Search for squarks and gluinos using final states with jets and missing transverse momentum with the ATLAS detector in $\sqrt{s} = 7$ TeV proton-proton collisions

The ATLAS Collaboration

Abstract

A search for squarks and gluinos in events containing jets, missing transverse momentum and no electrons or muons is presented. The data were recorded in 2011 by the ATLAS experiment in $\sqrt{s} = 7$ TeV proton-proton collisions at the Large Hadron Collider. No excess above the Standard Model background expectation is observed in 1.04 fb$^{-1}$ of data. Gluino and squark masses below 700 GeV and 875 GeV respectively are excluded at the 95% confidence level in simplified models containing only squarks of the first two generations, a gluino octet and a massless neutralino. The exclusion limit increases to 1075 GeV for squarks and gluinos of equal mass. In MSUGRA/CMSSM models with $\tan\beta = 10$, $A_0 = 0$ and $\mu > 0$, squarks and gluinos of equal mass are excluded for masses below 950 GeV. These limits extend the region of supersymmetric parameter space excluded by previous measurements.

1. Introduction

Many extensions of the Standard Model (SM) include heavy coloured particles, some of which could be accessible at the Large Hadron Collider (LHC) [1]. The squarks and gluinos of supersymmetric (SUSY) theories [2] are one class of such particles. This Letter presents a new ATLAS search for squarks and gluinos in final states containing only jets and large missing transverse momentum. This final state can be generated by a large number of $R$-parity conserving models [3] in which squarks, $\tilde{q}$, and gluinos, $\tilde{g}$, can be produced in pairs ($\tilde{g}\tilde{g}$, $\tilde{q}\tilde{q}$, $\tilde{g}\tilde{q}$) and can decay via $\tilde{q} \rightarrow q\tilde{\chi}_1^0$ and $\tilde{g} \rightarrow q\tilde{\chi}_1^0$ to weakly interacting neutralinos, $\tilde{\chi}_1^0$, which escape the detector unseen. The analysis presented here is based on a purely hadronic selection; events with reconstructed electrons or muons are vetoed to avoid overlap with a related ATLAS search [4]. This updated analysis uses 1.04 fb$^{-1}$ of data recorded in 2011 and extends the sensitivity of the previous search described in Ref. [5] by including final state topologies with at least four jets, rather than three as before. The statistical analysis benefits from an improved technique which uses a combined likelihood fit across all the control regions used to determine the background contributions, in order to take into account correlations among the measurements. The search strategy is optimised for maximum discovery reach in the $(m_{\tilde{g}}, m_{\tilde{q}})$-plane for a set of simplified models in which all other supersymmetric particles (except for the lightest neutralino) are assigned masses beyond the reach of the LHC. Currently, the most stringent limits on squark and gluino masses are obtained at the LHC [4, 5, 6].

2. The ATLAS Detector and Data Samples

The ATLAS detector [7] is a multipurpose particle physics apparatus with a forward-backward symmetric cylindrical geometry and nearly 4$\pi$ coverage in solid angle. The layout of the detector is dominated by four superconducting magnet systems, which comprise a thin solenoid surrounding the inner tracking detectors and three large toroids supporting a large muon spectrometer. The calorimeters are of particular importance to this analysis. In the pseudorapidity region $|\eta| < 3.2$, high-granularity liquid-argon (LAr) electromagnetic (EM) sampling calorimeters are used. A steel-scintillator tile calorimeter provides hadronic coverage over $|\eta| < 1.7$. The end-cap and forward regions, spanning $1.5 < |\eta| < 4.9$, are instrumented with LAr calorimetry for both EM and hadronic measurements.

The data used in this analysis were collected in the first half of 2011 with the LHC operating at a centre-of-mass energy of 7 TeV. Application of beam, detector and data-quality requirements resulted in a total integrated luminosity of 1.04 ± 0.04 fb$^{-1}$ [8]. The main trigger required events to contain a leading jet with a transverse momentum ($p_T$), above 75 GeV and missing transverse momentum above 45 GeV. The trigger used an energy scale calibrated for electromagnetic objects. The details of the trigger specifications varied throughout the data-taking period, partly as a consequence of the rapidly increasing LHC luminosity. The efficiency of the trigger is > 98% for events selected by the offline analysis. The average number of proton-proton interactions per bunch crossing in the data sample was approximately six.

