Micro and Macro Level Damage Mechanics of the Cement-Bone Interface in Total Hip Arthroplasty

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Total hip arthroplasty (THA) is one of the most successful and reliable surgical procedures in orthopaedics. Patients who suffer hip disorders, such as degenerative hip joint diseases or a femoral neck fracture, can effectively be treated by an artificial hip reconstruction. The direct postoperative findings, such as immediate pain relief and a restored hip function, make this operation very valuable and grateful for patients. In addition, over the long term, THA has proven to be very successful with survival rates between 90 and 95% after 10 years in vivo services. As a result of this clinical success, THA has become a very popular procedure. Annually, an estimated 21,000 THA operations are performed in the Netherlands alone and about 280,000 in the United States and the current trend shows that these numbers will increase over the upcoming years.

During a THA operation, the diseased hip joint is replaced by an artificial reconstruction, which consists typically of a femoral stem and an acetabular cup (Figure 1.1). The stem, which is provided with a spherical head, is implanted in the femur, and the cup in the acetabulum. The two parts together act as a ball-and-socket joint and restore the range of motion in the hip joint. Prior to stem insertion, the diseased or fractured femoral head is removed and the intramedullary canal is reamed or broached. A spherical rotary reamer is used to remove the cartilage in the acetabulum prior to cup placement. Hip implants can be fixed to bone in two ways: cemented or uncemented. In the case of uncemented THA, the primary stability relies on a press-fit fixation between the bone and the implant. The long-term stability of uncemented implants is achieved by bone growing on- and into the implant surface. For cemented THA, the cavity between the implant and the bone is filled up with acrylic bone cement, which provides the fixation over both the short and long term. There is a significant geographical difference in the method of implant fixation between North America and Europe, with a predisposition for uncemented THA in the USA. This thesis is concentrated on cemented THA only.
Cemented THA

During the 1960’s, Sir John Charnley developed the technique of cemented THA in clinical orthopaedics. Currently, cemented THA is the gold standard for almost all patients with femoral neck fractures and for older patients (> 65 years), although it is also a reasonable option for younger patients. The utilized acrylic bone cement is a two component material consisting of the powder polymethylmethacrylate (PMMA) and the liquid monomethylmethacrylate (MMA). When these two components are mixed, the mixture remains viscous initially, while further polymerization makes it solid in a matter of minutes. In the viscous phase, the cement mixture is injected into the reamed intramedullary canal and acetabular cavity. Subsequently, the implant is inserted and held in place by the surgeon until the bone cement has fully polymerized, which finalizes the artificial hip reconstruction.

Since the introduction of acrylic bone cement in cemented THA 50 years ago, cementing techniques have evolved enormously in order to enhance the clinical survival. In general, three different generations of cementing techniques can be distinguished: The first generation was developed by Charnley and involved cement mixing in a bowl at room temperature and atmospheric pressure. Manual finger packing was used to insert the doughy cement into the femoral cavity.

Another cause that leads to loosening on the long term is fatigue (micro) cracking of the cement mantle. In the right image, the stem (S), cement (C), bone (B) and fibrous tissue are indicated (taken from Mann et al (2010)).

The aforementioned findings emphasize that the cement-bone interface plays a vital role in the survival of cemented THA. Therefore, this thesis focuses on this particular region.

The cement-bone interface

In cemented THA, the cement-bone interface is formed during the cement injection into the intramedullary canal and subsequent pressurization to enhance cement penetration into the bone. As a result of this, the cement fills up the trabecular and lacunar spaces, ensuring an interlaced structure with great morphological complexity (Figure 1.3). Neither osteoconduction, nor physicochemical bonding between the bone and cement can be expected. Therefore, the fixation at the cement-bone interface relies on the interlock between the two constituents, which is achieved by cement penetrating the bone. The amount of cement penetration is dependent on several factors, including cement viscosity and bone prepa-ration technique. Also the degree of cement pressurization affects cement penetration. However, over pressurizing of the cement can lead to a fat and bone-marrow embolism syndrome, which can cause complications and can sometimes even be fatal for the patient. It is therefore essential to know how much cement penetration is needed in order to achieve a mechanically stable cement-bone interface.
morphology in terms of cement penetration. While several studies found a positive relationship between penetration depth and interfacial strength\textsuperscript{5,28,30,45,52,58}, others did not find such a relationship\textsuperscript{53,67}. What remains unclear, however, is how the penetration depth of the cement affects the mechanical properties of the cement-bone interface, since this is confounded in experiments by the specimen-to-specimen variability. Moreover, it is unlikely that cement penetration is the only morphological feature that dictates the mechanical response. Based on Figures 1.3 and 1.4, it is obvious that despite the same approximate amount of cement penetration the interface of Figure 1.3 is much stronger than in Figure 1.4. Previous studies have also shown that the mechanical properties of the cement-bone interface are also dependent on the contact area between the bone and cement\textsuperscript{61,66}.

Most of the previously performed experiments considered only unidirectional loading in either the tension or shear direction. During in vivo service, however, the cement-bone interface is not only loaded in the two aforementioned principal directions, but in multi-axial directions\textsuperscript{8}. Experimentally, the issue of mixed-mode loading of cement-bone interface specimens remains challenging, since destructive mechanical testing is only possible in one direction. The failure response in other directions can obviously not be determined with the same specimen. However, the mixed-mode response has previously been investigated by loading many cement-bone interface specimens to failure in multiple directions\textsuperscript{64,91}. The results of these studies showed a wide range in mechanical responses as a result of the specimen variety.

The cement-bone interface has previously not only been subjected to static loads, but also to repetitive loads in order to capture the fatigue response. Research on the fatigue response of the cement-bone interface has focused mostly on documenting the overall apparent structural response, such as permanent creep damage\textsuperscript{4,43,44,85,92}. Another experiment, which studied the fatigue response of the cement-bone interface on a more detailed scale, showed that fatigue loading resulted in micro cracks, which were mainly found in the cement rather than in the bone\textsuperscript{62}. Furthermore, this study showed that the measured creep damage was not presumed to be manifested as traditional creep, but as gapping and sliding between the cement and the bone at the contact interface. The aforementioned experiments provide insight into the mechanism of failure, but it remains unclear which particular mechanical features of the material constituents can be attributed to the mechanism of failure. It is for instance experimentally impossible to delineate how fatigue cracking and creep of the cement interact at the cement-bone interface.

From all the above it is apparent that physical experiments can provide lots of insight into the mechanical behavior of the cement-bone interface, but they also have their limitations. A solution to overcome these limitations is the utilization of Finite Element Analysis (FEA).

FEA has proven to be a useful tool to study the micromechanical behavior of the cement-bone interface\textsuperscript{36,37}. From interfacial μCT-data, three-dimensional FEA models can be generated, which can for instance subsequently been used to study stress transfers between the cement...
and the bone (Figure 1.5). These micromechanical FEA models are, however, not suitable for implementation in FEA models of complete cemented hip reconstructions, due to their huge computational costs. Therefore, in previous models of complete cemented hip reconstructions, the complex behavior of the cement-bone interface has often been neglected, or modeled as a frictional contact layer, or a soft tissue layer. However, the validity of these three approaches to represent the interface mechanics is debatable, since experiments showed a huge variation in stiffness and strength, which was not consistent with the three aforementioned assumptions.

A more appropriate approach to model the actual mechanical response of the cement-bone interface is through the use of cohesive zone models. In these cohesive zone models a constitutive relationship has to be defined, which describes the interaction between the interface tractions and displacements in normal and shear direction. However, a consistent cohesive model, which is able to realistically reproduce the mixed-mode behavior of the cement-bone interface, does not yet exist. The previously developed cohesive models for the cement-bone interface were based on theoretical models and fitted to experimental mixed-mode experiments, which had a huge spread in mechanical responses due to morphological variations.

The main issue addressed in the current thesis is to investigate how the cement-bone interface behaves on a micro scale and how this micro behavior affects the macro mechanics of the cemented reconstruction.

Chapter 2 concerns the fatigue response of the cement-bone interface. It is investigated whether the experimental fatigue response can be reproduced using FEA in terms of plastic displacements and micro-crack formation.

In Chapter 3 it is discussed which deformation modes are responsible for the fatigue response of the cement-bone interface. Is this due to fatigue damage in terms of micro-cracking, creep of the cement, or both?

Chapter 4 concentrates on the mechanical effects of different levels of cement penetration at the cement-bone interface. Are these different mechanical responses in tension and shear due to the diverse cement infiltrations, and which constituent is the weakest link: bone or cement?

In the Chapters 5 to 7 the response of the cement-bone interface under multiaxial loading is investigated. Chapter 5 describes the mixed-mode response of lab-prepared cement-bone interfaces utilizing micromechanical FEA models. Additionally, it was investigated whether the response could be related to the amount of cement penetration. In Chapter 6, the multi-axial stiffness of lab-prepared and postmortem retrieved cement-bone interface specimens is studied in vitro. Besides the penetration depth, it was investigated whether the mechanics could be related to more micromechanical morphological characteristics. Chapter 7 describes how a cohesive zone model was developed based on the mixed-mode response of postmortem retrieved cement-bone interfaces and their micro morphological parameters.

In Chapter 8 it was investigated how the micromechanical behavior of the cement-bone interface affects the failure of the cement mantle. Conversely, the effect of cement mantle failure on the load distribution of the cement-bone interface was analyzed.

Chapter 9 focuses on the mechanics of transverse sections of retrieved cemented hip reconstructions. The response of FEA models, in which the cement-bone interface is modeled through a morphological based cohesive zone model, is compared with the response of in vitro experiments.

Chapter 10 discusses the results as described in this thesis and puts them in a clinically relevant perspective. Furthermore, suggestions for future research are formulated in order to further enhance the mechanical survival of cemented THA.

Finally, the summary of this thesis is presented in English (Chapter 11) and Dutch (Chapter 12).
## References


Fatigue creep damage at the cement-bone interface: an experimental and a micro-mechanical finite element study

Journal of Biomechanics (2009); 42(15): 2513-9
Abstract
The goal of this study was to quantify the micromechanics of the cement-bone interface under tensile fatigue loading using finite element analysis (FEA) and to understand the underlying mechanisms that play a role in the fatigue behavior of this interface. Laboratory cement-bone specimens were subjected to a tensile fatigue load, while local displacements and crack growth on the specimen's surface were monitored. FEA models were created from these specimens based upon micro-computed tomography data. To accurately model interfacial gaps at the interface between the bone and cement, a custom-written erosion algorithm was applied to the bone model. A fatigue load was simulated in the FEA models while monitoring the local displacements and crack propagation. The results showed the FEA models were able to capture the general experimental creep damage behavior and creep stages of the interface. Consistent with the experiments, the majority of the deformation took place at the contact interface. Additionally, the FEA models predicted fatigue crack patterns similar to experimental findings. Experimental surface cracks correlated moderately with FEA surface cracks ($r^2=0.43$), but did not correlate with the simulated crack volume fraction ($r^2=0.06$). Although there was no relationship between experimental surface cracks and experimental creep damage displacement ($r^2=0.07$), there was a strong relationship between the FEA crack volume fraction and the FEA creep damage displacement ($r^2=0.76$). This study shows the additional value of FEA of the cement-bone interface relative to experimental studies and can therefore be used to optimize its mechanical properties.

Introduction
The most common cause of failure in cemented total hip arthroplasty (THA) is aseptic loosening of the hip reconstruction. Aseptic loosening is initiated by failure of the implant-cement interface, the bulk cement mantle, or the cement-bone interface, although early loosening of a femoral implant may be concentrated in the interdigitated area of the cement-bone interface.

The cement-bone interface is an interlaced structure with great morphological complexity and a highly variable interlock between the cement bulk and the bone. Laboratory studies of the mechanical behavior of the cement-bone interface have focused on static parameters such as interface strength. Research on the fatigue response of the cement-bone interface has focused mostly on documenting the overall structural response such as permanent creep damage, although recently the shear fatigue response has been studied on a more detailed scale. The results of the latter study showed that fatigue failure of the interface arose at the contact interface between cement and bone. Fatigue cracks were mainly found in the cement, emanating from the contact interface. Creep damage was not presumed to be manifested as traditional creep, but as gapping and sliding between the cement and the bone at the contact interface.

A limitation of these laboratory experiments is that the mechanism of failure is observational, but cannot be attributed to a particular feature of the material constituents. In addition, only deformation on the outer surface of the test specimen can be examined. Whether this reflects failure inside the specimen is questionable. On the other hand, finite element analysis (FEA) has proven to be a convenient tool to gain more insight into the (micro) mechanics of cemented THA. Combining experimental studies of the fatigue damage response of the cement-bone interface with micro-mechanical finite element analysis (µFEA) models is an approach that could be used to understand the mechanisms of failure of the cement-bone interface. Recently, the static behavior of the cement-bone interface has been simulated by means of µFEA models. In such µFEA models, additional micro-phenomena could potentially be investigated, such as crack formation in the cement mantle. Currently, the fatigue failure response of the cement-bone interface has only been simulated on a macro scale.

The goal of this study was to quantify the micromechanics of the cement-bone interface under tensile fatigue loading and to determine if the fatigue damage response could be explained using µFEA models that incorporated detailed geometry of the structure and provision for failure of the cement. We put forward three research questions: (1) Can the µFEA models reproduce the creep damage behavior as observed in the experiments in terms of crack patterns and creep displacements? (2) Does the majority of peak motion of the experimental specimens and of the µFEA models take place at the contact interface? and (3) Is there a relationship between the length of cracks found on the experimental specimen surfaces and the crack volume fraction in the µFEA models?
Methods

Experimental protocol
Ten rectangular-prism shaped specimens (~8x8x4mm) containing the cement-bone interface were fabricated from laboratory-prepared, cemented femoral hip replacements, using a previously described method. Specimens were scanned in a microCT scanner (Scanco Medical AG, Basserdorf, Switzerland) at an isotropic resolution of 12µm. Specimens were placed in a 37º saline bath apparatus of a mechanical test frame (MTS Systems, Eden Prairie, MN). The initial stiffness of the specimens was determined using digital image correlation (DIC) techniques at 10, 100, 1k, 3k, 5k, and 50k cycles (Figure 2.1). Stress-displacement plots were determined at each of the seven sampling times and were used to calculate the creep damage displacement (δcd) which served as the primary outcome variable. Here, the creep damage displacement was defined as the permanent deformation at zero applied load. If a specimen reached a global displacement of 72µm before 50k cycles, the test was terminated to prevent complete fracture of the specimen.

The initial (pre-test) and final micro-crack damage present on the four exposed surfaces of the cement and bone was determined using a previously described approach. Briefly, high resolution (5.8µm) reflected white light and epifluorescence images were obtained after calcine staining of the bone and use of a fluorescing dye penetrant for the cement. Cracks were divided into pre-existing cracks (before loading), growth from pre-existing cracks, new cracks and total crack growth (growth from pre-existing and new cracks).

FEA Modeling
The FEA models of the specimens comprised two parts: bone and cement. The bone FEA meshes were created from µCT-data using MIMICS 11.0 (Materialise, Leuven, Belgium; Figure 2.2a). First, the µCT-data was segmented based on the image grayscale, ranging from -1,024 to 3,071 (Figure 2.2b). Next, the 3D voxel meshes were transformed to triangular surface meshes, using a 6x6x6 voxel interpolation with smoothing, and remeshed to reduce the number of triangles and to remove low quality triangles. The surface meshes were meshed as a tetrahedral 3D solid (Patran 2005r2, MSC Software Corporation, Santa Ana, CA, USA) and mapped back to the µCT-data set (Figure 2.2c), after which the weighted average of the grayscale was calculated for each solid element using MIMICS.

Figure 2.2
a. µCT-data of the cement-bone interface.
b. Segmentation of the µCT-data into bone (1,000 to 3,071) and cavities (-1,024 to 100).
Remains gaps in the bone were filled manually.
c. Solid mesh of the bone and cement plotted on top of the µCT-data.
d. Zoomed node-to-node interface from figure c.

FEA meshes of the cement were based on the triangular surface mesh of the bone and not on the segmentation of the µCT-data. First, a surface mesh of the cement was created using node-to-node contact between the bone and cement (Figure 2.2d). Next, to create appropriate gaps at the cement-bone contact interface, an erosion algorithm was applied to the bone mesh. Nodes on the bone surface were displaced away from the interface with a magnitude dependent on the nodal equivalent Hounsfield Unit (eqHU). The parameter eqHU was determined as:

\[
eqqHU = \frac{1}{\sum_{i=1}^{n} \text{area}_i \cdot \text{HU}_i}
\]  

(Figure 2.3a).
The bone and cement were considered as isotropic linear elastic materials. The elastic modulus was assumed to be linearly dependent on the equivalent HA-density and resulted in bone modulus values ranging from 0.1 to 20,000 MPa (ν = 0.3). The cement, homogeneous bone and top layer were assumed to have constant material properties with an elastic modulus of 3,000, 20,000 and 210,000 MPa, respectively (ν = 0.3).

A double-sided node-to-surface contact algorithm was used to simulate contact between bone and cement. Contact was assumed to be debonded; no tensile loads could be transferred at the contact interface. Interfacial friction was modeled using a bilinear Coulomb friction model with a friction coefficient of 0.3. 

Tensile fatigue loading was simulated for a total of 50,000 cycles in each model. Fatigue failure was calculated by means of a custom-written FEA algorithm that simulated separately creep and damage accumulation in the cement using a previously described method. In this method, the element’s deformation, \( \epsilon \), was calculated as \( \epsilon = S \sigma + \epsilon_c \), in which \( S \) was the compliance matrix which also included the damage by locally reducing the element stiffness to zero. The creep strain tensor, \( \epsilon_c \), was determined as:

\[
\epsilon_c = 7.985 \times 10^{-7} \sigma + 1.21 \times 10^{-4} \log(\sigma)
\]
During the first increment of loading, the static displacement was calculated by mimicking the DIC-measurements from the experiments. Subsequently, the creep damage displacement ($\delta_{cd}$) was calculated, defined here as the difference between the actual displacement and the static displacement. To account for the experimental pre-conditioning and running-in phenomena, the FE-creep damage displacement that arose in the first load cycle was ignored.

The peak motion (second research question) was defined as the displacement of the bone, interface and cement at 50,000 cycles, when the specimen was maximally loaded. The crack volume fraction ($V_{cr}$) of the cement was also monitored during the simulation:

$$V_{cr} = \frac{1}{V_{tot}} \sum_{i} \frac{V_i}{V}$$

In this formula, $V_{tot}$ was the total volume of the bulk cement and $n_i$ and $V_i$ were the number of cracks in the element and the element volume, respectively.

Linear regression analysis was used to determine relationships between the experimental and FEA creep damage displacements, experimental cement crack growth and FEA crack volume fraction, experimental cement crack growth and FEA surface cracks and experimental cement and bone cracks.

The interfacial creep damage displacements found in the experiments and FEA models both displayed the typical phase I and II of a creep curve (Figure 2.5a). However, there were distinct differences between the progression of interfacial creep damage displacements in the various models (Figure 2.5b). In the FEA simulations and experiments, two specimens failed (displacement $>72\mu m$; in one case this concerned the same specimen (specimen 8, Table 2.1)). This specimen failed experimentally after 10,000 cycles, whereas the FEA simulation failed within 2,000 cycles. For the seven non-broken specimens, six displayed a higher final FEA interfacial creep damage displacement, while one model had a lower creep damage displacement (Table 2.1). Incorporating all the data collection points, this resulted in a moderate correlation ($r^2=0.49$) between FEA and experiment (Figure 2.6). However, if the results of specimen 9 would not be incorporated in this analysis, the correlation would increase to $r^2=0.89$.  

![Figure 2.5](image_url)

---

**Figure 2.5**

a. FEA and Experimental creep damage displacement of specimen 5.

b. All the ten predicted FEA creep damage responses. The FEA simulations of specimen 7 and 8 failed within 2,000 cycles. Note that the simulations predict a considerable variety in creep responses, despite variation in the applied fatigue tensile load (50% of the estimated stiffness as measured in the experiments).

<table>
<thead>
<tr>
<th>Specimen</th>
<th>Experiment</th>
<th>FEA</th>
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<th>Crack volume fraction, FEA [%]</th>
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<tr>
<td>1</td>
<td>3.1</td>
<td>7.3</td>
<td>10.68</td>
<td>0.51</td>
</tr>
<tr>
<td>2</td>
<td>4.2</td>
<td>5.0</td>
<td>1.64</td>
<td>0.17</td>
</tr>
<tr>
<td>3</td>
<td>0.7</td>
<td>2.5</td>
<td>3.03</td>
<td>0.09</td>
</tr>
<tr>
<td>4</td>
<td>2.1</td>
<td>2.4</td>
<td>4.37</td>
<td>0.05</td>
</tr>
<tr>
<td>5</td>
<td>6.0</td>
<td>7.4</td>
<td>9.27</td>
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<tr>
<td>6</td>
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<td>21.0</td>
<td>5.66</td>
<td>0.38</td>
</tr>
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<td>7</td>
<td>14.9</td>
<td>218.9*</td>
<td>12.19</td>
<td>0.43*</td>
</tr>
<tr>
<td>8</td>
<td>23.4*</td>
<td>197.8*</td>
<td>2.72*</td>
<td>1.89*</td>
</tr>
<tr>
<td>9</td>
<td>11.3</td>
<td>1.4</td>
<td>8.49</td>
<td>0.02</td>
</tr>
<tr>
<td>10</td>
<td>33.5*</td>
<td>14.6</td>
<td>-1.06*</td>
<td>0.45</td>
</tr>
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</table>

* measured at $N=10,000$, specimen failed afterwards

Table 2.1 Experimental and FEA interfacial creep damage displacements at $N=50,000$. There was a moderate correlation between these values ($r^2=0.41$). Relation between the total crack growth in the cement and crack volume fraction.
The experiments and FEA simulations displayed a permanent displacement at the cement-bone contact interface, which increased with time as a result of creep and crack accumulation in the cement (Figure 2.7). In most specimens, cracks were predicted to occur at locations where cracks were found in the experimental specimens (Figure 2.8). However, in the experimental specimens additional cracks were found at other locations in the cement. More cracks were predicted in models with a relatively high creep damage displacement. These simulated cracks usually corresponded to big clusters of cracks found in the experimental specimens. Small, isolated, cracks found in the experiments were rarely created in the FEA results.

**Figure 2.6** Comparison of the FEA-predicted and experimental creep damage displacements at the various data-gathering points of the seven non-failed specimens ($R^2=0.49$). Note that the correlation is affected by the outliers of specimen 9; the seven measurement points parallel to the $x$-axis. The solid line represents 'Experiment $\delta_{cd} = FEA \delta_{cd}$'.

**Figure 2.7** Crack growth and deformation in a cutting plane of specimen 6. Cracks originated at the contact surface and grew into the bulk cement as the number of cycles increased. The bar next to each cutting plane presents the specimen’s initial length (white), static displacement (grey) and creep damage displacement (black). The deformation scale was set to 10.

**Figure 2.8** Similarities in crack location at the surface of specimen 1 at the end of the loading history. The experiment (left) shows cracks emanating from pre-existing cracks (white) and new cracks (black). The simulation (right) shows elements with one or two cracks.
In the experiments and in the simulations, the majority of the peak motion occurred at the contact interface. However, the experiments also showed some deformation in the bone and cement, while these deformations were negligible in the simulations (Table 2.2).

The average experimental cement crack growth and the FEA-predicted crack volume fraction of the seven non-failed specimens was 6.2mm (S.D. 3.4) and 0.17% (S.D. 0.13), respectively (Table 2.1). There appeared to be no correlation ($r^2=0.06$) between the experimental cement crack growth and the predicted crack volume fraction (Figure 2.9a). However, the correlation improved ($r^2=0.43$) when cracks generated at the surface of the FEA model were compared to the number of experimental cement crack growth (Figure 2.9b). In the experiment, cracks were also found in the bone, but to a much smaller extent (average=0.53 mm, S.D.=0.44). No correlation was found between the experimental total growth of cement and bone cracks ($r^2=0.02$).

The objective of the current study was to quantify the micromechanics of the cement-bone interface under tensile fatigue loading and determine if the fatigue creep damage response could be explained using µFEA models. The FEA modeling of the cement-bone interface was based on a newly developed erosion procedure to avoid peak stress artifacts that occurred previously. This resulted in a gradual distributed load transfer over the contact interface. Additionally, it promoted gapping and sliding between the bone and cement.

The experimental and computational creep damage displacements both showed the typical phase I and II of the three-phase creep response. FEA simulated cracks localized at the contact interface and resulted in crack patterns similar to the experiments. Concurrent with the experiments, the majority of FEA-mesh displacement occurred at the contact interface. Only for specimen 9 the predicted displacement was much smaller than in the experiments. Analysis of the specimen’s morphological parameters, FE-model and experiment could not clarify the discrepancy. Possibly, the specimen was damaged in the experiment before testing what resulted in high displacement response.

After 50,000 cycles, there appeared to be a very large variation in creep damage displacement for as well the experiments as the simulations (Table 2.1), despite the equal load-stiffness ratio for all the specimens. Therefore, the initial stiffness cannot be used as a prediction of the subsequent creep rate.

Obviously, there were limitations to both our experimental and computational study. Because the experiments were unable to capture biological phenomena, the creep damage behavior displayed would be representative of the immediate post-operative situation. Biological phenomena were also not included in the FEA simulations.

The geometrical accuracy of the FEA models was limited by the resolution of the µCT scan data. Morphological features below 12µm could therefore not be reproduced. In addition, the surface triangulation was based on an interpolation over six voxels in 3D and subsequently remeshed. However, this was necessary to reduce the computational costs.

An additional limitation of this study was that no bone damage was simulated, although the experiments did show limited crack formation in the bone. Previous studies have also demonstrated that trabecular and cortical bone do show some creep damage behavior in fatigue loading. Bone damage was not simulated here because the phenomena of fatigue damage in bone has not been studied in sufficient detail to provide a basis for constitutive modeling. Furthermore, the majority of the experimental cracks occurred in the cement rather than in the bone suggesting that our models should be able to capture most of the creep damage response seen experimentally.

