PDF hosted at the Radboud Repository of the Radboud University Nijmegen

The following full text is a publisher's version.

For additional information about this publication click this link.
http://hdl.handle.net/2066/95996

Please be advised that this information was generated on 2019-07-30 and may be subject to change.
Impact of the HIV-1 env Genetic Context outside HR1–HR2 on Resistance to the Fusion Inhibitor Enfuvirtide and Viral Infectivity in Clinical Isolates

Franky Baatz1, Monique Nijhuis2, Morgane Lemaire1, Martiene Riedijk2,3, Annemarie M. J. Wensing2, Jean-Yves Servais1, Petra M. van Ham2, Andy I. M. Hoepelman3, Peter P. Koopmans4, Herman G. Sprenger5, Carole Devaux1, Jean-Claude Schmit1, Danielle Perez Bercoff1,4

1 Laboratory of Retrovirology, CRP-Santé, Luxembourg, Luxembourg, 2 Department of Virology, Medical Microbiology, UMC Utrecht, Utrecht, The Netherlands, 3 Department of Internal Medicine and Infectious Diseases, UMC Utrecht, Utrecht, The Netherlands, 4 Division Infectious Diseases, Department of General Internal Medicine, Radboud University Medical Center, Nijmegen, The Netherlands, 5 Division of Infectious Diseases, Department of Internal Medicine, University Medical Center Groningen, Groningen, The Netherlands

Abstract

Resistance mutations to the HIV-1 fusion inhibitor enfuvirtide emerge mainly within the drug’s target region, HR1, and compensatory mutations have been described within HR2. The surrounding envelope (env) genetic context might also contribute to resistance, although to what extent and through which determinants remains elusive. To quantify the direct role of the env context in resistance to enfuvirtide and in viral infectivity, we compared enfuvirtide susceptibility and infectivity of recombinant viral pairs harboring the HR1–HR2 region or the full Env ectodomain of longitudinal env clones from 5 heavily treated patients failing enfuvirtide therapy. Prior to enfuvirtide treatment onset, no env carried known resistance mutations and full Env viruses were on average less susceptible than HR1–HR2 recombinants. All escape clones carried at least one of G36D, V38A, N42D and/or N43D/S in HR1, and accordingly, resistance increased 11- to 2800-fold relative to baseline. Resistance of full Env recombinant viruses was similar to resistance of their HR1–HR2 counterpart, indicating that HR1 and HR2 are the main contributors to resistance. Strictly X4 viruses were more resistant than strictly R5 viruses, while dual-tropic EnvIs featured similar resistance levels irrespective of the coreceptor expressed by the cell line used. Full Env recombinants from all patients gained infectivity under prolonged drug pressure; for HR1–HR2 viruses, infectivity remained steady for 3/5 patients, while for 2/5 patients, gains in infectivity paralleled those of the corresponding full Env recombinants, indicating that the env genetic context accounts mainly for infectivity adjustments. Phylogenetic analyses revealed that quasispecies selection is a step-wise process where selection of enfuvirtide resistance is a dominant factor early during therapy, while increased infectivity is the prominent driver under prolonged therapy.

Introduction

The human immunodeficiency virus type 1 (HIV-1) envelope glycoprotein (Env) mediates viral entry into the host cell by fusion of the viral envelope with the host cell membrane [reviewed in [1,2,3]]. The Env complex is composed of two non-covalently linked subunits, the surface glycoprotein (gp120) and the transmembrane glycoprotein (gp41), displayed as homotrimerers at the surface of the virion and of infected cells. Viral entry is a multistep phenomenon: Binding of gp120 to the CD4 receptor expressed on the surface of target cells induces a conformational change that exposes the third hypervariable loop (V3) of gp120, which in turn binds one of the two chemokine receptors CCR5 (R5 viruses) or CXCR4 (X4 viruses). Consequently, the viral V3 sequence defines cell tropism to a large extent, although regions outside the V3-loop have been described to modulate coreceptor usage [4,5,6]. Coreceptor binding triggers further conformational changes in the ectodomain of gp41 that lead to the insertion of the N-terminal glycan-rich fusion peptide into the host cell membrane. Folding of heptad repeat 2 (HR2) region onto heptad repeat 1 (HR1) region forms a highly stable six helix bundle structure and brings the viral and host cell membranes into close contact, ultimately leading to the fusion of both membranes [7,8,9,10].

It has been shown that synthetic peptides that bind to one of the HR motifs interfere with the formation of a stable six helix bundle and inhibit viral entry [7,11,12,13]. Enfuvirtide (ENF, ‘T-20’ [11,14]) is a subcutaneously injected 36 amino acids (AA) peptide mimicking part of the HR2 sequence (AA 127 to 162). Enfuvirtide binds HR1 and hinders the fusion process by preventing the HR1–HR2 interaction. Enfuvirtide is active against multi-drug resistant
viral strains and is currently recommended as salvage therapy for highly drug experienced patients.

Enfuvirtide resistant HIV-1 variants however rapidly emerge under enfuvirtide selective pressure [15,16] and resistance mutations have been described both in vitro and in vivo [17,18,19, 20,21,22,23,24,25,26,27]. Most resistance mutations are located within HR1, between AA 36 and 45 [HXB2 numbering] [17,19,25]. Some resistance mutations, reduce viral infectivity in the absence of the drug, probably as a consequence of impaired interactions between HR1 and HR2 and delayed fusion kinetics [19,28,29,30]. Compensatory mutations that restore viral infectivity may arise within HR2 [19,26,29,31]. Some of these mutations, such as the S138A substitution, have been suggested to confer some resistance per se [19,29], but others do not or only modestly impact the level of resistance.