3. Object Reconstruction

The requirements used to select jets and leptons (objects) are chosen to give sensitivity to a range of SUSY models. Jet
candidates are reconstructed using the anti-$k_t$ jet clustering algorithm \cite{9} \cite{11} with a distance parameter of 0.4. The inputs to this algorithm are three-dimensional clusters of calorimeter cells \cite{11} seeded by those with energy significantly above the measured noise. Jet momenta are constructed by performing a four-vector sum over these cell clusters, treating each as an $(E, \vec{p})$ four-vector with zero mass. These jets are corrected for the effects of calorimeter non-compensation and inhomogeneities by using $p_T$ and $\eta$-dependent calibration factors based on Monte Carlo (MC) and validated with extensive test-beam and collision-data studies \cite{12}. Furthermore, the reconstructed jet is modified such that the jet direction points to the primary vertex, defined as the vertex with the highest summed track $p_T^2$, instead of the geometrical centre of the ATLAS detector. Only jet candidates with corrected transverse momenta $p_T > 20$ GeV are subsequently retained. For 84% of the data used, a temporary electronics failure in the LAr barrel calorimeter created a dead region in the second and third longitudinal layers, approximately $1.4 \times 0.2$ in $\Delta \eta \times \Delta \phi$, in which on average 30% of the incident jet energy is lost. The impact on the reconstruction efficiency for $p_T > 20$ GeV jets is found to be negligible. If any of the four leading jets fall into this region the event is rejected, causing a loss of signal acceptance which is smaller than 15% for the models considered here.

Electron candidates are required to have $p_T > 20$ GeV, have $|\eta| < 2.47$, and pass the ‘medium’ shower shape and track selection criteria of Ref. \cite{13}. Muon candidates \cite{13} are required to have $p_T > 10$ GeV and $|\eta| < 2.4$. Since no use is made of tau-lepton candidates in this analysis, in the following the term lepton will refer only to electrons and muons.

The measurement of the missing transverse momentum two-dimensional vector $\vec{P}_T^{\text{miss}}$ (and its magnitude $E_T^{\text{miss}}$) is then based on the transverse momenta of all electron and muon candidates, all jets which are not also electron candidates, and all calorimeter clusters with $|\eta| < 4.5$ not associated to such objects.

Following the steps above, overlaps between candidate jets with $|\eta| < 2.8$ and leptons are resolved using the method of Ref. \cite{14} as follows. First, any such jet candidate lying within a distance $\Delta R = \sqrt{(\Delta \eta)^2 + (\Delta \phi)^2} = 0.2$ of an electron is discarded: then any electron or muon candidate remaining within a distance $\Delta R = 0.4$ of any surviving jet candidate is discarded. Next, all jet candidates with $|\eta| > 2.8$ are discarded. Thereafter, the electron, muon and jet candidates surviving this procedure are considered as “reconstructed”, and the term “candidate” is dropped.

### 4. Event Selection

Following the object reconstruction described above, events are discarded if they contain any electrons or muons with $p_T > 20$ GeV, or any jets failing quality selection criteria designed to suppress detector noise and non-collision backgrounds (see e.g. Ref. \cite{13}).

These selections include a veto on leading jets (with $p_T > 100$ GeV and $|\eta| < 2$) which have a low fraction ($< 0.05$) of their $p_T$ carried by charged tracks, and a requirement that the leading jets all have consistent timing information from the calorimeters. Events are also rejected if the reconstructed primary vertex is associated with fewer than five tracks.

In order to achieve maximal reach over the $(m_{\tilde{g}}, m_{\tilde{b}})$-plane, five signal regions are defined. Squarks typically generate at least one jet in their decays, for instance through $\tilde{q} \rightarrow q \tilde{\chi}_1^0$, while gluinos typically generate at least two, for instance through $\tilde{g} \rightarrow q\bar{q} \tilde{\chi}_1^0$. Processes contributing to $\tilde{q}\tilde{q}$, $\tilde{g}\tilde{g}$ final states therefore lead to events containing at least two, three or four jets, respectively. Cascade decays of heavy particles tend to increase the final state multiplicity. Four signal regions characterized by increasing jet multiplicity requirements are therefore defined as shown in Table I, with the leading jet having $p_T > 130$ GeV, and other jets $p_T > 40$ GeV. The effective mass, $m_{\text{eff}}$, is calculated as the sum of $E_T^{\text{miss}}$ and the magnitudes of the transverse momenta of the two, three or four highest $p_T$ jets used to define the signal region. Two four-jet signal regions are defined requiring $m_{\text{eff}} > 500$ GeV (optimised for small mass differences between SUSY mass states) and $m_{\text{eff}} > 1000$ GeV (optimised for higher mass differences). In addition, a fifth ‘high mass’ signal region is derived from the four-jet sample, with more stringent requirements on the $p_T$ of the non-leading jets ($> 80$ GeV) and on $m_{\text{eff}} (> 1100$ GeV), in order to give maximal reach in the SUSY mass spectrum. For this latter signal region the transverse momenta of all jets with $p_T > 40$ GeV are used to compute $m_{\text{eff}}$. In Table I $\Delta\phi(\vec{P}_T^{\text{miss}})$ is the smallest of the azimuthal separations between $\vec{P}_T^{\text{miss}}$ and jets with $p_T > 40$ GeV (all reconstructed jets up to a maximum of three, in descending order of $p_T$). Requirements on $\Delta\phi(\vec{P}_T^{\text{miss}})$ and $E_T^{\text{miss}}/m_{\text{eff}}$ are designed to reduce the background from multi-jet processes.

