assumes its greatest value at  $z$ . Also assume:  $D^1 H(z) = 0$ . Then  $H$  is differentiable at  $z$  and  $H'(z) = 0$ .*

*Proof.* Note:

$$D^1 H(z) = \lim_{h \rightarrow 0} \frac{H(z+h) + H(z-h) - 2H(z)}{h}.$$

As  $H$  assumes its greatest value at  $z$ , for every  $h$ ,  $2H(z) - H(z+h) - H(z-h) = (H(z) - H(z+h)) + (H(z) - H(z-h)) \geq H(z) - H(z+h) \geq 0$ .

Conclude:  $\lim_{h \rightarrow 0} \frac{H(z+h) - H(z)}{h} = 0$ , that is,  $H$  is differentiable at  $z$  and  $H'(z) = 0$ .  $\square$

**Lemma 9.2.** *Let  $a, b$  in  $\mathcal{R}$  be given such that  $a < b$  and let  $G$  be a continuous function from  $[a, b]$  to  $\mathcal{R}$ .*

- (i) For all  $y, z$  in  $[a, b]$ , if  $G(y) \#_{\mathcal{R}} G(z)$ , then  $y \#_{\mathcal{R}} z$ .  
(ii) For all  $y, z$  in  $[a, b]$ , if  $G$  is differentiable at both  $y$  and  $z$  and  $G'(y) \#_{\mathcal{R}} G'(z)$ , then  $y \#_{\mathcal{R}} z$ .

*Proof.* (i) Assume:  $G(y) \#_{\mathcal{R}} G(z)$  and define:  $\varepsilon = |G(y) - G(z)|$ . Use the fact that  $G$  is continuous at  $y$  and find  $\delta$  such that  $\forall v \in [a, b][|y - v| < \delta \rightarrow |G(y) - G(v)| < \varepsilon]$ . Conclude:  $|y - z| \geq \delta$ , and:  $y \#_{\mathcal{R}} z$ .

(ii) Assume:  $G$  is differentiable at both  $y$  and  $z$  and  $G'(y) \#_{\mathcal{R}} G'(z)$ . Define:  $\varepsilon = |G'(y) - G'(z)|$ . Find  $h > 0$  such that both  $|G'(y) - \frac{G(y+h) - G(y)}{h}| < \frac{\varepsilon}{3}$  and  $|G'(z) - \frac{G(z+h) - G(z)}{h}| < \frac{\varepsilon}{3}$ . Conclude:  $|\frac{G(y+h) - G(y)}{h} - \frac{G(z+h) - G(z)}{h}| > \frac{\varepsilon}{3}$  and  $|(G(y+h) - G(y)) - (G(z+h) - G(z))| > \frac{\varepsilon}{3}h$  and either:  $|G(y+h) - G(z+h)| > \frac{\varepsilon}{6}h$ , and therefore, by (i),  $y+h \#_{\mathcal{R}} z+h$  and thus  $y \#_{\mathcal{R}} z$ , or:  $|G(y) - G(z)| > \frac{\varepsilon}{6}h$  and, again by (i),  $y \#_{\mathcal{R}} z$ .  $\square$

**Lemma 9.3** (Cantor-Schwarz-Bernstein-Young). *Let  $a < b$  be given and let  $f$  be a function from  $\mathbb{N}$  to  $\mathcal{R}$ . Let  $\mathcal{X}$  be the set of all  $x$  in  $(a, b)$  such that, for all  $n$ ,  $x \#_{\mathcal{R}} f(n)$ . Let  $G$  be a function from  $[a, b]$  to  $\mathcal{R}$  such that  $G(a) = G(b) = 0$  and, for all  $x$  in  $\mathcal{X}$ ,  $D^2G(x)$  exists.*

- (i) For each  $\varepsilon > 0$ , if  $\exists x \in [a, b][G(x) = \varepsilon]$ , then  $\exists x \in \mathcal{X}[D^2G(x) \leq -2\varepsilon]$ , and,  
(ii) if  $\forall x \in \mathcal{X}[D^2G(x) = 0]$ , then  $\forall x \in [a, b][G(x) = 0]$ .

*Proof.* (i) Assume we find  $x$  in  $[a, b]$  such that  $G(x) = \varepsilon > 0$ . Define a function  $H$  from  $[a, b]$  to  $\mathcal{R}$  such that, for all  $y$  in  $[a, b]$ ,  $H(y) = G(y) - \varepsilon \frac{(b-y)(y-a)}{(b-a)^2}$ . Note:  $H(x) \geq \frac{3}{4}\varepsilon$  and, for all  $y$  in  $\mathcal{X}$ ,  $D^2H(y)$  exists and  $D^2H(y) = D^2G(y) + 2\varepsilon$ .

For each real number  $\rho$  we define a function  $H_\rho$  from  $[a, b]$  to  $\mathcal{R}$  such that, for all  $y$  in  $[a, b]$ ,  $H_\rho(y) = H(y) + \rho \frac{y-a}{b-a}$ . Note: for each  $\rho$ , for all  $y$  in  $\mathcal{X}$ ,  $D^2H_\rho(y)$  exists and  $D^2H_\rho(y) = D^2H(y) = D^2G(y) + 2\varepsilon$ . Also note: for all  $\rho$  in  $[0, \frac{1}{2}\varepsilon]$ ,  $H_\rho(x) > H_\rho(a)$  and  $H_\rho(x) > H_\rho(b)$ .

We now have to re-read the proof of Lemma 4.1. The basic step in the inductive construction there was the following:

Let  $(v, w), (c, d)$  be pairs of reals such that  $v < w$  and  $c < d$ . Define  $z_0 := \frac{2}{3}v + \frac{1}{3}w$  and  $z_1 := \frac{1}{3}v + \frac{2}{3}w$ . Then there exist  $c_0, d_0$  such that  $c \leq c_0 < d_0 \leq d$  and either:  $\forall \rho \in [c_0, d_0][\sup_{[v, z_1]} H_\rho = \sup_{[v, w]} H_\rho]$   
or:  $\forall \rho \in [c_0, d_0][\sup_{[z_0, w]} H_\rho = \sup_{[v, w]} H_\rho]$ .

A slight modification of the argument (we choose two disjoint intervals within the interval  $(c_0, d_0)$ ) leads to the following conclusion:

Let  $(v, w), (c, d)$  be pairs of reals such that  $v < w$  and  $c < d$ . Define  $z_0 := \frac{2}{3}v + \frac{1}{3}w$  and  $z_1 := \frac{1}{3}v + \frac{2}{3}w$ . Then there exist  $c_0, d_0, c_1, d_1$  such that  $c \leq c_0 < d_0 < c_1 < d_1 \leq d$  and  $\forall i < 2[d_i - c_i < \frac{1}{2}(d - c)]$  and either (case (a)):  $\forall i < 2\forall \rho \in [c_i, d_i][\sup_{[v, z_1]} H_\rho = \sup_{[v, w]} H_\rho]$   
or (case (b)):  $\forall i < 2\forall \rho \in [c_i, d_i][\sup_{[z_0, w]} H_\rho = \sup_{[v, w]} H_\rho]$ .