The location of the cracks predicted by the FEA simulations corresponded to experimental crack locations, indicating the models were able to reproduce some of the fatigue crack formation phenomena. However, in other areas, additional cracks were found as well in the experimental specimens that were not predicted in the simulations. This discrepancy is likely due to pre-existing cracks present in the experimental specimens from polymerization.
shrinkage or specimen preparation. These pre-cracks were not simulated because of lack of experimental guidance on distribution of pre-cracks in 3D.

There was a moderate correlation between the experimental total crack growth and the FE crack growth at the surfaces of the specimen for the seven specimens that did not fail, suggesting a reasonable validation of these types of models in terms of micro-crack predictions. The simulated cracked area of the outer surface was weakly correlated with the simulated crack volume fraction inside the simulated specimens ($r^2=0.21$). Hence, this indicates that the interpretation of surface crack measurements as typically done in experiments towards internal (volumetric) material damage is precarious. In addition, there appeared no correlation between the experimental creep damage displacement and the experimental total crack growth of the cement ($r^2=0.07$), while a strong correlation existed between the simulated creep damage displacement and the calculated crack volume fraction ($r^2=0.76$). This also emphasizes the importance of considering the total volumetric morphology, rather than relying solely on surface measurements.

From a clinical perspective, these results suggest that damage to the cement-bone interface from tensile fatigue loading will localize to the contact interface, thereby increasing micro-motion locally between the cement and bone. Greater amounts of creep damage displacement at the contact interface will also be associated with more localized damage to the cement in terms of cement cracking. Minimizing cement damage at the contact interface could reduce risk of failure of these interfaces and improve outcomes of cemented joint replacement procedures.

In conclusion, (1) non-linear micro-FEA models that incorporate the morphology of the contact interface, include friction of the interface, and allow for cement creep and cement damage due to fatigue loading can to a reasonable extent predict fatigue crack patterns similar to experimental findings, and are able to capture the general creep damage behavior of the cement-bone interface; (2) The majority of the motion took place at the contact interface, both in the experiments and in the FE models, (3) There is no relationship between the experimental total length of cement crack growth found at the interface and the crack volume fraction in the FEA models, although there is a moderate correlation between experimental total length of cement cracks and FEA cracked area on the cement surface. Additionally, there is a strong relationship between the FEA creep damage displacement and the crack volume fraction calculated in FEA.

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The effect of cement creep and cement fatigue damage on the micromechanics of the cement-bone interface

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Abstract

The cement-bone interface provides fixation for the cemented implants within bone. The interface is formed during polymethylmethacrylate (PMMA) cement injection, when the cement is pressurized into the bone cavities. This results in a highly variable interlock between the bone and cement with a complex morphology and mechanical properties. Experiments with cement-bone interface specimens have shown that the interface degrades over time by fatigue loading. Under the influence of dynamic loading, creep and fatigue damage occurs in the cement and bone, causing a reduced stiffness and increased motion at the interface. Furthermore, experiments have shown that creep and fatigue cracking at the cement-bone interface occur mainly in the cement, rather than in the bone.

Studies on the creep behaviour of PMMA bulk cement have indicated that creep effectively attenuates stress peaks in the cement mantle, which reduces fatigue cracking of the cement. Since it is experimentally impossible to delineate how creep and fatigue damage interact at the cement-bone interface it is unknown which one affects the interface integrity the most. Finite Element Analysis (FEA) make this possible, but numerical studies in which fatigue failure of the cement-bone interface was investigated have not studied the relatively contribution of creep and fatigue damage.

In the current study, we assessed the relative effect of creep and fatigue in micromechanical FEA-models of cement-bone interface specimens and tried to answer the following questions: (1) How do cement creep and cement fatigue damage independently affect the micromechanical response of the cement-bone interface at various load levels, and how do these two phenomena interact in a combined model? (2) Does cement creep influence fatigue crack formation in the cement? (3) How do cement creep, cement fatigue damage or a combination of both affect the stress levels in the bone?

Introduction

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Methods

Two rectangular-prism shaped FEA-models (~8x4x8mm³) were created using micro-CT scans (12µm isotropic resolution) of two physical cement-bone interface specimens that were sectioned from laboratory-prepared cemented total hip replacements. Each model comprised two components, bone and cement (Figure 3.1), and the two models had substantial differences regarding bone morphology. The bone model comprised the bony tissue of the cement-bone interface, the interfacial gaps and lacunar spaces. A voxel mesh of the bone model was automatically created by segmentation of the micro-CT data using MIMICS 11.0 (Materialise, Leuven, Belgium), based on the image grey scales, which ranged from -1,000 to 3,071 (bone 1,000 to 3,071; gaps -1,000 to 100). Grooves that were not selected during the first segmentation were segmented manually. Next, a triangular surface mesh was generated from the voxel mesh using a 6x6x6 voxel reduction with smoothing. The smoothed meshes were assessed on their accuracy in which deficiencies were solved manually. The surface mesh was subsequently converted to a tetrahedral 3D solid mesh (PATRAN 2005r2, MSC Software).
The utilized FEA-algorithm calculated the element deformation, (ε), as: 
\[
i(\varepsilon) = \frac{(1-n)(1+0.5\varepsilon^2)}{1-n} \
\]
Fatigue damage was implemented in the compliance matrix [S] in which for each of the three principal stress directions a damage parameter (D) indicated whether an element was cracked (D=1). A crack was simulated by locally reducing the stiffness to 0.1MPa perpendicular to the corresponding maximum principal stress direction. Damage was calculated as: 
\[
D = \frac{1}{V_{tot}} \sum_{i=1}^{V_{c}} \frac{V_i}{V_{tot}} 
\]
where \(D\) is in which \(n\) and \(N_f\) were the number of loading cycles and the fatigue life, respectively. The fatigue life (\(N_f\)) was determined based on the maximum principal stress: 
\[
\sigma = -4.736\log(N_f) + 37.8 
\]
Creep was implemented in the creep strain tensor (ε) which was dependent on the scalar (ε) defined as: 
\[
ε = \frac{7.985 \times 10^{-4} + 4.13 \times 10^{-10} \sigma}{1 + 0.00003 \sigma} 
\]
For each model the plastic displacement was determined to study the effect of creep and damage in the interface deformation. The plastic displacement was defined as the difference between the total displacement and elastic displacement. If the plastic displacement exceeded 0.1mm the interface was assumed to be failed. 

During the simulations the total crack volume (\(V_{tot}\)) of the cement was monitored. The total crack volume was defined as the ratio between total volume of cracked elements and the total cement volume: 
\[
V_c = \frac{1}{3} \sum_{i=1}^{V_{c}} \frac{V_i}{V_{tot}} 
\]
In this definition \(V_{tot}\), \(n\), and \(V_c\) were the total volume of the bulk cement, the number of cracks in each element (\(0.5n\leq 3\)) and the element volume, respectively. 

To assess whether cement creep influences fatigue crack formation in the cement, the total crack volumes of the ‘only damage’ and ‘creep and damage’ were compared. For each load the reduction of cracks by creep was determined by: 
\[
\left(1 - \frac{V_{cr,creep}}{V_{cr,only}}\right) \times 100 
\]

The stress levels in the bone were determined for the 0.1 and 1.0MPa loads of specimen 1, and the 0.1 and 0.5MPa loads for specimen 2. Different maximum stress levels for specimen 1 and 2 were chosen, since specimen 1 was approximately twice as stiff as specimen 2. 

At the beginning and at the end of the simulation, Von Mises stresses were determined only for the group of elements that lied at the contact interface (Figure 3.2). All the stresses were normalized for this group of elements by dividing by the applied apparent stress \(\sigma_{app}\). 

Subsequently, the normalized stresses were divided in 20 groups ranging from \(\sigma_{app}^{min} \leq 10 \sigma_{app}^{min}\) and one group \(\sigma_{app}^{min} > 10 \sigma_{app}^{min}\).
There was a wide range of responses from the 24 tension fatigue simulations (Figure 3.3). Six simulations led to early failure of the specimens (plastic displacement > 0.1mm). With the exception of the failed specimens, all the simulations showed the first two stages of the classical three-phase creep response. All simulations in which ‘only creep’ was considered as a plastic deformation mode did not result in failed specimens.

For the specimens that were subjected to a 0.1MPa apparent load, creep was over the long term (N=50,000) the dominant factor in the time dependent plastic displacement (Figure 3.3, 3.4). At the higher load levels, damage contributed much more to interface deformation than creep. Simulations that included ‘creep and fatigue damage’ always resulted in the greatest plastic displacement.

**Results**

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Creep considerably reduced the formation of cracks in the cement (Figure 3.5). At N=50,000, the crack volume was reduced up to 20% with respect to the situation in which damage was considered to be the only deformation mode. Due to the low amount of cement damage, the simulations at a 0.1MPa load showed a very inconsistent response.

There were distinct differences in Von Mises stresses in the bone. Directly after loading with an apparent stress of 0.1MPa, ~88% and ~80% of the total interface volume had a $\varepsilon_{\text{N}} \leq 1$, for specimen 1 and 2, respectively (Figure 3.6). When loaded to higher load levels, the relative stresses at the bony interface were much higher. When specimen 1 was loaded to 1.0MPa, ~35% of the interface volume had a $\varepsilon_{\text{N}} \leq 1$ and ~11% a $\varepsilon_{\text{N}} > 10$. When specimen 2 was loaded to 0.5MPa, ~53% of the interface volume had a $\varepsilon_{\text{N}} \leq 1$ and ~10% a $\varepsilon_{\text{N}} > 10$.

Figure 3.5  The progression of decrease in cement crack volume due to cement creep in time (number of loading cycles) for both specimens. When ‘creep and damage’ were considered as deformation modes, the crack volume was reduced up to 20% with respect to ‘only damage’ situation. The unsteady response of the 0.1MPa simulations can be explained by the very low crack volume that occurred in the ‘only damage’ and ‘creep and damage’ response. For this stress level, a small change in crack volume resulted in relatively large decreases in crack volume. When specimen 2 was loaded to 2.0MPa, there was a very small effect of cement creep. However, at this load the specimen also failed in less than 200 loading cycles (Figure 3.3).

Figure 3.6  Cumulative frequency distribution for $\varepsilon_{\text{N}}$ in the bone directly after loading (N=1) with different apparent stresses for specimen 1 and specimen 2. An apparent stress of 0.1 MPa resulted in ~88% and ~80% interface volume with $\varepsilon_{\text{N}} \leq 1$ for specimen 1 and specimen 2, respectively. When the two specimens were loaded to higher load levels, more than 10% of the total interface volume had a normalized stress of $\varepsilon_{\text{N}} > 10$.

Figure 3.7  Cumulative frequency distribution for $\varepsilon_{\text{N}}$ in the bone. When specimen 1 and specimen 2 were loaded to 0.1 MPa, the bone stress level hardly changed after 50,000 load cycles as a result of its deformation mode. When loaded to higher stress levels both specimens showed a decrease in low bone stresses, $\varepsilon_{\text{N}} \leq 1$. Furthermore, it shows that cement fatigue damage results in higher stresses in the bone and that cement creep has limited effect on the stress level.
When loaded to 0.1 MPa, cement creep and or fatigue damage had limited influence on the bone stress level for specimen 1 and specimen 2 after $N=50,000$ (Figure 3.7). However, when the specimens were subjected to higher loads there was a decrease in the volume with relative low bone stresses, $\sigma_{\text{bone}} < 1$, and an increase in the volume with relative high bone stresses, $\sigma_{\text{bone}} > 1$ (Figure 3.7). While the situations in which 'only creep' was considered showed minor increases in bone stress level compared to the initial bone stress level ($N=1$), fatigue damage resulted in a considerable increase in bone stress level. Comparison of the 'creep and damage' with the 'only damage' situations showed limited differences between the two; this demonstrates the minor influence of creep on the bone stress level (Figure 3.8).

In the current study we sought to gain insight in the relative contributions of cement creep and cement fatigue crack formation on the cement damage and micromechanical response of the cement-bone interface. We used these two deformation modes to study the consequences on the cement-bone interfacial plastic deformation, crack formation in the cement and interfacial stress levels in the bone.

Our results show that at almost all load levels, the majority of the time dependent plastic displacement found at the cement-bone interface was due to the formation of damage cracks which arose at the contact interface and subsequently progressed further into the bulk cement. When subjected to low stresses, however, the relative contribution of creep increased. The combined models in which both creep and fatigue cracking were simulated showed that creep had virtually no additional effect on the plastic response of the interface compared to the case with simulated cement fatigue cracking only.

Although the effect on the deformation of the interface was minimal, creep did reduce fatigue crack formation. The extent of this effect depended both on stress level and specimen morphology, but was most effective at lower stress levels since high stresses resulted in early failure of the specimen not giving creep the opportunity to decrease the crack formation effectively. This suggests that at higher external stresses, creep is not capable of relieving peak cement stresses to such an extent that fatigue crack formation is attenuated. Fatigue cracking of the cement increased the stresses in the bone at the interface, while cement creep did not appear to have a considerable effect on bone stresses. Most likely the load transfer was altered due to cement cracking, enabling loads to be transferred over a different contact area, thereby increasing local bone stresses. Regardless, the increase of high stresses in the bone, the cracking of the cement will also reduce the global stiffness of the cement-bone interface. This, subsequently, results in large motions at the cement-bone interface (Figure 3.3) and of the complete cement mantle within the femur.

While cement creep was able to reduce the number of fatigue cracks in the cement, it was not capable of reducing the stresses near the cement-bone interface. In contrast, previous studies have shown that creep does reduce the stresses at the stem-cement interface and in the cement mantle. Several phenomena might explain this discrepancy. First of all, the bone stresses might remain rather high due to the morphology of the cement-bone interface which is more convoluted than the stem-cement interface in terms of more interfacial gaps, less relative contact area and higher interdigitation. This might subsequently result in much higher peak stresses in the cement which is more sensitive to cracking than creeping.

Cement cracking as a dominant failure type can also be seen at other convoluted interfaces such as the stem-cement interface where there is much more wear debris after debonding for rough stems than for polished stems. Besides the physical morphology, the applied boundary conditions might also be responsible for the fact that the bone stresses did not reduce as a consequence of creep. In the current study, a constant apparent stress was applied which remained constant during the whole situation, basically not giving the local stresses the opportunity to decrease to zero. If initially a fixed displacement would have been applied, which would have been remained constant during the whole simulation, stresses would be able to spread and level out in time. Moreover, in the studies of Lu and McKellop (1997) and Verdon-
schot and Huiskes (1997) the stresses were analyzed utilizing models of complete cemented hip reconstructions. In contrast to the current micro models of the cement-bone interface, complete models of cemented hip reconstructions have restrictions in deformation of the interface. This makes stresses able to redistribute in time\(^1\), due to for example creep.

Our study was limited with respect to material property assumptions, external loads, and interface morphology. The utilized FEA-models were idealized and focused on the in-vitro failure of the cement-bone interface, hence biological responses were not considered, what is in conflict to what happens in-vivo\(^3,18,20\). The creep and fatigue properties were used for a single type of PMMA cement only, while the creep and fatigue damage response may vary over the different types of bone cements that are currently available on the orthopaedic market. Trabecular bone that is subjected to cyclic loads also shows fatigue damage\(^1\). However, since previous experiments have demonstrated that bone fatigue cracking was much lower in magnitude compared to cement damage\(^17,29\), this was not simulated. How fatigue damage in the bone could affect the simulated plastic deformation in the cement bone interface is unknown. The plastic deformation could simply increase, but on the other hand, the stresses at the contact interface could also be distributed more evenly, resulting in less fatigue damage. In addition, although the effect of different load levels was analyzed, the models were loaded in the tensile direction only. Whether in shear the same quantitative findings would be obtained is unknown. Previous studies have shown that different loading directions can result in different mechanical responses, such as crack patterns\(^17,19,21,29\). Finally, only two interface morphologies were included in the current study. However, as previous studies have indicated that the micromechanical response depends on interface morphology (e.g. contact area, cement penetration depth)\(^16,28\), we chose two specimens with substantial morphological differences. In-vivo phenomena that would influence the morphology of the cement-bone interface were also accounted for, since the generation of the cement-bone interface specimens in-vivo conditions, like endosteal bleeding, were reproduced\(^16\). The thickness of the cement mantle adjacent to the cement-bone interface was modelled by a 1.0mm thick layer of cement at the bottom of the FEA-models. However, the adjacent layer of cement has negligible effects on the mechanical fatigue response of the cement-bone interface\(^17,29\).

The current study is unique in the sense that cement creep and fatigue damage were separated to study their relative contributions to the micromechanical response of the cement-bone interface subjected to repetitive loads. The current results may be useful in the synthesis of new bone cement formulations. For instance, our finding that fatigue crack formation is responsible for the majority of the plastic deformation of the cement-bone interface indicates that, if one is interested in improving the dynamic response of the interface, the fatigue properties of cement should be improved upon, rather than modifying the creep properties. Moreover, improved fatigue resistance of the cement may confine the increase of interfacial stresses. If the goal is to reduce the quantity of fatigue cracks in the cement, one could consider modifying the cement to allow more creep.

Based on the findings in the current study we conclude that: (1) When the cement-bone interface is subjected to low stresses, the plastic interface displacement is mostly caused by cement creep, while at higher loads cement fatigue cracking is unambiguously the dominant factor; (2) cement creep is able to decrease the crack formation in the cement up to 20%; and (3) cement creep is not capable of decreasing the stress levels in the bone with respect to the initial state and cement fatigue damage only results in an increase in bone stresses.

Acknowledgements

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The mechanical effects of different levels of cement penetration at the cement-bone interface
Abstract

The mechanical effects of varying the depth of cement penetration in the cement-bone interface were investigated using finite element analysis (FEA) and validated using companion experimental data. Two FEA models of the cement-bone interface were created from micro-computed tomography data and the penetration of cement into the bone was varied over six levels each. The FEA models, consisting of the interdigitated cement-bone constructs with friction between cement and bone, were loaded to failure in tension and in shear. The cement and bone elements had provision for crack formation due to excessive stress. The interfacial strength showed a strong relationship with the average interdigitation ($r^2 = 0.97$ and $r^2 = 0.93$ in tension and shear, respectively). Also, the interface strength was strongly related with the contact area ($r^2 = 0.98$ and $r^2 = 0.95$ in tension and shear, respectively). The FEA results compared favorably to the stiffness-strength relationships determined experimentally. Overall, the cement-bone interface was 2.5 times stronger in shear than in tension and 1.15 times stiffer in tension than in shear, independent of the average interdigitation. More cracks occurred in the cement than in the bone, independent of the average interdigitation, consistent with the experimental results. In addition, more cracks were generated in shear than in tension. In conclusion, achieving and maintaining maximal infiltration of cement into the bone to obtain large interdigitation and contact area is key to optimizing the interfacial strength.

Introduction

In cemented total hip arthroplasty, the implant needs a mechanically stable cement-bone interface for long-term survival. Because there is no adhesive bonding between bone and conventional bone cement, such as polymethylmethacrylate (PMMA), fixation relies upon cement penetration to mechanically interlock the cement into the bone lacunar and trabecular spaces.

From a surgical perspective, the depth of cement penetration into the bone is dependent on several factors, including cement viscosity, bone preparation technique, and degree of cement pressurization. These factors, combined with the quantity and morphology of the bone, contribute to a substantial variation in mechanical properties of the cement-bone interface.

Although previously the strength of the cement bone interface has been investigated as a single variable, the strength of the cement-bone interface has also been related to morphologic characteristics such as cement penetration depth and cement-bone contact area. While several studies found a positive relationship between the penetration depth and the strength of the cement-bone interface, others have not found such a relationship. On the other hand, a strong relationship between the cement-bone contact area and the interfacial tensile strength was reported.

A major limitation of destructive mechanical tests such as those described above is that measurement of the failure response of a specimen to different loading directions is not possible. Because the cement-bone interface in total joint replacements is not only loaded in tension, but also in shear, it would be very useful to determine if strength-penetration depth relationships were the same under different loading regimes. Furthermore, the effect of penetration depth on mechanical response is confounded in experiments by the specimen-to-specimen variability. Finally, the cement penetration depth as previously been measured experimentally was often restricted to the specimen's outer surface, while it has recently been reported that the complete interdigitated volume should be analyzed instead of focusing on the outer surface only.

Micro-mechanical finite element analysis (FEA) in which the level of cement penetration is varied within a single specimen of bone allows for removal of bone morphology as a confounding variable. In this study, we developed computed tomography (CT) based micro-mechanical FEA models of the cement-bone interface, in which we only varied the penetration depth of the cement. These models had provision for failure of the cement and bone constituents via cracking of the bulk components. Using this modeling approach, we asked the following four research questions: (1) Is there a relationship between the average interdigitation of the cement, contact area between cement and bone, and the interface strength and stiffness? (2) Is the cement-bone interface stronger in shear than in tension and does this depend on the average interdigitation? (3) How valid are the FEA models when the mechanical responses of the different interdigitation depths of the cement-bone interface are compared with experimental findings? (4) Do the majority of cracks occur in the bone or in the cement in these models and is this consistent with experimental results?
Approach to Modify Penetration Level

To simulate less cement penetration into the bone, the baseline models were modified by removing elements of cement beyond specific limits. The baseline models had maximum cement penetration levels of 2.2 and 1.7mm for specimen 1 and 2, respectively. The penetration level was defined as the normal distance with respect to the transverse plane between the top of the cement and the bottom of the bone (Figure 4.1). Five additional penetration levels were generated for both specimens by removing all cement elements above that particular penetration level, resulting in 12 unique FEA models (Figure 4.1). For each model a CT-based stereology approach was used to document the local cement interdigitation through the whole cement-bone specimen (Figure 4.2). Subsequently, the average interdigitation was determined by averaging all the local interdigitations. The average interdigitation was subsequently used as a global measure of cement penetration (Table 1).

Methods

FEA models were created using micro-CT scans (12μm resolution) of two physical specimens containing the cement-bone interface that were sectioned (8x8x8mm) from laboratory-prepared cemented (PMMA) total hip replacements. The FEA meshes (Figure 4.1) included the complex morphology of the cement-bone interface and were created by meshing the bone component and cement component using a custom algorithm to recreate an accurate representation of gaps between cement and bone. Based on previous micro-FEA/experimental studies, contact between bone and cement was modeled using a double-sided node-to-surface contact algorithm with a friction coefficient of 0.3 (MSC.MARC 2007r1, MSC Software Corporation, Santa Ana, CA, USA).

The baseline (as cemented) models were constructed of four-noded tetrahedral elements (Specimen 1: 462,102 elements; Specimen 2: 219,664 elements). The initial material properties of the models were considered to be linear elastic. Young’s modulus and Poisson’s ratio (ν) of the cement was set to 3,000MPa and 0.3, respectively. The bone properties were based upon micro-CT grayscale values, which were converted to equivalent HA-densities using a calibration phantom. The assumption of a linear relationship between the HA-density and the Young’s modulus resulted in Young’s moduli ranging from 0.1 to 20,000MPa for the bone (ν=0.3).

Figure 4.1 Two finite element models were generated from micro-CT scans of the cement-bone interface. These two specimens were sectioned from total hip reconstructions, which were prepared using third generation cementing techniques using PMMA in a laboratory setting. From the initial FE-model of Specimen 1 (top), five other models were generated, each with a different penetration level (the normal distance with respect to the transverse plane between the top of the bulk of the cement and the bottom of the bone). This resulted in six models of specimen 1 with penetration levels of 0.2, 0.6, 1.0, 1.4, 1.8 and 2.2mm. Same process was done for specimen 2 (bottom), resulting in levels of 0.2, 0.5, 0.8, 1.1, 1.4 and 1.7mm. The figures on the right are section views of the specimens for each penetration level.

Figure 4.2 A grid (12x6, 0.65mm spacing) was constructed on the micro-CT scans and projected vertically through the image sets (a). For each vertical grid line and cement penetration level, the local cement penetration depth was measured (b), resulting in different distributions of interdigitation (c). The average of the 72 local interdigitation measurements was used as a measure of cement penetration depth.
Cement and Bone Crack Formation

Previous experimental testing to failure in shear and tension indicates that cracks form in the cement and bone when loaded to failure. Crack formation in the bulk bone and cement due to excessive local stresses was implemented in the models using a custom-written FEA algorithm to simulate static failure. An in-house fatigue failure algorithm was adapted such that simulation of fatigue failure was disabled, while static failure was allowed to occur. Regardless of the type of load that was applied (tensile or shear), static failure was assumed when the local principal (tensile) stress of a cement or bone element exceeded its strength. A crack was simulated by setting the Young’s modulus to 0.1 MPa, perpendicular to the corresponding principal stress direction. The principal strength of the cement was set to 40 MPa, while the strength of the bone was based on its Young’s modulus and was derived from equations defined previously.

Each element had one integration point, in which three cracks could occur (one in each principal direction). Hence, the crack volume was defined as:

\[ V_{cr} = \frac{1}{3} \sum V_i \]

In which \( n_i \) and \( V_i \) are the number of cracks in a specific element and the element volume, respectively.

Outcome measures

As a validation, the results from the FEA simulations were compared with data obtained from experimental specimens. These cement-bone specimens were fabricated from lab-prepared cemented hip reconstructions and post-mortem retrievals and were nominally the same size as the models. The acquired results comprised the apparent strength and stiffness of the specimens.

Because of interface and material discontinuities and differences in penetration levels, the apparent strain was not determined. Conversely, the stiffness was expressed as the ratio of the applied stress and the total deformation (MPa/mm).

The contact area, an estimation of the interfacial contact between bone and cement, was estimated for each model/penetration level. Segmentation of the specimen’s micro-CT data using MIMICS (MIMICS 11.1, Materialise, Leuven, Belgium) was followed by a dilation operation of the cement (Figure 4.4). Next, a Boolean intersection between the cement and bone resulted in the contact volume. This volume was subsequently divided by the dilation thickness giving the estimated contact area.

Linear regression analysis was used to determine relationships between average cement interdigitation, contact area, and interface strength and stiffness, and to compare the strength in tension and shear.

