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Platinum-based drugs disrupt STAT6-mediated suppression of immune responses against cancer in humans and mice

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Tumor microenvironments feature immune inhibitory mechanisms that prevent T cells from generating effective antitumor immune responses. Therapeutic interventions aimed at disrupting these inhibitory mechanisms have been shown to enhance antitumor immunity, but they lack direct cytotoxic effects. Here, we investigated the effect of cytotoxic cancer chemotherapeutics on immune inhibitory pathways. We observed that exposure to platinum-based chemotherapeutics markedly reduced expression of the T cell inhibitory molecule programmed death receptor-ligand 2 (PD-L2) on both human DCs and human tumor cells. Downregulation of PD-L2 resulted in enhanced antigen-specific proliferation and Th1 cytokine secretion as well as enhanced recognition of tumor cells by T cells. Further analysis revealed that STAT6 controlled downregulation of PD-L2. Consistent with these data, patients with STAT6-expressing head and neck cancer displayed enhanced recurrence-free survival upon treatment with cisplatin-based chemoradiation compared with patients with STAT6-negative tumors, demonstrating the clinical relevance of platinum-induced STAT6 modulation. We therefore conclude that platinum-based anticancer drugs can enhance the immunostimulatory potential of DCs and decrease the immunosuppressive capability of tumor cells. This dual action of platinum compounds may extend their therapeutic application in cancer patients and provides a rationale for their use in combination with immunostimulatory compounds.

Introduction

Recently, it has become evident that chemotherapeutic drugs not only have a direct cytotoxic effect on tumor cells but may also potentiate the immune system via so-called off-target effects (1, 2). For example, direct immune-activating effects of cancer chemotherapy have been observed: treatment with low concentrations of several chemotherapeutics resulted in increased antigen cross-presentation, T lymphocyte expansion, and T cell infiltration of tumors by to-date-unknown molecular mechanisms (3, 4). In addition, the cytotoxic drug oxaliplatin was shown to induce an immunogenic type of cell death in a TLR4/high-mobility group box 1–dependent manner (5, 6). However, the effect of treatment with cancer chemotherapeutics on immune inhibitory pathways that play a crucial role in tumor immune escape is unknown (7).

We hypothesized that anticancer chemotherapeutics may influence the type of T cell–mediated immune responses induced by DCs by acting on immune inhibitory pathways. Here we demonstrate that platinum-based chemotherapeutics downregulate the inhibitory molecule programmed death receptor-ligand 2 (PD-L2) in a STAT6-dependent manner, both on DCs and on tumor cells, resulting in enhanced T cell activation and increased tumor cell recognition. The clinical relevance of these observations is underpinned by our finding in head and neck cancer patients that tumor STAT6 expression is correlated with an improved clinical outcome when these patients received platinum-based radiochemotherapy, but not when these patients were treated with radiotherapy only. These findings provide a rationale for the use of platinum-based chemotherapy in combination with other immunostimulatory compounds to increase the clinical efficacy of cancer treatment.

Results

The T cell–stimulating potential of DCs is enhanced by platinum-based chemotherapy. We first determined the effect of a large number of clinically relevant concentrations of chemotherapeutic agents on the allogeneic T cell stimulatory potential of monocyte-derived DCs (Supplemental Table 1; supplemental material available online with this article; doi:10.1172/JCI43656). Surprisingly, DCs exposed to carboplatin during their maturation by cytokines induced a significantly higher T cell proliferation compared with all other chemotherapeutics tested (Figure 1A). We also observed this similar enhanced immune-stimulating activity in a dose-dependent manner for the platinum compounds oxaliplatin and cisplatin, which are frequently used in...
The clinic (Figure 1, B and C, and Supplemental Table 1). T cells that were activated by DCs exposed to platinum compounds produced significantly higher levels of IFN-γ and IL-2 compared with untreated DCs (Figure 1, D and E).

Notably, this increased T cell–stimulating activity of platinum-treated DCs was also observed in antigen-specific T cells using keyhole limpet hemocyanin (KLH) as a model antigen (Figure 2A). Moreover, we observed that the T cell stimulatory capacity of DCs matured in vitro through TLRs instead of cytokines was also further enhanced by platinum exposure (Figure 2A). The observed effect on TLR-DCs was not only limited to enhanced T cell proliferation but also supported by abundant IFN-γ production by these antigen-specific T cells (Figure 2B). Although we detected fluctuations in pro- and antiinflammatory cytokine production of DCs matured in the presence of platinum compounds (Figure 3, B and C, and Supplemental Figure 1), these differences were very small and not consistent for all platinum compounds, and they did not correlate with enhanced T cell activation. We therefore concluded that the enhanced immunogenicity is not caused by increased expression of MHC or costimulatory molecules or by increased proinflammatory cytokine secretion upon platinum treatment.