We may use this to define two functions, called  $D, F$  from the set  $Bin$  of the finite binary sequences to the set of the pairs of real numbers.

1. Define  $D(( )) := (a, b)$  and  $F(( )) := (0, \frac{1}{2}\varepsilon)$
2. Assume  $s \in Bin$  and  $D(s), F(s)$  have been defined. Find  $v, w, c, d$  such that  $D(s) = (v, w)$  and  $F(s) = (c, d)$ . Apply the above construction and define:  $F(s * (0)) = (c_0, d_0)$  and  $F(s * (1)) = (c_1, d_1)$ , and, in case (a),  $D(s * (0)) = D(s * (1)) = (v, z_1)$ , and, in case (b),  $D(s * (0)) = D(s * (1)) = (z_0, w)$ .

Let us write, for each  $s$  in  $Bin$ ,  $D(s) = (D_0(s), D_1(s))$  and  $F(s) = (F_0(s), F_1(s))$ .

Define functions  $\phi, \psi$  from Cantor space  $\mathcal{C}$  to  $[a, b]$  and  $[0, \frac{1}{2}\varepsilon]$ , respectively, such that, for all  $\alpha$ , for all  $n$ ,  $D_0(\bar{\alpha}n) \leq \phi(\alpha) \leq D_1(\bar{\alpha}n)$  and  $F_0(\bar{\alpha}n) \leq \psi(\alpha) \leq F_1(\bar{\alpha}n)$ .



The following two conclusions should be clear:

- (1) for all  $\alpha, \beta$  in  $\mathcal{C}$ , if  $\alpha \# \beta$ , then  $\psi(\alpha) \#_{\mathcal{R}} \psi(\beta)$ , that is: *the function  $\psi$  is strongly injective*, and,
- (2) for all  $\alpha$  in  $\mathcal{C}$ , for all  $y$  in  $[a, b]$ ,  $H_{\psi(\alpha)}(y) \leq H_{\psi(\alpha)}(\phi(\alpha))$ , that is: *the function  $H_{\psi(\alpha)}$  assumes its greatest value at  $\phi(\alpha)$* .

We now prove: *the function  $\phi$  is strongly injective*.

By Riemann's second result,  $0 = D^1G(\phi(\alpha)) = D^1H_{\psi(\alpha)}(\phi(\alpha))$ . Also, the function  $H_{\psi(\alpha)}$  assumes its greatest value at  $\phi(\alpha)$ . Using Lemma 9.1, we conclude: the function  $H_{\psi(\alpha)}$  is differentiable at  $\phi(\alpha)$  and  $(H_{\psi(\alpha)})'(\phi(\alpha)) = 0$ . It follows that  $G$  itself is differentiable at  $\phi(\alpha)$  and that  $G'(\phi(\alpha)) = -\frac{\psi(\alpha)}{b-a}$ .

For all  $\alpha, \beta$  in  $\mathcal{C}$ , if  $\alpha \# \beta$ , then  $\psi(\alpha) \#_{\mathcal{R}} \psi(\beta)$ , so  $G'(\phi(\alpha)) \#_{\mathcal{R}} G'(\phi(\beta))$  and, therefore, by Lemma 9.2(ii):  $\phi(\alpha) \#_{\mathcal{R}} \phi(\beta)$ .

It follows that the set  $\{\phi(\alpha) | \alpha \in \mathcal{C}\}$  is *positively uncountable* in the following sense: given any function  $g$  from  $\mathbb{N}$  to  $\mathcal{R}$ , one may build  $\alpha$  in  $\mathcal{C}$  such that  $\forall n [g(n) \#_{\mathcal{R}} \phi(\alpha)]$ .

We do so for the function  $f$  from  $\mathbb{N}$  to  $\mathcal{R}$  that occurs in the data of our Theorem.

We build the promised  $\alpha$  in  $\mathcal{C}$  step by step. Together with  $\alpha$  we construct a strictly increasing element  $\zeta$  of  $\mathcal{N}$  and we will take care that, for each  $n$ , either  $f(n) <_{\mathcal{R}} D_0(\bar{\alpha}\zeta(n))$  or  $D_1(\bar{\alpha}\zeta(n)) <_{\mathcal{R}} f(n)$ .

We define  $\zeta(0) = 0$ . Now let  $n$  be given and assume we constructed  $\bar{\alpha}\zeta(n)$  successfully. We define  $\beta := \bar{\alpha}\zeta(n) * \underline{0}$  and  $\gamma := \bar{\alpha}\zeta(n) * \underline{1}$ . Note:  $\beta \# \gamma$  and, therefore:  $\phi(\beta) \#_{\mathcal{R}} \phi(\gamma)$ . Find  $p$  such that either  $D_1(\bar{\beta}p) <_{\mathcal{R}} D_0(\bar{\gamma}p)$  or  $D_1(\bar{\gamma}p) <_{\mathcal{R}} D_0(\bar{\beta}p)$ . Now distinguish two cases.

*Case (i):*  $D_1(\bar{\beta}p) <_{\mathcal{R}} D_0(\bar{\gamma}p)$ . Then either:  $f(n) <_{\mathcal{R}} D_0(\bar{\gamma}p)$  or  $D_1(\bar{\beta}p) < f(n)$ . In case we first find out:  $f(n) <_{\mathcal{R}} D_0(\bar{\gamma}p)$ , we define:  $\zeta(n+1) := p$  and  $\bar{\alpha}\zeta(n+1) = \bar{\gamma}p$ , and in case we first find out:  $D_1(\bar{\beta}p) < f(n)$ , we define:  $\zeta(n+1) := p$  and  $\bar{\alpha}\zeta(n+1) = \bar{\beta}p$ .

*Case (ii):*  $D_1(\bar{\gamma}p) <_{\mathcal{R}} D_0(\bar{\beta}p)$ . This case is handled similarly. (Interchange the rôles of  $\beta$  and  $\gamma$ .)

This completes the description of the construction of  $\alpha$ . Define  $x := \phi(\alpha)$ .

Note:  $\forall n [x \#_{\mathcal{R}} f(n)]$ . Therefore:  $x \in \mathcal{X}$  and  $D^2G(x)$  exists. Conclude: also  $D^2H_{\psi(\alpha)}(x)$  exists and  $D^2H_{\psi(\alpha)}(x) = D^2G(x) + 2\varepsilon$ . But,  $H_{\psi(\alpha)}$  assumes its greatest value at  $x$  and thus  $D^2H_{\psi(\alpha)}(x) \leq 0$ . Therefore:  $D^2G(x) \leq -2\varepsilon$ .