![Figure 4.3](image)

The stress-displacement curve of a cement-bone specimen. The strength was defined as the maximum applied load divided by the nominal cross sectional area of the cement-bone interface. The initial stiffness was determined by a least-squares fit through the stress versus displacement response for applied stress levels less than 50% of the strength. All cement-bone specimens were characterized by a linear slope followed by yielding till the strength was reached.

![Figure 4.4](image)

Approach used to estimate the contact area between cement and bone. The micro-CT scan (a) represented the gaps and initial contact between the bone and cement. Subsequently, the micro-CT scan was segmented into two 3D objects (b): cement (grey) and bone (white). Next, the 3D cement object was dilated by two voxels (24μm) (c). The Boolean intersection between the dilated cement and bone object was calculated (d). This volume was subsequently divided by the amount of cement dilation (24μm), resulting in an estimation of the contact area between cement and bone.
Results

At the greater penetration levels, specimen 1 had a much larger contact area than specimen 2 (Table 1), while at the lesser penetration levels, the contact area of specimen 2 was larger than specimen 1. Overall, there was a very strong correlation between average interdigitation and contact area for the twelve models ($r^2=0.99$).

Very strong correlations were found between the tensile strength and the average interdigitation ($r^2=0.97$) and the shear strength and average interdigitation ($r^2=0.93$; Figure 4.5a-b). Surprising was the jump in strength specimen 2 with a small increase in average interdigitation at the lower average interdigitation level. The correlation between tensile and shear strength and the contact area was also very strong ($r^2=0.98$ and $r^2=0.95$, respectively; Figure 4.5c-d).

A comparison between the tensile and shear results showed that the cement-bone interface was about 2.5 times stronger in shear than in tension ($r^2=0.98$; Figure 4.6a). The initial stiffness in tension and shear exhibited a similar behavior as the strength with regards to the effect of average interdigitation (Table 1). Penetration depth was less strongly correlated with tensile stiffness ($r^2=0.91$) and shear stiffness ($r^2=0.89$) than with contact area ($r^2=0.93$ and $r^2=0.91$, respectively). The cement-bone interface was 1.15 times stiffer in tension than in shear ($r^2=0.98$; Figure 4.6b).

Figure 4.5

Strong linear relationships existed between (a) the tensile strength and average interdigitation ($r^2=0.97$) as well as between (b) shear strength and average interdigitation ($r^2=0.93$). For specimen 2, there was a jump in strength with a small increase in the average interdigitation. The relationship between strength and contact area were also strong in tension (c) and shear (d) ($r^2=0.98$ and $r^2=0.95$, respectively. Note the different scales of the tensile and shear results.

Figure 4.6

a. The bone-cement interface was 2.5 times stronger in shear than in tension, independent of the penetration depth of the cement ($r^2=0.98$).
b. The bone-cement interface was 1.15 times stiffer in tension than in shear, independent of the penetration depth of the cement ($r^2=0.97$).

Figure 4.7

Strength-stiffness relationships for tensile and shear loading. Lab-prepared specimens were loaded to failure in tension and shear, while the post-mortem retrievals were loaded to failure only in tension. For the strength-stiffness relation in tension (a), it is noted that even the higher penetrated models of specimen 2 have a strength-stiffness relation that corresponds with post-mortem interfaces. Like the strength-stiffness relation in tension, the strength-stiffness relation in shear compared satisfactorily with the experimental findings (b).
For all models, there was a strong stiffness-strength relationship in tension ($r^2=0.97$) and shear ($r^2=0.98$). The FEA results compared favorably to the experimental stiffness-strength relationships of the lab-prepared and post-mortem specimens; all FEA results presented here fell within the distribution of the experimental data (Figure 4.7).

At the point of structural failure of the models, more cracks occurred in the cement than in the bone (Figure 4.8a-b). Also, more cracks occurred in shear than in tension. In shear, the amount of bone cracks of specimen 1 and bone and cement cracks of specimen 2 did not increase beyond a penetration depth of 1.4mm and 1.1mm, respectively. All cracks occurred in the interdigitated area (Figure 4.9).

**Figure 4.8** Crack patterns for a cross-section of specimen 1 loaded in tension when the apparent strength was reached. Although the figure only shows one specific cross-section of the interface, it can be seen that the cement in the 1.4mm penetration level envelops several bony spurs which increases the average interdigitation and subsequently the apparent strength (Figure 4.4a-b). In shear, cracks generally at the same locations, but progressed in a different direction compared to the tensile cracks. For all penetration levels and loading directions, all cracks were in the interdigitated area of the cement-bone interface and did not progress into the bulk of the bone or cement.
Discussion

In this study, we investigated the difference in mechanical behavior of the cement-bone interface in response to tension and shear loading as a result of different cement penetration depths in a single bone morphology. The results show that the strength and stiffness of the cement-bone interface are linearly dependent on the average interdigitation and the contact area between the bone and cement, for both tensile and shear loading conditions. The cement-bone interface is 2.5 times stronger, but less stiff in shear than in tension, independent on the average interdigitation. As a validation, the FEA results were compared with experimental tests using post-mortem and lab-prepared cement-bone specimens. The FEA results compared favorably with these experiments. Finally, the majority of cracks occur in the cement which is consistent with what was found experimentally.

Our study was limited by the fact that the models of specimens with lower penetration depths may not have represented the actual physical morphology of that penetration depth. Due to the modeling approach of the different penetration depths, small cement fragments might have been present that enveloped bony spurs. It is unlikely that these situations occur physiologically.

The results of our analyses indicate that the strength of the cement-bone interface in the two models did not exceed 6MPa (Figure 4.5), while cracks occurred mainly in the cement, which had a tensile strength of 40MPa. This indicates that, although on an apparent level the applied loads were rather low, they had a substantial effect on the local stress distribution in the cement and bone.

As a validation, a direct comparison between the models and experiments is not possible because the cement penetration was varied numerically and failure was simulated in two loading directions. Therefore, we compared the FEA-simulation strength-stiffness relationships with experimental specimens that comprised a broad range of interdigitation levels. This approach provides a comparison between the models and experiments in terms of pre-yield (stiffness) and yielding behavior (strength). The crack patterns from the FE-simulations could also not be compared on a one-to-one basis. However, the finding that more cracks were present in the cement than the bone and all cracks occurred in the interdigitated region in these simulations is fully consistent with what has been reported for experimental specimens. Further, micromechanical FEA-simulations of the cement-bone interface with fatigue loading resulted in similar crack patterns to those found experimentally.

Previously, it has been reported that the strength of the cement-bone interface does not increase when the cement penetration exceeded 3mm and 4mm. This finding could not be affirmed, since the models as used in this study had relative low penetration levels, also compared with previous specimens (2.59±0.85mm).

From previous experimental studies, an increase of penetration depth has been associated with an increase in strength of the cement-bone interface. A likely confounding factor in these studies was that during the fabrication of the cement-bone specimens, the bone was not warmed to body temperature what does not represent the operative situation (although Macdonald did an in-vivo study). The difference in temperature gradient would alter the polymerization front of the curing cement and could affect the cement-bone morphology. On the other hand, studies that did not find a relationship between penetration depth and strength did warm up the bone to body temperature. This is not consistent with the current study, since our results show a strong relationship while keeping the bone at body temperature during specimen generation. However, the method used to measure penetration depth was different for the present study where the complete volume was sampled instead of measuring depth on a single exterior surface. The results of the current study, in which the bone morphology was constant for each specimen, showed a strong relationship between the average interdigitation and tensile strength. However, in addition to the experimental literature detailed above, previous experiments of lab-prepared cement-bone specimens showed no correlation between stereology based measures of average interdigitation and tensile strength (unpublished data from co-author). This suggests that bone morphology plays an important role in the interface strength and one could expect a wide variety of interface strengths for the same average interdigitation in the in vivo environment. This finding is consistent with micro-CT based measurements of 21 different experimental specimens; there was a poor correlation between penetration depth, measured as the maximum distance between the bone and cement, and tensile strength of the cement-bone interface. The very high correlation between contact area and interface strength found for the models performed here has also been noted in experimental studies of cement-bone specimens loaded to failure. Combining the FEA-findings with previous experimental tests suggests that achieving a maximal infiltration between the bone and cement is essential for increasing the interfacial strength. This suggests that efforts to maximize and maintain apposition between the cement and bone would be beneficial for implant fixation.

A large average interdigitation and contact area in trabecular bone can be achieved by preparing the bone with pulsatile lavage to allow for cement infiltration, but will be more difficult to achieve in cortical bone. Therefore, it might be beneficial to brush the cortical bone before cement insertion to increase the cement-bone contact area. The contact area can also be enlarged by reducing interfacial gaps, which can be obtained by reducing polymerization shrinkage and air and fluid inclusions.

Although the results of this study showed that the strength and stiffness of the cement-bone interface increased linearly with the average interdigitation, using excessive pressurization to obtain a large interdigitation may be deleterious for the surgical patient. Over pressurizing of the femoral canal can lead to a fat and bone-marrow embolism syndrome, which can cause complications and can sometimes even be fatal. Secondly, as noted in several laboratory studies, excessive pressurization to achieve a large penetration has limited value. An obvious limitation of this study was that that only the direct post-operative situation was considered. Over the long term, bone resorption may occur at the interface, which considerably weakens the interface. From that point of view it might be advantageous to use a larger penetration depth, so that in the long term a large contact area can still be achieved to distribute the loads over the cement-bone interface.

In conclusion: (1) There are very strong positive relationships between the average interdigitation depth of the cement-bone interface and the strength and stiffness as well as the contact area and strength and stiffness. It is likely that this relationship depends on the morphology of the bone; (2) The cement-bone interface is stronger in shear than in tension, independent of the average interdigitation; (3) The stiffness-strength relationships of the FEA
simulations compared satisfactorily with experimental results; (4) Upon structural failure of the cement-bone interface, the majority of cracks occurred in the cement.

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Mixed-Mode Loading of the Cement-Bone Interface: a Finite Element Study

Chapter 5

Abstract
While including the cement-bone interface of complete cemented hip reconstructions is crucial to correctly capture their response, its modeling is often overly simplified. In this study, the mechanical mixed-mode response of the cement-bone interface is investigated taking into account the effects of the well-defined microstructure that characterizes the interface. CT-based plain strain FEA models of the cement-bone interface are built and loaded in multiple directions. Periodic boundaries are considered and the failure of the cement and bone fractions by cracking of the bulk components are included. The results compare favorably with experimental observations. Surprisingly, the analyses reveal that under shear loading no failure occurs and considerable normal compression is generated to prevent interface dilation. Reaction forces, crack patterns and stress fields provide more insight into the mixed-mode failure process. Moreover, the cement-bone interface analyses provide details which can serve as a basis for the development of a cohesive law.

Introduction
In Finite Element Analyses (FEA) of complete cemented total hip reconstructions, the mechanical response of the cement-bone interface is often overly simplified. In previous analyses the cement-bone interface has been uniformly modeled as infinitely stiff \(^{[5,9,33]} \), as a layer of soft tissue elements \(^{[3,36]} \) and as a frictional contact layer \(^{[64]} \). However, experiments with laboratory prepared cement-bone interface specimens demonstrate that the variety of the interfacial microstructure leads to a substantial variance in its mechanical compliance and strength \(^{[18]} \). Moreover, post-mortem retrievals show that the cement-bone interface is considerably degenerated making the interface even more compliant \(^{[2,22,30]} \).

Micro-mechanical FEA models have recently been developed which are able to reproduce the static and fatigue behavior of the cement-bone interface in vitro \(^{[6,7,37,38]} \). However, such micro models cannot be implemented in complete FEA models of hip reconstructions due to their extremely large computational cost.

Cohesive zone models have attracted a growing interest in the scientific community to model the cement-bone interface in complete hip reconstructions \(^{[17,23,24,28]} \). In cohesive zone models a constitutive relation, or cohesive zone law, between the traction and opening displacement in both normal and tangential direction has to be defined. Moreover, the response of the interface to mixed-mode loading may be captured either by implementing an independent traction-opening displacement relationships in normal and tangential direction \(^{[17]} \) or defining a mixed mode model with an interaction between normal and tangential opening displacements \(^{[22,43]} \). The responses of such mixed mode models can be fit to mixed-mode experimental observations \(^{[20,39]} \). However, a major limitation of these mixed-mode experiments is the wide range in mechanical responses as a result of specimen variety, since destructive mechanical testing in multiple directions with a single cement-bone interface specimen is not possible.

Several studies have focused on the relation between the mechanical response of the cement-bone interface and its interfacial morphology under tensile loading. It has been shown that the tensile strength of the interface is strongly related to the mineral density of the cement-bone interface obtained from quantitative computed tomography (CT) and the maximum cement penetration in the bone \(^{[15,16]} \). Moreover, unidirectional experiments and FEA analyses have successfully related the strength and stiffness of the cement-bone interface to the contact area between the bone and cement \(^{[18]} \), the average level of cement penetration into the bone \(^{[62]} \) and the fraction of cement-bone intersections over the complete interface \(^{[22]} \). However, no reports have been made to explore the relationship between strength of the interface and its morphology under different mixed loading conditions.

The goal of this study was to investigate in detail the mechanical mixed-mode response of the cement-bone interface. The acquired results, in terms of tractions and displacements, should subsequently serve as a basis for the implementation in cohesive elements. Using a multi-scale approach, CT-based FEA models of the cement-bone interface were built and tested, under loading in multiple directions. The model accounted for the failure of both the cement and bone by cracking of the bulk components. We focused our analysis on the following key-aspects: (1) The relationship between the normal and tangential tractions during mixed-mode loading of the cement-bone interface, and (2) the relation between the interfacial response and morphology under mixed-mode loading.

Chapter 5
Figure 5.1

a. Out of one slice of the micro-CT data of the cement-bone interface the generalized plain strain model was created. The micro-CT data contained only one bone and one cement body to avoid any redundant particles. Micro gaps between the bone and the cement were recreated using a custom algorithm.

b. All models were mirrored what resulted in four models with distinct differences in dimensions and interface morphology (Table 5.1). The four mirrored models had an average width, 'w', and thickness, 't', of 9.43 mm and 3.80 mm, respectively.

Methods

Four generalized two-dimensional (2D) plane strain FEA models of the cement-bone interface were built, based on a single micro-CT slice (12 μm isotropic resolution, see Figure 5.1a) of four different physical specimens containing the cement-bone interface. The selected slices contained only one bone and cement body to avoid floating particles. The FEA models included the complex morphology of the cement-bone interface and were meshed using a custom algorithm to recreate the gaps between the cement and bone. The top edge of the bone and cement had an offset of –1 mm relative to the contact interface to avoid mechanical boundary artifacts at the contact interface, since previous studies have shown that the majority of motion takes place at the contact interface and not in the surrounding materials. Each model was mirrored to fulfill the periodic boundary conditions; this will be clarified later (Figure 5.1b). The accuracy of the mesh was ascertained through a mesh refinement study and the resulting models contained on average 96,900 elements and 23,400 nodes. Contact between the bone and cement was modeled using a double-sided node to surface contact algorithm (MSC.MARC 2007r1, MSC Software Corporation, Santa Ana, CA, USA) with a friction coefficient of 0.3.

Both the bone and the cement were initially modeled as isotropic linear elastic materials. The Young’s modulus (E) and Poisson’s ratio (ν) of the cement were taken as 3000 MPa and 0.3, respectively. The bone properties were based upon micro-CT grayscale values, which were converted to equivalent HA-densities using a calibration phantom. The assumption of a linear relationship between HA-density and Young’s modulus resulted in Young’s modulus 0.1 ≤ E ≤ 20,000 MPa (ν = 0.3).

Previous experiments showed the formation of cracks in the cement and bone when loaded to failure. Crack formation in the bulk bone and cement due to excessive stresses was included in the models using an adapted custom-written FEA algorithm to simulate static failure. Static failure occurred when the local principal tensile stress either in the cement or in the bone exceeded the strength of the material. The strength of the cement was taken as 40 MPa, while the strength of the bone was based on the local Young’s modulus.

Cracks were simulated by setting the Young’s modulus in the direction perpendicular to the corresponding principal stress direction to 0.1 MPa.

Periodic boundary conditions were applied to both sides of the model in order to establish a multi-scale representation of the cement-bone interface. In this way, the complete cement-bone interface was considered as a series of periodic micro structures. The periodic boundary conditions were implemented constructing nodal links between nodes periodically located on the left and right side of the model (Figure 5.2a):

\[
S = 102 \left( \frac{E}{14,900} \right)^{0.3}
\]

Cracks were simulated by setting the Young’s modulus in the direction perpendicular to the corresponding principal stress direction to 0.1 MPa.

In these two equations, ‘ui’ represents the displacement and ‘w’ the width. To avoid bone-to-cement nodes at the boundary between two different periodic cells, the models were mirrored. Moreover all nodes of the bottom edge were fixed in both directions (Figure 5.2b), while the nodes on the top edge uniformly displaced.
The tangential interdigitation was doubled to account for the interdigitation for the mirrored models. The average interdigitation in each direction was used as a global measure of cement penetration. Subsequently, for each loading angle (\( \alpha \)) the average normal and tangential interdigitation was determined as:

\[
\text{int}_{n,\alpha} = \text{int}_n \cdot \cos(\alpha)
\]
\[
\text{int}_{T,\alpha} = \text{int}_T \cdot \sin(\alpha)
\]

The influence of the choice of a ~1 mm offset dimension was explored by extending the cement and bone an additional 1 mm from the cement-bone interface in model 2. The extended model 2 was subsequently loaded in pure tension (0°) and pure shear (90°) and the results were compared with the original model 2.

During each simulation, the normal (\( T_N \)) and tangential tractions (\( T_T \)) as well as the normal (\( \Delta_N \)) and tangential displacements (\( \Delta_T \)) were monitored. For each simulation four traction-displacement (\( T-\Delta \)) responses were analyzed resulting in the average normal interdigitation, \( \text{int}_n \) (Table 5.1). A similar approach was used to determine the cement interdigitation in tangential direction, \( \text{int}_T \). The only difference was that the six horizontal lines were spanned over the height of interdigitation and not the specimen’s complete height and that the tangential interdigitation was subsequently doubled.

Each model was loaded until failure by applying an incremental displacement (\( \Delta=0.001 \) mm) to the top edge. Eleven directions (\( \alpha \)) were considered: 0° (pure tension), 15°, 30°, 45°, 60°, 75°, 90° (pure shear), 105°, 120°, 150° and 180° (pure compression) (Figure 5.2b). Hence, the incremental normal and tangential displacement, \( \Delta_N \) and \( \Delta_T \), to applied to the nodes on the top edge were:

\[
\Delta_N = \Delta \cdot \cos(\alpha)
\]
\[
\Delta_T = \Delta \cdot \sin(\alpha)
\]

The resultant reaction force was calculated as well as its normal (\( T_N \)) and tangential (\( T_T \)) component.

The interface morphology was quantified using a CT-based stereology approach. A 12x6 grid was spanned over the micro-CT scan of each model (Figure 5.3). For each of the 12 vertical and 6 horizontal lines the local normal and tangential cement interdigitation was measured, respectively. Local cement interdigitation was defined as the total amount of cement that was captured between two pieces of bone for the grid line. Subsequently, the average interdigitation was determined for both normal (\( \text{int}_n \)) and tangential direction, (\( \text{int}_T \)).
crack patterns were characterized in breaking off bone and cement spurs (Figure 5.5). The ultimate strengths ($T_{\text{ult}}$) in pure tension for the four models ranged from 1.28 to 2.79 MPa and the normal stiffness ($\frac{\sigma_T}{\Delta_\nu}$) from 123 to 251 MPa/mm (Table 5.1). In contrast, pure compressive loading ($\alpha=180^\circ$) resulted in a linear $T_N-\Delta_N$ relationship (Figure 5.4a), with stiffness ranging from 769 to 1358 MPa/mm (Table 5.1). Hardly any cracks occurred in pure compression (Figure 5.5).

In pure shear ($\alpha=90^\circ$) a linear increase of $T_T$ as a function $\Delta_T$ was found, and none of the models reached failure (Figure 5.4c). The tangential stiffness $\frac{\sigma_T}{\Delta_T}$ ranged from 222 to 332 MPa/mm for all models. Surprisingly, despite the lack of a softening phase in pure shear, cracks were observed which originated at the contact interface and progressed into the bone and cement bulk without breaking off spurs (Figure 5.5). Additionally, a considerable compressive traction was observed to prevent dilation of the interface (Figure 5.4b).

**Results**

All four models showed similar response under mixed-mode loading. In pure tension ($\alpha=0^\circ$) the cement-bone interface showed a traction-displacement response with an initial stiffness followed by initiation of damage and softening (Figure 5.4a). Moreover, under pure tension the tractions in the tangential direction ($T_T$) were found to be negligible (Figure 5.4d). Symmetric

The traction-displacement relationships between $T_N$ and $\Delta_N$, $T_T$ and $\Delta_T$, and $T_N$ and $\Delta_\nu$ of model 1 for 11 different angles. In pure tension ($\alpha=0^\circ$) the complete traction-displacement response is captured in subfigure (a). Obviously, no $\Delta_T$ took place in pure tension (b) and as a result of the symmetry no $T_T$ occurred (d). In pure compression ($\alpha=180^\circ$) there was a very stiff $T_N-\Delta_N$ response (a), again without any $\Delta_T$ (b) and $T_T$ (d). In pure shear ($\alpha=90^\circ$) no ultimate strength was found (c) and a considerable $T_T$ was needed to prevent dilation of the bone (b). The mixed-mode responses showed a gradual decrease in ultimate $T_N$ as the loading angle increased.

Figure 5.4

Crack patterns in the cement (purple) and bone (orange) of model 1 after $\Delta=0.1$ mm displacement under five different load angles. At $\alpha=0^\circ$ the cement spurs were completely destroyed what resulted in almost no $T_N$ (Figure 5.4a). At $\alpha=90^\circ$, however, cracks traveled into the bulk of the bone and cement instead of breaking off spurs what resulted in no ultimate strength in shear (Figure 5.4c). The crack patterns that arose at $\alpha=45^\circ$ showed a combination of the crack patterns in pure tension and shear. In pure compression ($\alpha=180^\circ$) hardly any cracks occurred.

Figure 5.5
For all the considered intermediate values of $\alpha$ a smooth transition between responses described above was observed (Figure 5.4, 6). It is interesting to observe that for $\alpha=45^\circ$, $60^\circ$ and $75^\circ$ the normal tractions become eventually compressive. To better understand this counter intuitive response, we focused on model 3 where for $\alpha=45^\circ$ the stress distribution at different points along the loading path was reported (Figure 5.7). We observed that for this value of $\alpha$, the $T_{N}\Delta_{N}$ response increased linearly until the ultimate strength ($T_{N,ult}$) was reached. At this point, all bone reaction forces acted in positive $y$-direction and few cracks were observed (Figure 5.7a). Nearly the whole stress field in normal direction ($\sigma_{yy}$) was positive and the stress field in tangential direction ($\sigma_{xx}$) revealed the first areas subjected to compression due to the bone-cement contact. After this point, $T_{N}$ decreased and when $T_{N}=0$ both tensile and compressive contact forces were observed (Figure 5.7b). Cracks were present in both bone and cement and progressed into the bulk materials. Positive and negative values were found for the stress field $\sigma_{yy}$. The stress field $\sigma_{xx}$ showed some areas of compression which were located between the contact area and the originated cracks. When displaced further $T_{N}$ became negative, so that normal compression was induced even with the positive normal displacement. When $T_{N}=-T_{N,ult}$, almost all reaction forces acted in the negative $y$-direction (Figure 5.7c). The amount of cracks increased considerably and almost the whole area with bone-cement interlock was loaded in compression, $\sigma_{xx}$.

Comparison of all mixed mode responses showed that the normal traction ($T_{N}$) and displacements ($\Delta_{N}$) at which the normal strength was reached decreased when the loading angle increased (Figure 5.4, 5.6). Although loaded in different directions, the normal stiffness $\frac{\Delta T_{N}}{\Delta \Delta_{N}}$ remained constant (Figure 5.4, 5.6). On the other hand, the tangential stiffness $\frac{\Delta T_{T}}{\Delta \Delta_{T}}$ increased as a function of the loading angle.
For model 2, addition of an extra bone and cement layer did not affect the response in pure tension (0°) (Figure 5.8a). On the other hand, in pure shear (90°) the extra layers made the response in the tangential direction more compliant (Figure 5.8b); the tangential stiffness decreased from 241 MPa/mm to 167 MPa/mm. However, when the same gage length in the extended model 2 was considered as in the original model, the difference in mechanical response was negligible. In contrast to these phenomena, the coupled stiffness based on the original gage length of the extended model did not match the response of the original model 2 (Figure 5.8b). Considering an equal applied load, the extended model resulted in the largest tangential deformation (Figure 5.8c). Again when considering the same gage length in the extended model as the original model 2, the deformations were nearly identical.

Finally the relation between the mechanical response and the morphology was investigated. The maximum tensile traction (T_N) that occurred under tensile loading, \( \frac{\partial T_N}{\partial \Delta \alpha} \), was found to be moderately correlated with the average normal interdigitation (int_N, \( \alpha \)) \( r^2 = 0.54 \); Figure 5.9a). Different loading directions did not strongly influence the normal stiffness in tension and compression (Figure 5.4, 5.6) and could therefore not be related to the average normal interdigitation \( \text{int}_N, \alpha \) (\( r^2 = 0.00002 \) and \( r^2 = 0.21 \) for tension and compression, respectively; Figure 5.9b). The tangent stiffness at different load angles \( \frac{\partial T_T}{\partial \Delta \alpha} \) showed no correlation with the average tangential interdigitation \( \text{int}_T, \alpha \) \( r^2 = 0.03 \); Figure 5.9c).