### 5. Backgrounds, Simulation and Normalisation

Standard Model background processes contribute to the event counts in the signal regions. The dominant sources are:

<table>
<thead>
<tr>
<th>Signal Region</th>
<th>≥ 2-jet</th>
<th>≥ 3-jet</th>
<th>≥ 4-jet</th>
<th>High mass</th>
</tr>
</thead>
<tbody>
<tr>
<td>$E_T^{\text{miss}}$</td>
<td>&gt; 130</td>
<td>&gt; 130</td>
<td>&gt; 130</td>
<td>&gt; 130</td>
</tr>
<tr>
<td>Leading jet $p_T$</td>
<td>&gt; 130</td>
<td>&gt; 130</td>
<td>&gt; 130</td>
<td>&gt; 130</td>
</tr>
<tr>
<td>Second jet $p_T$</td>
<td>&gt; 40</td>
<td>&gt; 40</td>
<td>&gt; 40</td>
<td>&gt; 80</td>
</tr>
<tr>
<td>Third jet $p_T$</td>
<td>–</td>
<td>&gt; 40</td>
<td>&gt; 40</td>
<td>&gt; 80</td>
</tr>
<tr>
<td>Fourth jet $p_T$</td>
<td>–</td>
<td>–</td>
<td>&gt; 40</td>
<td>&gt; 80</td>
</tr>
<tr>
<td>$\Delta\phi(\vec{P}_T^{\text{miss}})$</td>
<td>&gt; 0.4</td>
<td>&gt; 0.4</td>
<td>&gt; 0.4</td>
<td>&gt; 0.4</td>
</tr>
<tr>
<td>$E_T^{\text{miss}}/m_{\text{eff}}$</td>
<td>&gt; 0.3</td>
<td>&gt; 0.25</td>
<td>&gt; 0.25</td>
<td>&gt; 0.2</td>
</tr>
<tr>
<td>$m_{\text{eff}}$</td>
<td>&gt; 1000</td>
<td>&gt; 1000</td>
<td>&gt; 500/1000</td>
<td>&gt; 1100</td>
</tr>
</tbody>
</table>

Table I: Criteria for admission to each of the five overlapping signal regions ($m_{\text{eff}}$, $E_T^{\text{miss}}$ and $p_T$ in GeV). All variables are defined in Section 3. The $m_{\text{eff}}$ is defined with a variable number of jets, appropriate to each signal region. In the high mass selection, all jets with $p_T > 40$ GeV are used to compute the $m_{\text{eff}}$ value used in the final cut, but the $E_T^{\text{miss}}$ definition is unchanged. The $\Delta\phi$ cut is only applied up to the third leading jet.
$W+\text{jets}$, $Z+\text{jets}$, top pair, single top, and multi-jet production. Non-collision backgrounds have been found to be negligible. The majority of the $W+\text{jets}$ background is composed of $W \to \tau \nu$ events, or $W \to e\nu; \mu\nu$ events in which no electron or muon candidate is reconstructed. The largest part of the $Z+\text{jets}$ background comes from the irreducible component in which $Z \to \nu \bar{\nu}$ decays generate large $E_T^{\text{miss}}$. Hadronic $\tau$ decays in $t\bar{t} \to b\bar{b}r\nu\bar{q}q$ and single top events can also generate large $E_T^{\text{miss}}$ and pass the jet and lepton requirements at a non-negligible rate. The multi-jet background in the signal regions is caused by misreconstruction of jet energies in the calorimeters leading to apparent missing transverse momentum, as well as by neutrino production in semileptonic decays of heavy quarks. Extended validation of the MC simulation against data has been performed for each of these background sources and for a wide variety of control regions.

In order to estimate the backgrounds in a consistent fashion, five control regions (CRs) are defined for each of the five signal regions (SRs), giving 25 CRs in total. The orthogonal CR event selections are designed to provide uncorrelated data samples enriched in particular background sources. Each ensemble of one SR and five CRs constitutes a different ‘channel’ of the analysis. The CR selections are optimised to maintain adequate statistical weight, while minimising as far as possible the systematic uncertainties arising from extrapolation to the SR. The purities of the CRs for the main background processes in which they are enriched exceed 50% in all cases.