(ii) follows from (i), as in the proof of Lemma 4.1. □

**Corollary 9.4.** *Let  $a, b$  be real numbers such that  $a < b$  and let  $G$  be a function from  $[a, b]$  to  $\mathcal{R}$ . Let  $\mathcal{X}$  be a co-enumerable subset of  $[a, b]$  such that for all  $x$  in  $\mathcal{X}$ ,  $D^2G(x) = 0$ . Then  $G$  is linear on  $[a, b]$ , that is: for all  $x$  in  $[a, b]$ ,  $G(x) = G(a) + \frac{x-a}{b-a}(G(b) - G(a))$ .*

*Proof.* Define, for each  $x$  in  $[a, b]$ :

$$G^*(x) := G(x) - G(a) - \frac{x-a}{b-a}(G(b) - G(a))$$

and conclude, using Lemma 9.3(ii): for all  $x$  in  $[a, b]$ ,  $G^*(x) = 0$ . □

**9.2. A second proof.** It seems to us this second proof is of interest although it does not give the constructive information of Lemma 9.3(i). Lemma 9.5 stands to Lemma 9.3 as Lemma 4.4 stands to Lemma 4.1.

**Lemma 9.5** (Cantor-Schwarz-Bernstein-Young, version II). *Let  $a < b$  be given and let  $f$  be a function from  $\mathbb{N}$  to  $\mathcal{R}$ . Let  $\mathcal{X}$  be the set of all  $x$  in  $(a, b)$  such that, for all  $n$ ,  $x \#_{\mathcal{R}} f(n)$ . Let  $G$  be a function from  $[a, b]$  to  $\mathcal{R}$  such that  $G(a) = G(b) = 0$  and, for all  $x$  in  $\mathcal{X}$ ,  $D^2G(x)$  exists. If  $\forall x \in \mathcal{X} [D^2G(x) = 0]$ , then  $\forall x \in [a, b] [G(x) = 0]$ .*

*Proof.* Assume we find  $x$  in  $[a, b]$  such that  $G(x) > 0$ . Let  $H$  be the function from  $[a, b]$  to  $\mathcal{R}$  such that, for all  $y$  in  $[a, b]$ ,  $H(y) = G(y) - G(x)\frac{(b-y)(y-a)}{(b-a)^2}$ . Note:  $H(x) \geq \frac{3}{4}G(x) > 0$  and, for all  $y$  in  $(a, b)$ ,  $D^2H(y)$  exists and  $D^2H(y) = D^2G(y) + 2G(x) > 0$ .

For each real number  $\rho$ , let  $H_\rho$  be the function from  $[a, b]$  to  $\mathcal{R}$  such that, for all  $y$  in  $[a, b]$ ,  $H_\rho(y) = H(y) + \rho\frac{y-a}{b-a}$ . Note: for each  $\rho$ , for all  $y$  in  $(a, b)$ ,  $D^2H_\rho(y)$  exists and  $D^2H_\rho(y) = D^2H(y) = D^2G(y) + 2G(x)$ . Also note: for all  $\rho$  in  $[0, \frac{1}{2}G(x)]$ ,  $H_\rho(x) = H(x) + \rho\frac{x-a}{b-a} \geq \frac{3}{4}G(x) > 0 = H_\rho(a)$  and  $H_\rho(x) \geq \frac{3}{4}G(x) > \rho = H_\rho(b)$ .

Find  $\delta > 0$  such that  $\forall z \in [a, a + \delta) \cup (b - \delta, b][G(z) < \frac{1}{2}G(x)]$  and note:  $\forall \rho \in [0, \frac{1}{2}G(x)] \forall z \in [a, a + \delta) \cup (b - \delta, b][H_\rho(z) < H_\rho(x)]$ .

We now intend to prove:  $\exists \rho \in \mathcal{R} \forall z \in [a, b] \exists y \in [a, b][H_\rho(z) < H_\rho(y)]$ .

To this end, we define, step by step, an infinite sequence  $(c_0, d_0), (c_1, d_1), \dots$  of pairs of real numbers such that  $(c_0, d_0) = (0, \frac{1}{2}G(x))$  and, for each  $n$ ,

- (i)  $c_n < c_{n+1} < d_{n+1} < d_n$  and  $d_{n+1} - c_{n+1} < \frac{2}{3}(d_n - c_n)$ , and
- (ii)  $\forall \rho \in (c_{n+1}, d_{n+1}) \exists y \in [a, b][H(f(n)) < H(y)]$ .

Let  $n$  be given such that  $(c_n, d_n)$  has been defined already. We consider  $f(n)$  and distinguish two cases.

*Case (a).*  $f(n) \in [a, a + \delta) \cup (b - \delta, b]$ . Then:  $\forall \rho \in [0, \frac{1}{2}G(x)][H_\rho(f(n)) < H_\rho(x)]$ . We define:  $(c_{n+1}, d_{n+1}) := (\frac{2}{3}c_n + \frac{1}{3}d_n, \frac{1}{3}c_n + \frac{2}{3}d_n)$ .

*Case (b).*  $a < f(n) < b$ . Using the construction from the proof of Lemma 4.1, find  $\rho_0, \rho_1$  in  $(\frac{2}{3}c_n + \frac{1}{3}d_n, \frac{1}{3}c_n + \frac{2}{3}d_n)$  and  $z_0, z_1$  in  $(a, b)$  such that  $\rho_0 \#_{\mathcal{R}} \rho_1$  and  $\forall i < 2 \forall y \in [a, b][y \#_{\mathcal{R}} z_i \rightarrow H_{\rho_i}(y) < H_{\rho_i}(z_i)]$ . As we saw in the proof of Lemma 9.3, one may conclude:  $G$  is differentiable at both  $z_0$  and  $z_1$  and  $\forall i < 2[G'(z_i) = -\frac{\rho_i}{b-a}]$  and, therefore, by Lemma 9.2,  $z_0 \# z_1$ . Note: either:  $f(n) \#_{\mathcal{R}} z_0$  or:  $f(n) \#_{\mathcal{R}} z_1$ . We distinguish two subcases.

*Case (b)i.*  $f(n) \#_{\mathcal{R}} z_0$ . Conclude:  $H_{\rho_0}(f(n)) < H_{\rho_0}(z_0)$ . Consider  $\varepsilon := H_{\rho_0}(z_0) - H_{\rho_0}(f(n))$  and define:

$$(c_{n+1}, d_{n+1}) := (\sup(\frac{2}{3}c_n + \frac{1}{3}d_n, \rho_0 - \frac{\varepsilon}{3}), \inf(\rho_0 + \frac{\varepsilon}{3}, \frac{1}{3}c_n + \frac{2}{3}d_n)).$$

Then, for each  $\rho$  in  $(c_{n+1}, d_{n+1})$ ,  $|H_\rho(f(n)) - H_{\rho_0}(f(n))| < \frac{\varepsilon}{3}$  and  $|H_\rho(z_0) - H_{\rho_0}(z_0)| < \frac{\varepsilon}{3}$  and:  $H_\rho(f(n)) < H_\rho(z_0)$ .

*Case (b)ii.*  $f(n) \#_{\mathcal{R}} z_1$ . This subcase is treated like subcase (b)i. (Replace everywhere the subindex 0 by the subindex 1.)