**Figure 5.9**

a. Relationship between the maximum tensile traction, \( T_N \), and the average normal interdigitation, \( \text{int}_N, \alpha \) \( (r^2 = 0.54) \).

b. Relationship between the normal stiffness \( \frac{\partial T_N}{\partial \Delta \alpha} \) and the average normal interdigitation, \( \text{int}_N, \alpha \), resulted in no correlation in tension \( (r^2 = 0.00002) \) and a poor correlation in compression \( (r^2 = 0.21) \).

c. Relationship between the tangential stiffness \( \frac{\partial T_T}{\partial \Delta \alpha} \) and the average tangential interdigitation, \( \text{int}_T, \alpha \) \( (r^2 = 0.03) \).

**Discussion**

In this study four generalized plain strain FEA models were used to investigate the mixed-mode response of the cement-bone interface. The analysis represented a basis for the implementation of an ad-hoc cohesive law.

The results show that the ultimate tensile strength \( (T_{N,\text{ult}}) \) and stiffness \( \frac{\partial T_N}{\partial \Delta \alpha} \)
determined for each model compare well with experimental observations, while the compressive stiffness is overestimated (Table 5.1). The response in shear also results in a satisfactory stiffness relative to experimental findings, but differs from the simulated tensile response as no ultimate strength is found. This can be explained by the crack patterns, which show that bone and cement spurs break off in tension, while in shear cracks progress into the bulk materials. Additionally, a considerable compressive traction is needed to prevent dilation of the interface. All mixed-mode responses show a gradual transition between the three principal responses: tension, shear and compression.

The mechanical mixed-mode response cannot be related to the interface morphology in terms of cement interdigitation. The ultimate strength in normal direction for all mixed modes and the normal and tangential stiffness showed either a poor or no correlation with the average normal and tangential interdigitation of the cement.

In the original models, the top edge of the bone and bottom edge of the cement were modeled with an ~1 mm offset relative to the contact interface to avoid mechanical boundary artifacts, such as stress concentration and crack progression. To study the influence of such boundary conditions, the offset of model 2 was extended by adding an extra cement and bone layer. This extra layer appears not to affect the normal response, but makes the tangential response more compliant. However, when the same gage length as the original model and bone layer. This extra layer appears not to affect the normal response, but makes the tangential response more compliant. However, when the same gage length as the original model 2 is considered, the response matches the response of the original model 2. This indicates that in the shear direction extending the boundary conditions does not have an effect on the shear loading. One would anticipate that additional extension of the cement and bone offset would further reduce the coupled stiffness, \( \frac{\sigma_{\text{int}}}{\Delta u} \).

When loaded in pure tension (0°) fully symmetric crack patterns arise in the cement-bone interface as a result of the symmetric morphology (Figure 5.5). In pure shear (90°) the cracks progress into the bulk material. This type of cracking at the cement-bone interface has been reported before. The crack patterns that occur when loaded in 45° tend to be a combination of the crack patterns in 0° and 90°; spurs break off and cracks progress into the bulk (Figure 5.5).

In pure shear, a considerable compressive traction is generated which prevents dilation of the interface. Moreover, no ultimate strength was found in pure shear, which is different from experimental findings. This can be explained by the experimental setup used in these tests in which linear sliders were used, which result in no interfacial normal stresses when loaded in shear. This allows the interface to open, but is neglected in the overall motion analysis, in contrast to the current study. However, recently, shear experiments of the cement-bone interface have been performed in which the interface was not allowed to dilate. In this study, ultimate shear strengths were found which exceeded 200 MPa, considerably larger compared to the studies in which interfacial dilation was allowed. FEA studies on other interfaces have demonstrated that normal compression stresses do occur in pure shear loading of interfaces. It is believed that this is caused by the formation of micro cracks and shear hynes in the interfacial interface. These features were also found in the current study (Figure 5.5, 7).

By means of the implementation of periodic boundary conditions, one cement-bone interface model represents the complete cement-bone interface of a complete hip reconstruction. The periodic boundary conditions are applied using links, which provide equal displacements on both sides of the model. The initial mirroring of the models consequently result in bone-to-bone and cement-to-cement links. The results show that the periodic boundary conditions result in stress distributions that are smoothly transferred from the model’s left side to its right side (Figure 5.7). Also cracks that progressed into one of the model’s sides continued progressing out of the opposite side (Figure 5.7) indicating that the boundary conditions functioned properly.

Our study limitations include the modeling of the cement-bone interface, which was considered as 2D plain stress models instead of complete 3D models of the interface. Although the plane strain models result in satisfactorily ultimate tensile strengths, the accompanying displacement at peak strength is rather low compared to experimental findings. Whether this ‘brittle response’ could be ascribed to the fact that 2D models were used is not clear, but 2D models do prohibit local motions in the third degree of freedom, which could contribute to more interfacial friction. Furthermore, the models are very stiff in compression, which could be attributed to the absence of significant gaps and cavities at the interface. Moreover, the applied periodic boundary conditions prohibit the models to expand as a result of the compression.

During the generation of the models used in this study, the models were mirrored. Although this does not represent a cement-bone interface portion that would occur in vivo, utilizing symmetric models is commonly used in orthopaedic related FEA studies to apply the preferred boundary conditions. Moreover, besides the boundary condition issue, mirroring is necessary to achieve the desired mechanical response. When the models would not have been mirrored, confounding tangential tractions would occur when loaded in pure tension.

To relate the mechanical mixed-mode response of the cement-bone interface to interface morphology we have focused on the cement penetration into the bone, which has been proven to be a reliable parameter for mechanical comparisons in tension and shear. Other approaches, such as the quantitative CT-density and the contact area between the bone and cement have not been used, since these ‘global’ parameters could not be converted to a directional dependent value. To determine the angular-dependent cement penetration, we have used an approach that used the maximum normal and tangential cement penetration as a function of the loading angle. Quantifying the cement penetration for each loading angle would result in measurement difficulties, due to differences in grid distances and the interfacial with over which the grid is spanned.

Another limitation of our study is that the effect of damage occurring under a certain load/direction on the mechanical response in another direction has not been investigated. For example, it is not known how complete fracture, as a result of a pure tensile load, affects the mechanical response in tangential direction with respect to the undamaged tangential response. Additional simulations could be performed in which for each loading angle multiple damage stages are captured. Subsequently, these damaged cases would be loaded in other directions. However, this fell beyond the scope of the current study.

A similar limitation is the path dependency, which has not been investigated. In
this study, the top plane of the bone is consequently moved in one direction starting from the origin. Other paths, such as a normal displacement followed by a tangential displacement, have not been considered. However, it has previously been shown that the loading path has significant influence on the mechanical response.\textsuperscript{27,35} The results show that the cement-bone interface is almost infinitely strong in pure shear. However, experimental tests have shown that this is not the case.\textsuperscript{27,35} The discrepancy between these two findings can be explained by the rigid boundary conditions that have been applied to our micro-model, which are absent in reality. For example, the simulations do not allow any movement in normal direction if a pure shear was applied. In reality, the surrounding material will be compliant and allow deformation in normal direction. Therefore, the information obtained with the micro-models in this study should be considered as describing the mechanical behavior of the cement-bone interface layer itself. If this behavior is implemented around cemented joint reconstructions, the compliance of the surrounding material will govern the external boundary conditions. This will allow the interface to dilate and fail at realistic shear strength values.

The goal of this study was to generate data on the mixed-mode response of the cement-bone interface for implementation in cohesive elements. Cohesive models that have been developed in the past might not be applicable for the response found in this study, because these models assume separate fracture energies in tension and shear.\textsuperscript{27,35} Our study, however, does not find a consistent value for the fracture energy in shear, because no failure is predicted. A possible solution is to include a cohesive model in which a global fracture energy is used instead of two separate fracture energies and the possibility to define an interface specific mechanical response in pure shear.\textsuperscript{40} Besides the numerical implementation of cohesive failure models into cohesive elements, it is also important to emphasize the physical implementation of the cohesive element into the mesh. This study demonstrated that the offset of the top and bottom edge relative to the contact area influences the shear properties of that specific portion of the cement-bone interface (Figure 5.8). Therefore, one should be aware that when implementing the reported mechanical response in complete models of cemented hip reconstructions, the cohesive element not only capture the interface, but also some adjacent material.

In conclusion: (1) This study revealed novel features of the cement-bone interface, such as considerable compressive tractions and no failure in shear. The predicted tensile strength and stiffness and the shear stiffness positively match previous experimental findings; (2) The mechanical response could not be related to the interfacial morphology, in terms of cement penetration. Overall, we conclude that this study exhibited enough detail of the mechanical mixed-mode response of the cement-bone interface for implementation in cohesive elements.

Acknowledgements
This work was funded by the NIH grant AR42017.

References


Multi-axial Loading Micromechanics of the Cement-Bone Interface in Post-Mortem Retrievals and Lab-Prepared Specimens

Abstract
Maintaining adequate fixation between cement and bone is important for successful long-term survival of cemented total joint replacements. Mixed-mode loading conditions (combination of tension/compression and shear) are present during in vivo loading, but the micromotion response of the interface to these conditions is not fully understood.

Non-destructive, multi-axial loading experiments were conducted on laboratory prepared (n=6) and post mortem (n=6) human cement-bone interfaces. Specimens were mounted in custom loading discs and loaded at 0, 30, 60, and 90° relative to the interface plane where 0° represents normal loading to the interface, and 90° represents shear loading along the longitudinal axis of the femur. Axial compliance did not depend on loading angle for laboratory prepared (p=0.96) or postmortem specimens (p=0.62). The cement-bone interface was more compliant under tensile than compressive loading at the 0° loading angle only (p=0.024). The coupled transverse to axial compliance ratio, which is a measure of the coupled motion, was small for laboratory prepared (0.115±0.115) and postmortem specimens (0.142±0.101). There was a moderately strong inverse relationship between interface compliance and contact index ($r^2=0.65$).

From a computational modeling perspective, the results of the current study support the concept that the cement-bone interface could be numerically implemented as a compliant layer with the same initial stiffness in tension and shear directions. The magnitude of the compliance could be modified to simulate immediate post-operative conditions (using laboratory prepared data set) or long-term remodeling (using postmortem data set).

Introduction
There is continued interest in understanding the role of the cement-bone interface in the loosening process of total joint replacements. Recent work has focused on improved characterization of the mechanics of the interface including mixed-mode loading experiments, modification of the interface to improve fatigue strength, evaluation of postmortem specimens in terms of morphology and micromechanics, and development of micromechanical and cohesive failure models.

Experimentally, the issue of mixed-mode loading of specimens remains a challenging one because a specimen can only be loaded in one direction to failure, and the failure response in other directions can obviously not be determined with the same specimen. One solution is to perform computational finite element analysis (FEA) of the test specimens, where it is possible to repeat loading and simulate subsequent failure on each model in all manner of loading directions. However, there are several assumptions made with these models with regards to the elastic properties and material failure models used for the model constituents and the ability to accurately model the interface morphology between the cement and bone. These factors add a degree of uncertainty to the simulation results. Ideally, experiments that could be performed non-destructively, under a variety of mixed-mode loading conditions could provide new information regarding the micromechanics of the cement-bone interface.

Data of this type is currently unavailable in the literature.

Recent experimental work on the cement-bone interface has found that the interface is quite compliant (~10 microns/MPa) with sliding and opening occurring from shear and tensile loading conditions, respectively. In addition, this interface becomes more compliant following in vivo service (~50 microns/MPa). The question remains as to whether this micromotion is dependent on the loading direction. To address this question we performed multi-loading angle experiments on cement-bone interface specimens. We asked three research questions: (1) does interface compliance depend on loading angle?; (2) are there appreciable coupled transverse motions?; and (3) can interface compliance be explained by contact between cement and bone and source of bone (lab prepared or postmortem retrieval)?

Methods
Twelve cement-bone interface specimens were created from human cemented femoral hip replacements obtained as postmortem retrievals (n=6) and from laboratory prepared constructs (n=6). A total of eight fresh-frozen proximal femurs were obtained from the SUNY Upstate Medical University Anatomical Gift Program. Four donor bones had cemented femoral components in place (the retrieval group). An additional four donor femora without implants were prepared for cementing by broaching, brush lavage, and distal plugging followed by retrograde introduction of surgical PMMA cement with pressurization and introduction of a double-tapered acrylic stem (the laboratory prepared group). For the laboratory prepared group, bones were warmed to 37°C in a saline calcium buffered water bath prior to cementing. Specimen data, including donor age, sex, time in service (where appropriate), and anatomic location are shown in Table 6.1.
Specimens were mounted in a “Brazil nut”-type specimen loading disc with provision to load the interface at 0, 30, 60, or 90° relative to the cement-bone interface (Figure 6.1a). In terms of a cylindrical coordinate system of the cemented femoral component, 0° represents normal loading along the femoral radial axis, and 90° represents shear loading along the longitudinal axis of the femur. Loading discs were custom fabricated for each specimen from a 9.5mm thick polycarbonate sheet using a computer numerically controlled (CNC) mill. Instead of grips typically used to clamp the specimens, epoxy was used to bond the cement and bone to the loading disc. To achieve this, individual specimen shapes were cut out of the polycarbonate sheet using the CNC mill. A 3mm slot was milled in the loading disc to bisect the disc into two halves. Small temporary ligaments (Figure 6.1a) were used to maintain integrity of the two halves of the loading disc prior to insertion of the test specimen and to prevent loads from transferring across the cement-bone interface prior to mechanical testing. The epoxy was carefully applied to the cement and bone surfaces in situ, using an 18 gauge needle via small ports drilled through the loading disc. This ensured that epoxy did not contact the interface between cement and bone.

The loading discs were attached to custom grips using conical screws that aligned the specimen at the four different loading angles. Linear sliders were used above the top test grip to allow free out of plane displacement. Load (displacement) was applied using a screw driven mechanical load frame (Q-test, MTS Systems, Eden Prairie, MN) with an in-line load cell. Just prior to testing, the side plate connecting the cement and bone was severed, along with

Table 6.1

<table>
<thead>
<tr>
<th>Specimen</th>
<th>Age</th>
<th>Sex</th>
<th>Years in Service</th>
<th>Distance from Calcar (mm)</th>
<th>Quadrant</th>
<th>Applied Stress (MPa)</th>
</tr>
</thead>
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<td>80</td>
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<td>NA</td>
<td>50</td>
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<td>LP2</td>
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<tr>
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<td>80</td>
<td>Posterior</td>
<td>0.51</td>
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<tr>
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<td>Female</td>
<td>NA</td>
<td>50</td>
<td>Anterior</td>
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<tr>
<td>LP5</td>
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<td>Male</td>
<td>NA</td>
<td>100</td>
<td>Medial</td>
<td>0.48</td>
</tr>
<tr>
<td>LP6</td>
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<td>NA</td>
<td>90</td>
<td>Lateral</td>
<td>0.85</td>
</tr>
<tr>
<td>PM1</td>
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<td>14</td>
<td>100</td>
<td>Medial</td>
<td>0.04</td>
</tr>
<tr>
<td>PM2</td>
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<td>14</td>
<td>100</td>
<td>Posterior</td>
<td>0.39</td>
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<tr>
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<td>0.14</td>
</tr>
<tr>
<td>PM4</td>
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<td>Female</td>
<td>2</td>
<td>170</td>
<td>Lateral</td>
<td>0.17</td>
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<tr>
<td>PM5</td>
<td>93</td>
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<td>Unknown</td>
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<td>Posterior</td>
<td>0.17</td>
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<tr>
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<td>77</td>
<td>Female</td>
<td>Unknown</td>
<td>90</td>
<td>Lateral</td>
<td>0.18</td>
</tr>
</tbody>
</table>

Source of cement-bone specimens for lab prepared (LP) and postmortem retrieval specimens (PM). Distance from the calcar is measured distally with specimens taken from the distal half of the cemented stem construct.

Specimens were obtained from both postmortem retrievals and laboratory prepared constructs to provide a sample population that spanned a wide range of interface morphologies and interface strengths. Specimen preparation followed a methodology described previously. Briefly, transverse sections at 10mm intervals of the cemented construct were created. An acrylic side plate was attached between the cement and bone to protect the interface from uncontrolled loading during subsequent handling. Rectangular prism-shaped specimens were then cut from the location of the side plate with 4x9mm cross section. Micro-CT scans (Scanco 40, SCANCO Medical AG, Bruttisellen, Switzerland) were obtained for each specimen to document interface morphology at 12 micron resolution. Specimens were screened to include only those with cement-bone interdigitation less than 3.2mm, to insure that they would fit the loading apparatus.

Specimens were mounted in a “Brazil nut”-type specimen loading disc with provision to load the interface at 0, 30, 60, or 90° relative to the cement-bone interface (Figure 6.1a). In terms of a cylindrical coordinate system of the cemented femoral component, 0° represents normal loading along the femoral radial axis, and 90° represents shear loading along the longitudinal axis of the femur. Loading discs were custom fabricated for each specimen from a 9.5mm thick polycarbonate sheet using a computer numerically controlled (CNC) mill. Instead of grips typically used to clamp the specimens, epoxy was used to bond the cement and bone to the loading disc. To achieve this, individual specimen shapes were cut out of the polycarbonate sheet using the CNC mill. A 3mm slot was milled in the loading disc to bisect the disc into two halves. Small temporary ligaments (Figure 6.1a) were used to maintain integrity of the two halves of the loading disc prior to insertion of the test specimen and to prevent loads from transferring across the cement-bone interface prior to mechanical testing. The epoxy was carefully applied to the cement and bone surfaces in situ, using an 18 gauge needle via small ports drilled through the loading disc. This ensured that epoxy did not contact the interface between cement and bone.

The loading discs were attached to custom grips using conical screws that aligned the specimen at the four different loading angles. Linear sliders were used above the top test grip to allow free out of plane displacement. Load (displacement) was applied using a screw driven mechanical load frame (Q-test, MTS Systems, Eden Prairie, MN) with an in-line load cell. Just prior to testing, the side plate connecting the cement and bone was severed, along with
the temporary ligaments in the loading disc, using a heated surgical blade. Specimens were tested in an acrylic water bath containing a calcium buffered saline solution at 37ºC.

To quantify interface motion during testing, a Digital Image Correlation (DIC) technique was used as described previously (Mann et al., 2008). Briefly, a black paint overspray was applied to the specimen surface to provide texture for the DIC process. A CCD camera with telecentric lenses (0.0085 mm/pixel resolution) captured images during loading. Interface motion was measured at 3 pairs of points along the cement-bone interface (Figure 6.1b). The RMS error of the DIC system was 0.000395 mm.

Because the measurement of interface micromotion using the DIC technique was performed as a post-processing step, after completion of mechanical loading, an initial loading procedure was performed on each specimen to determine limits that would result in measurable (on the order of 10 microns), but non-destructive loading. It was determined previously that the interface micromotion at failure in the tensile direction was 0.041±0.017 mm. Hence, to be in the non-destructive range, 10 microns of global crosshead displacement was applied in the tensile direction, with the specimens oriented at the 30º loading angle. Note that application of global displacements of 10 microns should result in lower displacements at the interface, due to compliance at locations other than the cement-bone interface. The local interface micromotion was then calculated using DIC and the initial interface compliance was determined (inverse slope of the applied stress versus interface displacement curve). From this initial compliance estimate, the applied stress needed to displace the interface 8 microns (to ensure testing within the non-destructive range) was calculated, and this applied stress was used as a limit for subsequent testing. The applied stress needed to displace the interface 8 microns was higher for the lab prepared specimens compared to the postmortem specimens (Table 6.1). This is expected because the tensile stiffness of lab prepared specimens (208±31 MPa/mm) has recently been shown to be much larger than postmortem retrieval (16±15 MPa/mm) specimens. For the current data set, the estimated stiffness was 102±55 MPa/mm for the laboratory prepared specimens and 23±14 MPa/mm for postmortem retrieval specimens.

The main experiment consisted of loading the specimens to the prescribed stress level at 30º, 0º, 60º, and 90º test orientations, in that order (Figure 6.1c). We started with the 30º test orientation because preliminary testing suggested that this was the most compliant test direction; this was later proven to be not true, but for consistency the test was continued in that manner. Four tension-compression loading cycles were applied at each loading angle with DIC images captured during the fourth cycle; the first three cycles served as preconditioning cycles. Axial compliance (inverse of stiffness) in the direction of loading was defined as the inverse slope of the axial applied stress versus axial micromotion curve (Figure 6.2a) and was determined for tensile and compressive loading directions. Coupled transverse compliance, occurring perpendicular to the axis of loading was defined as the inverse slope of the axial applied stress versus transverse micromotion curve (Figure 6.2b) and was determined for tensile and compressive loading directions. Coupled transverse compliance, occurring perpendicular to the axis of loading was defined as the inverse slope of the axial applied stress versus transverse micromotion curve (Figure 6.2a) and was determined for tensile and compressive loading directions. Coupled transverse compliance, occurring perpendicular to the axis of loading was defined as the inverse slope of the axial applied stress versus transverse micromotion curve (Figure 6.2b).

Conversion to local interface coordinates to document normal and shear components of micromotion was also performed (Figure 6.2c & d). At the end of each test, the specimen was returned to the 30º and a confirmation compliance test was performed with results compared to the original 30º compliance test. For this purpose, the ratio of log compliance of the confirmation test was divided by the log compliance of the original test for both tension and compression loading directions. As noted below, the log compliance was used for all statistical tests to normalize data.

**Figure 6.2** The axial applied stress versus interface micro-motion response for specimen PM6 with loads applied at four different angles. The axial response (a) shows more micro-motion compared to the coupled transverse direction (b). Conversion to local coordinates is shown in panels c and d.

### Interface Morphology

A CT scan-based stereology approach was used to characterize the morphology of the interface for each specimen, and at each loading angle. Regularly spaced lines (0.38 to 0.53 mm spacing) were projected through the specimen (Figure 6.3). At points where the projected lines crossed an interface between cement and bone, the status of the interface was designated as either in apposition, proximity, or gap (≥0.25 mm). A Contact Index (CI) was calculated as the number of points of apposition, divided by the total number of projection lines that cross the interface. Intersection Index (II) was calculated as the total number points of apposition and proximity divided by total number of projection lines that cross the cement-bone interface. Contact area (CA) was calculated as the product of the Contact Index and the sampling area of the projection line. The sampling area of the projection line was defined as the square of the line spacing. The Interdigitation Depth (ID) was calculated along the projection lines using the same approach as described in Waanders et al. (2010). Considering a projection line that passed from the cement component to the bone (bottom to top in Figure 6.3), the distance from the first instance of contact with bone to the last instance of contact with cement would represent the interdigitation depth for that particular projection line. If the projection line crossed the cement-bone interface only once, the interdigitation depth was considered to be zero. The average of all projection line interdigitation depths was then determined. The interdigitation depth was only calculated at the 0º loading angle.
Statistical Analysis

To determine if compliance changed with loading angle, the slope of compliance versus loading angle was calculated for each specimen. From this, a t-test was performed to determine if the slope was different from zero. To determine if the interface was more compliant in the tensile direction compared to the compression direction for all loading angles, a tension to compression compliance ratio was calculated. A t-test with correction for multiple sampling was performed to determine if the ratio was greater than 1.0 for each loading angle. Similarly, a coupled transverse to axial compliance ratio was calculated to provide a relative measure of the magnitude of out of plane micro-motion. Simple linear regression analysis was used to assess the correlation of each of the morphology measurements with interface compliance. Following this, a step-wise regression was used to determine significant factors that contribute to interface compliance including morphological, source of bone, and loading angle. For all analyses, compliance was transformed with a log transformation to normalize distributions.

Stereology grid lines was projected through the CT scan images of the specimens at the four different loading angles. A thirty degree loading angle case is shown here and lines that cross the cement-bone interface (black) are included in the total line count. Points of apposition (black x) and points of proximity (white x) at the cement-bone interface are shown for one plane of the interface.

![Stereology grid lines](image)

**Figure 6.3** Stereology grid lines was projected through the CT scan images of the specimens at the four different loading angles. A thirty degree loading angle case is shown here and lines that cross the cement-bone interface (black) are included in the total line count. Points of apposition (black x) and points of proximity (white x) at the cement-bone interface are shown for one plane of the interface.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Laboratory Prepared (n=24)</th>
<th>Postmortem (n=23)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tensile axial compliance (mm/MPa)</td>
<td>0.0156 (0.008)</td>
<td>0.052 (0.039)</td>
</tr>
<tr>
<td>Compressive axial compliance (mm/MPa)</td>
<td>0.0146 (0.007)</td>
<td>0.044 (0.028)</td>
</tr>
<tr>
<td>Tension/Compression axial compliance ratio</td>
<td>1.108 (0.34)</td>
<td>1.140 (0.32)</td>
</tr>
<tr>
<td>Coupled Transverse compliance (mm/MPa)</td>
<td>0.0011 (0.0009)</td>
<td>0.005 (0.006)</td>
</tr>
<tr>
<td>Coupled Transverse/Axial compliance ratio</td>
<td>0.115 (0.115)</td>
<td>0.142 (0.101)</td>
</tr>
<tr>
<td>Contact Index (CI)</td>
<td>1.208 (0.61)</td>
<td>0.57 (0.31)</td>
</tr>
<tr>
<td>Contact Area (CA, mm²)</td>
<td>22.97 (14.8)</td>
<td>13.8 (5.8)</td>
</tr>
<tr>
<td>Intersection index (II)</td>
<td>1.92 (0.65)</td>
<td>1.89 (0.69)</td>
</tr>
<tr>
<td>Interdigitation Depth (ID, mm)</td>
<td>0.234 (0.174)</td>
<td>0.375 (0.454)</td>
</tr>
</tbody>
</table>

**Table 6.2** Compliance and morphology data collected for the laboratory prepared and postmortem cement-bone interfaces. Results are shown for 24 laboratory prepared (4 loading angles x 6 specimens) and 23 postmortem (4 loading angles x 6 specimens, excluding PM1 loaded at 90º). The interdigitation depth was calculated for the 0º loading case only. Mean, standard deviation (SD) and range values are shown.