Enfuvirtide operates by a unique mechanism as it does not target the specific Env, but a structural intermediate of the entry process, called the fusogenic intermediate, induced by binding of gp120 to the CD4 receptor. Hence, factors that influence the short kinetic window during which HR1 is accessible to the peptide also influence viral susceptibility. Env determinants outside of HR1 and HR2, including tropism, coreceptor affinity [30,32,33], the CD4 binding region of gp120 [20] and the bridging sheet region [30], have been shown to modulate the level of susceptibility/resistance to enfuvirtide. Although the impact of coreceptor usage on the level of susceptibility has been addressed by many authors, results remain controversial, likely a consequence of different experimental and analytical approaches [27,32].

Furthermore, it has been suggested that other determinants outside of HR1 may be involved in resistance and/or that the env genetic context drives the selection of Env in which resistance mutations emerge [34,35,36]. The present study addresses the relative contributions of Env determinants outside of the HR1 and HR2 regions to resistance to enfuvirtide and to viral infectivity by comparing NL4-3-derived recombinant virus pairs harboring either HR2 regions to resistance to enfuvirtide and to viral infectivity by mutations emerge [34,35,36]. The present study addresses the genetic context that drives the selection of Envs in which resistance mutations, such as the S138A substitution, have been suggested to confer some resistance per se [19,29], but others do not or only modestly impact the level of resistance.

Enfuvirtide resistant HIV-1 variants however rapidly emerge under enfuvirtide selective pressure [15,16] and resistance mutations have been described both in vitro and in vivo [17,18,19, 20,21,22,23,24,25,26,27]. Most resistance mutations are located within HR1, between AA 36 and 45 [HXB2 numbering] [17,19,25]. Some resistance mutations, reduce viral infectivity in the absence of the drug, probably as a consequence of impaired interactions between HR1 and HR2 and delayed fusion kinetics [19,28,29,30]. Compensatory mutations that restore viral infectivity may arise within HR2 [19,26,29,31]. Some of these mutations, such as the S138A substitution, have been suggested to confer some resistance per se [19,29], but others do not or only modestly impact the level of resistance.

Enfuvirtide operates by a unique mechanism as it does not target the specific Env, but a structural intermediate of the entry process, called the fusogenic intermediate, induced by binding of gp120 to the CD4 receptor. Hence, factors that influence the short kinetic window during which HR1 is accessible to the peptide also influence viral susceptibility. Env determinants outside of HR1 and HR2, including tropism, coreceptor affinity [30,32,33], the CD4 binding region of gp120 [20] and the bridging sheet region [30], have been shown to modulate the level of susceptibility/resistance to enfuvirtide. Although the impact of coreceptor usage on the level of susceptibility has been addressed by many authors, results remain controversial, likely a consequence of different experimental and analytical approaches [27,32].

Furthermore, it has been suggested that other determinants outside of HR1 may be involved in resistance and/or that the env genetic context drives the selection of Env in which resistance mutations emerge [34,35,36]. The present study addresses the relative contributions of Env determinants outside of the HR1 and HR2 regions to resistance to enfuvirtide and to viral infectivity by comparing NL4-3-derived recombinant virus pairs harboring either the sole HR1–HR2 region or the entire Env (gp120+gp41) ectodomain from longitudinal HIV-1 Envs cloned from plasma of heavily treated patients receiving enfuvirtide as part of a salvage regimen. Our results indicate that (i) the HR1–HR2 region is the major contributor to resistance, while the env genetic background modulates baseline susceptibility and to a lesser extent resistance after virological failure, (ii) coreceptor usage, and particularly strict X4 tropism, was associated with higher resistance, (iii) the env genetic context contributes to restoring viral infectivity, and (iv) both the level of resistance and viral infectivity orchestrate the selection of variants under prolonged enfuvirtide pressure.

**Results**

**Generation of HR1–HR2 and full Env recombinant viral particles**

Eighty full envelopes were cloned. All the tested HR1–HR2 recombinant viruses were infectious, but only 65% (32/50) of the full Env recombinant viruses were infectious, in line with previous reports [35]. A higher proportion (77%, 17/22) of baseline clones were infectious compared to enfuvirtide-escape clones (60%, 35/58) and very few late clones were infectious (Table S1). Phenotypic analyses were restricted to clones that allowed to generate both HR1–HR2 and full Env infectious recombinant viruses (n = 52).

**Baseline genotypic and phenotypic susceptibility to enfuvirtide**

No known enfuvirtide resistance mutation was detected in pre-treatment clones. Viruses from patients A, C, D and E carried the subtype B HR1 consensus sequence GIVQQQNNLL between AA 36 and 45. All the pre-treatment viral env clones from patient B carried the N42S polymorphism (Table S1).