Now find  $\rho$  such that  $\forall n[c_n < \rho < d_n]$  and note:  $\forall n \exists y \in [a, b][H_\rho(f(n)) < H_\rho(y)]$ . Also observe: for all  $z$  in  $(a, b)$ , if  $\forall n[f(n) \#_{\mathcal{R}} z]$ , then  $D^2H_\rho(z) > 0$ , and, according to Lemma 2.1,  $\exists y \in [a, b][H_\rho(z) < H_\rho(y)]$ . The statement  $\forall z \in (a, b)[\exists n[f(n) = z] \vee \forall n[f(n) \#_{\mathcal{R}} z]]$  is false, but, nevertheless, one may prove:  $\forall z \in (a, b) \exists y \in [a, b][H_\rho(z) < H_\rho(y)]$ , as we do now.

First, find, using the continuity of  $H_\rho$  an infinite sequence  $\delta_0, \delta_1, \dots$  of reals such that, for each  $n$ ,  $0 < \delta_n < \frac{1}{2^n}$  and  $\forall z \in (a, b)[|f(n) - z| < \delta_n \rightarrow \exists y \in [a, b][H_\rho(z) < H_\rho(y)]]$ . Now, let  $z$  be an element of  $(a, b)$ . Find  $\alpha$  such that, for each  $n$ , if  $\alpha(n) = 0$ , then  $|f(n) - z| > \frac{1}{2}\delta_n$ , and, if  $\alpha(n) \neq 0$ , then  $|f(n) - z| < \delta_n$ . Define an infinite sequence of pairs of reals  $(a_0, b_0), (a_1, b_1), \dots$  such that, for each  $n$

- (i) if  $\forall i \leq n[\alpha(i) = 0]$ , then  $(a_n, b_n) = (z - \frac{1}{2}\delta_n, z + \frac{1}{2}\delta_n)$ , and,
- (ii) if  $\exists i \leq n[\alpha(i) \neq 0]$ , then  $a_{n-1} < a_n < b_n < b_{n-1}$  and  $b_n - a_n < \frac{1}{2}(b_{n-1} - a_{n-1})$  and  $f(n) < a_n$  or  $b_n < f(n)$ .

Find  $z^*$  such that  $\forall n[a_n < z^* < b_n]$ . Note  $\forall n[f(n) \#_{\mathcal{R}} z^*]$  and find  $y$  such that  $H_\rho(z^*) < H_\rho(y)$ . Find  $m$  such that  $\forall v \in a, b[|z^* - v| < \frac{1}{2^m} \rightarrow H_\rho(v) < H_\rho(y)]$  and distinguish two cases.

*Case (a).*  $|z^* - z| < \frac{1}{2^m}$ . Conclude:  $H_\rho(z) < H_\rho(y)$ .

*Case (b).*  $|z^* - z| > \frac{1}{2^{m+1}} > \frac{1}{2}\delta_m$ . Find  $i \leq m$  such that  $\alpha(i) \neq 0$ , and, therefore:  $|f(n) - z| < \delta_i$  and:  $\exists y \in [a, b][H_\rho(z) < H_\rho(y)]$ .

We thus see:  $\forall z \in (a, b)\exists y \in [a, b][H_\rho(z) < H_\rho(y)]$ . In the beginning of the proof we found  $\delta > 0$  such that  $\forall \rho \in [0, \frac{1}{2}G(x)]\forall z \in [a, a + \delta) \cup (b - \delta, b][H_\rho(z) < H_\rho(x)]$ . We may conclude:  $\forall z \in [a, b]\exists y \in [a, b][H_\rho(z) < H_\rho(y)]$ . That is impossible, according to Theorem 4.3.

We have to conclude:  $\neg\exists x \in [a, b][G(x) > 0]$ . In a similar way, we prove:  $\neg\exists x \in [a, b][G(x) < 0]$ . Therefore:  $\forall x \in [a, b][G(x) = 0]$ .  $\square$

### 9.3. The final result.

**Theorem 9.6.** *Every co-enumerable subset  $\mathcal{X}$  of  $[-\pi, \pi]$  guarantees uniqueness.*

*Proof.* Find a function  $f$  from  $\mathbb{N}$  to  $\mathcal{R}$  such that, for each  $x$  in  $[-\pi, \pi]$ , if for all  $n$ ,  $x \#_{\mathcal{R}} f(n)$ , then  $x \in \mathcal{X}$ . Let  $b_0, a_1, b_1, \dots$  be an infinite sequence of reals such that, for all  $x$  in  $\mathcal{X}$ ,

$$F(x) := \frac{b_0}{2} + \sum_{n>0} a_n \sin nx + b_n \cos nx = 0.$$

We make a provisional assumption: the infinite sequence  $b_0, a_1, b_1, \dots$  is bounded. The function

$$G(x) := \frac{1}{4}b_0x^2 + \sum_{n>0} \frac{-a_n}{n^2} \sin nx + \frac{-b_n}{n^2} \cos nx$$

is therefore defined everywhere on  $[-\pi, \pi]$  and everywhere continuous, and, according to Lemma 9.3, for all  $x$  in  $\mathcal{X}$ ,  $D^2G(x) = 0$ . Use Corollary 9.4 and conclude, as in the proof of Theorem 4.5,  $b_0 = 0$  and, for all  $n > 0$ ,  $a_n = b_n = 0$ .

One may do without the provisional assumption. We no longer assume that the infinite sequence  $b_0, a_1, b_1, \dots$  is bounded.

Using again the suggestion made by Riemann and Kronecker, we reason as follows.

Assume:  $x \in \mathcal{X}$ . Define for each  $t$  in  $[-\pi, \pi]$ ,

$$K(t) := F(x+t) + F(x-t)$$

and note:

$$K(t) = b_0 + 2 \sum_{n>0} (a_n \sin nx + b_n \cos nx) \cos nt,$$

Define a function  $g$  from  $\mathbb{N}$  to  $\mathcal{R}$  such that, for each  $n$ ,  $g(2n) := f(n) - x$  and  $g(2n+1) := f(n) + x$ . Note: for each  $t$  in  $[-\pi, \pi]$ , if, for all  $n$ ,  $g(n) \#_{\mathcal{R}} t$ , then  $K(t) = 0$ , and: the sequence  $n \mapsto a_n \sin nx + b_n \cos nx$  converges and is bounded. Using the first part of the proof, we conclude:  $b_0 = 0$  and, for each  $n > 0$ ,  $a_n \sin nx + b_n \cos nx = 0$ . This conclusion holds for all  $x$  in  $\mathcal{X}$ . As  $\mathcal{X}$  is a co-enumerable subset of  $[-\pi, \pi]$ , we may conclude:  $b_0 = 0$  and, for each  $n > 0$ ,  $a_n = b_n = 0$ .  $\square$

### 9.4. Some comments.

There is irony in history.