![Micro-CT images](image)

**Figure 6.4** Micro-CT images of the cement-bone interfaces from laboratory prepared (a, LP1) and postmortem retrieval (b, PM5) specimens. While the laboratory prepared specimen has extensive cement-bone contact at the interface, gaps are more prevalent between the cement and bone in the retrieval specimen. Regions indicative of bony resorption are designated by arrows.
The cement-bone interface was more compliant under tensile than compressive loading (Table 6.4) at the 0° loading angle only (p=0.024). The coupled transverse to axial compliance ratio, which is a measure of the coupled motion, was small for laboratory prepared (0.115±0.115) and postmortem specimens (0.142±0.101). The transverse compliance (Figure 6.5b) did not have any clear relationship with loading angle.

Compliance was inversely proportional to Contact Index ($r^2=0.50$, p<0.0001), Contact Area ($r^2=0.30$, p<0.0001), and Intersection Index ($r^2=0.12$, p=0.018). Using a stepwise regression model, both Contact Index (p<0.0001), and bone source (lab prepared or postmortem) (p=0.0017) contributed to estimates of interface compliance ($r^2=0.65$). Interestingly, for the same Contact Index, the postmortem specimens were more compliant than the laboratory prepared specimens (Figure 6.6). The interdigitation depth did not correlate with axial compliance ($r^2=0.08$, p=0.36).

The confirmation compliance test at 30° degrees was compared to the initial 30° compliance test for both tension and compression loading (22 total tests from 11 specimens with full runs with both tensile and compressive compliance measurements). Overall, the confirmation to original compliance ratio was 1.03 (0.15 SD) with 19 of the 22 tests having confirmation compliances that were less than 110%. Interestingly, half of the tests had confirmation compliances that were less than the original compliance. Two specimens with confirmation to original compliance ratios greater than 110% (115% in the compression direction and 129% and 152% in the tension direction) were from the most compliant specimens.

Descriptive statistics for the mechanical and morphological outcome measures are presented as laboratory prepared and postmortem groups (Table 6.2). The morphology of the postmortem specimens was substantially different from the laboratory prepared specimens. While the interdigitation depth (p=0.50) and intersection fraction (p=0.87) were not different between the two groups, there was significantly less contact index (p<0.0001) and contact area (p<0.0001) between cement and bone for the postmortem retrieval specimens. An example of these differences is shown in Figure 6.4 where there was similar interdigitation between the two specimen types but much less cement-bone contact for the post-mortem retrieval.

Tests were completed for all specimens at all loading angles except for PM1 that failed prior to completing the 90° loading angle configuration. It should be noted that PM1 had the lowest applied stress and was the most compliant of all the specimens, and thus was likely the weakest specimen. Axial compliance did not depend on loading angle (Figure 6.5a) for laboratory prepared (p=0.96) or postmortem specimens (p=0.62) (statistical analysis is shown in Table 6.3). The variance between specimens (0.78 coefficient of variation, calculated as the standard deviation divided by the mean) was much greater than the variance within specimens at the four different loading angles (0.28 average coefficient of variation, range: 0.036 to 0.92).

### Table 6.3

<table>
<thead>
<tr>
<th>Specimen Source</th>
<th>Mean (SD) (degree)</th>
<th>Range (degree)</th>
<th>p-value with Bonferroni Correction</th>
</tr>
</thead>
<tbody>
<tr>
<td>Laboratory Prepared</td>
<td>6.1 x 10^-1 (0.0227)</td>
<td>-0.0049 – 0.0026</td>
<td>0.96</td>
</tr>
<tr>
<td>Postmortem</td>
<td>-0.0025 (0.0047)</td>
<td>-0.0113 – 0.0020</td>
<td>0.62</td>
</tr>
<tr>
<td>Combined (n=12)</td>
<td>-0.0011 (0.0039)</td>
<td>-0.0113 – 0.0026</td>
<td>0.62</td>
</tr>
</tbody>
</table>

The slope of the tensile compliance to loading angle response was calculated for laboratory prepared and postmortem specimens. A two-tailed t-test was performed to determine if the slope was different from 0. A zero slope would indicate that loading angle did not influence compliance. Mean, standard deviation (SD) and range values are shown.
Chapter 6

The results of this study suggest that the magnitude of interface micromotion from an externally applied load does not depend on the angle at which that load is applied. Further, coupled transverse micromotions are small. As described previously, there is local sliding and opening of the interface between the interdigitated cement and bone that is responsible for this micromotion. The finding that interface compliance (inverse stiffness) is invariant to loading direction might seem in conflict with previous reports that indicated that interface strength is greater in shear than in tension. However, if we compare the stiffness versus strength response in tension with that from the shear direction (Figure 6.7), we find that for the same stiffness (or compliance), the interface is stronger in shear than in tension. For the data presented in Figure 6.7, the ratio of slopes of the strength versus stiffness linear regression is 4.02:1 (shear to tension) indicating that for the same interface stiffness, a specimen would be 4 times stronger in shear than in tension. It should be noted that the shear loading experiments were from a relatively small sample population and that more data should be collected to provide a more complete description of the strength to stiffness response in the shear direction using both lab prepared and postmortem retrieved specimens.

An alternative approach to determine the multi-axial response of the cement-bone interface is to perform micromechanical finite element analyses. With this approach detailed finite element models of the cement and bone are created that capture the local interface morphology. In addition, a failure criterion for the cement and bone can be used such that tests to failure tests on the same specimen can be conducted in tension and shear. Using this approach for two finite element meshes with different levels of interdigitation based on laboratory prepared specimens, Waanders et al. (2010) found that the cement-bone interface was, on average, slightly less compliant (tension:shear ratio of 0.87) in 0° (tension) than 90° (shear) loading angles. In the current experimental study, we found that for 12 different specimens that the ratio of 0° to 90° loading angle compliance was 0.998.

Modeling of the cement-bone interface in cemented hip replacement has evolved substantially over the last several decades. Initial work focused on the effects of decoupling of the cement from the bone through inclusion of a fibrous tissue layer at the cement-bone interface. The addition of fibrous tissue in these models resulted in changes in distribution and magnitude of bone and cement stresses from the standard bonded condition at the

<table>
<thead>
<tr>
<th>Loading Angle (deg)</th>
<th>Mean (SD)</th>
<th>Range</th>
<th>P-value with Bonferroni Correction</th>
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<tr>
<td>0</td>
<td>1.36 (0.43)</td>
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<td>30</td>
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<tr>
<td>60</td>
<td>0.99 (0.18)</td>
<td>0.82 – 1.51</td>
<td>0.97</td>
</tr>
<tr>
<td>90</td>
<td>0.94 (0.09)</td>
<td>0.77 – 1.08</td>
<td>0.97</td>
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</tbody>
</table>

Table 6.4  The ratio of axial tensile to axial compressive compliance of the cement-bone interface as a function of loading angle for the 12 test specimens. A one-tailed t-test was performed to determine if mean value was greater than 1.0. Mean, standard deviation (SD) and range values are shown.

**Figure 6.6** Axial tensile compliance as a function of Contact Index for lab prepared and postmortem specimens.

**Figure 6.7** Relationship between interface stiffness and interface strength compiled from previously reported data.

An alternative approach to determine the multi-axial response of the cement-bone interface is to perform micromechanical finite element analyses. With this approach detailed finite element models of the cement and bone are created that capture the local interface morphology. In addition, a failure criterion for the cement and bone can be used such that tests to failure tests on the same specimen can be conducted in tension and shear. Using this approach for two finite element meshes with different levels of interdigitation based on laboratory prepared specimens, Waanders et al. (2010) found that the cement-bone interface was, on average, slightly less compliant (tension:shear ratio of 0.87) in 0° (tension) than 90° (shear) loading angles. In the current experimental study, we found that for 12 different specimens that the ratio of 0° to 90° loading angle compliance was 0.998.

Modeling of the cement-bone interface in cemented hip replacement has evolved substantially over the last several decades. Initial work focused on the effects of decoupling of the cement from the bone through inclusion of a fibrous tissue layer at the cement-bone interface. The addition of fibrous tissue in these models resulted in changes in distribution and magnitude of bone and cement stresses from the standard bonded condition at the
cement-bone interface. However, these models were not capable of simulating the actual failure process at the interface. More recently, efforts have been made to model the failure response of the cement-bone interface using damage mechanics approaches. These studies showed that the cement-bone interface plays an important role in the failure process of the cemented stem construct. The results from the present study could be used to improve the accuracy of these damage models through implementation of a high compliance layer with isotropic deformation properties (invariant to loading direction for the same applied stress). The magnitude of compliance could be modified from a highly interdigitated state with relatively low compliance to a state where there is little cement-bone contact with relatively high compliance. The evolution of the interface from a highly interdigitated state, to a loose state could also be explored.

The main limitation to this study is that specimens were not loaded to failure, so that the full failure response in each loading direction was not determined. As described above, one approach to generate a full failure response is to perform finite element (FE) simulations to failure of the same specimen with different loading directions. However, FE simulations are limited by the ability to capture all features of the failure response. The concurrence between the experimental findings here and the micromechanical FE results to failure suggests that the models are capable of capturing the primary failure mechanisms. In addition to single cycle loading limitations, the multi-axial fatigue loading response cannot be addressed with this experimental approach. There is the possibility that the weakest (most compliance) specimens could have been damaged during the rotation to the different loading angle. Removal of the two most compliant specimens from the data set did not affect the overall conclusion that compliance did not depend on loading angle.

Recently, our group showed that postmortem retrieval specimens have much less contact between cement and bone when compared to laboratory prepared specimens. However, in the previous testing of laboratory prepared specimens, a side plate was not used to support the interface prior to loading. In some cases, weak specimens would fail during set up in the loading grips. In the current study, because side plates were attached between the cement and bone during preparation, the weaker laboratory prepared specimens remained intact during the experimental setup and could be tested. As such, data is now presented that indicates that for the same interface Contact Index, postmortem retrieval specimens are more compliant than laboratory prepared specimens. The reason for this is unclear but could be due to changes in the stiffness (modulus) of the bone in contact with the cement or some other morphology parameters not captured by the Contact Index. Additional work is needed to determine the cause of this discrepancy.

Interdigitation depth was not found to correlate with interface compliance in the present study. Performing mixed-mode tests on cement-bovine bone interfaces with an average interdigitation depth of 0.51 mm, Wang et al. (2010) found a poor correlation ($r^2=0.16$) between interdigitation depth and interface strength. In the current study, the average interdigitation depth was also small (0.3 mm). It is possible that a much wider range of interdigitation depth would reveal a relationship between interface compliance and strength.

Postmortem retrieval specimens are more compliant and have less contact between cement and bone when compared to laboratory prepared specimens. While laboratory prepared specimens can be more easily obtained, they appear to only represent behavior that would exist in the immediate post-operative condition. The biological response to implantation clearly changes the morphology of fixation with reduced contact between cement and bone. There is also clear evidence of bony resorption from regions that had originally been interdigitated (Figure 6.4). In the development of bench-top preclinical models for evaluation of new implant designs, conditions that simulated the in vivo situation would be preferable, but also may be harder to achieve with experimental models.

In terms of clinical relevance, these results indicate that interface compliance increases following in vivo service. This is due to a loss of contact between cement and bone. In addition, there appears to be an additional increase in compliance for postmortem specimens for the same amount of contact between cement and bone. The mechanism for this is unclear, but could be due to other changes at the bone-cement interface.

Acknowledgements

This work was funded by the National Institutes of Health (NIH AR4217).
References

Chapter 7

Morphology Based Cohesive Zone Modeling of the Cement-Bone Interface from Postmortem Retrievals

Journal of the Mechanical Behavior of Biomaterials (2011); 4(7):1492-503
Abstract
In cemented total hip arthroplasty, the cement-bone interface can be considerably degenerated after less than one year in-vivo service; this makes the interface much weaker relative to the direct post-operative situation. It is, however, still unknown how these degenerated interfaces behave under mixed-mode loading and how this is related to the morphology of the interface. In this study, we used a finite element approach to analyze the mixed-mode response of the cement-bone interface taken from postmortem retrievals and we investigated whether it was feasible to generate a fully elastic and a failure cohesive model based on only morphological input parameters.

Computed tomography-based finite element analysis models of the postmortem cement-bone interface were generated and the interface morphology was determined. The models were loaded until failure in multiple directions by allowing cracking of the bone and cement components and including periodic boundary conditions. The resulting stiffness was related to the interface morphology. A closed form mixed-mode cohesive model that included failure was determined and related to the interface morphology.

The responses of the finite element simulations compare satisfactorily with experimental observations, albeit the magnitude of the strength and stiffness are somewhat overestimated. Surprisingly, the finite element simulations predict no failure under shear loading and a considerable normal compression is generated which prevents dilation of the interface. The obtained mixed-mode stiffness response could subsequently be related to the interface morphology and subsequently be formulated into an elastic cohesive zone model. Finally, the acquired data could be used as an input for a cohesive model that also includes interface failure.

Introduction
In cemented total hip arthroplasty, the fixation at the cement-bone interface is one of the critical factors in the longevity of the cemented hip reconstruction. Since bone cement is not osteoconductive, physicochemical bonding cannot be expected\(^\text{23}\), and therefore, interface fixation relies on cement interdigitation into the bone\(^\text{23}\). However, recent research has indicated that after less than one year in vivo service the cement-bone interface can be considerably degenerated due to bone resorption\(^\text{27}\). This subsequently makes the interface considerably weaker relative to the immediate post-operative situation\(^\text{21}\).

The implementation of the mechanical behavior of the cement-bone interface into Finite Element Analysis (FEA) of cemented hip reconstructions has often been over-simplified. Over the years, the cement-bone interface has frequently been implemented either as an infinitely stiff interface\(^\text{11,14}\), a uniform layer of soft tissue elements\(^\text{3,38}\) or as a frictional contact layer\(^\text{48}\). The main limitation of these methods is that the interface behavior may not capture the true physics of the cement-bone interface.

Recently, the mechanical mixed-mode behavior of the cement-bone interface has been modeled utilizing sophisticated cohesive zone models\(^\text{24,28}\). The mechanical characteristics of these cohesive models were based on experimental data acquired from lab-prepared cement-bone interfaces that represent the immediate post-operative situation. It has recently also been reported that the increased compliance of these lab-prepared interfaces, relative to an assumed infinitely stiff interface also increases the overall cement mantle damage\(^\text{41}\). However, it is still unknown how increased compliance and reduced strength of the cement-bone interface following in vivo service influences the mixed-mode loading response and subsequent cement mantle damage.

In order to understand the behavior of the cement-bone interface derived from postmortem retrievals, from which cohesive models could be generated, information on the mixed-mode failure response of the interface is necessary. A possible method to obtain this information could be to load multiple postmortem specimens to failure under different directions, like has previously been done with lab-prepared specimens\(^\text{20,43}\). However, postmortem retrievals can be highly variable in terms of the amount of interdigitation due to biological changes at the interface, so that a consistent failure response as a function of different loading directions would be difficult to develop using an experimental approach. We have previously shown that micromechanical finite element models can be used to predict the failure response of lab-prepared specimens\(^\text{49}\). Here we propose to extend this concept to postmortem retrievals using an in silico approach; specimens will be loaded to failure in multiple loading directions and the mechanical response quantified.

Around the cement mantle, the degenerated cement-bone interface does not exhibit a homogenous morphology\(^\text{1}\). To account for variable morphology in cohesive zone modeling of the cement-bone interface, interface properties have previously been based on the quantity of cement interdigitation into the bone\(^\text{23}\). However, the quantity of cement interdigitation into the bone has been based on lab-prepared cement-bone interfaces\(^\text{20}\) and it is therefore unknown whether it can also be applied to postmortem retrievals. Moreover, the quantity of cement interdigitation into the bone does not provide insight into the micromechanics that cause the mechanical properties of the interface.
The goal of this study is to investigate the mechanical mixed-mode response of the cement-bone interface from radiographically well-fixed postmortem retrievals and relate this response to their morphology. A subsequent goal is to generate an elastic and failure cohesive model with only morphological factors as an input. From quantitative computed tomography (CT) data of postmortem retrievals, micromechanical FEA models were generated using a multi-scale approach. These models were subsequently loaded in multiple directions while failure could occur by allowing both the bone and cement components to crack. Using this approach, we addressed three research questions: (1) what are the mixed-mode characteristics of the postmortem cement-bone interface and how do these simulations compare to experimental findings?; (2) can the initial elastic stiffness in multiple directions be related to the interfacial morphology?; and (3) can a (I) fully linear elastic or (II) nonlinear elastic cohesive failure model reproduce the mechanical response of the in silico experiments using the interface morphology as the input parameters?

**Specimen preparation**

Four cement-bone interface specimens were retrieved from the proximal femurs of two donors with cemented hip components at autopsy (Table 7.1) through the anatomical donor programs at SUNY Upstate Medical University and the University of Alabama at Birmingham. Donations to the anatomical donor programs were made between 1 and 2 days of death and frozen at -20°C prior to tissue harvest. The specimen size, age, gender, cause of death and number of years in service were documented. Specimen source locations were documented including distance from the calcar and anterior/posterior-medial/lateral quadrant. By observation of the cement mantle porosity it was assessed whether the utilized bone cement was vacuum mixed prior to insertion. All specimens were micro-CT scanned at 12-micron isotropic resolution (Scanco 40, SCANCO Medical AG, Brüttisellen, Switzerland). Based on planar x-rays of the cemented femur construct, the quality of the cement-bone interface fixation was assessed and specified as radiographically ‘definitely loose’, ‘possibly loose’ or ‘not loose’.

### Table 7.1

<table>
<thead>
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<th>Specimen</th>
<th>Specimen 2</th>
<th>Specimen 3</th>
<th>Specimen 4</th>
</tr>
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<tr>
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<td>Renal insufficiency</td>
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<td>Not available</td>
</tr>
<tr>
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<td>70</td>
</tr>
<tr>
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<td>Medial</td>
<td>Lateral</td>
</tr>
<tr>
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<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Fixation quality</td>
<td>Not loose</td>
<td>Possibly loose</td>
<td>Not loose</td>
</tr>
</tbody>
</table>

**Methods**

**Specimen preparation**

Four cement-bone interface specimens were retrieved from the proximal femurs of two donors with cemented hip components at autopsy (Table 7.1) through the anatomical donor programs at SUNY Upstate Medical University and the University of Alabama at Birmingham. Donations to the anatomical donor programs were made between 1 and 2 days of death and frozen at -20°C prior to tissue harvest. The specimen size, age, gender, cause of death and number of years in service were documented. Specimen source locations were documented including distance from the calcar and anterior/posterior-medial/lateral quadrant. By observation of the cement mantle porosity it was assessed whether the utilized bone cement was vacuum mixed prior to insertion. All specimens were micro-CT scanned at 12-micron isotropic resolution (Scanco 40, SCANCO Medical AG, Brüttisellen, Switzerland). Based on planar x-rays of the cemented femur construct, the quality of the cement-bone interface fixation was assessed and specified as radiographically ‘definitely loose’, ‘possibly loose’ or ‘not loose’.

**FEA modeling**

From each specimen a FEA model was generated. Each model consisted of two parts: bone and cement. The FEA meshes were created from the micro-CT data of the cement-bone interface using MIMICS 11.0 (Materialize, Leuven, Belgium). After segmentation of the micro-CT data, the 3D voxel meshes of the bone and cement were transformed to triangular surface meshes, using a 6x6x6 voxel interpolation with smoothing. Next, these surface meshes were remeshed to reduce the number of triangles and to remove low quality triangles. To avoid intersecting elements, the mesh of the cement was subtracted from the bone mesh. The resulting low quality elements of the bone were subsequently remeshed. Finally, an erosion (2.0 µm) was applied to the bone interface to ensure that the two meshes were not intersecting.

Next, both surface meshes were meshed as a tetrahedral 3D solid mesh (Patran 2005r2, MSC Software Corporation, Santa Ana, CA, USA). The solid mesh of the bone was mapped back into the micro-CT data set, after which the weighted average of the grayscale was calculated for each solid element using MIMICS.
Material properties

Both bone and cement were initially modeled as isotropic linear elastic materials. Since the exact material properties of the cement were unknown, Young’s modulus (E) and Poisson’s ratio (ν) of the cement were taken as 3000 MPa and 0.3, respectively. The bone elastic properties were based upon micro-CT greyscale values, which were converted to equivalent HA-densities using a calibration phantom. The Young’s modulus was assumed to be linearly dependent on the HA-density, resulting in bone modulus values ranging from 0.1 to 20,000 MPa.

Previous experiments showed that when the cement-bone interface was loaded until failure, cracks initiated in both the cement and bone. Therefore, crack formation in the bulk bone and cement was simulated using an adapted custom-written FEA algorithm to simulate static failure. Static failure in either bone or cement occurred when the local principal tensile stress exceeded the material strength. The strength of the cement was taken as 40 MPa, while the strength of the bone was derived from the Young’s modulus:

\[ S = 102 \left( \frac{E}{14,900} \right)^{3.06} \]  

Cracks were simulated by setting the Young’s modulus to 0.1 MPa in the direction perpendicular to the corresponding principal stress direction.

Boundary conditions

In order to establish a multi-scale representation of the cement-bone interface, periodic boundary conditions were applied. In this way, one single model of the cement-bone interface was considered as an infinite series of periodic microstructures. The periodic boundary conditions were implemented by constructing nodal links between nodes periodically located on the left (y=0) and right side (y=w) of the model (Figure 7.2). As a result of the mirroring of the meshes, no bone-to-cement links at the boundary between two different periodic cells occurred.

While all nodes in the bottom plane (z=0) were fixed in all directions (Figure 7.2), the nodes in the top plane (z=h) were uniformly displaced until failure. Seven different angles (α) were considered: 0° (pure tension), 30°, 60°, 90° (pure shear), 120°, 150° and 180° (pure compression) (Figure 7.2). The incremental displacement in normal and tangential direction, \( \Delta N \) and \( \Delta T \), could hence be calculated as:

\[ u_x(x,y,z) = u_x(x,w,z) \]  

In this equation, \( u_x \) represents the displacement in the x-direction. As a result of the mirroring of the meshes, no bone-to-cement links at the boundary between two different periodic cells occurred.

The dimensions of the four models were on average ~4.2 x 7.2 x 3.0 mm³.

![Figure 7.1](image-url)
Cohesive modeling
The interface stiffness as a result of multi-axial loading was, like in physical experiments, determined in the direction of the interface displacement. In physical experiments, where off-axis loads usually do not occur as a result of the experimental setup such as use of linear sliders or a universal joint, the load vector \( \mathbf{T} \) always points in the same direction as the displacement vector \( \Delta \)\(^{18,22}\). However, as a result of the boundary conditions as applied in the current study, the load vector \( \mathbf{T} \) and the displacement vector \( \Delta \) do not necessarily point in the same direction (Figure 7.4)\(^39\). Therefore, the orthogonal projection of \( \mathbf{T} \) onto \( \Delta \) was determined \( \mathbf{T}' \) to obtain the stiffness in the direction of the displacement (Figure 7.4). The vector \( \mathbf{z} \) was defined as the component of \( \mathbf{T} \) perpendicular to \( \Delta \). From this, the stiffness in the direction of the applied displacement \( \frac{\partial \mathbf{T}'}{\partial \Delta} \) and its perpendicular stiffness \( \frac{\partial \mathbf{z}}{\partial \Delta} \) could be determined.

Elastic cohesive model
In order to generate an elastic model for postmortem cement-bone interfaces, a step-wise regression model was used to determine the relationship between \( \mathbf{N} \) and the three morphological factors \( \text{CI}, \text{II} \) and \( \text{GT} \) as well as the loading angle \( \alpha \). In order to incorporate the number of variables in the correlation, the adjusted correlation coefficient, \( \hat{R}^2 \), was used. It was assumed that \( \frac{\partial \mathbf{N}}{\partial \Delta} \) could be determined as:

\[
\frac{\partial \mathbf{N}}{\partial \Delta} = A \sin(\alpha) \frac{\partial \mathbf{T}'}{\partial \Delta}
\]

in which \( A \) is a constant to be determined and \( \frac{\partial \mathbf{T}'}{\partial \Delta} \) as predicted by the morphological factors and the loading angle. Linear regression analysis was used to assess the correlation between the predicted \( \frac{\partial \mathbf{N}}{\partial \Delta} \).

Morphology
In order to capture the morphology of the cement-bone interface, a CT-based stereology approach was used\(^21\). A grid (0.3 x 0.3 mm spacing) was placed over the micro-CT data and lines were projected vertically through the specimen (Figure 7.3). At the points where the projection lines crossed the interface, the status was designated as either apposition or proximity (gap < 0.25 mm). From this, the Contact Index (CI) was calculated as the number of points of apposition divided by the total number of projection lines. The Intersection Index (II) was calculated as the total number of points in apposition and proximity divided by the total number of projection lines. Finally, the gap between the cement and bone was measured for each projection line. Subsequently, the average of all the local gaps was determined what resulted in the Gap Thickness (GT).

Figure 7.2
The boundary conditions as applied in this study: all nodes at \( z=0 \) were fixated in all degrees of freedom, while all nodes at \( z=h \) were uniformly displaced in seven different directions. Periodic boundary conditions were applied on the model’s left and right side using nodal ties and were defined as: \( U_{(x,0,z)}=U_{(x,w,z)} \).

In this equation, \( U \) represents the displacement in the \( x, y \) and \( z \)-direction. Tangential displacements were consequently displaced in positive \( y \)-direction.