Full Env recombinant viruses displayed greater variability in enfuvirtide susceptibility (FCIC50 range: 0.91–27.47) than HR1–HR2 recombinant viruses (FCIC50 range: 0.47–7.16) (Fig. 1A). Overall median FCIC50 of the full Env recombinant viruses was higher than that of the HR1–HR2 recombinant viruses (Fig. 1A) (p = 0.05), indicating that the env genetic context lowered susceptibility to enfuvirtide. At a single clone level, all the full Env pre-treatment recombinant viruses from patients A, C, D and E were less susceptible than the corresponding HR1–HR2 recombinant viruses (Fig. 1A and Fig. 2A, C, D and E, Table S1).

In contrast, patient B pre-treatment HR1–HR2 recombinant viruses displayed higher FCIC50 than their full Env counterparts (Fig. 1A). When compared to the 4 other patients’ *envs*, full Env recombinant viruses from patient B were more susceptible to enfuvirtide than those from the 4 other patients (p = 0.02), while HR1–HR2 recombinant viruses were less susceptible than those of the 4 other patients (p = 0.01) (Fig. 1B).

**Genotypic and phenotypic resistance during enfuvirtide treatment**

All the clones retrieved from patients after enfuvirtide treatment failure harbored at least one known resistance mutation within HR1, at positions 36 and/or 38 (patients A and C) and at positions 42 and/or 43 (patients B, D and E) (Table S1). Of note, none of the clones carried resistance mutations concomitantly at positions 36 and 38, 36 and 43 or 38 and 43, in contrast to reports by others [34,35]. FCIC50 of escape recombinant viruses increased 11- to 2800-fold relative to baseline. Overall, median FCIC50 of full Env recombinant viruses did not differ significantly from the FCIC50 of HR1–HR2 recombinant viruses (full Env median FCIC50 = 1469 [177;2401], HR1–HR2 median FCIC50 = 1062 [481;1877], p > 0.05). Likewise, at an individual patient level, no significant difference was detected in the level of resistance between full Env and HR1–HR2 recombinant viruses (patients A, B, D and E).

For 3 patients, resistance was associated with mutations at one position at all time points (AA 36 for patient A, AA 43 for patients B and E) and featured an over 100-fold increase in FCIC50 relative to the corresponding baseline clones, regardless of coreceptor usage (Fig. 2A, B and E, Table S1). For patient B, FCIC50 of the HR1–HR2 recombinant viruses were more susceptible than those of the corresponding full Env recombinant viruses at weeks 16 and 45, as for baseline clones. Furthermore, the level of resistance of HR1–HR2 recombinant viruses remained steady between weeks 16 and 45, whereas full Env recombinant viruses reached 3-fold higher resistance levels at week 45 than at week 16 (Fig. 2B, Table S1), highlighting that the env genetic context did not easily tolerate the constraints that allowed escaping enfuvirtide treatment. Because of the limited availability of early and late clones for patients A and E, variations in the level of resistance over time could not be assessed.

For patients C and D, resistance was associated with multiple mutations evolving over time. For patient C, 4/5 early escape viruses carried the G36D substitution and one clone carried the V38A mutation. The V38A variant featured 4-fold (full Env) and 6-fold (HR1–HR2) higher resistance than the early G36D clones (Fig. 2C, Table S1). At late time points, only the V38A mutation was detected associated with the L44M resistance mutation. Resistance of intermediate and late clones increased by 5-fold at week 59, and by 40-fold (HR1–HR2 recombinants) and 24-fold (full Env recombinants) at week 129 (p = 0.03). For patient D, 3/5 early env clones carried the N43D resistance mutation and one
Relative viral infectivity during enfuvirtide treatment

We then investigated whether the env genetic context surrounding HR1–HR2 played a role in infectivity adjustments. Overall, HR1–HR2 recombinant viruses featured higher median relative infectivities (RI) than their full Env counterparts prior to and after enfuvirtide treatment escape: pre-treatment median HR1–HR2 RI = 0.39 [0.58;1.22] vs median Env RI = 0.16 [0.04;0.43], p = 0.006 and after treatment failure median HR1–HR2 RI = 0.67 [0.44;1.21] vs median Env RI = 0.28 [0.13;0.75], p = 0.01. Because in this in vitro system differences in infectivity between paired recombinant viruses probably reflect the higher infectivity of HXB2-derived gp120 of HR1–HR2 recombinants over patient-derived primary gp120 of full env recombinants, we compared the changes in RI of HR1–HR2 and of full Env recombinant viruses during the time of follow-up rather than HR1–HR2/full Env recombinant virus pairs at a given time point.

RIs of full Env recombinant viruses spanned a wider range than RIs of HR1–HR2 recombinant viruses, consistent with the high genotypic conservation of the HR1–HR2 region. Early after enfuvirtide failure, RI of the escape variants was similar to or lower than at baseline for both HR1–HR2 and full Env recombinant viruses (Fig. 3). At later time points (intermediate and late), RI of full Env recombinant viruses increased for all patients relative to HR1–HR2 recombinant viruses during the time of follow-up rather than HR1–HR2/full Env recombinant virus pairs at a given time point.