If we restrict ourselves to co-enumerable subsets  $\mathcal{X}$  of  $(-\pi, \pi)$  such that  $[\pi, \pi] \setminus \mathcal{X}$  is located and almost-enumerable, then Theorem 9.6 is a much stronger statement than Theorem 8.2. The stronger and later result is obtained by more simple means. We did not use Brouwer's Principle of Induction on Monotone Bars, as we did in the proof of Theorem 8.2. Every reference to ordinals or generalized inductive definitions has disappeared. Cantor's original problem has been solved without set-theoretic means.

The classical version of Theorem 9.6 is due to F. Bernstein, see [2], and W. Young, see [29]. One wonders what Cantor himself has thought or would have thought

about the result by Bernstein and Young. Although it was obtained during his lifetime, I suspect he has not been able to give any comment.

In [1], chapter XIV, Section 5, the result occurs as a corollary of a theorem due to du Bois-Reymond. Bernstein concluded from the extended Cantor-Schwarz Lemma 9.3 that every *totally imperfect* set, that is, a set without a perfect subset, is a set of uniqueness, see also [16].

## 10. USING BROUWER'S CONTINUITY PRINCIPLE

*Brouwer's Continuity Principle* says the following.

Let  $\mathcal{R}$  be a subset of  $\mathcal{N} \times \mathbb{N}$ .

If  $\forall \alpha \exists n[\alpha Rn]$ , then  $\forall \alpha \exists m \exists n \forall \beta [\bar{\beta}m = \bar{\alpha}m \rightarrow \beta Rn]$ .

Brouwer's Continuity Principle has the following consequence:

Let  $a, b$  in  $\mathcal{R}$  be given such that  $a < b$  and let  $R$  be a real subset of  $[a, b] \times \mathbb{N}$ , that is:  $\forall n \forall x \in [a, b] \forall y \in [a, b] [(x =_{\mathcal{R}} y \wedge xRn) \rightarrow yRn]$ .

If  $\forall x \in [a, b] \exists n[xRn]$ , then

$\forall x \in [a, b] \exists c \exists d [c < x < d \wedge \exists n \forall y \in [c, d] \cap [a, b] [yRn]]$ .

One may prove this using the fact that there exists a continuous surjection from  $\mathcal{N}$  onto  $[a, b]$ .

We let  $[\omega]^\omega$  denote the set of all  $\zeta$  in  $\mathcal{N}$  such that  $\forall n[\zeta(n) < \zeta(n+1)]$ .

### 10.1. The Cantor-Lebesgue Theorem.

**Lemma 10.1** (Cantor's nagging question). *Let  $a, b, a_0, b_0, a_1, \dots$  be an infinite sequence of reals such that  $a < b$  and, for all  $x$  in  $[a, b]$ ,  $\lim_{n \rightarrow \infty} a_n \sin nx + b_n \cos nx = 0$ , that is:  $\forall p \forall x \in [a, b] \exists n \forall m \geq n [a_m \sin mx + b_m \cos mx < \frac{1}{2^p}]$ . Then:*

(i) (using Brouwer's Continuity Principle):

$\lim_{n \rightarrow \infty} a_n = \lim_{n \rightarrow \infty} b_n = 0$ , that is  $\forall p \exists n \forall m \geq n [a_m < \frac{1}{2^p} \wedge |b_m| < \frac{1}{2^p}]$ .

(ii) (not using Brouwer's Continuity Principle):

$\forall p \forall \zeta \in [\omega]^\omega \exists n [a_{\zeta(n)} < \frac{1}{2^p} \wedge |b_{\zeta(n)}| < \frac{1}{2^p}]$ .

*Proof.* Define, for each  $n$ ,  $r_n := \sqrt{(a_n)^2 + (b_n)^2}$ .

(i) Let  $p$  be given. Define  $\alpha$  in  $\mathcal{C}$  such that, for each  $n$ , if  $\alpha(n) = 0$ , then  $r_n < \frac{1}{2^p}$  and, if  $\alpha(n) = 1$ , then  $r_n > 0$ . Also find  $y_0, y_1, \dots$  in  $\mathcal{R}$  such that, for each  $n$ , if  $\alpha(n) = 1$ , then for all  $x$  in  $[a, b]$ ,  $a_n \sin nx + b_n \cos nx = r_n \cos(nx + y_n)$ .

Using the Continuity Principle, find  $c, d, n$  such that  $a < c < d < b$  and  $d - c > \frac{2\pi}{n}$  and  $\forall m \geq n \forall x \in [c, d] [r_m \cos(mx + y_m) < \frac{1}{2^p}]$ . Note:  $\forall m \geq n \exists x \in [c, d] [\cos(mx + y_m) = 1]$ . Conclude:  $\forall m \geq n [r_m < \frac{1}{2^p}]$  and  $\forall m \geq n [a_m < \frac{1}{2^p} \wedge |b_m| < \frac{1}{2^p}]$ .

(ii) Let  $p$  be given. Define  $\alpha$  in  $\mathcal{C}$  such that, for each  $n$ , if  $\alpha(n) = 0$ , then  $r_n < \frac{1}{2^p}$  and, if  $\alpha(n) = 1$ , then  $r_n > 0$ . Also find  $y_0, y_1, \dots$  in  $\mathcal{R}$  such that, for each  $n$ , if  $\alpha(n) = 1$ , then for all  $x$  in  $[a, b]$ ,  $a_n \sin nx + b_n \cos nx = r_n \cos(nx + y_n)$ .

Note that, for each  $n$ , for all  $c, d$ , if  $d - c \geq \frac{2\pi}{n}$ , one may find  $e, f$  such that  $c < e < f < d$  and  $f - e = \frac{2\pi}{3n}$  and, for all  $x$  in  $[e, f]$ ,  $|\cos(nx + y_n)| \geq \frac{1}{2}$ .

Assume  $\zeta \in [\omega]^\omega$ . Define  $\eta$  in  $[\omega]^\omega$  such that  $b - a > \frac{2\pi}{\zeta \circ \eta(0)}$ , and, for each  $n$ ,  $\zeta \circ \eta(n+1) > 3 \cdot \zeta \circ \eta(n)$ . Define an infinite sequence  $c_0, d_0, c_1, d_1, \dots$  of reals such that  $c_0 = a$  and  $c_1 = b$  and, for all  $n$ ,  $c_n < c_{n+1} < d_{n+1} < d_n$  and  $d_{n+1} - c_{n+1} = \frac{2\pi}{3 \cdot \zeta \circ \eta(n)}$  and, if  $\alpha(\zeta \circ \eta(n)) = 1$ , then, for all  $x$  in  $[c_{n+1}, d_{n+1}]$ ,  $|\cos(\zeta \circ \eta(n) \cdot x + y_{\zeta \circ \eta(n)})| \geq \frac{1}{2}$ . Find  $x$  such that, for all  $n > 0$ ,  $c_n < x < d_n$ . Find  $N$  such that for all  $n \geq N$ , if  $\alpha(n) = 1$ , then  $|r_n \cos(nx + y_n)| < \frac{1}{2^{p+1}}$ . Find  $n$  such that  $\zeta \circ \eta(n) \geq N$ . Note:  $|\cos(\zeta \circ \eta(n) \cdot x + y_{\zeta \circ \eta(n)})| \geq \frac{1}{2}$  and  $r_{\zeta \circ \eta(n)} < \frac{1}{2^p}$ . Define  $m := \zeta \circ \eta(n)$ . Either  $\alpha(m) = 0$  and  $r_m < \frac{1}{2^p}$ , or  $\alpha(m) = 1$ , and also:  $r_m < \frac{1}{2^p}$ .