Figure 7.3
The micro-CT data set consisted of the bone (top) and cement (bottom). At various locations, there was cement penetration into the cavities of the bone. The white spots in the bulk cement can be attributed to the presence of BaSO\(_4\) particles. A grid (0.3 x 0.3 mm spacing) was placed over the micro-CT data and lines were projected vertically through the specimen. At those locations where a projection line crossed the interface, the interface status was designated as either apposition (triangle) or proximity (circle). Also, the gap thickness between the bone and cement (white lines) was measured for each projection line. From this, the Contact Index (CI), Intersection Index (II) and Gap Thickness (GT) could be determined. It has previously been shown that these parameters can be used to clarify the cement-bone interface response\(^21,22\).
Failure cohesive model

A mixed-mode model which also included interfacial failure was determined utilizing the following cohesive model:

\[ T_n(\Delta_n, \Delta_t) = \frac{\Delta_n}{\Delta_{n0}} \left( \frac{\Delta_n}{\Delta_{n0}} \right) f(\Delta_t) \exp \left( \frac{\Delta_t}{\Delta_{t0}} \right) \]  
\[ T_t(\Delta_n, \Delta_t) = T_{n,ult} \left[ 1 + \left( \frac{\Delta_t}{\Delta_{t0}} \right)^2 \right] \exp \left( -\frac{\Delta_t}{\Delta_{t0}} \right) \]

In this set of equations, the normal and tangential tractions \( T_n \) and \( T_t \) were defined as a function of the normal and tangential displacements \( \Delta_n \) and \( \Delta_t \) and four parameters which can partly be linked to the morphology. The parameter \( \Gamma_0 \) denotes the total fracture energy in pure tension \( \Delta_t = 0 \), which was therefore calculated from equation 4a as:

\[ \Gamma_0 = T_{n,ult} \Delta_{n0} \exp(1) \]

where \( T_{n,ult} \) is the tensile strength of the cement-bone interface. Since for postmortem retrievals there is a positive relationship \( r^2 = 0.57 \) between the tensile strength and stiffness, \( T_{n,ult} \) was determined as:

\[ T_{n,ult} = 0.0056 \frac{\Delta_{n0}}{\delta_{n0}} + 0.093 \]

where the normal stiffness \( \frac{\Delta_{n0}}{\delta_{n0}} \) was determined from the morphological based stiffness \( \frac{\Delta_{n0}}{\delta_{n0}} \) from section 'Elastic cohesive model'. The variable \( \delta_{n0} \) was defined as the displacement at the tensile strength and was determined as a function of \( T_{n,ult} \) and \( \frac{\Delta_{n0}}{\delta_{n0}} \) utilizing the data of Mann et al. (2008) and was therefore also morphology dependent. The function \( f(\Delta_t) \) was used to define the response in pure shear:

\[ T_t(\Delta_n, \Delta_t) = \Gamma_1 \frac{\partial f(\Delta_t)}{\partial \Delta_t} \]

Since a previous mixed-mode study suggested a linear relationship between \( T_t \) and \( \Delta_{t0} \), this function resulted in:

\[ f(\Delta_t) = \frac{1}{2T_{n,ult}} \frac{\Delta_{t0}}{\Delta_{n0}} \]

The parameter \( \frac{\Delta_{t0}}{\Delta_{n0}} \) was defined as the tangential stiffness in pure shear, which was also determined from the morphological based stiffness \( \frac{\Delta_{t0}}{\Delta_{n0}} \). Finally, the fitting coefficient \( \beta \) was set to -0.8. This value had to be negative in order to reproduce normal compression when loaded in pure shear.

The generated elastic and failure cohesive models were subsequently compared with the responses as found by the mixed-mode simulations. From this it was assessed whether the generated cohesive fit was satisfactory.

Mixed-mode response

A similar mixed-mode response was found for all four models. In pure tension \( (\alpha = 0^\circ) \), the response showed a traction-displacement response with an initial stiffness followed by yielding and softening (Figure 7.5a). The ultimate tensile strengths \( T_{n,ult} \) ranged from 0.10 to 0.81 MPa and the normal stiffness \( \frac{\Delta_{n0}}{\delta_{n0}} \) from 5.4 to 93.0 MPa/mm (Table 7.2). In pure compression \( (\alpha = 180^\circ) \), a linear \( T_n \Delta_{n0} \) relationship was found with stiffness ranging from 21.4 to 441.2 MPa/mm (Table 7.2).

In pure tension and compression, the tangential tractions \( T_t \) were found to be negligible (Figure 7.5d). In pure shear \( (\alpha = 90^\circ) \), the tangential traction \( T_t \) increased linearly with the applied tangential displacement \( \Delta_t \) (Figure 7.5c). In pure shear (\( \alpha = 90^\circ \)), none of the models reached failure. The four models had a tangential stiffness \( \frac{\Delta_{t0}}{\delta_{t0}} \) ranging from 10.1 to 184.3 MPa/mm (Table 7.2). Remarkably, a considerable compressive traction was needed to prevent dilation of the interface (Figure 7.5b).

For all the intermediate values of \( \alpha \), all the responses showed a smooth transition between the aforementioned three ‘principal’ responses. It is interesting to note that although interfaces are loaded in mixed-mode tension (30° and 60° cases), normal compression occurred in the softening phase (Figure 7.5a-b).
When the logarithmic value of the interfacial stiffness in the direction of the applied displacement was used in the regression model, the morphological variables Contact Index, Gap Thickness and cos(α) showed a significant contribution to the predicted stiffness ($r^2=0.91$, $p<0.0001$; Table 7.3; Regression model 1). The Intersection Index did not significantly contribute to the regression ($p=0.72$). With this regression model, a negative estimate for the Contact Index coefficient was found. A physical interpretation would be that increasing the Contact Index would result in a lower interface stiffness (holding all other parameters constant). This conflicts with previous experimental findings, but can be attributed to the fact that Contact Index and Gap Thickness are not independent; there is an inversely proportional relationship between the Contact Index and the Gap Thickness ($r^2=0.63$). Since the Contact Index was the least significant estimate, it was, like the Intersection Index, ignored in the second regression model (Table 7.3; Regression model 2). Considering only the Gap Thickness and cos(α), the predicted stiffness log still showed a strong correlation with the estimates ($r^2=0.81$, $p<0.0001$) (Figure 7.6a). There was also a strong correlation ($r^2=0.72$, $p<0.0001$) between the logarithmic value of the stiffness and the Gap Thickness and cos(α): $\log T = a_1 \Delta + a_2 \Delta a_3 \cos(\alpha)$ (Table 7.3; Regression model 2). The variable $\Delta$ was estimated to be 0.316.

**Cohesive model**

When the logarithmic value of the interfacial stiffness in the direction of the applied displacement ($\log T$) was used in the regression model, the morphological variables Contact Index, Gap Thickness and cos(α) showed a significant contribution to the predicted stiffness ($r^2=0.91$, $p<0.0001$; Table 7.3; Regression model 1). The Intersection Index did not significantly contribute to the regression ($p=0.72$). With this regression model, a negative estimate for the Contact Index coefficient was found. A physical interpretation would be that increasing the Contact Index would result in a lower interface stiffness (holding all other parameters constant). This conflicts with previous experimental findings, but can be attributed to the fact that Contact Index and Gap Thickness are not independent; there is an inversely proportional relationship between the Contact Index and the Gap Thickness ($r^2=0.63$). Since the Contact Index was the least significant estimate, it was, like the Intersection Index, ignored in the second regression model (Table 7.3; Regression model 2). Considering only the Gap Thickness and cos(α), the predicted stiffness log ($\log T$) still showed a strong correlation with the estimates ($r^2=0.81$, $p<0.0001$) (Figure 7.6a). There was also a strong correlation ($r^2=0.72$, $p<0.0001$) between $\Delta$ and $\Delta a_3 \cos(\alpha)$, in which $\Delta$ was estimated to be 0.316 and $\Delta a_3$ the aforementioned predicted stiffness from Regression model 2 (Figure 7.6b).

### Table 7.2

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<th>Model</th>
<th>$T_{\text{ref}}$ [MPa]</th>
<th>$T_{\text{ref}}$ [mm]</th>
<th>$T_{\text{ref}}$ [MPa]</th>
<th>$T_{\text{ref}}$ [mm]</th>
<th>CI [mm]</th>
<th>GT [mm]</th>
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</table>

Mean (SD) 0.51 (0.30) 39.5 (36.1) 242.3 (171.9) 122.1 (76.9) 0.34 (0.28) 1.20 (0.14) 0.11 (0.09)

<table>
<thead>
<tr>
<th>Model</th>
<th>$T_{\text{ref}}$ [MPa]</th>
<th>$T_{\text{ref}}$ [mm]</th>
<th>$T_{\text{ref}}$ [MPa]</th>
<th>$T_{\text{ref}}$ [mm]</th>
<th>CI [mm]</th>
<th>GT [mm]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Miller et al., 2010</td>
<td>0.21</td>
<td>16</td>
<td>47</td>
<td>47</td>
<td>-0.11</td>
<td>0.72</td>
</tr>
<tr>
<td>Mean (SD)</td>
<td>0.11 (0.17)</td>
<td>(0.62)</td>
<td>(0.28)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Miller et al., 2011</td>
<td>-</td>
<td>19.2</td>
<td>22.7</td>
<td>22.7</td>
<td>-0.57</td>
<td>18.9</td>
</tr>
<tr>
<td>Mean (SD)</td>
<td>-</td>
<td>(25.6)</td>
<td>(35.7)</td>
<td>(9.31)</td>
<td>(0.69)</td>
<td></td>
</tr>
</tbody>
</table>

**Table 7.3**

<table>
<thead>
<tr>
<th>Term</th>
<th>Estimate</th>
<th>SE</th>
<th>p-Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Regression model 1</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CI [mm] ($a_1$)</td>
<td>-1.346</td>
<td>0.242</td>
<td>&lt;0.0001</td>
</tr>
<tr>
<td>II [mm] ($a_2$)</td>
<td>-0.140</td>
<td>0.390</td>
<td>0.72</td>
</tr>
<tr>
<td>GT [mm] ($a_3$)</td>
<td>-0.646</td>
<td>0.715</td>
<td>&lt;0.0001</td>
</tr>
<tr>
<td>cos(α) ($a_4$)</td>
<td>-0.289</td>
<td>0.046</td>
<td>&lt;0.0001</td>
</tr>
<tr>
<td>Constant ($a_5$)</td>
<td>3.233</td>
<td>0.154</td>
<td>&lt;0.0001</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Term</th>
<th>Estimate</th>
<th>SE</th>
<th>p-Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Regression model 2</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CI [mm] ($a_1$)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>II [mm] ($a_2$)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>GT [mm] ($a_3$)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>cos(α) ($a_4$)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Constant ($a_5$)</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

The logarithmic value of the interfacial stiffness in the direction of the applied displacement log was linearly related to the Contact Index (CI), Intersection Index (II), Gap Thickness (GT) and the cosine of the loading angle (cos(α)) ($r^2=0.91$, $p<0.0001$; Regression model 1). 1 2 3 4 a CI a II a GT a cos(α) a With GT as the only morphology parameter (Regression model 2), there is still a strong correlation between log and the predicted estimates ($r^2=0.81$, $p<0.0001$).

**Elastic cohesive model**

Hence, using the predictions as mentioned above, the interfacial normal and tangential tractions ($T_N$ and $T_T$) could be determined:

$$
\frac{\Delta T}{\Delta a_3} = \frac{\Delta \sin(\alpha)}{\Delta a_3} + \frac{\Delta \cos(\alpha)}{\Delta a_3}
$$

Knowing that $\cos(\alpha) = \frac{\Delta T}{\Delta a_3}$ and $\sin(\alpha) = \frac{\Delta T}{\Delta a_3}$, the previous equation can be re-written in terms of $\Delta a_3$ in which $\Delta = \sqrt{\Delta a_3^2 + \Delta a_3^2}$.
This model resulted in a generally satisfactory fit between the simulated and the predicted elastic responses for all four models (Figure 7.7). Of note for the $T_N-\Delta N$ responses was that when the tensile or compressive direction was predicted nicely, the opposite direction was rather under or over predicted. The tangential elastic stiffness for model 2 and 3 was predicted with good fidelity, while the stiffness of model 1 and 4 was a rather under and over predicted, respectively.

### Failure cohesive model

When the mixed-mode model as proposed by Wei and Hutchinson (2008) was considered, the responses showed some artifacts in the normal direction (Figure 7.8; top row), particularly for the post-yield response. The mixed-mode model had some difficulties with predicting the ultimate tensile strength ($T_{N,\text{ult}}$) and its corresponding displacement ($\delta_{N}$). The RMS difference between $T_{N,\text{ult}}$ as determined by the simulations and the predicted mixed-mode model was 0.28 MPa. For $\delta_{N}$ the RMS difference was 64μm. However, the responses in normal compression were predicted with fewer artifacts than the elastic cohesive model. In the tangential direction, the mixed-mode responses were predicted satisfactorily for model 2 and 3 (Figure 7.8; lower row). As was found in the elastic cohesive model (Figure 7.7), the stiffness of model 1 and 4 was slightly under and over predicted, respectively.

### Discussion

The aim of this study was to investigate the mixed-mode behavior of cement-bone interfaces from postmortem retrievals utilizing micromechanical FEA models and, subsequently, generate an elastic and failure cohesive model based on the determined mixed-mode response and the interfacial morphology. This study distinguishes itself from physical experiments because one single interface morphology could be loaded until failure in multiple directions instead of one single direction.

The results show that the tensile strength ($T_{N,\text{ult}}$) and stiffness as obtained by the FEA models compare well with experimental observations. However, the normal stiffness at $\phi=0^\circ$ is rather stiff compared to the mean normal stiffness as obtained by experimental findings (Table 7.2). This overestimation can be explained by the origin of the specimens; specimens 1 and 4 were harvested from the stiffest donor as used in the study of Mann et al., 2010 (model K). Although it is known that in compression the interface is stiffer than in
tension\(^{19}\) the compressive stiffness as determined with the FEA models seems to be overestimated. In pure shear, a considerable compressive traction is needed to prevent dilation of the interface. This phenomenon has been described before in other interface studies\(^{11,19}\). All mixed-mode responses show a gradual transition between the three ‘principal’ responses (tension, shear and compression). The boundary conditions as applied in the current study are different from the boundary conditions that occur in physical experiments. In the current study, no relative motions between the top and the bottom plane were possible, whereas they can occur in experiments as a result of the experimental setup\(^{8}\). As a result of this restriction, the mechanical stiffness can increase since the “path of least resistance” cannot be followed. This could also be an explanation of the overestimated stiffness in pure compression. Furthermore, the applied periodic boundary conditions result in a multi-scale representation of the interface which is not possible to validate experimentally. In order to assess the effect of the periodic boundary conditions, additional simulations have been executed in which the periodic boundary conditions were removed. It appears that the interface with periodic boundary conditions is stronger and stiffer relative to the interface without, but the differences are small (Figure 7.9). However, a considerable difference can be observed in the distribution of the Von Mises stresses; the interface with periodic boundary conditions presents a smooth transition of the stresses over the two sides, where the interface without periodic boundary conditions does not (Figure 7.9).

In order to generate an elastic mixed-mode cohesive model, the stiffness in line with the applied displacement, \(\Delta n\), was determined \(\frac{T_{ult}}{\delta_n}\). This stiffness was successfully related to the Contact Index (CI), Gap Thickness (GT) and loading angle \((\cos(\alpha))\) (Table 7.3; Regression model 1). However, the estimate of CI was found to be negative. This is counterintuitive, since it implies that a decrease of CI increases the interface stiffness. This conflicting finding can be attributed to the inversely proportional relationship between CI and GT for the four models \((r^2=0.63)\); an increase of gaps as a result of bone resorption decreases the amount of cement-bone contact. Considering 50 postmortem specimens, Miller et al. (2010) also found an inverse proportional relationship between GT and CI \((r^2=0.62)\). Since CI was the least significant estimate in the fit, CI was disregarded in further step-wise regression in generating a morphological based model to describe \(\frac{T_{ult}}{\delta_n}\) which only considered GT as a morphological input and the loading angle, \(\cos(\alpha)\) \((r^2=0.81, p<0.0001)\) (Table 7.3; Regression model 2). The estimate of GT was found to be negative, indicating that an increase of the Gap Thickness decreases the interface stiffness. Surprisingly, the estimate of \(\cos(\alpha)\) was the same for both Regression model 1 and 2. When GT would have been used in the fit to describe instead of GT, the estimate of CI would be positive \((CI=1.174, p=0.008)\). This is consistent with what has been found previously\(^{23}\). However, a fit which only includes \(\cos(\alpha)\) and a constant reduces the correlation considerably relative to a fit with GT \((r=0.30, p=0.0005)\).

A mixed-mode cohesive model which also includes failure was determined based on the model as proposed by Wei and Hutchinson (2008). This model has, besides \(\delta_n\) and \(\Delta n\), four different input parameters: the normal strength \(T_{ult}\), the tangential stiffness in pure shear \(\frac{T_{ult}}{\delta}\) and a fitting coefficient \(\beta\). The normal strength \(T_{ult}\) is calculated based on a positive linear relationship between \(T_{ult}\) and \(\frac{T_{ult}}{\delta}\). It would also be possible to calculate \(T_{ult}\) according to a morphological fit as proposed by Miller et al. (2010). However, when we applied this relationship we found the same RMS difference between \(T_{ult}\) of the simulations and the predicted mixed-mode model, but the relative difference, especially for the weak model 2, was much higher. Additionally, the RMS difference for \(\delta_n\) was increased by a factor of 2.5 when the model of Miller et al. (2010) was considered. The variable \(\delta_n\) was calculated based on the data of Mann et al. (2008) where the interface displacements at yield and the ultimate strength were determined relative to their corresponding stresses. Using this approach, \(\delta_n\) could be determined as a function of \(T_{ult}\) and \(\frac{T_{ult}}{\delta}\). Although the data reported by Mann et al. (2008) was from lab-prepared specimens, we believe the same function of \(T_{ult}\) and \(\frac{T_{ult}}{\delta}\) will be found for postmortem responses. Finally, we believe it is more accurate than simply assuming \(\delta_n\) to be the division of \(T_{ult}\) with \(\frac{T_{ult}}{\delta}\), although the \(T_{ult}-\Delta n\) responses of model 2 and 3 might presume so.

The main limitation of this study is the absence of a direct quantitative validation. However, the methodology used to model the micromechanical FEA models has previously been used in studies in which the FEA models were successfully validated to experimental results\(^{19,21}\). Although these studies involved lab-prepared specimens, we believe the same methodology was applicable for postmortem specimens. Furthermore, a direct validation was not possible since within physical experiments the specimens could be tested until failure in one direction only and not in multiple directions. We were therefore forced to do a quantitative validation of previously published data. Unfortunately, the amount of quantitative mechanical data of postmortem cement-bone interface specimens is, in contrast to lab-prepared data, also limited\(^{21,22}\). In the past, studies to postmortem cement-bone interfaces mainly

![Figure 7.9](image-url)

**Figure 7.9**

a. The mixed-mode response of Model 1 with and without periodic boundary conditions. When periodic boundary conditions are considered, the interface was about 6% stronger in tension. The periodic boundary conditions also make the interface stiffer, 46% and 9% in tension, compression and shear, respectively.

b. Von Mises stress in Model 1 after a tangential displacement, \(\Delta n\), of 15μm. When periodic boundary conditions are considered, there is a smooth stress distribution between the left and right side of the model. Without periodic boundary conditions, the stresses are truncated.
focused on the histology rather than the mechanical behavior of the interface\cite{1,2,3,4,5}. Finally, the FEA models used in this study do not directly match the geometry of the postmortem specimens as a result of the mirroring. However, the mirroring was necessary in order to avoid off-axis tractions in the cohesive model. It is more than likely that these off-axis tractions occur in experiments in which asymmetric postmortem specimens are used.

Another big limitation is the small sample that was used. The step-wise regression analysis in which the stiffness $\Delta T/\Delta a$ was related to the Contact Index, Intersection Index, Gap Thickness and $\cos(\alpha)$ could be improved through use of a larger sample. However, it is unknown whether a larger number of samples would change the outcome of the significance of each morphological parameter. Consistent with the present study it has recently been shown that the Contact Index, and thus the Gap Thickness, influences the interface stiffness and the Intersection Index does not\cite{6}. It is questionable whether a larger sample would disapprove this finding.

The penetration depth of the cement into the bone was not considered as a morphological factor. Although a larger penetration depth correlates nicely with the interface strength in unidirectional loading\cite{7}, no correlation was found when considering the strength under multiple loading directions\cite{8}. Moreover, two other studies have shown that there is no correlation between the amount of cement penetration and the interface stiffness in mixed-mode loading\cite{9,10}.

From a clinical perspective, the results of the current study implicate that minimizing the gap between the cement and the bone enhances the mechanical properties of the cement-bone interface. From a surgical point of view the gap could be minimized by the degree of cement pressurization\cite{11}, although over pressurizing of the femoral canal can lead to fat and bone-marrow embolism syndrome, which can sometimes be fatal\cite{12}. However, gaps could also be created due to heat necrosis as a result of cement polymerization\cite{13}, bone remodeling\cite{14} or cement shrinkage\cite{15}. The cohesive models as derived in this study are applicable to multiple applications in the research to cemented hip implants. One could even consider whether this method could be applicable to any cemented orthopaedic device, such as tibial trays or glenoid components. A possible application of the derived cohesive model involves FEA simulations for macroscopic pre-clinical testing of newly developed orthopaedic implants or cement-bone interface optimization. Even in vivo studies in which bone degeneration at the cement-bone interface is monitored on a microscale might be considered. The cohesive models could possibly indicate what the mechanical consequences of such remodeling are or explain the cause of remodeling based on local stress intensities.

We conclude that the simulated mixed-mode behavior of the cement-bone interface from postmortem retrieved cemented hip replacements satisfactorily match experimental findings with similar specimens, albeit the stiffness is somewhat overestimated in compression. The obtained mixed-mode stiffness response can subsequently be related to the interface morphology and can be formulated in an elastic cohesive model. Finally, the acquired data can be used as an input for a cohesive model which also includes interface failure.

Acknowledgements
This work was funded by the National Institutes of Health (NIH AR42017).

References


The behavior of the micro-mechanical cement-bone interface affects the cement failure in total hip replacement.
Abstract

In the current study, the effects of different ways to implement the complex micro-mechanical behavior of the cement-bone interface on the fatigue failure of the cement mantle was investigated. In an FEA-model of a cemented hip reconstruction the cement-bone interface was modeled and numerically implemented in four different ways: (I) as infinitely stiff, (II) as infinitely strong with a constant stiffness, (III) a mixed-mode failure response with failure in tension and shear, and (IV) realistic mixed mode behavior obtained from micro FEA-models. Case II, III and IV were analyzed using data from a stiff and a compliant micro-FEA model and their effects on cement failure were analyzed. The data used for Case IV was derived from experimental specimens that were tested previously. Although the total number of cement cracks was low for all cases, the compliant Case II resulted in twice as many cracks as Case I. All cases caused similar stress distributions at the interface. In all cases, the interface did not display interfacial softening; all stayed the elastic zone. Fatigue failure of the cement mantle resulted in a more favorable stress distribution at the cement-bone interface in terms of less tension and lower shear tractions. We conclude that immediate cement-bone interface failure is not likely to occur, but its local compliancy does affect the formation of cement cracks. This means that at a macro-level the cement-bone interface should be modeled as a compliant layer. However, implementation of interfacial post-yield softening does seem to be necessary.

Introduction

Fatigue failure of the cement mantle in terms of cement cracking is one of the failure mechanisms that leads to aseptic loosening in cemented hip reconstructions. Finite element analysis (FEA) has been proven successful in simulating the fatigue failure process of the cement mantle in complete hip reconstructions and is therefore a good tool to predict implant survival. It has previously been demonstrated that the stem-cement interface is a debonded interface, which enables gapping and sliding between the stem and cement [6,23]. This has widely been implemented in FEA-models in which the stem-cement interface was invoked as a frictional contact layer [6,23]. Recently, however, experiments have demonstrated that the movements at the cement-bone interface are also substantial [16,27]. It can therefore be suggested that the compliance of the cement-bone interface may have substantial influence on the fatigue failure of the cement mantle and should therefore be incorporated into computational models of complete cemented hip reconstructions.

The cement-bone interface has previously been modeled in basically three different manners, as: (1) An infinitely stiff interface between the cement and bone [6,23], (2) a layer of soft tissue elements with a constant stiffness [3,29,33], which represented osteolysis around the cement mantle, and (3) a layer of cohesive elements in which the mixed-mode behavior of the cement-bone interface is implemented [15,30]. The experimental validation of these aforementioned methods is, however, debatable. Experiments with laboratory prepared cement-bone interface specimens demonstrate a significant variation in compliance and strength [16] which does not match the infinitely stiff (1) or soft tissue layer (2) assumption. Input for the cohesive elements was experimental mixed-mode data: linear increase followed by softening, for the tension and shear direction [17]. A considerable deviation in stiffness and strength was reported. Theoretical mixed-mode models were used to fit the stiffness and strength while accurate modeling of the softening phase was neglected.

Recently, the mixed-mode behavior of the cement-bone interface has been studied utilizing four micro FEA-models (Figure 8.1a) [30]. These FEA-models included simulation of cement and bone cracking and were loaded in 11 different directions, while monitoring tractions (T_N and T_T) and displacements (Δ_N and Δ_T) in normal and tangential direction. Only frictional contact was assumed at the complex interdigitated interface between cement and bone. Because no bonding was assumed, failure (cracking) of the interface on an apparent level could only occur through failure of the bulk cement and bone; no failure could occur at the actual contact interface between cement and bone. Depending on the micro-structure of the interface, considerable different magnitudes in strength and stiffness were found (Table 8.1), which compared favorably with experiments [16]. Innovative observations included (i) a considerable compression generated during the softening phase at mixed-mode angles larger than 45°; and (ii) lack of failure under pure shear loading (Figure 8.1b). This could be explained by the presence of crack patterns (Figure 8.1c) and applied the boundary conditions. This new information allows the implementation of the validated micro-mechanical behavior into micro-models of cemented hip reconstructions. However, implementation of this more realistic behavior of the cement-bone interface is rather complex and increases computational time. It is therefore worthwhile to assess whether it is truly necessary to represent the compliancy and post-yield mechanical behavior of the cement-bone interface.
We therefore assessed in this study the added value of the cement-bone interface mechanics in an increasingly complex fashion using four steps. Four different cement-bone interface behaviors were considered: (I) infinitely stiff interface; (II) compliant interface with infinite strength; (III) mixed-mode failure response according to experimental findings including post-yield softening under tensile and shear conditions; and (IV) mixed-mode behavior as obtained with the aforementioned micro FEA-models. Each case was analyzed with the most compliant and most stiff cement-bone interface as found in the aforementioned micro FEA-study (Table 8.1). An FEA-model of a complete cemented hip reconstruction utilizing a Lubinus SPII prosthesis, in which the cement-bone interface was macroscopically implemented using the micro FEA-data, was subjected to a loading configuration simulating normal walking. Crack formation in the cement mantle and load distribution at the cement-bone interface were monitored. We asked: (1) How do cement-bone interface variations of stiffness and strength influence number and distribution of cracks in the cement mantle? (2) Does failure of the cement-bone interface occur during cyclic loading of normal walking? (3) Does fatigue failure of the cement mantle influence the probability of failure of the cement-bone interface?