Since DCs can also express several T cell inhibitory molecules, including PD-L1 and PD-L2, the enhanced T cell stimulatory potential upon platinum exposure could result from decreased PD-L expression. Indeed, treatment with oxaliplatin, cisplatin, or carboplatin during DC maturation moderately reduced the expression of PD-L1 and profoundly reduced the expression of PD-L2 (Figure 4A). We did not observe these effects with other tested chemotherapeutic agents. Expression of other known inhibitory receptors remained unaltered upon platinum treatment (Figure 4B). Blocking of the PD-1/PD-L1/PD-L2 axis by anti–PD-L1 and –PD-L2 antibodies enhanced the capacity of
We next tested to determine whether the same platinum compounds upregulating PD-Ls in order to evade antitumor immunity (10), PD-L2 and subsequent increased T cell recognition downregulation of PD-L2. Data provide evidence that the enhanced immunogenicity of platinum-treated DCs depends on inactivation of STAT6 resulting in data shown. n = 3. Since many cancer cells mature DCs to stimulate T cells to a similar level when compared with platinum-treated DCs. However, the increased allostimulatory potential of DCs upon platinum treatment was abolished in the presence of PD-L2 antibodies (Figure 4C). This strongly supports the notion that downregulation of PD-L1, and particularly PD-L2, is a major cause of the enhanced T cell stimulatory capacity of platinum-treated DCs.

The most important regulator of PD-L2 is the molecule STAT6. Macrophages from Stat6−/− mice are virtually unable to express PD-L2 (8). DCs from NF-κB p50−/−p65−/− mice also have defective PD-L2 upregulation upon activation (9). Therefore, we measured STAT6 and phosphorylated (active) STAT6 expression in DCs treated with or without platinum chemotherapy. We observed that treatment of DCs with platinum compounds resulted in a strong decrease in phosphorylated STAT6 (Figure 5A). To investigate whether the STAT6 dephosphorylation was the cause of the observed enhanced immunogenicity of DCs upon platinum exposure, we tested the T cell stimulatory activity of platinum-treated DCs exploiting siRNA with enhanced T cell recognition as measured by antigen-specific recognition of an immunosuppressive tumor microenvironment not only reduces PD-L2, but also effectively enhances susceptibility of tumor cells to cytotoxic T cells, we compared the recognition of platinum-treated and nontreated gp100 antigen expressing melanoma cells by gp100-specific T cells (14). As expected, we observed that platinum-induced reduction of PD-L2 coincides with enhanced T cell recognition as measured by antigen-specific IFN-γ production (Figure 6C). Together, these findings indicate that platinum compounds not only directly affect immune cells, but also modulate an immunosuppressive microenvironment by dephosphorylating STAT6 in tumors, resulting in downregulation of PD-L2 and subsequent enhanced tumor cell recognition by cytotoxic T lymphocytes.

Tumor STAT6 dictates response to platinum-based cancer treatment. Finally, to verify the clinical relevance of platinum-induced STAT6 modulation in tumor cells in cancer patients, we analyzed tumor STAT6 expression in a cohort of patients with squamous cell carcinoma of the head and neck that had been treated in our institute with cisplatin in combination with radiotherapy (Figure 7, A and B, and Supplemental Table 2). We could exclude a possible effect of radiotherapy on STAT6 activation by in vitro analysis of IL-4–induced STAT6 phosphorylation in tumor cells, either irradiated or not (Supplemental Figure 5). After a median follow-up of 68 months, we observed a clinically highly relevant and statistically significant difference between patients with STAT6-negative tumors and STAT6-positive tumors with a 3-year recurrence-free survival of 48% and 80%, respectively (Figure 7C). In a matched cohort of patients that had been treated during that same period with radiotherapy only, tumor STAT6 expression was not correlated with an improved clinical outcome (Figure 7D). Rather, there was a clear trend toward a shorter progression-free survival. This could be explained by the immune-evasive potential of STAT6-expressing tumor cells, if not attacked by platinum-based chemotherapy. These data demonstrate the significance of platinum-induced STAT6 modulation in the tumor microenvironment in cancer patients.
Platinum-based chemotherapy represents a cornerstone in the systemic treatment of many types of cancer (15). Recent observations suggest that, beside their direct cytotoxic effects, platinum anticancer drugs may also exert their clinical effect through indirect activation of the immune system via induction of an immunogenic type of tumor cell death (5, 6, 16). Furthermore, it has been reported that tumor sensitivity to T cell killing can be increased by platinum due to upregulation of tumor mannose-6-phosphatase receptors (17). Other examples of immunogenic effects of cancer chemotherapy include enhanced antigen presentation by gemcitabine, paclitaxel, and anthracyclines (3, 4); and enhanced homeostatic lymphocyte proliferation and specific tumor infiltration by cyclophosphamide (18). Depletion of myeloid-derived suppressor cells has been described for fluoropyrimidines (19), and depletion of regulatory T cells has been reported for cyclophosphamide (20). So far, the effect of cytotoxic anticancer drugs on inhibitory immunological pathways has not been described before.