Conclude:  $\forall \zeta \in [\omega]^\omega \exists n [r_{\zeta(n)} < \frac{1}{2^p}]$  and  $\forall \zeta \in [\omega]^\omega \exists n [a_n < \frac{1}{2^p} \wedge |b_n| < \frac{1}{2^p}]$ .  $\square$

Lemma 10.1 was the subject of Cantor's first publication on trigonometric series, see [10]. Cantor of course did not have Brouwer's Continuity Principle and proved Lemma 10.1(ii). By classical logic, (ii) implies (i). He thus gives a classical, indirect proof of (i). Cantor's proof is complicated. I suspect that a direct constructive proof of (i), avoiding Brouwer's Continuity Principle, is impossible but I have no proof of this fact. Such a proof would explain Cantor's obvious difficulty of finding an easy argument for (i).

Note that Lemma 10.1(i) enables us to simplify the proofs of Theorems 4.5, 5.1 and 6.7. In the proofs of these theorems we are given a subset  $\mathcal{X}$  of  $[-\pi, \pi]$  such that, for some  $a, b$ ,  $a < b$  and  $[a, b] \subseteq \mathcal{X}$ , and an infinite sequence  $b_0, a_1, b_1, \dots$  of reals such that, for all  $x$  in  $\mathcal{X}$ ,  $\frac{b_0}{2} + \sum_{n>0} a_n \sin nx + b_n \cos nx = 0$ . Lemma 10.1(i) enables us to conclude: the sequence  $b_0, a_1, b_1, \dots$  converges to 0 and thus *is bounded*. The second halves of the proofs, using the Riemann-Kronecker suggestion, then become superfluous. Cantor in fact used Lemma 10.1(i) in this way. The constructive mathematician who does not want to use Brouwer's Continuity Principle still has to invoke the Riemann-Kronecker suggestion.

In order to show that a similar observation applies to Theorem 9.6 we prove an extension of Lemma 10.1.

We need another consequence of Brouwer's Continuity Principle:

*Let  $a, b$  in  $\mathcal{R}$  be given such that  $a < b$  and let  $\mathcal{X}$  be a co-enumerable subset of  $[a, b]$ . Let  $R$  be a real subset of  $\mathcal{X} \times \mathbb{N}$ , that is:  $\forall n \forall x \in [a, b] \forall y \in [a, b] [(x =_{\mathcal{R}} y \wedge xRn) \rightarrow yRn]$ .*

*If  $\forall x \in \mathcal{X} \exists n [xRn]$ , then*

*$\forall x \in \mathcal{X} \exists c \exists d [a < c < x < d < b \wedge \exists n \forall y \in [c, d] \cap \mathcal{X} [yRn]]$ .*

One may prove this using the fact that there exists a continuous surjection from  $\mathcal{N}$  onto  $\mathcal{X}$ .

**Lemma 10.2.** *Let  $a, b$  be real numbers such that  $a < b$ . and let  $\mathcal{X}$  be a co-enumerable subset of  $[a, b]$  such that  $\forall x \in \mathcal{X} [\lim_{n \rightarrow \infty} a_n \sin nx + b_n \cos nx = 0]$ . Then:*

(i) *(using Brouwer's Continuity Principle):  $\lim_{n \rightarrow \infty} a_n = \lim_{n \rightarrow \infty} b_n = 0$ .*

(ii) *(not using Brouwer's Continuity Principle):*

*$\forall p \forall \zeta \in [\omega]^\omega \exists n [|a_{\zeta(n)}| < \frac{1}{2^p} \wedge |b_{\zeta(n)}| < \frac{1}{2^p}]$ .*

*Proof.* The proof is a slight adaptation of the proof of Lemma 10.1. Define, for each  $n$ ,  $r_n := \sqrt{(a_n)^2 + (b_n)^2}$ .

(i) Let  $p$  be given. Define  $\alpha$  in  $\mathcal{C}$  such that, for each  $n$ , if  $\alpha(n) = 0$ , then  $r_n < \frac{1}{2^p}$  and, if  $\alpha(n) = 1$ , then  $r_n > 0$ . Also find  $y_0, y_1, \dots$  in  $\mathcal{R}$  such that, for each  $n$ , if  $\alpha(n) = 1$ , then for all  $x$  in  $[a, b]$ ,  $a_n \sin nx + b_n \cos nx = r_n \cos(nx + y_n)$ . Find  $c, d$  such that  $a < c < d < b$  and  $n$  such that  $d - c > \frac{2\pi}{n}$  and  $\forall m \geq n \forall x \in [c, d] \cap \mathcal{X} [|r_m \cos(mx + y_m)| < \frac{1}{2^{p+1}}]$ . Note:  $\forall m \geq n \exists x \in [c, d] \cap \mathcal{X} [\cos(mx + y_m) > \frac{1}{2}]$ . Conclude:  $\forall m \geq n [r_m < \frac{1}{2^p}]$  and  $\forall m \geq n [|a_m| < \frac{1}{2^p} \wedge |b_m| < \frac{1}{2^p}]$ .

(ii) Let  $p$  be given. Define  $\alpha$  in  $\mathcal{C}$  such that, for each  $n$ , if  $\alpha(n) = 0$ , then  $r_n < \frac{1}{2^p}$  and, if  $\alpha(n) = 1$ , then  $r_n > 0$ . Also find  $y_0, y_1, \dots$  in  $\mathcal{R}$  such that, for each  $n$ , if  $\alpha(n) = 1$ , then for all  $x$  in  $[a, b]$ ,  $a_n \sin nx + b_n \cos nx = r_n \cos(nx + y_n)$ .

Note that, for each  $n$ , for all  $c, d$ , if  $d \geq c + \frac{4\pi}{n}$ , one may find  $e, f, g, h$  such that  $c < e < f < g < h < d$  and  $f - e = h - g = \frac{2\pi}{3n}$  and, for all  $x$  in  $[e, f] \cup [g, h]$ ,  $|\cos(nx + y_n)| \geq \frac{1}{2}$ .

Find  $x_0, x_1, x_2, \dots$  in  $[a, b]$  such that, for all  $x$  in  $[a, b]$ , if  $\forall n [x \#_{\mathcal{R}} x_n]$ , then  $x \in \mathcal{X}$ .