For each channel, measurements in the CRs are used to derive background expectations in the SR through the use of ‘transfer factors’ equivalent to the ratios of expected event counts in the CRs and SR, derived independently of the data observations in the CR and SR. Some uncertainties, such as those arising in MC simulation from the jet energy scale and physics modelling, are reduced in the transfer factors. The combined likelihood fit across all control regions ensures that the background estimates are consistent for all processes, taking into account contamination of the CRs by multiple SM processes.

The likelihood function is built by the Poisson probability density function (pdf) describing the SR and the CRs and a pdf describing the systematic uncertainties:

$$L(n|\mu, b, \theta) = P_{\text{SR}} \times P_{\text{WR}} \times P_{\text{TR}} \times P_{\text{ZRA}} \times P_{\text{ZRB}} \times P_{\text{QR}} \times C_{\text{Sys}}.$$ 

The mean of the Poisson pdfs in the CRs are defined as

$$\lambda_j(\mu, b, \theta) = \mu \cdot C_{\text{SR} \rightarrow \text{SR}}(\theta) + \sum_j C_{\text{CR} \rightarrow \text{CR}}(\theta) \cdot b_j$$

where the index $j$ runs over the background control regions, $\mu$ is the signal strength, $b_j$ is the background $j$ in Region R and $C_{\text{CR} \rightarrow \text{CR}}$ the transfer factor of process $j$ from region R to the SR. The terms $C_{\text{QR} \rightarrow \text{QR}}, C_{\text{WR} \rightarrow \text{WR}}, C_{\text{ZRA} \rightarrow \text{ZRA}}, C_{\text{TR} \rightarrow \text{TR}}$ are by construction all equal to 1. Since the fit is not over-constrained in CR2, CR3 and CR4, where there is a single estimate of the background, the fit output matches the observed number of events in these regions by construction. This is not the case in CR1a and CR1b which both estimate the same background process, and a best fit number is produced in these regions.

The transfer factors are obtained from a combination of data and MC inputs. Those for multi-jet processes are estimated using a data-driven technique based upon the smearing of jets in a low $E_T^{\text{miss}}$ data sample (‘seed’ events with $E_T^{\text{miss}} / \sqrt{\sum p_T^{\text{jet}}}$ < 0.6 GeV$^{1/2}$) with jet response functions tuned by comparison with multi-jet dominated data control regions. For the $Z+\text{jets}$, $W+\text{jets}$ and top quark processes they are derived from MC. For each channel a likelihood fit is performed to the observed event counts in the five CRs, taking into account correlations in the systematic uncertainties in the transfer factors.

The irreducible background from $Z(\nu\bar{\nu})+\text{jets}$ events is estimated using control regions enriched in related processes with similar kinematics: events with isolated photons and jets [16] and events due to $Z(\ell\ell/\mu\mu)+\text{jets}$ (control regions denoted ‘CR1a’ and ‘CR1b’ respectively). The reconstructed momentum of the photon or the lepton-pair system is added to $P_T^{\text{miss}}$ to obtain an estimate of the $E_T^{\text{miss}}$ observed in $Z(\nu\bar{\nu})+\text{jets}$ events. The results from both control regions are found to be in good agreement, and both are used in the final fit. The small additional background contributions arising from $Z$ decays to misidentified charged leptons, and misidentified photon events, are estimated using the same control regions with appropriate transfer factors.

The background from multi-jet processes is determined using control regions (CR2) in which the cut on $\Delta\phi(\text{jet}, P_T^{\text{miss}})$ is reversed and tightened: $\Delta\phi(\text{jet}, P_T^{\text{miss}})_{\text{min}} < 0.2$. This selects events in which $P_T^{\text{miss}}$ is aligned with one of the three leading jets in the transverse plane. Such a topology is characteristic of events containing mismismeasured jets, or neutrino emission from heavy flavour decays within jets. A separate control region is used to estimate the additional multi-jet background generated by events affected by the temporarily dead region in the barrel EM calorimeter; this result is added to the multi-jet background estimate obtained from CR2.

The background from $W(\ell\nu)+\text{jets}$ production is estimated from samples of events with a lepton ($\ell$), $E_T^{\text{miss}} > 130$ GeV and a transverse mass of the ($\ell$, $E_T^{\text{miss}}$) system between 30 GeV and 100 GeV, i.e. consistent with the $W$ mass (control regions CR3). A veto against jets arising from $b$-quark decays, based on a tagging procedure exploiting both impact parameter and secondary vertex information, is applied to remove events containing top quarks. In this CR, leptons are treated as jets for the computation of the kinematic variables.

The background from top quark production is estimated using the same selection as for $W(\ell\nu)+\text{jets}$ events, but replacing the $b$-jet veto with a $b$-tag requirement (control regions CR4). This enhances the population of events containing top quark decays relative to that of direct $W$ production events. The resulting transfer factors include the contribution from events where both top quarks decay semi-leptonically, as well as events due to single top production.