Table 8.1

<table>
<thead>
<tr>
<th>Response</th>
<th>Tensile strength, $T_{yrss}$ (MPa)</th>
<th>Tensile stiffness, $\sigma_{TT} / \Delta_t$ (MPa/mm)</th>
<th>Displacement at $T_{yrss}$, $\Delta_s$ (mm)</th>
<th>Shear stiffness, $\sigma_{TT} / \Delta_s$ (MPa/mm)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stiff</td>
<td>2.79</td>
<td>251</td>
<td>0.012</td>
<td>241</td>
</tr>
<tr>
<td>Compliant</td>
<td>1.82</td>
<td>123</td>
<td>0.030</td>
<td>217</td>
</tr>
</tbody>
</table>

Interface properties of the most stiff and most compliant responses obtained from micro FEA-models. The tensile strength and stiffness of the most stiff model differed approximately a factor 2 compared to the compliant model. The displacement at the tensile strength, $\Delta_s$, was much larger for the compliant model. The difference in shear stiffness was not comparable to the difference in tensile stiffness.

Methods

We used a complete 3D FEA-model of a cemented hip reconstruction utilizing a Lubinus LPII stem from a previous study (Figure 8.2). This model was based on a laboratory implantation of the stem in a composite femur whose stem orientation was based on radiographs and CT-data of the reconstruction. The complete reconstruction was meshed with eight-node isoparametric brick elements. The cortex was modeled as transversely isotropic, with a higher stiffness in axial direction of the femur (Table 8.2). Trabecular bone, cement mantle, and stem were modeled as isotropic (Table 8.2). For this study, an additional layer of cohesive elements was modeled between cement and bone, to represent the cement-bone interface (Figure 8.2). To maintain the initial mesh, the cohesive elements were physically modeled with zero thickness. The stem-cement interface was considered to be debonded and contact was modeled utilizing a node-to-surface algorithm with a friction coefficient of 0.25 (MSC.MARC 2007r1, MSC Software Corporation, Santa Ana, CA, USA).
The models were subjected to a loading history of 20 million cycles of walking. The loading configuration included the hip contact force and two muscle forces (abductors and vastus lateralis), all based on 700N body weight. Fatigue failure of the bulk cement was calculated by means of a custom-written FEA-algorithm that simulated creep and damage accumulation. This method calculated the element’s deformation as \( \varepsilon = [S] \cdot [\sigma] + \varepsilon_c \). The compliance matrix \( [S] \) incorporated the damage by reduction of stiffness to 0.1MPa perpendicular to corresponding maximum principal stress direction. Each component of the creep strain tensor \( \varepsilon_c \) was dependent on the scalar \( \varepsilon_c = 7.985 \times 10^{-7} n^{0.413-0.116 \log(\sigma)} \). The cement-bone interface was numerically implemented with four different cases (Figure 8.3):

I: An infinitely stiff interface with infinite strength
II: A compliant interface with infinite strength
III: A mixed-mode failure response according to experimental findings
IV: A mixed-mode failure response according to micro-FEA mixed-mode models

The interface in Case I was assumed to be completely bonded without the possibility for deformations at the cement-bone interface. Case II represented a constant stiffness without interfacial failure and represented a soft tissue layer as implemented previously. Case III represented failure in tension and shear, a behavior widely observed experimentally. Case IV represented the behavior obtained from a previous micro-FEA study. Case II to IV were each analyzed at high and low stiffness and referred to as “stiff” and “compliant”. The magnitudes of stiffness and strength were based on the stiffest and most compliant response of the micro-FEA study (Table 8.1).

Table 8.2

<table>
<thead>
<tr>
<th>Part</th>
<th>Young's Modulus, ( E_c ) [MPa]</th>
<th>Poisson’s ratio, ( v )</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stem</td>
<td>210,000</td>
<td>0.3</td>
</tr>
<tr>
<td>Cement</td>
<td>2,400</td>
<td>0.3</td>
</tr>
<tr>
<td>Trabecular bone</td>
<td>400</td>
<td>0.3</td>
</tr>
<tr>
<td>Cortical bone</td>
<td>( E_t = E_s = 7,000; E_n = 11,500 )</td>
<td>( G_{ty} = 2,600; G_{ts} = 3,500 )</td>
</tr>
</tbody>
</table>

Material properties of the hip reconstruction. Stem, cement and trabecular bone were modeled as isotropic materials, while cortical bone was modeled as transversely isotropic. All material properties were based on Stolk et al. (2007).

![Figure 8.2](image1)
The complete cemented hip reconstruction implanted with a Lubinus SPII stem. Between the cement mantle and the bone, a layer of cohesive elements was modeled that represented the cement-bone interface.

![Figure 8.3](image2)
The mechanical behaviors in pure tension (0°) and pure shear (90°) of the micro FEA-model (grey) and the four different cases. Note that only the responses in pure tension and pure shear are presented and not the mixed mode responses. In pure tension, the micro FEA-models resulted in a linear increase of TN followed by yielding and softening. In pure shear, a linear increase of TT was found without any softening. Case I and II were modeled as a constant stiffness in tension and shear in which Case I was assumed to be infinitely stiff. Case III had a similar behavior as has been found in experiments: yielding and softening in tension and shear with a equal stiffness in tension as in shear. Case IV had the same mixed mode behavior as the micro FEA-models. For Case IV and V, the parameters of the cohesive model were set according to the interfacial strength (TN and TT) and its corresponding displacement (SN and ST) (Table 8.3).
The number of bulk cement cracks that were predicted varied considerably over the seven different simulations, although the total number of cracks was always <1% of the complete cement mantle (Figure 8.4). After 20 million cycles, the compliant Case II showed a number of cracks twice as large as Case I. For each case, the compliant cement-bone interface resulted in more cracks than the stiff interface. Remarkable are the normalized number of cracks of the stiff Case III and the stiff Case IV, which hardly resulted in any differences. On the other hand, the compliant Case III and compliant Case IV do show some differences. Qualitatively, the differences between the crack patterns of all simulations were negligible.

In none of the simulations in which failure of the cement-bone interface could occur, interfacial failure was predicted. The normal and tangential tractions \( T_N \) and \( T_T \) stayed below the interfacial strength during the entire loading history. Furthermore, after 20 million cycles the maximum normal traction \( T_N \) at the cement-bone interface decreased for Case II, Case I, and Case IV were assumed to have a constant stiffness in pure shear without interfacial failure (Figure 8.3). Hence: \( \frac{df(\Delta_t)}{d\Delta_t} = \frac{1}{G_0} \frac{\partial T_N}{\partial \Delta_t} \Delta_t \), where \( \frac{\partial T_N}{\partial \Delta_t} \) is the tangential stiffness in shear (Table 8.1). Case III assumed interfacial failure in shear (Figure 8.3) and was defined as: \( \frac{df(\Delta_t)}{d\Delta_t} = \frac{\Delta_t}{G_0} \exp\left(-\frac{\Delta_t}{h_t}\right) \). The values of all parameters are listed in Table 8.3.

To investigate how cement-bone interface variations influence cement cracking, we monitored the number of cracks formed in the cement and analyzed the distribution of the cracks. We monitored whether failure occurs during cyclic walking simulation by tracking whether cohesive elements at the interface entered the softening phase. Finally, we analyzed whether fatigue failure of the cement mantle influenced failure of the cement-bone interface by comparing interfacial failure directly after loading and after 20 million cycles of normal walking.

<table>
<thead>
<tr>
<th>Case</th>
<th>( \Gamma_0 )</th>
<th>( \delta_0 ) [mm]</th>
<th>( \frac{df(\Delta_t)}{d\Delta_t} )</th>
<th>( \beta )</th>
<th>( \delta_t ) [mm]</th>
</tr>
</thead>
<tbody>
<tr>
<td>I</td>
<td>400,000</td>
<td>2.0</td>
<td>( \frac{100,000}{\Gamma_0} \frac{\Delta_t}{\delta_t} )</td>
<td>0.0</td>
<td>-</td>
</tr>
<tr>
<td>IIcomp</td>
<td>1,004</td>
<td>2.0</td>
<td>( \frac{241}{\Gamma_0} \frac{\Delta_t}{\delta_t} )</td>
<td>0.0</td>
<td>-</td>
</tr>
<tr>
<td>IIIcomp</td>
<td>492</td>
<td>2.0</td>
<td>( \frac{217}{\Gamma_0} \frac{\Delta_t}{\delta_t} )</td>
<td>0.0</td>
<td>-</td>
</tr>
<tr>
<td>IIIstiff</td>
<td>0.091</td>
<td>0.012</td>
<td>( \frac{\Delta_t}{\delta_t} \exp\left(-\frac{\Delta_t}{h_t}\right) )</td>
<td>0.0</td>
<td>0.012</td>
</tr>
<tr>
<td>IVcomp</td>
<td>0.148</td>
<td>0.030</td>
<td>( \frac{\Delta_t}{\delta_t} \exp\left(-\frac{\Delta_t}{h_t}\right) )</td>
<td>0.0</td>
<td>0.030</td>
</tr>
<tr>
<td>IVstiff</td>
<td>0.091</td>
<td>0.012</td>
<td>( \frac{241}{\Gamma_0} \frac{\Delta_t}{\delta_t} )</td>
<td>-0.8</td>
<td>-</td>
</tr>
<tr>
<td>IVcomp</td>
<td>0.148</td>
<td>0.030</td>
<td>( \frac{217}{\Gamma_0} \frac{\Delta_t}{\delta_t} )</td>
<td>-0.8</td>
<td>-</td>
</tr>
</tbody>
</table>

**Table 8.3** The values of all parameters used by the cohesive model. \( \Gamma_0 \) was the total fracture energy and \( N \) the displacement which corresponded to the displacement at the tensile strength. The function was used to define the behaviour in pure shear. The parameter was used to model the compressive normal tractions which occurred during the softening phase. A negative value of resulted in compression in the softening phase. Finally, \( T \) corresponded to the displacement at the shear strength and was therefore only used for Case IV.

In none of the simulations in which failure of the cement-bone interface could occur, interfacial failure was predicted. The normal and tangential tractions \( T_N \) and \( T_T \) stayed below the interfacial strength during the entire loading history. Furthermore, after 20 million cycles the maximum normal traction \( T_N \) at the cement-bone interface decreased for Case II.
The initial distribution of tangential tractions ($T_T$) revealed high tangential tractions at the medial side of the collar and at the stem tip level of the cement-bone interface (Figure 8.6a). After 20 million cycles the area with high tangential tractions at the stem tip level had moved from the posterior to the lateral side. Overall, the tangential tractions decreased in time (Figure 8.6b), although the maximum peak tangential traction at the cement-bone interface increased (Table 8.4).

### Table 8.4

<table>
<thead>
<tr>
<th>Case</th>
<th>$\min T_N$</th>
<th>$\max T_N$</th>
<th>$\min T_T$</th>
<th>$\max T_T$</th>
</tr>
</thead>
<tbody>
<tr>
<td>I</td>
<td>-10.1</td>
<td>2.76</td>
<td>2.93</td>
<td>-10.5</td>
</tr>
<tr>
<td>IIlow</td>
<td>-2.17</td>
<td>1.23</td>
<td>2.10</td>
<td>-3.27</td>
</tr>
<tr>
<td>IIIcement</td>
<td>-3.16</td>
<td>1.62</td>
<td>1.94</td>
<td>-4.42</td>
</tr>
<tr>
<td>IIIcement</td>
<td>-3.41</td>
<td>1.12</td>
<td>1.40</td>
<td>-5.08</td>
</tr>
<tr>
<td>IVdummy</td>
<td>-6.44</td>
<td>1.77</td>
<td>1.95</td>
<td>-8.48</td>
</tr>
<tr>
<td>IVdummy</td>
<td>-3.34</td>
<td>1.03</td>
<td>1.93</td>
<td>-5.21</td>
</tr>
<tr>
<td>IVdummy</td>
<td>-6.70</td>
<td>1.66</td>
<td>1.66</td>
<td>-8.70</td>
</tr>
</tbody>
</table>

The distribution of normal tractions ($T_N$) at the cement-bone interface was qualitatively the same for all simulations. Initially, considerable normal compression ($T_N < 0$) was observed below the medial implant collar and lateral at tip level (Figure 8.5a). The stem tip also resulted in some areas with tension at the medial side of the cement-bone interface, which almost disappeared after 20 million cycles. At the end of the simulations, more areas with compression were visible as a result of stem subsidence. Overall, the total area with tensile tractions decreased as a result of cement failure (Figure 8.5b).

**Figure 8.5**

- a. Distribution of normal tractions, $T_N$, at the cement-bone interface for Case I. There were hardly any differences regarding $T_N$-distribution over the 7 different cases. As a result of stem subsidence, a larger area of the cement bone interface was loaded under compression.
- b. The percentage cement-bone interface area under different ranges of normal traction, $T_N$. The amount of area of the cement-bone interface that was loaded under tension decreased considerably after 20 million cycles. On the other hand, more area was loaded under compression.

**Figure 8.6**

- a. Distribution of tangential tractions, $T_T$, at the cement-bone interface. After 20 million loading cycles, the tangential tractions decreased. However, the maximum tangential peak traction increased (Table 8.4).
- b. The percentage cement-bone interface area under different ranges of tangential traction, $T_T$. The magnitude of the tangential tractions, $T_T$, decreased considerably after 20 million cycles.
Discussion

We investigated the effect of various behaviors of the cement-bone interface on the fatigue failure of the cement mantle. We find that compliant cement-bone interfaces result in more cement cracking than a stiff interface. The investigated interfacial behaviors did not influence the distribution and magnitude of normal and tangential tractions at the cement-bone interface. Fatigue failure of the cement mantle resulted in increased compression at the cement-bone interface and in decreased tangential tractions. We find also that the tractions stayed in the elastic zone for the cases in which failure of the interface was allowed.

The finding that increased compression was found at the interface after fatigue crack formation of the interface may be attributed to the fact that the cement cracks may create room for the implant to subside or rotate, causing a redistribution of the load transfer. Although the shift from tangential to compression tractions at the cement-bone interface seems beneficial, the underlying mechanism may not be, as more room for implant motion would also entail increased micromotions at the implant-cement interface, possibly leading to the generation of wear debris and particle-induced osteolysis.

Our finding that failure did not occur at the cement-bone interface may be explained by the simulation of normal walking and by the well-functioning of the majority of the cemented hip reconstructions, without evidence of interface gapping or formation of fibrinous tissue layers. The lack of failure, even in the compliant case, is consistent with analyses of post-mortem en-bloc specimens. Indeed, some en-bloc specimens displayed a compliant response despite the fact that the reconstructions were well-functioning.

The predicted amount of cracks in the cement mantle was less than 1% of the bulk. This is a low percentage in comparison to the ~6% and ~8% found for a Charnley and Exeter stem, respectively. This difference could be attributed to the fact that in the current study only simulated walking was considered. However, it has previously also been shown that a Lubinus SPI stem results in the fewest number of cement cracks compared with three other stems. Furthermore, from a clinical point of view, the clinical results of the Lubinus SPI stem are excellent.

One might expect that the reconstruction with the compliant interface at lower strength would fail earlier than the stiff case. However, in none of the simulated cases failure was predicted, in terms of interfacial softening. Hence, the entire cement-bone interface was only elastically stimulated for both compliant and stiff cases. This can be explained by the interfacial elastic energy in pure tension, which can be determined as: 

\[ W_{EI,\text{pure}} = 0.5 K_{\text{EI}} \delta_{\text{EI}}^2 \delta_{\text{EI}} \left( 1 - \text{tan}^2 \delta_{\text{EI}} \right) \]

and is larger for the compliant than for the stiff interface (Table 8.3).

Although simulations referred to the elastic phase, differences were predicted in the number of cracks. A possible explanation for this difference can be related to the definition of the normal traction \( T_{\text{n,EI}} \) in the utilized numerical model. The input parameters of this model for Case II were set to match the constant normal stiffness \( T_{\text{n,EI}} \) to the normal stiffness of our previous micro FEA-study. Instead, Case III and IV were fit to the tensile strength \( \sigma_{\text{EI}} \) and its corresponding displacement \( \delta_{\text{EI}} \). This resulted in a decreasing normal stiffness \( T_{\text{n,EI}} \) in the elastic phase for Case III and IV (Figure 8.3) and therefore a different \( T_{\text{n,EI}} \delta_{\text{EI}} \) response compared to the normal stiffness of Case II. For example, the normal stiffness at zero displacement \( \delta_{\text{EI}} = 0 \) mm matches

\[ \frac{\Delta F_{\text{n,EI}}}{\Delta \delta_{\text{n,EI}}} \triangleq \frac{1}{0} \cdot \frac{\Delta \delta_{\text{n,EI}}}{\Delta \Delta F_{\text{n,EI}}} \triangleq 0 \text{ mm} \] .

For Case II and Case III or IV, this results in a \( T_{\text{n,EI}} \) of 251 N/mm² and 631.9 N/mm², respectively. This considerable difference is also visible in the \( T_{\text{n,EI}} \delta_{\text{EI}} \) responses (Figure 8.3). The difference in \( T_{\text{n,EI}} \) has hardly any influence on the traction distribution at the cement-bone interface (Figure 8.5), but does result in differences of interfacial displacement, which are significantly higher for the compliant cases.

Apart from the fact that only one stem type in one bone was considered, one of the main limitations of this study was that fatigue failure of the cement-bone interface was not considered. It can be expected that, although all the deformations were elastic, fatigue failure is likely to occur and to affect the mechanical situation at the local level. Fatigue failure will result in a mechanical degradation of the cement-bone interface and a further increase of cement cracks in the cement mantle.

It was previously found that fatigue failure of the cement-bone interface includes a decay of the interfacial stiffness. Furthermore, the number of cycles to failure, \( N_f \), was determined as: 

\[ N_f = \frac{1}{12.58} \left( \frac{\sigma_{\text{EI}}}{\delta_{\text{EI}}} \right)^{10.6} \] .

This finding can be related to a damage parameter, \( d \), as \( d = \frac{1}{N_f} \) which linearly influences the stiffness, \( K = (1 - d) K_{\text{EI}} \) (with \( 0 \leq d \leq 1 \)).

Also, the stiffness of the cement-bone interface can be defined as: 

\[ K = 10^{4.25} \left( \frac{\sigma_{\text{EI}}}{\delta_{\text{EI}}} \right)^{1.38} n^{0.5} \] , in which \( n \) represents the number of loading cycles. Assuming that the interface always shows an elastic behavior, mixed-mode traction-displacement responses are not a necessary input and the initial stiffness in tension \( \left( \frac{\sigma_{\text{EI}}}{\delta_{\text{EI}}} \right) \) and shear \( \left( \frac{\tau_{\text{EI}}}{\delta_{\text{EI}}} \right) \) would already be sufficient.

Only two micromechanical models were used as input for the cohesive models of Case IV. This is a limitation to our study considering the wide spread of micromechanical response that has been shown experimentally. Because the extraction of multi-axial data from micromechanical FEA models requires a substantial amount of computational power, the number of calculations that can be performed is limited. In order to still provide representative data for the cohesive models, we selected the two extremes (stiffest and most compliant) of the four experimental specimens with distinct differences in terms of interface morphology and mechanical response.

A homogenous distribution of the cement-bone interface characteristics around the cement mantle is assumed even though that the cement penetration of a cemented hip reconstruction is much higher proximally than elsewhere. This is because no reliable data exist on the distribution of the mechanical properties of the cement-bone interface affected by the non-homogenous distribution. Although the above mechanical descriptions of the cement-bone interface are rather sophisticated, they still lack the major influence of the biological component. Indeed, biological processes govern the micro-biomechanical behavior of the interface in terms of considerable soft-tissue formation around the cement, which reduces strength and stiffness roughly by a factor of 10. Hence, this biological response (and its subsequent mechanical deterioration) should be taken into account in the implementation of long-term degradation of cement-bone properties on the survival of cemented total hip arthroplasty.

With reference to the research questions as posed in the introduction, we conclude that: (1) A compliant cement-bone interface results in more cracks in the cement mantle than...
a stiff interface. Therefore the compliancy of the cement-bone interface should be included in models that focus on the prediction of failure of the cement mantle. (2) The cement-bone interface does not show immediate failure under the loading conditions as utilized in this study. Hence, it does not seem to be necessary to implement complex softening of the cement-bone interface. (3) Fatigue failure of the cement mantle results in more compression at the cement-bone interface and a decrease in tangential tractions. Finally, we conclude that failure of the cement-bone interface relies on fatigue damage, which can be based on decay of the interfacial stiffness as has been found experimentally. Therefore, use of complex mixed-mode models are unnecessary. Finally, we conclude that failure of the cement-bone interface in the direct post-operative situation does not occur, in agreement with clinical data. However, the compliancy of the cement-bone interface does have an accelerating effect on the formation of cement cracks and should be considered, without the necessity of the implementation of post-yield softening. For a realistic description of the cement-bone interface behavior on the longer-term, the mechanical representation of soft-tissue interpositioning at the interface should be represented. In that circumstance, post-yield softening may acquire importance, and require more complex descriptions to represent the mechanical behavior of the cement-bone interface accurately.

Acknowledgements
This work was funded by the NIH grant AR42017.

References


Abstract
In finite element analysis (FEA) models of complete cemented hip reconstructions, it is crucial to include the mechanics of the cement-bone interface, although the interface modeling itself has often been overly simplified. Recently, however, a micromechanical morphology-based cohesive model has been generated to reproduce the mixed-mode behavior of the cement-bone interface. The goal of this study was to investigate whether this micromechanical cohesive model, of which the behavior was dependent on the gap thickness between the bone and cement, was directly applicable on a macro level to reproduce the micromotions of the cement-bone interface.

From transverse sections of retrieved cemented hip reconstructions, two FEA models were generated in which the cement-bone interface was modeled with cohesive elements having properties as reported in the literature. A torsional loading regime was applied and the micromotions at the cement-bone interface were monitored. A sensitivity analysis was performed to investigate whether the cohesive model could be adapted. Furthermore, two different gap interpretations were considered. From the complete transverse section, the global stiffness and stem translation were determined. All results were compared with experimental findings. The results showed that the original cohesive model resulted in a too compliant macro-mechanical response; the interfacial motions were too large and the global stiffness too small. However, when the cohesive model was adapted to also incorporate larger interface gaps, the match with the experimental response improved considerably.

Introduction
Stable fixation at the cement-bone interface is essential for the longevity of cemented components used in cemented total hip arthroplasty, since aseptic loosening at the cement-bone interface is the main reason for revision surgery. The polymethylmethacrylate (PMMA) bone cement used in cemented hip reconstructions is usually not osteoconductive and therefore physicochemical bonding between the bone and cement cannot be expected. As a result, fixation between the bone and cement relies upon cement penetration into the bone, which results in a complex mechanical interlock between the two constituents. However, this mechanical interlock can be considerably degraded after only one year in vivo service as a result of bone resorptive processes. This degradation weakens the cement-bone interface considerably relative to the direct post-operative situation making the cement-bone interface one of the most compliant regions in cemented hip reconstructions.

In previous finite element analyses (FEA) of cemented hip reconstructions, the mechanical characteristics of the cement-bone interface have often been overly simplified. In several analyses the cement-bone interface was considered to act as (1) an infinitely stiff interface; (2) a frictional contact layer; or (3) a layer of soft tissue elements which represented osteolysis around the cement mantle. However, the validity of these three approaches to represent the interface mechanics is debatable. Experiments with laboratory prepared cement-bone interface specimens showed a huge variation in stiffness and strength, which was not consistent with the three aforementioned assumptions.

A more appropriate approach to model the actual mechanical response of the cement-bone interface is through use of cohesive zone models. In these cohesive zone models a constitutive relationship has to be defined, which describes the interaction between the interface tractions and displacements in normal and shear direction. Experiments in which cement-bone interface specimens are loaded in multiple directions could serve as an input for the cohesive zone models. However, the huge variation in mechanical responses due to interfacial variations makes it very difficult to develop a comprehensive cohesive zone model using an experimental approach. This is because each experimental specimen can only be loaded to failure in one direction, and the cohesive zone model requires a full description of the mixed-model failure response. An elegant alternative to study the mixed-mode failure response is the use of micromechanical FEA models. Using this approach, a cohesive zone model has recently been developed in which the interfacial morphology was incorporated.

The cement-bone interface does not exhibit a homogenous morphology around the cement mantle, which subsequently results in local differences in mechanical characteristics. However, these local mechanical differences at the cement-bone interface have never been included in previous FEA studies. Moreover, previous macro FEA studies of cemented hip reconstructions which included cohesive zone models have never been directly validated with physical experiments. It has never been investigated whether a cohesive zone model of the cement-bone interface as determined on a micro level is directly applicable and yields appropriate results on a macro level.

The goal of this study was to investigate whether the micromechanical response of the cement-bone interface could be reproduced on a macro level by simulating micromechanical experiments. A subsequent goal was to investigate how the micromechanical char-
Experimental testing

The protocol used for experimental testing of the transverse sections has been documented before and will therefore only be described in brief. The outer surface of each transverse section was fixed in a custom-machined block. Subsequently, the stem of each transverse section was loaded by a torsional loading regime. The torque limits were set to 0.22 Nm and 0.73 Nm in anteversion and retroversion, respectively, what represented torques that occur during normal walking. During each loading cycle a digital image correlation (DIC) technique was used to quantify the motions at the cement-bone interface. The DIC sampling locations were placed at a distance of 0.25 mm from the interface to prevent errors in the DIC sampling at the material discontinuities. The angular rotation of the stem was also measured using DIC.