Assume  $\zeta \in [\omega]^\omega$ . Define  $\eta$  in  $[\omega]^\omega$  such that  $b - a > \frac{4\pi}{\zeta \circ \eta(0)}$ , and, for each  $n$ ,  $\zeta \circ \eta(n+1) > 6 \cdot \zeta \circ \eta(n)$ . Define an infinite sequence  $c_0, d_0, c_1, d_1, \dots$  of reals such that

$c_0 = a$  and  $c_1 = b$  and, for all  $n$ ,  $c_n < c_{n+1} < d_{n+1} < d_n$  and  $d_{n+1} - c_{n+1} = \frac{2\pi}{3 \cdot \zeta \circ \eta(n)}$ <sup>7</sup> and: either  $x_n < c_{n+1}$  or  $d_{n+1} < x_n$ , and, if  $\alpha(\zeta \circ \eta(n)) = 1$ , then, for all  $x$  in  $[c_{n+1}, d_{n+1}]$ ,  $|\cos(\zeta \circ \eta(n) \cdot x + y_{\zeta \circ \eta(n)})| \geq \frac{1}{2}$ . Find  $x$  such that, for all  $n > 0$ ,  $c_n < x < d_n$ . Note: for all  $n$ ,  $x \#_{\mathcal{R}} x_n$ , and:  $x \in \mathcal{X}$ . Find  $N$  such that for all  $n \geq N$ , if  $\alpha(n) = 1$ , then  $|r_n \cos(nx + y_n)| < \frac{1}{2^{p+1}}$ . Find  $n$  such that  $\zeta \circ \eta(n) \geq N$ . Note:  $|\cos(\zeta \circ \eta(n) \cdot x + y_{\zeta \circ \eta(n)})| \geq \frac{1}{2}$  and  $r_{\zeta \circ \eta(n)} < \frac{1}{2^p}$ . Define  $m := \zeta \circ \eta(n)$ . Either  $\alpha(m) = 0$  and  $r_m < \frac{1}{2^p}$ , or  $\alpha(m) = 1$ , and also:  $r_m < \frac{1}{2^p}$ .

Conclude:  $\forall \zeta \in [\omega]^\omega \exists n [r_{\zeta(n)} < \frac{1}{2^p}]$  and  $\forall \zeta \in [\omega]^\omega \exists n [|a_n| < \frac{1}{2^p} \wedge |b_n| < \frac{1}{2^p}]$ .  $\square$

There is a further extension of Lemma 10.2: one may replace the condition:  $\mathcal{X}$  is co-enumerable by:  $\mathcal{X}$  has positive (Brouwer-)Lebesgue measure. We do not treat this more general *Cantor-Lebesgue Theorem* as we have no application for it in this paper. In 1903, Lebesgue proved the *Riemann-Lebesgue Lemma*, see [20], and the Cantor-Lebesgue Theorem follows easily, see [1], par. 64.

**10.2. Cantor's Uniqueness Theorem becomes trivial.** Brouwer's Continuity Principle and the Fan Theorem together lead to the following conclusion:

*Let  $a, b$  in  $\mathcal{R}$  be given such that  $a < b$  and let  $R$  be a real subset of  $[a, b] \times \mathbb{N}$ , that is:  $\forall n \forall x \in [a, b] \forall y \in [a, b] [(x =_{\mathcal{R}} y \wedge xRn) \rightarrow yRn]$ . If  $\forall x \in [a, b] \exists n [xRn]$ , then  $\exists N \forall x \in [a, b] \exists n \leq N [xRn]$ .*

It follows that an infinite sequence of functions that converges to 0 everywhere in some closed interval does so uniformly:

*Let  $a, b$  in  $\mathcal{R}$  be given such that  $a < b$  and let  $f_0, f_1, \dots$  be an infinite sequence of functions from  $[a, b]$  to such that  $\forall x \in [a, b] \forall p \exists n \forall m > n [|f_m(x)| < \frac{1}{2^p}]$ . Then  $\forall p \exists n \forall m > n \forall x \in [a, b] [|f_m(x)| < \frac{1}{2^p}]$ .*

Now let  $b_0, a_1, b_1, \dots$  in  $\mathcal{R}$  be given such that, for all  $x$  in  $[-\pi, \pi]$ ,  $F(x) := \frac{b_0}{2} + \sum_{n>0} a_n \sin nx + b_n \cos nx = 0$ . Define, for each  $m > 0$ ,  $F_m(x) := \frac{b_0}{2} + \sum_{n=1}^m a_n \sin nx + b_n \cos nx$ .

Note: for each  $n$ , for each  $m > n$ ,  $a_n = \frac{1}{2\pi} \int_{-\pi}^{\pi} F_m(x) \sin nxdx$  and:  $0 = \frac{1}{2\pi} \int_{-\pi}^{\pi} F(x) \sin nxdx = \lim_{m \rightarrow \infty} \frac{1}{2\pi} \int_{-\pi}^{\pi} F_m(x) \sin nxdx = a_n$ . Conclude: for each  $n > 0$ ,  $a_n = 0$  and, for a similar reason,  $b_n = 0$  and also  $b_0 = 0$ .

We thus obtain Cantor's conclusion very quickly.

In fact, this short route was shown to Cantor himself by 'Herr Appell' who apparently confused pointwise and uniform convergence, see [14] and [16].

## 11. THE TWO POSSIBILITIES CANTOR SAW FOR CLOSED SETS

Let  $\mathcal{G}$  be an open subset of  $(-\pi, \pi)$ . We call  $\mathcal{G}^* := \bigcup Ext_{\mathcal{G}} := \{x \in (-\pi, \pi) | \exists \mathcal{H} \in Ext_{\mathcal{G}} [x \in \mathcal{H}]\}$  the co-perfect hull of  $\mathcal{G}$ . We claim:  $(\mathcal{G}^*)^+ = \mathcal{G}^*$ . For suppose:  $x \in (\mathcal{G}^*)^+$ . Find  $n$  in  $\mathbb{N}$ ,  $y \in (x - \frac{1}{2^n}, x + \frac{1}{2^n})$  such that, for all  $z$  in  $(x - \frac{1}{2^n}, x + \frac{1}{2^n})$ , if  $y \#_{\mathcal{R}} z$ , then  $z \in \mathcal{G}^*$ . Find  $m_0$  such that  $x - \frac{1}{2^n} + \frac{1}{2^{m_0}} < y - \frac{1}{2^{m_0}}$  and  $y + \frac{1}{2^{m_0}} < x + \frac{1}{2^n} - \frac{1}{2^{m_0}}$ . Note: for each  $p > m_0$ ,  $[x - \frac{1}{2^n} + \frac{1}{2^{m_0}}, y - \frac{1}{2^p}] \subseteq \mathcal{G}^*$  and:  $[y + \frac{1}{2^p} < x + \frac{1}{2^n} - \frac{1}{2^{m_0}}] \subseteq \mathcal{G}^*$ . Conclude, using the Heine-Borel Theorem: for each  $p$ , there is a finite subset of  $Ext_{\mathcal{G}}$  covering  $[x - \frac{1}{2^n} + \frac{1}{2^{m_0}}, y - \frac{1}{2^p}] \cup [y + \frac{1}{2^p} < x + \frac{1}{2^n} - \frac{1}{2^{m_0}}]$ . Using an Axiom of Countable Choice, find an infinite sequence  $\mathcal{H}_0, \mathcal{H}_1, \dots$  of elements of  $Ext_{\mathcal{G}}$  such that  $(x - \frac{1}{2^n} + \frac{1}{2^{m_0}}, y) \cup (y, x + \frac{1}{2^n} - \frac{1}{2^{m_0}}) \subseteq \bigcup_{p \in \mathbb{N}} [x - \frac{1}{2^n} + \frac{1}{2^{m_0}}, y - \frac{1}{2^p}] \cup [y + \frac{1}{2^p} < x + \frac{1}{2^n} - \frac{1}{2^{m_0}}] \subseteq \bigcup_{p \in \mathbb{N}} \mathcal{H}_p$  and conclude:  $(x - \frac{1}{2^n} + \frac{1}{2^{m_0}}, x + \frac{1}{2^n} - \frac{1}{2^{m_0}}) \subseteq (\bigcup_{p \in \mathbb{N}} \mathcal{H}_p)^+$ , and:  $x \in \mathcal{G}^*$ .