MC simulation samples are used to develop the analysis, determine the transfer factors used to estimate the $W+\text{jets}$, $Z+\text{jets}$ and top quark backgrounds, and assess the sensitivity to specific SUSY signal models. Samples of multi-jet events from quantum-chromodynamic (QCD) processes are generated.
6. Systematic Uncertainties

Systematic uncertainties arise from the use of the transfer factors relating observations in the control regions to background expectations in the signal regions, and from the modelling of the SUSY signal. For the transfer factors derived from MC, the primary common sources of systematic uncertainty are the jet energy scale and resolution, physics modelling and reconstruction performance in the presence of pile-up.

The jet energy scale uncertainty has been measured from the complete 2010 data set using the procedure described in Ref. [3]. It depends upon $p_T$, $\eta$ and proximity to adjacent jets, and on average amounts to around 4%. The jet energy resolution measured with 2010 data is applied to the MC jets, with the difference between the recalibrated and nominal MC resolution taken as the systematic uncertainty. Additional contributions are added to both of these uncertainties to take into account the impact of pile-up at the relatively high luminosity delivered by the LHC in the 2011 run. Both in-time pile-up, i.e. multiple collisions within the same bunch crossing, and out-of-time pile-up, which arises from the detector response to neighbouring bunch crossings, have effects on jet energy measurements. These were studied in detail as a function of the average number of collisions per bunch crossing and by comparing data recorded with 75 and 50 ns bunch spacing. A worsening in the jet energy resolution in the forward region is observed when moving from 75 to 50 ns operation; a systematic uncertainty of $0.07 \times p_T$ is therefore applied to jets with $|\eta| > 2.8$, used for the $E^{\text{miss}}_T$ calculation. The combined effects of in-time and out-of-time pile-up on the jet energy scale are accounted for by an additional conservative systematic uncertainty of up to 7% depending on $|\eta|$ and $p_T$. All these uncertainties are propagated to the $E^{\text{miss}}_T$ measurement. The impact of in-time pile-up on other aspects of the selection was also investigated and found to be negligible as expected given the high energies of the jets entering the signal samples.

The dominant modelling uncertainty in MC predictions for the signal region and control regions arises from the treatment of jet radiation, which affects the calculation of $m_{\text{eff}}$. In order to assess this uncertainty, the main backgrounds are estimated using alternative generators (ALPGEN rather than MC@NLO for $t\bar{t}$ production) or reduced jet multiplicity (ALPGEN processes with 0–4 partons instead of 0–5 partons for $W/Z+$jets production). The impact of renormalisation and factorisation scale variations and PDF uncertainties was also studied. Differences in the absolute expectations for the numbers of events in the SR and CR as high as 100% are observed for specific processes; the impact on the ratios used in the transfer factors is, however, much smaller (differences <40%, channel dependent).

Additional uncertainties considered, for specific processes, include those arising from photon and lepton trigger efficiency, reconstruction efficiency, energy scale and resolution (CR1a, CR1b, CR3 and CR4), $b$-tag/veto efficiency (CR3 and CR4), photon acceptance and backgrounds (CR1a) and the limited size of MC samples (all CRs). Uncertainties on the multi-jet transfer factors are dominated by the modelling of the non-Gaussian tails of the response function. Other sources, including the limited number of data events, and uncertainties on the Gaussian part of the response functions, are also considered.

Systematic uncertainties on the expected SUSY signal are estimated by varying the factorisation and renormalisation scales in PROSPINO between half and twice their default values and by considering the PDF uncertainties provided by CTEQ6. Uncertainties are calculated for individual production processes ($\tilde{q}\tilde{q}$, $\tilde{g}\tilde{g}$, and $\tilde{q}\tilde{g}$) and are typically ~35% in the vicinity of the limits expected to be set by this analysis. Jet energy scale and resolution, and pile-up uncertainties on SUSY signal expectations are typically smaller than 30–40%.

7. Results, Interpretation and Limits

The observed signal region $m_{\text{eff}}$ distributions for each of the channels used in this analysis are shown in Figure 1 together with MC background expectations prior to using the likelihood fitting procedure. The number of observed data events and the number of SM events expected to enter each of the signal regions, determined using the likelihood fit, are shown in Table 1. The data are found to be in good agreement with the background expectation and no excess is observed. To illustrate the procedure, the inputs and outputs of the combined likelihood fit for the high mass channel are shown in Table 2.