Among the polymorphisms previously described to modulate viral infectivity, compensatory mutation S138A [19] was detected in 5/9 escape Env from patient B, in 9/10 intermediate and late escape Env from patient D (but in none of the early escape Env) and in all viral escape clones from patient E (Table S1). In all patients, however, the RIs of variants carrying the S138A mutation were comparable to those of variants carrying wild-type S138 (Fig. 3 and Table S1), suggestive that the relative infectivity of HXB2-derived gp120 of HR1–HR2 recombinants over patient-derived Env probably reflects the higher infectivity of HXB2-derived gp120 of HR1–HR2 recombinants over patient-derived Env gp120 of full env recombinants, we compared the changes in RI of HR1–HR2 and of full Env recombinant viruses during the time of follow-up rather than HR1–HR2/full Env recombinant virus pairs at a given time point.

Impact of tropism on the level of resistance

Because tropism has been described to modulate susceptibility to enfuvirtide [27,32], we compared resistance of strictly X4, strictly R5 and dual tropic clones. X4 Env recombinant viruses tended to be more resistant than R5 recombinant viruses at baseline and after virological failure (2.7-fold) [X4 median FCIC50 = 2499 [2273;4464], R5 median FCIC50 = 924 [284;1714]] (p = 0.001). For dual-tropic viruses, resistance was found to be similar, irrespective of the coreceptor expressed by the cell line used (data not shown).

Relative viral infectivity during enfuvirtide treatment

We then investigated whether the env genetic context surrounding HR1–HR2 played a role in infectivity adjustments. Overall, HR1–HR2 recombinant viruses featured higher median relative infectivities (RI) than their full Env counterparts prior to and after enfuvirtide treatment escape: pre-treatment median HR1–HR2 RI = 0.39 [0.58;1.22] vs median Env RI = 0.16 [0.04;0.43], p = 0.006 and after treatment failure median HR1–HR2 RI = 0.67 [0.44;1.21] vs median Env RI = 0.28 [0.13;0.75], p = 0.01. Because in this in vitro system differences in infectivity between paired recombinant viruses probably reflect the higher infectivity of HXB2-derived gp120 of HR1–HR2 recombinants over patient-derived primary gp120 of full env recombinants, we compared the changes in RI of HR1–HR2 and of full Env recombinant viruses during the time of follow-up rather than HR1–HR2/full Env recombinant virus pairs at a given time point.

RIs of full Env recombinant viruses spanned a wider range than RIs of HR1–HR2 recombinant viruses, consistent with the high genotypic conservation of the HR1–HR2 region. Early after enfuvirtide failure, RI of the escape variants was similar to or lower than at baseline for both HR1–HR2 and full Env recombinant viruses (Fig. 3). At later time points (intermediate and late), RI of full Env recombinant viruses increased for all patients relative to early escape clones (Fig. 3). The RI of HR1–HR2 recombinant viruses, by contrast, remained steady for patients A, B and E, strongly indicating that determinants other than HR1–HR2 account for infectivity adjustments, either through the emergence of compensatory mutations or through the selection of Env variants carrying the S138A mutation were comparable to those of variants carrying wild-type S138 (Fig. 3 and Table S1), suggestive that the relative infectivity of HXB2-derived gp120 of HR1–HR2 recombinants over patient-derived Env probably reflects the higher infectivity of HXB2-derived gp120 of HR1–HR2 recombinants over patient-derived Env gp120 of full env recombinants, we compared the changes in RI of HR1–HR2 and of full Env recombinant viruses during the time of follow-up rather than HR1–HR2/full Env recombinant virus pairs at a given time point.

Impact of tropism on the level of resistance

Because tropism has been described to modulate susceptibility to enfuvirtide [27,32], we compared resistance of strictly X4, strictly R5 and dual tropic clones. X4 Env recombinant viruses tended to be more resistant than R5 recombinant viruses at baseline and after virological failure (2.7-fold) [X4 median FCIC50 = 2499 [2273;4464], R5 median FCIC50 = 924 [284;1714]] (p = 0.001). For dual-tropic viruses, resistance was found to be similar, irrespective of the coreceptor expressed by the cell line used (data not shown).

Relative viral infectivity during enfuvirtide treatment

We then investigated whether the env genetic context surrounding HR1–HR2 played a role in infectivity adjustments. Overall, HR1–HR2 recombinant viruses featured higher median relative infectivities (RI) than their full Env counterparts prior to and after enfuvirtide treatment escape: pre-treatment median HR1–HR2 RI = 0.39 [0.58;1.22] vs median Env RI = 0.16 [0.04;0.43], p = 0.006 and after treatment failure median HR1–HR2 RI = 0.67 [0.44;1.21] vs median Env RI = 0.28 [0.13;0.75], p = 0.01. Because in this in vitro system differences in infectivity between paired recombinant viruses probably reflect the higher infectivity of HXB2-derived gp120 of HR1–HR2 recombinants over patient-derived primary gp120 of full env recombinants, we compared the changes in RI of HR1–HR2 and of full Env recombinant viruses during the time of follow-up rather than HR1–HR2/full Env recombinant virus pairs at a given time point.