The PD-1/PD-L pathway is of pivotal importance in regulating the immune balance between T cell activation and inhibition (10). High PD-L expression by antigen-presenting DCs can result in the induction of tolerant or anergic T cells (10, 21, 22). A wide variety of human cancers express PD-Ls, which are known to correlate with poor prognosis (23–26). Antibody blockade of the PD-1/PD-L pathway results in enhanced tumor-specific T cell expansion and activation (27, 28). Our findings show that treatment with platinum compounds downregulates these PD-L inhibitory molecules, which not only results in enhanced T cell stimulation by DCs, but at the same time enhances the sensitivity of the tumor for lysis by cytotoxic T cells. The importance of our findings is underscored by recent evidence that not only proinflammatory cytokines such as TNF-α (29), but also IL-4 and IL-13, are abundantly present in the tumor microenvironment and induce phosphorylation of STAT6 in tumor cells, resulting in an incompetent Th2 type of immune milieu (30). We propose that platinum-based chemotherapy may revert this incompetent Th2 into effective Th1 antitumor immunity.

While the results of this initial clinical study indicate that STAT6 modulation is of major importance for the antitumor effect of platinum chemotherapy, a prospective trial is needed to truly assess the predictive value of STAT6 expression for tumor platinum sensitivity. In addition, we cannot exclude the possibility that besides PD-L2, other unknown factors in the tumor environment are affected by platinum-induced STAT6 dephosphorylation and may contribute to the observed effect. More research on the effects of platinum-induced STAT6 dephosphorylation is therefore warranted.

In conclusion, our findings may contribute to the design of innovative treatment schedules for cancer patients by the combination of platinum compounds with known immunotherapeutic approaches.

Methods
DC culture. Peripheral blood mononuclear cells were obtained from leukapheresis material from melanoma and colorectal cancer patients participating in clinical DC vaccination studies (NCT00243529 and NCT00228189; http://clinicaltrials.gov/) (31, 32). The studies were reviewed and approved by our Institutional Review Board (Committee on Research Involving Human Subjects Region Arnhem-Nijmegen, Nijmegen, The Netherlands), and informed consent for experimental use of the cells was obtained from all patients.
patients. DCs were generated from adherent peripheral blood mononuclear cells by culturing in X-VIVO 15 medium (Lonza) supplemented with 2% human serum (Sanquin) in the presence of IL-4 (500 U/ml) and granulocyte-monocyte CSF (800 U/ml, both Strathmann), as previously described (33). For experiments concerning KLH-specific immune responses, the DCs were loaded on day 3 of the culture with KLH (10 μg/ml; Calbiochem).

At day 5, DCs were matured with a cytokine cocktail (PGE2, 10 μg/ml [Pfizer]; TNF-α, 10 ng/ml, IL-1β, 5 g/ml, and IL-6, 15 ng/ml [all CellGenix]) or with TLR3 and TLR7 ligands (poly[I:C], 20 μg/ml [Sigma-Aldrich]; and R848, 3 μg/ml [Axxora]) for 48 hours. Chemotherapy was added either after the maturation or during the maturation, as indicated. Supernatants were harvested after 24 hours for cytokine analysis.

**Mixed lymphocyte reaction.** DCs were plated in sterile 96-well U-bottom plates (Corning), 2 × 10^4/well in RPMI medium (Invitrogen), supplemented with 5% human serum. Peripheral blood mononuclear cells of a healthy voluntary donor were freshly isolated by density gradient centrifugation and added to the wells, 1 × 10^5/well. Supernatants were harvested after 48 hours for cytokine analysis, as indicated. After 5 days, 1 μCi/well of ^3^H-thymidine was added to the culture for 8 hours, after which proliferation was stopped by storing the culture plate at –20°C. Incorporation of ^3^H-thymidine was measured in a β-counter.