Data from the five channels are used to set the limits, taking the channel with the best expected limit at each point in parameter space. The limit for each channel is obtained by comparing the observed numbers of signal events with those expected from SM background plus SUSY signal processes, taking into account uncertainties in the expectation including those which are

with PYTHIA [17], using the MRST2007LO* modified leading-order parton distribution functions (PDFs) [18]. Production of top quark pairs is simulated with MC@NLO [19, 20] (with a top quark mass of 172.5 GeV) and the Next-to-Leading Order (NLO) PDF set CTEQ6.6 [21]. Single top production is also simulated with MC@NLO [22, 23]. Samples of $W$ and $Z+\gamma$ events with accompanying jets are generated with ALPGEN [24] and PDF set CTEQ6L1 [25]. Fragmentation and hadronization for the ALPGEN and MC@NLO samples is performed with HERWIG [26, 27], using JIMMY [28] for the underlying event. SUSY signal samples are generated with HERWIG++ [29], normalised using NLO cross sections determined with PROSPINO [30]. The MC samples are produced using ATLAS parameter tunes [31] and are processed through a GEANT4 [32] based detector simulation [33]. Corrections are applied for small differences in reconstruction efficiencies, energy scales and resolutions between data and MC. Varying pile-up conditions as a function of the instantaneous luminosity are taken into account by reweighting the simulated events according to the mean number of interactions per bunch crossing observed in the data. Multi-jet MC samples, presented here in some figures for illustrative purposes only, are normalised to a sample of di-jet events with $\Delta R(jet, P_T^{\text{max}})_{\text{min}} < 0.4$. In all other cases the best available NLO or Next-to-NLO theoretical cross-section calculations were used.
Table 2: Fitted background components in each SR, compared with the number of events observed in data. The $Z/\gamma$+jets background is constrained with control regions CR1a and CR1b, the QCD multi-jet, $W$ and top quark backgrounds by control regions CR2, CR3 and CR4, respectively. In each case the first (second) quoted uncertainty is statistical (systematic). Background components are partially correlated and hence the uncertainties (statistical and systematic) on the total background estimates do not equal the quadrature sums of the uncertainties on the components.

<table>
<thead>
<tr>
<th>Process</th>
<th>CR1a</th>
<th>CR1b</th>
<th>CR2</th>
<th>CR3</th>
<th>CR4</th>
<th>SR</th>
</tr>
</thead>
<tbody>
<tr>
<td>$Z/\gamma$+jets</td>
<td>8.3</td>
<td>5.8</td>
<td>0.7</td>
<td>0.5</td>
<td>0.0</td>
<td>3.3</td>
</tr>
<tr>
<td>Fitted $W$+jets</td>
<td>–</td>
<td>–</td>
<td>29.8</td>
<td>0.8</td>
<td>0.6</td>
<td>2.1</td>
</tr>
<tr>
<td>Fitted $t\bar{t}$ single top</td>
<td>–</td>
<td>–</td>
<td>0.5</td>
<td>10.0</td>
<td>0.4</td>
<td>2.1</td>
</tr>
<tr>
<td>Total background</td>
<td>8.3</td>
<td>5.9</td>
<td>34.0</td>
<td>15.0</td>
<td>12.0</td>
<td>13.1</td>
</tr>
</tbody>
</table>

Table 3: Numerical inputs (i.e. the observed numbers of events in data) to and outputs from the likelihood fit to the control regions for the high mass channel. Each background process listed in the second row is measured using a control region, and the corresponding transfer factor is listed in the third row. An entry ‘–’ in rows 5–7 indicates that the process in that row is assumed not to contribute to the control region (based on Monte Carlo studies) and hence is excluded from the fit. All numerical entries give event counts, with the exception of the transfer factors.
Figure 1: The observed $m_{\text{eff}}$ distributions in the signal regions for the $\geq 2$ jet channel (top left), the $\geq 3$ jet channel (top right) and the two $\geq 4$ jet channels (bottom left), and for the high mass channel using the inclusive definition of $m_{\text{eff}}$ (bottom right), after all the selection criteria but the $m_{\text{eff}}$ cut. These plots also show the expected SM contributions obtained from MC simulated samples prior to normalisation using the data-driven likelihood method described in the text. The red arrows indicate the lower bounds on $m_{\text{eff}}$ used in the final signal region selections. The expectation for a MSUGRA/CMSSM reference point with $m_0 = 660$ GeV, $m_{1/2} = 240$ GeV, $A_0 = 0$, $\tan\beta = 10$ and $\mu > 0$ is also shown. This reference point is also indicated by the star on Figure 2. Below each plot the ratio of the data to the SM expectation is provided. Black vertical bars show the statistical uncertainty from the data, while the yellow band shows the size of the systematic uncertainties from the MC simulation.
correlated between signal and background (for instance jet energy scale uncertainties). The impact of SUSY signal contamination of the control regions is taken into account by applying MC-derived model dependent correction factors $\sim 0.97\text{--}1.02$ to the resulting exclusion significance values. The excluded regions are obtained using the CL$_s$ prescription [41].