RIs of full Env recombinant viruses spanned a wider range than RIs of HR1–HR2 recombinant viruses, consistent with the high genotypic conservation of the HR1–HR2 region. Early after enfuvirtide failure, RI of the escape variants was similar to or lower than at baseline for both HR1–HR2 and full Env recombinant viruses (Fig. 3). At later time points (intermediate and late), RI of full Env recombinant viruses increased for all patients relative to early escape clones (Fig. 3). The RI of HR1–HR2 recombinant viruses, by contrast, remained steady for patients A, B and E, strongly indicating that determinants other than HR1–HR2 account for infectivity adjustments, either through the emergence of compensatory mutations or through the selection of Env variants carrying the S138A mutation were comparable to those of variants carrying wild-type S138 (Fig. 3 and Table S1), suggestive that the relative infectivity of HXB2-derived gp120 of HR1–HR2 recombinants over patient-derived Env probably reflects the higher infectivity of HXB2-derived gp120 of HR1–HR2 recombinants over patient-derived Env gp120 of full env recombinants, we compared the changes in RI of HR1–HR2 and of full Env recombinant viruses during the time of follow-up rather than HR1–HR2/full Env recombinant virus pairs at a given time point.

Impact of tropism on the level of resistance

Because tropism has been described to modulate susceptibility to enfuvirtide [27,32], we compared resistance of strictly X4, strictly R5 and dual tropic clones. X4 Env recombinant viruses tended to be more resistant than R5 recombinant viruses at baseline and after virological failure (2.7-fold) [X4 median FCIC50 = 2499 [2273;4464], R5 median FCIC50 = 924 [284;1714]] (p = 0.001). For dual-tropic viruses, resistance was found to be similar, irrespective of the coreceptor expressed by the cell line used (data not shown).
contribution of the S138A substitution to infectivity rescue might also involve other determinants. Other mutations included substitutions N125D [29,38] (patients A, B and C), N125S and N126K [37,39,40] (patient D) and E137K [41,42] (patient E) (Table S1). The N125D/S polymorphisms within HR2 were detected in both baseline and escape clones, arguing against them playing a pivotal role in restoring infectivity. For patient E, the impact of E137K is difficult to evaluate as only intermediate clones were recovered and as gains in viral infectivity seem to map to env determinants outside of HR1–HR2 (Fig. 3E). In contrast, the N126K compensatory mutation in patient D viruses was detected exclusively in on-treatment viral sequences, pointing to it as a presumed contributor to the parallel infectivity gains of HR1–HR2 and full Env recombinants. Taken together, our data suggest that both compensatory mutations within HR1–HR2 (N126K for patient D, yet unidentified determinants for patient C) and the env genetic context (patients A, B and E) contribute to increased viral infectivity.

Phylogenetic analysis of full env sequences

Phylogenetic analyses were performed to dissect the relative contributions of resistance and of infectivity to selective evolution under prolonged enfuvirtide pressure.

For patients A and B, all the clones (baseline and post-treatment failure) were intermingled, suggesting that these viral populations explored different evolutionary routes. Early resistance mutations (G36D and N42S+N43D) conferred high level resistance (>100-fold) and persisted throughout treatment (Fig. 4A and B). For patient B, week 45 clones grouped on one branch and were related to pre-treatment clone 5.16 and early clone 16.1. The gain in infectivity of intermediate clones relative to the early clones was higher than the gain in resistance, suggesting that the evolutionary paths embraced must have favored infectivity adjustments.

For patients C and D, viral clones from the same point clustered together. Both patients hosted strains with resistance mutations at 2 positions at early time points (Table S1), and determinants within HR1–HR2 were sufficient to confer enfuvirtide resistance and to restore viral infectivity. The V38A (patient C) and N43D (patient D) mutations conferred higher resistance than the G36D (patient C) or N42D+N43S (patient D) mutations respectively, and at intermediate and late time points, the early G36D and N42D+N43S variants were outcompeted by V38A or the N43D variants (Fig. 2 and Fig. 3), indicating that early after treatment escape, the level of resistance is the main driver of viral selection.

It is noteworthy that for patients A and D, only one late clone was infectious. For patient C, all late (week 129) clones were extremely tightly related and emerged from the branch grouping early G36D variants (clones 27.3, 27.4, 27.6) rather than from the early V38A variant or from the intermediate V38A+L45M variants (Fig. 4C). For these 3 patients, late infectious clones stemmed from early rather than from intermediate escape clones, suggesting that the other evolutionary viable paths explored by the virus at the intermediate time point, led to a dead-end under pursed enfuvirtide pressure (Fig. 4A, C and D). Late infectious clones had similar resistance levels than early/intermediate clones and high RIs (Fig. 2 and Fig. 3), indicating that infectivity levels molded selection at late time points.

Discussion

In this study, direct comparison of HR1–HR2 and full Env recombinant viral particles containing longitudinal Env sequences from 5 patients receiving prolonged enfuvirtide-based therapy, formally points to HR1 and HR2 as the principal contributors to high level resistance. The surrounding env genetic context played a modulatory role on basal susceptibility and to a lesser extent on the level of resistance, in line with a previous report [16]. Previous studies have suggested that the env genetic context contributes to resistance to enfuvirtide both directly and by driving the selection of resistance mutations [23,34,35]. In these reports, escape variants that appeared under enfuvirtide pressure evolved from minority variants present prior to treatment. Because the selected resistance mutations conferred higher resistance levels within the genetic context within which they arose than in pre-therapeutic env clones from the same patient, the authors conclude that the env genetic context drives the resistance pathway embraced by viruses under drug pressure and that the level of resistance is the primary determinant of selection [34,35]. These reports contrast with the mainly modulatory role of the env genetic context we recorded. In our study, the weight of the full Env ectodomain was compared to isogenic HR1–HR2, while in the study by Goubard et al. [35] one single mutation (V38A) was inserted by site-directed mutagenesis. It is therefore not possible to exclude that in their study, the HR1–HR2 region rather than the full Env accounted for the env-encoded contribution to enfuvirtide resistance.