We distinguish two cases.

<sup>7</sup>Note:  $d_{n+1} - c_{n+1} = \frac{4\pi}{6 \cdot \zeta \circ \eta(n)} > \frac{4\pi}{\zeta \circ \eta(n+1)}$ .

- (i)  $(-\pi, \pi) \subseteq \mathcal{G}^*$ . Note:  $(-\pi, \pi) = \bigcup_{n \in \mathbb{N}} [-\pi + \frac{1}{2^n}, \pi - \frac{1}{2^n}]$ , and using again the Heine-Borel Theorem, find an infinite sequence  $\mathcal{H}_0, \mathcal{H}_1, \dots$  of elements of  $Ext_{\mathcal{G}}$  such that  $(-\pi, \pi) \subseteq \bigcup_{n \in \mathbb{N}} \mathcal{H}_n$ . Conclude:  $\mathcal{G}$  is eventually full, and, using Theorem 8.1(ii):  $[-\pi, \pi] \setminus \mathcal{G}$  is almost-enumerable.
- (ii)  $\exists x \in (-\pi, \pi)[x \notin \mathcal{G}^*]$ . Note: for all  $x$  in  $(-\pi, \pi)$ , if  $x \notin \mathcal{G}^*$ , then  $x \notin (\mathcal{G}^*)^+$ , that is:  $\forall n \rightarrow \forall z \in (x - \frac{1}{2^n}, x) \cup (x, x + \frac{1}{2^n})[z \in \mathcal{G}^*]$ . In a very weak sense, therefore, every point of  $(-\pi, \pi) \setminus \mathcal{G}^*$  is a limit point of  $(-\pi, \pi) \setminus \mathcal{G}^*$ .

This is a pale version of *Cantor's Main Theorem*, as Brouwer calls it: *every closed subset of  $(-\pi, \pi)$  either is at most countable or contains a perfect subset*, (and is, therefore, in Cantor's view, equivalent to the continuum). Constructively, we can not prove:  $\forall x \in (-\pi, \pi)[x \in \mathcal{G}^*] \vee \exists x \in (-\pi, \pi)[x \notin \mathcal{G}^*]$ ; we can't even prove:  $\neg(\forall x \in (-\pi, \pi)[x \in \mathcal{G}^*] \vee \exists x \in (-\pi, \pi)[x \notin \mathcal{G}^*])$ . Results related to this observation may be found in [18] and [9].

## 12. BROUWER'S WORK ON CANTOR'S MAIN THEOREM

In [3], Brouwer introduces the notion of a 'set', (more or less a located closed subset of  $\mathcal{R}$ ), that admits of 'an inner deconstruction' or is 'deconstructible'<sup>8</sup>. What he means is that the set can be split, in some sense effectively, into the set of its limit points and the set of its isolated points. If it can, he hopes that the set of its limit points is 'deconstructible' again, and so on. In order to treat the 'and so on', he first develops an intuitionistic theory of countable ordinals.

Unfortunately, there are mistakes and obscurities, as Brouwer himself knew.<sup>9</sup> We refrain from a detailed commentary. Instead, we make a guess as to how Brouwer could have defined his notions, had he read this paper.

Let  $\mathcal{G}$  be an open and co-located subset of  $(-\pi, \pi)$ . We say that  $\mathcal{G}$  is *effectively extendible* if  $\mathcal{G}^+$  is co-located again. We say that  $\mathcal{G}$  is *hereditarily effectively extendible* if and only if every element of  $Ext_{\mathcal{G}}$  is co-located and we say that  $\mathcal{G}$  *admits of an effective final extension* if, in addition,  $[-\pi, \pi] \setminus \bigcup Ext_{\mathcal{G}}$  is located. Brouwer uses here the expression:  $[-\pi, \pi] \setminus \mathcal{G}$  is '*vollständig abbrechbar*', '*completely deconstructible*'.

It is not so easy for a 'set' in Brouwer's sense to be completely deconstructible and it is not so easy for an open subset  $\mathcal{G}$  of  $(-\pi, \pi)$  to have an effective final extension. These are, from a constructive point of view, very strong conditions. But if they are satisfied, one hopes to be able to take the decision one, emulating Cantor, would like to make: Brouwer's 'set', (our  $[-\pi, \pi] \setminus \mathcal{G}$ ), is either (in a reasonable sense) countable, or (in a reasonable sense) at least as big as the continuum.

Unfortunately, there is another difficulty. Let  $\mathcal{G}$  be an open subset of  $(-\pi, \pi)$  and let  $\mathcal{F} = [-\pi, \pi] \setminus \mathcal{G}$  be its complement. From the point of view taken in this paper, the (weak) derivative set  $D_w(\mathcal{F})$  of  $\mathcal{F}$  should be defined as the *complement of the co-derivative of  $\mathcal{G}$* , that is  $D_w(\mathcal{F}) := [-\pi, \pi] \setminus \mathcal{G}^+$ .  $D_w(\mathcal{F})$  contains the set  $D(\mathcal{F})$  of the limit points of  $\mathcal{F}$  but *does not necessarily coincide with  $D(\mathcal{F})$* . If one knows:  $D(\mathcal{F}) = \mathcal{F}$  then one may define an injective map from Cantor space  $\mathcal{C}$  into  $\mathcal{F}$  but, if one knows:  $\mathcal{F} = D_w(\mathcal{F})$ , one may be unable to do so.

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<sup>8</sup>This term from modern philosophical discourse seems a more apt translation than the literal 'deconstructible'.

<sup>9</sup>In [6], Brouwer, mentioning [3], states: *Reading over these developments to-day, one finds that they are obsolete and in need of radical recasting.*

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