An interpretation of the results is presented in Figure 2 (left) as a 95% confidence exclusion region in the $(m_{0}, m_{\tilde{q}})$-plane for a simplified set of SUSY models with $m(\tilde{\chi}_1^0) = 0$. In these models the gluino mass and the masses of the squarks of the first two generations are set to the values shown in the figure. All other supersymmetric particles, including the squarks of the third generation, are decoupled by being given masses of 5 TeV. The limits are reduced by decay chain kinematics if $m(\tilde{\chi}_1^0)$ is comparable to the squark or gluino mass. ISASUSY from ISAJET [42] v7.80 is used to calculate the decay tables, and to guarantee consistent electroweak symmetry breaking.

The results are also interpreted in the $\tan\beta = 10$, $A_0 = 0$, $\mu > 0$ slice of MSUGRA/CMSM [43] in Figure 2 (right). These limits include the effects of the mass spectrum of the SUSY particles on their decay chains. In regions of parameter space with small mass splittings between states, the modelling of initial state radiation can affect the signal significance. This modelling is taken from HERWIG without modification.

In the limit of light neutralinos, with the assumption that the coloured sparticles are directly produced and decay directly to jets and $\tilde{\chi}_1^0$, the limits on the gluino and squark masses are approximately 700 GeV and 875 GeV respectively for squark or gluino masses below 2 TeV, rising to 1075 GeV if the squarks and gluinos are assumed to be mass-degenerate. These limits remain essentially unchanged if the $\tilde{\chi}_1^0$ mass is raised as high as 200 GeV. In the case of a specific SUSY-breaking scenario, i.e. CMSSM/MSUGRA with $\tan\beta = 10$, $A_0 = 0$, $\mu > 0$, the limit on $m_{1/2}$ reaches 460 GeV for low values of $m_{0}$, and equal mass squarks and gluinos are excluded below 950 GeV. The use of signal selections sensitive to larger jet multiplicities than in [3] has improved the ATLAS reach at large $m_{0}$. The five signal regions are used to set limits on $\sigma_{\text{new}} = \sigma A_\epsilon$, for non-SM cross-sections (\sigma for which ATLAS has an acceptance $A$ and a detection efficiency of $\epsilon$ [44]. The excluded values of $\sigma_{\text{new}}$ are 22 fb, 25 fb, 429 fb, 27 fb and 17 fb, respectively, at the 95% confidence level.

8. Summary

This Letter reports a search for new physics in final states containing high-$p_T$ jets, missing transverse momentum and no electrons or muons with $p_T > 20$ GeV. Data recorded by the ATLAS experiment at the LHC, corresponding to an integrated luminosity of 1.04 fb$^{-1}$ have been used. Good agreement is seen between the numbers of events observed in the five signal regions and the numbers of events expected from SM sources. The exclusion limits placed on non-SM cross sections impose new constraints on scenarios with novel physics.

The results are interpreted in both a simplified model containing only squarks of the first two generations, a gluino octet and a massless neutralino, as well as in MSUGRA/CMSM models with $\tan\beta = 10$, $A_0 = 0$ and $\mu > 0$. In the simplified model, gluino and squark masses below 700 GeV and 875 GeV respectively are excluded at the 95% confidence level for squark or gluino masses below 2 TeV, with the limit increasing to 1075 GeV for equal mass squarks and gluinos. In the MSUGRA/CMSM models, equal mass squarks and gluinos are excluded below 950 GeV.

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Figure 2: Combined exclusion limits for simplified SUSY models with \( m(\tilde{q}) = 0 \) (left) and MSUGRA/CMSSM models with \( \tan \beta = 10, A_0 = 0 \) and \( \mu > 0 \) (right). The combined limits are obtained by using the signal region which generates the best expected limit at each point in the parameter plane. The dashed-blue line corresponds to the median expected limit at 95% C.L. The dot-dashed line corresponds to the observed limit at 95% C.L. The theoretically excluded limits are also shown. The MSUGRA/CMSSM reference point used in Figure 1 is indicated by the star in the right-hand figure.