In the case of patient B, the env genetic context lowered the level of resistance. Furthermore, the HR1–HR2 recombinant viruses from this patient were less susceptible to enfuvirtide than the HR1–HR2 recombinants from the other patients despite the N42S polymorphism, which has been associated with increased enfuvirtide susceptibility and a slightly improved virological outcome [25,27,43]. In this patient, other yet undetermined, env-encoded determinants likely contributed to the particularly high susceptibility to enfuvirtide, and/or the env genetic context remained essentially unfavorable to the development of enfuvirtide resistance. Noteworthily, patient B hosted only strictly R5 viruses. It is therefore possible that tropism was one of the determinants that contributed to render full Env recombinants less susceptible to enfuvirtide than the isogenic HR1–HR2 recombinants.

We show that X4-coreceptor usage was the only factor associated with significantly lower resistance levels. Coreceptor usage has been previously reported to influence susceptibility/resistance to enfuvirtide, but contradictory results have been reported depending on the design of the study and on whether
Figure 3. Relative infectivity (RI) of full Env and HR1–HR2 recombinant viruses. U87.CD4.CCR5 and U87.CD4.CXCR4 were infected with serial two-fold dilutions (ranging from 400 pg p24/well to 50 pg p24/well) of HR1–HR2 and full Env recombinant viruses. Luciferase activity was monitored as a function of p24 input to assess infectivity and was normalized to the HXB2 reference to estimate RI. For full Env recombinant viruses, RI was determined on U87.CD4 cells expressing either CCR5 (red) or CXCR4 (blue). Closed circles (●) represent strictly R5 or X4 recombinant viruses and open circles (○) represent dual-tropic recombinant viruses. RI of HR1–HR2 recombinant viruses are represented as closed triangles (▲) and were
dual/mixed viruses are considered separately or included among the X4 strains [27,32,33,36]. Here we constructed env recombinant clones and analyzed strictly R5, strictly X4 and dual-tropic viruses as 3 distinct groups. The lower susceptibility of X4 recombinant viruses at baseline and after virological failure may reflect other intrinsic properties of gp120 [30,44,45]. Formal quantification of the gain in resistance associated with X4 tropism would require switching tropism by site-directed mutagenesis. In our study, X4 tested on U87.CD.CXCR4 cells. Medians (horizontal bars) of at least two independent experiments are shown. Medians with interquartile ranges are reported at the top of the graphs.

doi:10.1371/journal.pone.0021535.g003

Figure 4. Phylogenetic analysis of the full env sequences. Complete env coding sequences were aligned with HXB2 as the outgroup using the MUSCLE software and phylogenetic trees were constructed using the PhyML software. Main resistance mutations and compensatory mutations are indicated for each clone. Non-infectious clones are marked with a *. Bootstrap values >60% are indicated.

doi:10.1371/journal.pone.0021535.g004
recombinant viruses (both full Env X4 and HR1–HR2 viruses) also featured higher infectivity than R5 recombinants. Although the design of our experimental system is not suited to study the potential relationship between the level of resistance and infectivity, it is plausible that the lower susceptibility of X4 recombinant viruses is related to properties of gp120 that accelerate the fusion process, such as fusion kinetics, coreceptor affinity and binding sites [30,44,45]. Yet, the fact that dual tropic full Env recombinant viruses displayed similar FCIC50 in both the CXCR4- and CCR5-cell lines irrespective of infectivity levels in each cell type, argues against a direct relation between viral infectivity and enfuvirtide susceptibility. Alternatively, dual tropic viruses might differ from strictly R5 or strictly X4 viruses through intermediate fusion kinetics, in line with their intermediate median FCIC50 (data not shown).

Previous studies have reported both decreases and increases in viral infectivity under enfuvirtide treatment [28,34]. We did not detect pronounced reductions in viral infectivity (for both HR1–HR2 and full Env recombinant viruses), as reported by others [23]. However, working with clones implicitly restrains phenotypic analyses to infectious clones only, and we cannot exclude that losses in viral infectivity might be underestimated. Indeed, 77.3% of the baseline clones but only 60.3% of the escape clones were infectious, especially very few late clones were infectious. We found that both determinants within the HR1-HR2 regions (including compensatory mutations such as the S138A, N125D or N126K) and other gp120 properties likely accounted for gains in viral infectivity.

All patients achieved high level resistance as of the earliest time points and different resistance pathways were attempted. Early escape clones (patients C and D) with lower resistance were outcompeted by more resistant clones carrying the V38A or N43D resistance mutations respectively, indicating that the level of resistance reached is indeed one major determinant of evolution, as previously suggested [15,23,35]. Nonetheless, we found that intermediate and late infectious clones from all patients progressively gained R1I revealing that viral infectivity also strongly molds the selection of escape variants, and becomes the prevalent actor of evolutionary adjustments under prolonged drug pressure once high level resistance is established. These apparently discrepant results with previous reports that attribute the selection of viral strains under sustained enfuvirtide pressure to the sole level of resistance [23,35] might be due to the duration of enfuvirtide pressure: the latest on-therapy samples in the studies by Menzo et al. and by Goubard et al. were retrieved after 20 and 37 weeks of treatment, corresponding to a time-point between early and intermediate in our study. At these time-points, the level of resistance is indeed probably the major determinant of quasispecies selection, and viral diversity is still preserved. However, at later time points it is the level of infectivity that drives the selection of particular Env variants, a process that is highly constrained and strikes genetic diversity.