In some experiments, blocking antibodies against PD-L1 and PD-L2 (both from eBioscience) were added to the culture in a final concentration of 10 μg/ml, as indicated. Normal mouse serum was used as isotype control.

**Figure 4**
Platinum-based chemotherapeutics downregulate PD-L2 expression on DCs, resulting in enhanced T cell activation. (A and B) Expression of T cell inhibitory molecules PD-L1 and PD-L2 (light gray, isotype; white, untreated DC; dark gray, platinum-treated DC) and B7-H2/H3/H4 and IDO by DCs exposed to platinum chemotherapy during maturation. A representative experiment is shown. n > 5 for PD-Ls; n = 2 for other inhibitory molecules. (C) MLR with DCs matured in the presence (black bars) or absence (white bars) of 5 μg/ml oxaliplatin in the presence of blocking antibodies against PD-L1, PD-L2, or control immunoglobulin. #P < 0.05, compared with IgG control DCs; **P < 0.01; ***P < 0.001. A representative experiment is shown. n = 3. Data are depicted as mean + SEM.
Cytokine production was measured by cytometric bead array in the supernatants of the mixed lymphocyte reaction (MLR) (Th1/Th2 Cytokine CBA 1; BD Biosciences — Pharmingen or Flowcytomix BenderMed) or DC culture (Inflammation Kit CBA; BD Biosciences — Pharmingen or Flowcytomix, BenderMed).

Flow cytometry. The following antibodies were used for analysis of DC and tumor cell phenotype: HLA-ABC (hybridoma W6/32), HLA-DR/DP (hybridoma Q5/13), CD80, CD86, (all BD), CD83 (Beckman Coulter), PD-L1-PE (eBioscience), PD-L2-PE, and IgG1-PE (both BD). The following antibodies were used for analysis of murine BM-DC phenotype: PD-L2–PE (eBioscience), CD11c-APC (Biolegend), and IgG1-APC (BD).

Tumor cell culture. The human melanoma cell line BLM was cultured in DMEM medium (Invitrogen) supplemented with penicillin G, streptomycin sulfate, and amphotericin B (Invitrogen) and 7% human serum, in a 1:10,000 ratio of DMEM medium (Invitrogen) supplemented with penicillin G, streptomycin sulfate, and amphotericin B (Invitrogen) and 7% human serum, in a 1:10,000 ratio of DMEM medium (Invitrogen) supplemented with penicillin G, streptomycin sulfate, and amphotericin B (Invitrogen) and 7% human serum, in a 1:10,000 ratio. Twice weekly, the cells were harvested and plated again at 1:10. For PD-L expression upon platinum treatment, cells were cultured in the presence of oxaliplatin, carboplatin, and cisplatin in clinically meaningful concentrations.

Preparation of protein lysates and Western blotting. Regardless of cell type, 1 × 10^6 cells were lysed in 100 μl phosphatase-inhibiting lysis buffer containing 10 mM Tris/HCl, pH 7.8, 5 mM EDTA, 50 mM NaCl, 1 mM NaVO_4, 10 mM pyrophosphate, 50 mM NaF, 1% Triton X-100, 1 mM PMSF, 10 μg/ml aprotinin, 10 μg/ml leupeptin, and 1X Roche protease inhibitor cocktail (Roche Diagnostics Nederland BV). Before polyacrylamide gel electrophoresis, reducing sample buffer (62.5 mM Tris/HCl, pH 6.8, 25% v/v glycerol, 2% w/v sodium dodecyl sulfate, 0.01% w/v bromophenol blue, 5% v/v β-mercaptoethanol) was added 1:1 to a lysis equivalent of approximately 200,000 cells. Samples were subjected to polyacrylamide gel electrophoresis using the Mini-PROTEAN system (Bio-Rad) and further processed for Western blot analysis (34). After blocking, membranes were incubated with one of the following antibodies: mouse monoclonal anti-β-actin (1:10,000, Sigma-Aldrich), mouse monoclonal anti-pSTAT6 (pY641, 1:250, BD Biosciences — Pharmingen), or rabbit polyclonal anti-STAT6 antibody (S-20,
For gp100-specific activation, BLM melanoma cells cultured in IL-4 and IFN-γ with or without platinum chemotherapy for 24 hours, cis, 10 μg/ml cisplatin. A representative experiment is shown. n = 5.