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15 Department of Physics, Humboldt University, Berlin, Germany
16 Albert Einstein Center for Fundamental Physics and Laboratory for High Energy Physics, University of Bern, Bern, Switzerland
17 School of Physics and Astronomy, University of Birmingham, Birmingham, United Kingdom
18 (a)Department of Physics, Bogazici University, Istanbul; (b)Division of Physics, Dogus University, Istanbul; (c)Department of Physics Engineering, Gaziantep University, Gaziantep; (d)Department of Physics, Istanbul Technical University, Istanbul, Turkey
19 (a)INFN Sezione di Bologna; (b)Dipartimento di Fisica, Università di Bologna, Bologna, Italy
20 Physikalisches Institut, University of Bonn, Bonn, Germany
21 Department of Physics, Boston University, Boston MA, United States of America
22 Department of Physics, Brandeis University, Waltham MA, United States of America
23 (a)Universidade Federal do Rio De Janeiro COPPE/EE/IF, Rio de Janeiro; (b)Federal University of Juiz de Fora (UFJF), Juiz de Fora; (c)Federal University of Sao Joao del Rei (UFSJ), Sao Joao del Rei; (d)Instituto de Fisica, Universidade de Sao Paulo, Sao Paulo, Brazil
24 Physics Department, Brookhaven National Laboratory, Upton NY, United States of America
25 (a)National Institute of Physics and Nuclear Engineering, Bucharest; (b)University Politehnica Bucharest, Bucharest; (c)West University in Timisoara, Timisoara, Romania
26 Departamento de Física, Universidad de Buenos Aires, Buenos Aires, Argentina
27 Cavendish Laboratory, University of Cambridge, Cambridge, United Kingdom
28 Department of Physics, Carleton University, Ottawa ON, Canada
29 CERN, Geneva, Switzerland
30 Enrico Fermi Institute, University of Chicago, Chicago IL, United States of America
31 (a)Departamento de Física, Pontificia Universidad Católica de Chile, Santiago; (b)Departamento de Física, Universidad Técnica Federico Santa María, Valparaíso, Chile
32 (a)Institute of High Energy Physics, Chinese Academy of Sciences, Beijing; (b)Department of Modern Physics, University of Science and Technology of China, Anhui; (c)Department of Physics, Nanjing University, Jiangsu; (d)High Energy Physics Group, Shandong University, Shandong, China
33 Laboratoire de Physique Corpusculaire, Clermont Université and Université Blaise Pascal and CNRS/IN2P3, Aubiere Cedex, France
34 Nevis Laboratory, Columbia University, Irvington NY, United States of America
35 Niels Bohr Institute, University of Copenhagen, København, Denmark
36 (a)INFN Gruppo Collegato di Cosenza; (b)Dipartimento di Fisica, Università della Calabria, Arcavata di Rende, Italy
37 Faculty of Physics and Applied Computer Science, AGH-University of Science and Technology, Krakow, Poland
38 The Henryk Niewodniczanski Institute of Nuclear Physics, Polish Academy of Sciences, Krakow, Poland
39 Physics Department, Southern Methodist University, Dallas TX, United States of America
40 Physics Department, University of Texas at Dallas, Richardson TX, United States of America
41 DESY, Hamburg and Zeuthen, Germany
42 Institut für Experimentelle Physik IV, Technische Universität Dortmund, Dortmund, Germany
43 Institut für Kern- und Teilchenphysik, Technical University Dresden, Dresden, Germany
44 Department of Physics, Duke University, Durham NC, United States of America
45 SUPA - School of Physics and Astronomy, University of Edinburgh, Edinburgh, United Kingdom
46 Fachhochschule Wiener Neustadt, Johannes Gutenbergstrasse 3, 2700 Wiener Neustadt, Austria
47 INFN Laboratori Nazionali di Frascati, Frascati, Italy
48 Fakultät für Mathematik und Physik, Albert-Ludwigs-Universität, Freiburg i.Br., Germany
49 Section de Physique, Université de Genève, Geneva, Switzerland
50 (a)INFN Sezione di Genova; (b)Dipartimento di Fisica, Università di Genova, Genova, Italy
51 (a)E.Andronikashvili Institute of Physics, Georgian Academy of Sciences, Tbilisi; (b)High Energy Physics Institute, Tbilisi State University, Tbilisi, Georgia
52 II Physikalisches Institut, Justus-Liebig-Universität Giessen, Giessen, Germany
53 SUPA - School of Physics and Astronomy, University of Glasgow, Glasgow, United Kingdom
54 II Physikalisches Institut, Georg-August-Universität, Göttingen, Germany
55 Laboratoire de Physique Subatomique et de Cosmologie, Université Joseph Fourier and CNRS/IN2P3 and Institut National Polytechnique de Grenoble, Grenoble, France
56 Department of Physics, Hampton University, Hampton VA, United States of America
57 Laboratory for Particle Physics and Cosmology, Harvard University, Cambridge MA, United States of America
58 (a)Kirchhoff-Institut für Physik, Ruprecht-Karls-Universität Heidelberg, Heidelberg; (b)Physikalisches Institut, Ruprecht-Karls-Universität Heidelberg, Heidelberg; (c)ZITI Institut für technische Informatik, Ruprecht-Karls-Universität Heidelberg, Mannheim, Germany
59 Faculty of Science, Hiroshima University, Hiroshima, Japan