In conclusion, our findings indicate that selection of viral variants is molded gradually by the level of resistance achieved through mutations within HR1–HR2 at first, and by the viral determinants mining viral infectivity, including specific compensatory mutations and polymorphisms as well as other Env properties. The first step of the process, escaping enfuvirtide pressure and achieving resistance, can occur via different routes and involve a diversity of mutations, as illustrated by the relative dispersal of early escape clones from all patients throughout the phylogenetic trees. Infectivity adjustments occur later and are likely more strongly constrained by the env genetic context, translating into evolutionary “turn-backs” and scarce phylogenetic diversity.

### Materials and Methods

#### Patients and patient samples

Patients belong to the Dutch ATHENA Cohort for treatment evaluation and the study received ethical approval in the Netherlands. HIV-infected patients are informed that their data/samples are collected as part of the ATHENA evaluation study and consent is arranged according to an opt-out procedure. Clinicians sign a form to assign patients to the Cohort.

Five heavily treated male patients receiving enfuvirtide (90 mg twice daily) [Roche Pharmaceuticals] as part of a salvage regimen in addition to an optimized background treatment (OBT) and who experienced virological failure were selected. All patients were infected with subtype B viruses. Median viral load was 125572 RNA copies/ml (range: 14960–372000) and mean CD4+ cell counts were 147 cells/μl (range: 30–410) at baseline (Table S2). A median of 10 (range: 9–12) resistance mutations to reverse transcriptase inhibitors and a median of 10 (range: 6–12) resistance mutations to protease inhibitors were detected. Enfuvirtide treatment initiation induced a decrease from 0.8 log to 3.0 log HIV-RNA. All patients experienced virological failure after a median of 20 weeks on enfuvirtide+OBT. Patient B interrupted ENF therapy between weeks 22 and 29. Longitudinal frozen plasma samples prior to enfuvirtide treatment (baseline) and longitudinal samples during treatment failure were selected.

#### Cell lines

HEK293T (ATCC) cells were maintained in Dulbecco’s Modified Eagle Medium (DMEM) supplemented with 10% heat-inactivated foetal bovine serum (FBS), L-glutamine (2 mM), penicillin (50 U/mL) and streptomycin (50 μg/mL) (all from Gibco). U87.CD4.CCR5 and U87.CD4.CXCR4 cells (AIDS Research and Reference Reagent Program, Division of AIDS, NIAD, NIH [46]) were maintained in DMEM supplemented with 15% FBS, L-glutamine (2 mM), penicillin (100 U/mL), streptomycin (100 μg/mL), Geneticin (300 mg/mL) (Gibco) and puromycin (1 ng/mL) (Sigma-Aldrich). Expression of CD4, CCR5 and CXCR4 was monitored by flow cytometry.

#### Cloning and analysis of patient-derived full envs

HIV-1 RNA was isolated as previously described [47]. env was reverse transcribed and amplified using forward primer Env1a (5’-GGCTTAGGGCATCCTCCTATGCGGAAAGA-3’) HXB2 5954-5982 and reverse primer Env1b (5’-TAGGCCCCCTCGCA-GTCGCCCCCTTTTCTTTTA-3’ HBx 9010-9035) for patient C (baseline, early and intermediate) and forward primer FLenv1.1 (5’-TAGAGGCCCTGGAAGCATCCGGAAAG-3’ HXB2 5853-5877) and reverse primer FLenv1.2 (5’-TTGG-TACTTTGATTTGCTCATCT-3’ HBx 8938-8954) for all other samples The RT-PCR mix contained. 4.8 μl viral RNA, 0.2 μM of each primer, 0.4 mM of each dNTP (GE Healthcare), 3.3 mM MgSO4, 0.5 μl SuperScript III RT Platinum Taq High Fidelity DNA polymerase and 8 units RNaseOUT (Invitrogen Life Sciences), and conditions were as follows: reverse transcription for 30 min at 55°C, denaturation for 2 min at 94°C, and 30 amplification cycles (94°C for 20 s, 55°C for 30 s, 68°C for 4 min) followed by a final extension at 68°C for 5 minutes. 5 μl of this PCR product was further amplified over 30 cycles (95°C for 15 s, 58°C for 30 s, 68°C for 4 min) using forward primer Env2a (5’-AGAGAGAGGCTGGAGCAGTGG-CATGTA-3’) HBx 6202-6228 reverse primer Env2b (5’-CTTGGACATGTGCACCATCT-3’ HBx 8797-8817) for patient C (baseline, early and intermediate) and forward primer FLenv2.1 (5’-GATGAAAAGGTATTAGCACCTCCTTGA-
TGCGAGGAAGAA-3' HXB2 nt 5957-5983) and reverse primer FEnv2.2 (5'-AGCTTGATCGTCTGAGATCT-GCTCCCAACCA-3' HXB2 8904-8922) for all other samples. The nested PCR mix contained 2.0 mM MgSO4, 0.3 μM of each primer, 1.6 units Expand High Fidelity enzyme mix (Roche Applied Science) and 0.2 mM of each dNTP in Expand High Fidelity buffer. PCR products were purified (QIAquick PCR purification kit, Qiagen), A-tailed with 5 units of Taq DNA polymerase (Roche Applied Biosystems) at 70°C for 20 minutes, and TA-cloned in the pGEM-T-easy vector (Promega) using T4 DNA ligase (Promega) overnight at 4°C. Five clones were randomly selected for each time point (Table S1). For data analysis purposes, clones were classified as “baseline” (pre-envfiritide), “early” (first time point after virological failure, weeks 16 to 27), “intermediate” (weeks 42 to 59) and “late” (after week 94). For patients A and D, only one late clone was infectious and was therefore grouped with the corresponding intermediate clones.