Western blot of BLM melanoma cells treated with or without IL-4, IFN-γ, LPS, TNF-α, and 20 μg/ml cisplatin for 8 hours, as indicated. A representative experiment is shown. n = 5. (C) IFN-γ production of gp100-specific T cells upon coculture with gp100-expressing BLM melanoma cells, preincubated with or without 10 μg/ml cisplatin for 24 hours. A representative experiment is shown. n = 3. *P < 0.05.

Data are depicted as mean + SEM.

Figure 6

Platinum-based chemotherapeutics downregulate PD-L2 on tumor cells via STAT6 dephosphorylation, resulting in enhanced tumor cell recognition by tumor antigen–specific T cells. (A) PD-L1 and PD-L2 expression by BLM melanoma cells cultured in IL-4 and IFN-γ with or without platinum chemotherapy for 24 hours, cis, 10 μg/ml cisplatin. A representative experiment is shown. n = 5. (B) Western blot of BLM melanoma cells treated with or without IL-4, IFN-γ, LPS, TNF-α, and 20 μg/ml cisplatin for 8 hours, as indicated. A representative experiment is shown. n = 5. (C) IFN-γ production of gp100-specific T cells upon coculture with gp100-expressing BLM melanoma cells, preincubated with or without 10 μg/ml cisplatin for 24 hours. A representative experiment is shown. n = 3. *P < 0.05. Data are depicted as mean + SEM.
T cells produced no IFN-γ when cocultured with BLM melanoma cells that were not transfected with gp100 (not shown). The following Abs were used: coating Ab: mouse-IgG1-anti-hIFN-γ (clone 2G1; Pierce); detection Ab: biotinylated mouse-IgG1–anti-hIFN-γ (clone B133.5; Pierce). Streptavidin-HRP and TMB were used as enzyme and substrate, respectively.

**STAT6 staining of tumor sections.** Paraffin-embedded slides of 58 patients with locally advanced squamous cell carcinoma of the head and neck that had been treated with cisplatin (weekly 40 mg/m²) in combination with accelerated radiotherapy were stained for STAT6 expression. Inflammatory cells that stain for STAT6 were used as internal control. Percentage of tumor cells with cytoplasmic staining, regardless of intensity, was estimated. Based on the distribution, 50% positive cells was chosen as cut-off level: tumors were considered STAT6-positive when more than 50% of the cells had cytoplasmic staining.

The staining procedure was as follows: slides were deparaffinized by 5-minute incubation in xylol, washed in 100% ethanol, and hydrated in PBS. Slides were then incubated in 3% hydrogen peroxide for 10 minutes and hydrated in PBS. The slides were then placed in preheated to boiling temperature sodium citrate, 0.05%, pH 6, and incubated for 10 minutes and rinsed in 1x PBS. They were then incubated overnight with the primary antibody STAT6 diluted in normal antibody diluent (Immunologic) overnight at room temperature (dilution 1:80) at 4°C. The secondary antibody was PowerVision Poly-HRP–Anti Ms/Rb/Rt IgG (Immunologic), which was used for 30 minutes at room temperature, followed by DAB (DAB plus, Power DAB) substrate for 5 minutes, and counterstained with hematoxylin and mounted with xylol.

**Clinical study.** We retrospectively constructed a patient database using data obtained in the period of 2000–2009 in our institute. Data were obtained from patients who received the standard of care treatment. These patients were informed that their clinical data and tissue samples could be used for anonymized scientific analysis, for which they gave their consent. Eligible patients had histologically proven locally advanced squamous cell carcinoma of the head and neck without distant metastases. We analyzed data from 2 patient cohorts: one cohort of patients that had been treated with weekly cisplatin 40 mg/m² in combination with a 6-week course of radiotherapy and a second cohort of patients that had been treated with radiotherapy alone. Histological tumor material for STAT6 staining obtained before treatment had to be available. The primary end point was recurrence-free survival, which was defined as the period between start of treatment and the occurrence of a relapse, not including a second primary or death due to other causes. The following characteristics were registered in the database: tumor location, TNM stage, age, and sex (Supplemental Table 2). We collected data of 58 patients that had been treated with cisplatin in combination with radiotherapy and of 48 patients, matched for tumor status, who had been treated with radiotherapy alone. Tumor and patient characteristics were evenly distributed between STAT6-positive and STAT6-negative cases. Three patients were excluded: 2 patients because of inadequate tumor material for STAT6 staining, 1 patient because only material that was obtained after treatment was available.

**Statistics.** Data were analyzed statistically by means of ANOVA and Student-Neuman-Keuls test. Statistical significance was defined as $P < 0.05$. The recurrence-free survival curves were estimated by the Kaplan-Meier method and compared by means of the log-rank test. Data are depicted as mean ± SEM.

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