All clones were sequenced (Genbank accession numbers HQ386140 to HQ386219). Full clonal sequences were aligned using the MUSCLE software (v3.8.31) [48].

**Amplification of patient-derived env and HR1–HR2 sequences**

env and HR1–HR2 fragments were PCR amplified from single clones and from reference pHXB2-env (AIDS Research and Reference Reagent Program, NIH) [49] using forward primer FEnv2.11. (5'GGGAGACAATTGGAGAAGTGAAT-3') and reverse primer del.env_RP (5'-GATAAATTGGGCAAGTTTGGG-3') containing the remaining endodomain of gp41 was then cloned into E.coli cells (Invitrogen Life Sciences). The Sall-BamHI fragment containing the remaining endodomain of gp41 was then cloned into the Sall-BamHI digested plasmid pNL4-3.Luc (gift of T. Dragic, Albert Einstein College of Medicine) [54], generating pNL4-3Δenv.Luc. pNL4-3ΔHR1-ΔHR2.Luc was engineered similarly, using the phosphorylated reverse primer del.HR1-2_RP (5'-ATTGGG-AGGCCCTGTGACCGCTGCA-3') (HXB2 7854-7834) and forward primer del.HR1-2_FP (5'-GATAAATTGGGCAAGTTTGGG-3') (HXB2 8214-8234) creating an Acl restriction site (shown in italics) (Fig. S1) for linearization. Correct deletion of the env and of the HR1–HR2 regions was attested by sequencing. Full Env and HR1–HR2 recombinant viral particles were generated by cotransfecting HEK293T cells with 3 μg of Δenv-linearized pNL4-3Δenv.Luc or Asf-linearized pNL4-3ΔHR1-ΔHR2.Luc with 4 μg of env amplicon or 1 μg of HR1–HR2 amplicon respectively, packaged in 20 μl of HEKfectin (Bio-Rad). Cell culture medium was harvested 48 hours later and clarified at 4°C to eliminate cell debris, and p24 antigen concentration was quantified by ELISA (Perkin-Elmer).

Experimental biases due to the recombination event with two different amplicons were excluded by verifying that HR1–HR2 and full Env recombinant viruses produced from HXB2 and from an otherwise identical HXB2-derived double mutant carrying the G36S+V38M mutations in gp41 (HXB2SIM) featured similar IC50 and infectivity levels (data not shown).

**Determination of viral infectivity**

104 U87.CD4.CXCR4 or U87.CD4.CCR5 cells seeded in 96-well plates were infected (in triplicate wells) with serial 2-fold dilutions of viral supernatants, (400 to 50 pg/well of p24 antigen). Infection was synchronized by spinoculation (1200 g, 2 hours, 25°C) and monitored after 48 hours by measuring bioluminescence in cell lysates (Promega luciferase assay system) using a Tecan Genios Pro Luminometer. Relative Light Units (RLUs) were plotted against viral p24 inoculi and the slope of the linear regression was calculated using GraphPad Prism v5.01 (GraphPad Software). Relative infectivity (RI) was defined as the ratio of the infectivity (slope) of each recombinant virus to that of the reference strain HXB2.

**Enfuvirtide phenotypic susceptibility/resistance testing**

104 U87.CD4.CXCR4 or U87.CD4.CCR5 cells were infected with recombinant viral supernatants in the presence of increasing enfuvirtide (Eurogentec) concentrations (0.04 ng/ml to 15 × 103 ng/ml). Viral input was adjusted to produce 105 RLUs. Infection (in triplicate wells) was synchronized by spinoculation and luciferase activity was monitored 48 hours after infection. IC50 values were calculated using the GraphPad Prism software and resistance was expressed as the fold-change in IC50 (FCIC50) relative to HXB2.

**Statistical analyses**

RI and FCIC50 are expressed as median with the 25th and 75th percentiles [interquartile range, IQR] and p-values<0.05 (two-sided) were considered statistically significant. Intra-patient results were compared using a nonparametric Kruskal-Wallis test followed by Dunn’s Multiple Comparison Test. Nonparametrical Mann-Whitney test (U-test) was used to compare inter-patient samples and Wilcoxon signed-rank test to analyze paired Env and HR1–HR2 recombinant viruses. For dual-tropic Env recombinant viruses, mean FCIC50s were used.

**Supporting Information**

Figure S1 Construction of the pNL4-3Δenv.Luc and the pNL4-3ΔHR1-ΔHR2.Luc vectors. After subcloning of the EcoRI-
Table S1  Supporting table

Table S2  Supporting table

References