Specimen preparation

Two postmortem retrieved transverse sections of cemented hip reconstructions were considered for this study. The specimens were selected based on their mechanical response as determined by Mann et al. (2010): donor 1 and 2 (Table 9.1) were the most torsionally compliant and the stiffest specimen analyzed, respectively. The considered transverse sections had a thickness of 10 mm and were retrieved from two different donors at autopsy (Table 9.1). The two donors were provided by the Anatomical Gift Program at SUNY Upstate Medical University. Donations were made between 1 and 2 days after death and frozen at -20°C prior to tissue harvest. Age, sex, number of years in service, cause of death, implant type and distance of the cut section from the calcar were documented. After mechanical testing of each transverse section, the surface roughness (Ra) of the stem was determined. By observing the porosity of the mid-mantle on the sectioned surface, it was assessed whether the cement was vacuum mixed. Planar x-rays of the cemented femur construct were made, after which it was assessed whether the cement-bone interface fixation loose or not (Table 9.1). A high-resolution image (pixel size: 5.7 μm) was made of each transverse section to document the morphology at the surface of the section (Figure 9.1; High Resolution Image).

<table>
<thead>
<tr>
<th>Donor 1</th>
<th>Donor 2</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age</td>
<td>85</td>
</tr>
<tr>
<td>Sex</td>
<td>Female</td>
</tr>
<tr>
<td>Years in service</td>
<td>8</td>
</tr>
<tr>
<td>Cause of death</td>
<td>Bacterial endocarditis</td>
</tr>
<tr>
<td>Implant type</td>
<td>Versys cemented - Zimmer</td>
</tr>
<tr>
<td>Distance from calcar (mm)</td>
<td>40</td>
</tr>
<tr>
<td>Stem roughness (Ra, μm)</td>
<td>2.5</td>
</tr>
<tr>
<td>Vacuum-mixed</td>
<td>Yes</td>
</tr>
<tr>
<td>Radiographically loose</td>
<td>Yes</td>
</tr>
</tbody>
</table>

Table 9.1: Donor information for the two investigated cemented implants.
FEA modeling

From each transverse slab a FEA model was generated. First, the high-resolution image was segmented into six parts: (I) bone, (II) cement-bone gaps, (III) cement, (IV) stem-cement gaps, (V) stem and (VI) screw holes (Figure 9.1; Segmentation). The screw holes in the stem indentify the locations where the torque was applied. Next, the contours of the segmented bone, cement and stem were determined by a Moore Neighborhood algorithm. A Douglas-Peucker line simplification was subsequently applied to reduce the number of line segments of each contour. The simplified contours were subsequently meshed with 2D plain strain triangles with an assumed thickness of 10 mm (Figure 9.1; Finite Element Mesh). The cement-bone interface was meshed with 90 2D quad cohesive elements with a fixed 4 degrees of angular spacing. The cohesive elements captured the complete interdigitated zone of the cement-bone interface. The nodes of the cohesive elements matched the experimental DIC locations, which had an offset of 0.25 mm relative to the contact interface. The resulting models contained on average 11,700 elements and 6,500 nodes (Table 9.1). Contact between the stem and the cement was modeled using a double-sided node-to-surface contact algorithm (MSC.MARC 2007r1, MSC Software Corporation, Santa Ana, CA, USA). The assumed friction coefficient of the stem-cement interface of donor 1 was set to 0.3 and the precoated interface of donor 2 to 2.0.

Boundary conditions

To simulate the experimental setup, all the nodes on the outside of the bone were fixed in all degrees of freedom (Figure 9.2a). Furthermore, an incremental point load was applied to the nodes in the centroid of the two screw holes in order to rotate the stem in anteversion and retroversion. The resulting total torque was calculated for each increment. Like in the experiments, the FEA models were loaded up to 0.22 Nm and 0.73 Nm in anteversion and retroversion, respectively. Although in the experiment the stem was only meant to rotate, small planar movements were measured during the loading cycles. Hence, in the current study the center of the stem was not fixed and had therefore the freedom to translate (Figure 9.2b).
Material properties
The stem, cement and bone were modeled as isotropic linear elastic materials. The stem was given an assumed Young’s modulus (E) and Poisson’s ratio (v) of 210,000 MPa and 0.3, respectively. Since the exact material properties of the cement were unknown, E and v were taken as 3000 MPa and 0.3, respectively. In order to determine the material properties of the bone, the 2D FEA mesh of the bone was mapped back onto the high resolution image. Next, for each triangular element the average gray value was determined based on a 8-bit grayscale. The material properties of the bone were assumed to be linearly dependent on the average gray value. The elements with the lowest and highest gray value were assigned a Young’s modulus 0.1 and 20,000 MPa, respectively.

Cohesive modeling cement-bone interface
The mechanics of the cement-bone interface were modeled using a recently developed cohesive model. This cohesive model determined the tractions (MPa) in normal and tangential direction (T_n and T_t) based on the displacements [mm] in normal and tangential direction (Δ_n and Δ_t) and the interface morphology. The interface morphology was expressed by the gap thickness, GT, which defined the average gap between the cement and the bone. The tractions in normal and tangential direction were defined as:

\[
\begin{pmatrix}
T_n \\
T_t
\end{pmatrix}
= 10^{A GT + B Δ_n + C Δ_t + D / Δ_t};
\]

in which \( A, B, C \) and \( D \) was defined as the stiffness parallel to the loading direction. The parameter values \( A, B, C \) and \( D \) were estimated from a series of computational cement-bone interface models which were loaded to failure in multiple directions while monitoring the interfacial tractions. In the original description of the cohesive model, the estimated parameters \( A \) and \( C \) were used to express the response in pure tension and were estimated to equal -6.369 and 2.439, respectively. Parameter \( B \) was used to incorporate the effect of the loading angle and was estimated to equal -0.298. Finally, parameter \( D \) was used to define tractions perpendicular to the loading direction and was estimated to equal 0.316.

Local gaps and interpolated gaps
In order to use the cohesive model properly, the gap thickness of each cohesive element in the cement-bone interface had to be determined. Therefore, each cohesive element was mapped back onto the segmented image, after which the average local gap thickness was calculated (Figure 9.1; Gap Distribution). However, the width of the cohesive elements as used in the current study was on average a factor 9 smaller (0.79 mm) relative to the average width calculated (Figure 9.1; Gap Distribution). Therefore, in the current study an additional sensitivity analysis was performed in which the parameters \( A \) and \( C \) were varied. Parameter \( A \) was considered to be -6.369, -5.0, -4.0, -3.0 and -2.0, while for parameter \( C \) the values 2.439 and 2.650 were taken, which corresponded to an initial tensile stiffness of 141.3 and 229.5 MPa/mm.

Sensitivity analysis
Limitation from the previously developed cohesive model was that it was based on four micromechanical FEA models with an average gap thickness of 0.106 mm (SD = 0.091 mm). When the gap thickness becomes considerably larger, like donor 1, the estimated stiffness might become too small relative to experimental findings. Furthermore, the developed cohesive model resulted in a tensile stiffness of 141.3 MPa/mm when a gap thickness of 0 mm was considered. This was much lower than what has been found experimentally: 229.5 MPa/mm (SD = 144.7; Figure 9.3). Therefore, in the current study an additional sensitivity analysis was performed in which the parameters \( A \) and \( C \) were varied. Parameter \( A \) was considered to be -6.369, -5.0, -4.0, -3.0 and -2.0, while for parameter \( C \) the values 2.439 and 2.650 were taken, which corresponded to an initial tensile stiffness of 141.3 and 229.5 MPa/mm.
Output measures
Throughout the whole simulation the interface micromotions at the cement-bone interface were calculated. The micromotions consisted of a normal and shear component and the total interface micromotions were calculated as the vector sum of both components. Cumulative frequency distributions of the micromotions were generated for each donor specimen.

In order to study the effect of the utilization of interpolated gaps relative to local gaps, the total interface micromotions were analyzed for both cases. Additionally, the interfacial work of separation, \( W_{\text{sep}} \), at the cement-bone interface was determined. The work of separation was defined as the total amount of energy dissipated due to deformation of the interface: \( W_{\text{sep}} = \int_0^1 (\Delta \tau_s) \, \theta \, \Delta s + \int_0^1 (\Delta \tau_t) \, \theta \, \Delta t \). The first term in this work of separation expression was the work done by the normal traction, while the second term was the work done by the tangential traction.

As mentioned in the previous section, the center of the stem was not fixed in the FEA simulations; what subsequently could result in a stem translation (Figure 9.3b). Translations of the center of the stem in x- and y-direction were monitored and the total translation of the stem was calculated as the vector sum of both components. Finally, the global stiffness, \( K_{\text{glob}} \), [Nm/deg] of the whole FEA-model was calculated: \[ K_{\text{glob}} = \frac{M_{\text{ante}} - M_{\text{ret}}}{\beta_{\text{ante}} - \beta_{\text{ret}}} \], where \( M_{\text{ante}} \) and \( M_{\text{ret}} \) are the torques at full anteversion and retroversion, respectively, and \( \beta \) the corresponding angular rotations of the stem.

Quantification micromotions cement-bone interface
In order to quantify the spatial dispersion of micromotions at the cement-bone interface for each transverse section, circular statistics was used. Using circular statistics, the mean angle of micromotions on the circumference of the cement-bone interface could be determined, as well as a measure for the concentration of the micromotions. A circular statistics approach was used because the nature of the angular position data results in a repeating pattern such that a 0° angular position is the same as a 360° angular position.

A so-called second-order analysis was performed in which the total micromotion, \( \Delta \), at each angular position was used as a weight factor for all the data points. In this case, the mean angle with the concentration of largest micromotions, \( \theta \), and the measure of dispersion of the micromotions, \( r \), were determinable as:

\[ \theta = \tan^{-1} \left( \frac{Y}{X} \right) \quad \text{and} \quad r = \sqrt{X^2 + Y^2} \]

Where \( X \) and \( Y \) were weighted using the total micromotion at angle \( \alpha \):

\[ X = \frac{1}{n} \sum \Delta \cos(\alpha) \quad \text{and} \quad Y = \frac{1}{n} \sum \Delta \sin(\alpha) \]

Note that \( r \) is dependent on the micromotions and should therefore be interpreted relative to the magnitude of the micromotions. Furthermore, it should be noticed that \( \theta \) and \( r \) do not give an indication about the average magnitude of the micromotions at the cement-bone interface. Therefore, the mean micromotion of all 90 data points, \( \Delta \), was determined additionally. In order to find the optimal cohesive description of the cement-bone interface based on the output of the sensitivity analysis, the relative difference between the FEA predicted and experimental value of \( \Delta \) were determined as \( \frac{r_{\text{exp}} - r_{\text{calc}}}{r_{\text{exp}}} \) and \( \frac{\Delta_{\text{exp}} - \Delta_{\text{calc}}}{\Delta_{\text{exp}}} \), respectively. Finally, a measure of the overall difference, \( D \), was determined as:

\[ D = \frac{1}{n} \sum \Delta_{\text{exp}} + \Delta_{\text{calc}} \]

in which \( i \) represents the donor.

Results

Original description cement-bone interface; interpolated gaps
Using the original description of the mixed-mode mechanical response of the cement-bone interface \( A = -6.369; C = 2.439 \), the responses of donor 1 and 2 were both too compliant relative to the experiments (Figure 9.4a-b). Donor 1 could even not be loaded up to 0.73 Nm in retroversion and was therefore loaded with 0.4 Nm in this particular direction. Despite this torque reduction, donor 1 showed a considerable difference in the mean micro-motion, \( \Delta \), relative to the experiment which was overestimated by a factor 10 (\( \Delta = 0.90 \); Table 9.2). There was a considerable difference in angle with the concentration of largest micro-motions, \( \theta \), between the experimental and FEA response for donor 1 (Figure 9.4a). However, for the experiment the value of \( r \) was relatively low indicating that \( \theta \) could not be properly determined. Although the distribution of the micro-motions of donor 2 was qualitatively reasonable, there was a phase shift visible in the difference in \( \theta \) between the experiment and FEA simulation (Figure 9.4b).
When the stiffness of the cement-bone interface \( (A = -6.369; C = 2.439) \) was based on local gaps, the magnitude of the simulated micromotions improved relative to interpolated gaps; the average motion, \( \Delta \), of donor 1 and 2 both decreased from 7.0848 mm and 0.0082 mm (interpolated gaps; Figure 9.4a) to 1.1245 mm and 0.0038 mm (local gaps), respectively. A considerable difference in the work of separation, \( W_{\text{sep}} \), was determined between the two gap interpretations when the transverse section was loaded in full retroversion. For donor 1, \( W_{\text{sep}} \) was determined as 39.9378 and 2.4198 MPa·mm for the interpolated and local gaps, respectively. For the interpolated and local gap interpretation of donor 2, \( W_{\text{sep}} \) was respectively determined as 0.0585 and 0.0264 MPa·mm (Figure 9.5a). Furthermore, the distribution of local work of separation was smooth when considering interpolated gaps and irregular when considering local gaps. This implies that when considering local gaps, the load transfer from the cement to the bone was concentrated on very specific locations.

**Original description; interpolated versus local gaps**

When the stiffness of the cement-bone interface \( (A = -6.369; C = 2.439) \) was based on local gaps, the magnitude of the simulated micromotions improved relative to interpolated gaps; the average motion, \( \Delta \), of donor 1 and 2 both decreased from 7.0848 mm and 0.0082 mm (interpolated gaps; Figure 9.4a) to 1.1245 mm and 0.0038 mm (local gaps), respectively. A considerable difference in the work of separation, \( W_{\text{sep}} \), was determined between the two gap interpretations when the transverse section was loaded in full retroversion. For donor 1, \( W_{\text{sep}} \) was determined as 39.9378 and 2.4198 MPa·mm for the interpolated and local gaps, respectively. For the interpolated and local gap interpretation of donor 2, \( W_{\text{sep}} \) was respectively determined as 0.0585 and 0.0264 MPa·mm (Figure 9.5a). Furthermore, the distribution of local work of separation was smooth when considering interpolated gaps and irregular when considering local gaps. This implies that when considering local gaps, the load transfer from the cement to the bone was concentrated on very specific locations.

**Figure 9.4**

Distributions of the total motion along the circumference of the cement-bone interface when interpolated gaps were considered.

a. The response of donor 1 with the original description of the cement-bone interface \( (A = -6.369; C = 2.439) \) resulted in a too compliant interface. Both the dispersion of the micromotions, \( r \), as the mean micromotion, \( \Delta \), were overestimated. Note that for the experiment the value of \( r \) is relatively low and the significance of \( \theta \) is debatable.

b. The response of donor 2 with the original description of the cement-bone interface \( (A = -6.369; C = 2.439) \) was also too compliant, but not as severe as donor 1. The distribution of micromotions showed the same trend, although there was a phase shift in \( \theta \) relative to the experiment.

c. For donor 1, the adapted description of the cement-bone interface \( (A = -4.000; C = 2.439) \) showed a much better fit relative to the experiment. Note that the value of \( r \) for the FEA simulation is relatively large, which means that its dispersion of micromotions along the interface is not as arbitrary as in the experiment.

d. Also for donor 2 showed the adapted description of the cement-bone interface \( (A = -4.000; C = 2.439) \) a better fit with the experiment. Also here, there was no considerable change in \( \theta \).

**Figure 9.5**

a. When donor 2 was loaded to 0.73 Nm in retroversion, the simulation with interpolated gaps resulted in a smooth distribution of local work of separations at the cement-bone interface. When the stiffness of the cohesive elements was based on the local gaps, the distribution of local work of separations was irregular. Moreover, when local gaps were considered the total work of separation, \( W_{\text{sep}} \), was more than half the work of separation with interpolated gaps; 0.0264 versus 0.0585 MPa·mm, respectively.

b. The distribution of the normal stiffness differed considerably between the two gap interpretations. This was a result of the stiffness formulation, which was exponentially dependent on the gap thickness.
**Sensitivity analysis**

The sensitivity analysis showed that the angle with the concentration of largest micromotions, $\theta$, hardly changed for both donor 1 ($244.0° \pm 9.2$) and donor 2 ($295.5° \pm 3.7$) (Table 9.2). Although Test 4 ($A = -3.000; C = 2.439$) showed the best responses for both donors in terms of $D_r$, the corresponding $D_\Delta$ for donor 1 was very large, subsequently making the overall difference, $D$, large too (Table 9.2). The parameters of Test 3 and 8 only differed in the value of $C$ and they resulted in the smallest difference of all 10 tests. Since Test 3 was slightly better than Test 8, the parameters of Test 3 ($A = -4.000; C = 2.439$) were used for the adapted description of the cement-bone interface (Figure 9.3). The main difference between the original and the adapted description of the cement-bone interface for donor 1 was the reduction of $r$ and $\Delta$ (Figure 9.4c) and for donor 2 the reduction of $\Delta$ (Figure 9.4d). Independent on the angular position at the cement-bone interface, the distribution of total micromotions of the adapted description matched the experimental findings much better than the original distribution (Figure 9.6).

**Table 9.2**

<table>
<thead>
<tr>
<th>Test</th>
<th>Parameter</th>
<th>$\theta$ [deg]</th>
<th>$D_r$ [-]</th>
<th>$D_\Delta$ [-]</th>
<th>$D$ [-]</th>
</tr>
</thead>
<tbody>
<tr>
<td>1*</td>
<td>-6.369</td>
<td>243.9</td>
<td>226.7</td>
<td>299.5</td>
<td>0.98</td>
</tr>
<tr>
<td>2</td>
<td>-5.000</td>
<td>243.9</td>
<td>238.2</td>
<td>296.3</td>
<td>0.93</td>
</tr>
<tr>
<td>3</td>
<td>-4.000</td>
<td>243.9</td>
<td>250.6</td>
<td>295.6</td>
<td>0.76</td>
</tr>
<tr>
<td>4</td>
<td>-3.000</td>
<td>243.9</td>
<td>252.1</td>
<td>293.6</td>
<td>0.15</td>
</tr>
<tr>
<td>5</td>
<td>-2.000</td>
<td>243.9</td>
<td>248.7</td>
<td>290.4</td>
<td>6.42</td>
</tr>
<tr>
<td>6</td>
<td>-6.369</td>
<td>265.0</td>
<td>230.5</td>
<td>300.5</td>
<td>0.98</td>
</tr>
<tr>
<td>7</td>
<td>-5.000</td>
<td>265.0</td>
<td>244.1</td>
<td>298.4</td>
<td>0.90</td>
</tr>
<tr>
<td>8</td>
<td>-4.000</td>
<td>265.0</td>
<td>251.0</td>
<td>297.3</td>
<td>0.62</td>
</tr>
<tr>
<td>9</td>
<td>-3.000</td>
<td>265.0</td>
<td>251.6</td>
<td>295.7</td>
<td>0.86</td>
</tr>
<tr>
<td>10</td>
<td>-2.000</td>
<td>265.0</td>
<td>246.3</td>
<td>289.4</td>
<td>10.88</td>
</tr>
<tr>
<td><strong>MEAN</strong></td>
<td></td>
<td>244.0</td>
<td>295.5</td>
<td>235.4</td>
<td>7.12</td>
</tr>
</tbody>
</table>

* For Test 1 donor 1 was loaded to 0.60 Nm in retroversion instead of 0.73 Nm.

**Figure 9.6** Cumulative Frequency Distribution of the total motion at the cement-bone interface are shown for donor 1 (black) and donor 2 (gray). The experimental distribution is indicated by a solid line, the original FEA ($A = -6.369; C = 2.439$) by a dashed line and the adapted FEA ($A = -4.000; C = 2.439$) by the dash-dot line.

**Stem translation**

The original description of the cement-bone interface ($A = -6.369; C = 2.439$) resulted in an excessive stem translation in donor 1 (3.757 mm; Figure 9.7a). The adapted description ($A = -4.000; C = 2.439$) reduced the stem translation considerably (0.331 mm), but was still larger than in the experiment (0.063 mm). For donor 2, the stem translation of the original cement-bone interface description (0.0017 mm) was three times the translation when considering the adapted description (0.0005 mm), but both much smaller than measured experimentally (0.0035 mm; Figure 9.7b). However, the experimentally measured stem translations almost equal the RMS error of the DIC system (0.0026 mm) and can therefore be misleading.

**Global stiffness**

As a result of the large motions at the cement-bone interface of donor 1, the global stiffness with the original description of the cement-bone interface was extremely underestimated (12 Nm/deg) relative to the experiment (1374 Nm/deg; Figure 9.7c). After adaption of the interface, the global stiffness still did not reach the experimental global stiffness (265 Nm/deg). For donor 2 the predicted global stiffness fluctuated around the experimentally estimated stiffness (17916 Nm/deg; 13232 Nm/deg and 21380 Nm/deg) for the original and adapted description, respectively (Figure 9.7d).
Chapter 9

The main goal of the current study was to investigate whether the micromechanical response of the cement-bone interface could be reproduced on a macro level by the utilization of cohesive elements which were implemented in FEA models of transverse sections of postmortem retrieved cemented hip reconstructions. This study distinguishes itself from other FEA studies in which cohesive zone modeling was applied, because this is the first time the micromechanical based cohesive zone was directly compared to experiments on a macro level.

When the cohesive zone formulation as determined by Waanders et al. (2011b) was considered, the displacements at the cement-bone interface were too large for both donors (Table 9.3; Figure 9.4). Donor 1 could not even be loaded up to the required 0.73 Nm in retroversion because of excessive interfacial deformations. Furthermore, not the exact micro-motion distribution was found along the circumference of the cement-bone interface, with donor 1 in particular (Figure 9.4).

With respect to the second research question in which we asked whether the cohesive formulation as determined by Waanders et al. (2011b) was directly applicable on a macro level we conclude that: (I) The determined cohesive formulation is too compliant, especially for gaps that are considerably larger than the gaps which were included in the original study, and (II) The way of gap implementation results in considerable mechanical differences. Regarding (I) the underestimated stiffness for large gaps: The sensitivity analysis indicated that when the exponent which defined the reduction in stiffness as a result of an growing gap was decreased from -6.369 to -4.000, it matched the experiments considerably better (Table 9.3). Furthermore, we found that an increase of the stiffness considering a 0 mm gap thickness did not improve the response. This emphasizes that the imperfection of the original formulation lies in the range for large gaps. Additionally, the adapted cohesive model

Table 9.3

<table>
<thead>
<tr>
<th>Description</th>
<th>Donor 1</th>
<th>Donor 2</th>
<th>Donor 1</th>
<th>Donor 2</th>
<th>D [-]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Experiment</td>
<td>0.04704</td>
<td>0.00085</td>
<td>0.6808</td>
<td>0.0024</td>
<td>-</td>
</tr>
<tr>
<td>Original: interpolated gaps</td>
<td>2.16681</td>
<td>0.00077</td>
<td>7.0848*</td>
<td>0.0082</td>
<td>2.18</td>
</tr>
<tr>
<td>Original: local gaps</td>
<td>0.33676*</td>
<td>0.00096</td>
<td>1.1245*</td>
<td>0.0038</td>
<td>1.05</td>
</tr>
<tr>
<td>Adapted</td>
<td>0.19866</td>
<td>0.00101</td>
<td>0.7301</td>
<td>0.0040</td>
<td>0.75</td>
</tr>
</tbody>
</table>

* Loaded to 0.40 Nm in retroversion instead of 0.73 Nm.

*Figure 9.7

Summary of the different descriptions. The original (A = -6.369; C = 2.439) with interpolated gaps resulted in a larger overall difference, D, than considering local gaps. The smallest overall difference, D, was obtained when considering the adapted description (A = -4.000; C = 2.439; interpolated gaps).

Discussion

The main goal of the current study was to investigate whether the micromechanical response of the cement-bone interface could be reproduced on a macro level by the utilization of cohesive elements which were implemented in FEA models of transverse sections of postmortem retrieved cemented hip reconstructions. This study distinguishes itself from other FEA studies in which cohesive zone modeling was applied, because this is the first time the micromechanical based cohesive zone was directly compared to experiments on a macro level.

When the cohesive zone formulation as determined by Waanders et al. (2011b) was considered, the displacements at the cement-bone interface were too large for both donors (Table 9.3; Figure 9.4). Donor 1 could not even be loaded up to the required 0.73 Nm in retroversion because of excessive interfacial deformations. Furthermore, not the exact micro-motion distribution was found along the circumference of the cement-bone interface, with donor 1 in particular (Figure 9.4).

With respect to the second research question in which we asked whether the cohesive formulation as determined by Waanders et al. (2011b) was directly applicable on a macro level we conclude that: (I) The determined cohesive formulation is too compliant, especially for gaps that are considerably larger than the gaps which were included in the original study, and (II) The way of gap implementation results in considerable mechanical differences. Regarding (I) the underestimated stiffness for large gaps: The sensitivity analysis indicated that when the exponent which defined the reduction in stiffness as a result of an growing gap was decreased from -6.369 to -4.000, it matched the experiments considerably better (Table 9.3). Furthermore, we found that an increase of the stiffness considering a 0 mm gap thickness did not improve the response. This emphasizes that the imperfection of the original formulation lies in the range for large gaps. Additionally, the adapted cohesive model
torque limits that were applied were based on torques that occur during normal walking.

No failure of the cement-bone interface was considered, because the transverse responses as reported by Waanders et al. (2011b) \( r^2 = 0.79; p < 0.001 \), hence it is still applicable for models with smaller gaps.

Regarding (II) the gap implementation: When the mechanics of the cement-bone interface were based on local gaps, rather than interpolated gaps, the motions at the cement-bone interface decreased considerably. This might be found remarkable since the average gap thickness was the same for both cases. However, the interpolated gap description was a general smoothing of the coarse local gap distribution, leveling out all the local minimum and maximum gaps. The small local peak gaps had a substantial effect on the magnitude of the element stiffness, since it was exponentially dependent on the gap thickness (Figure 9.5b). This can be seen in the work of separation, which was considerably smaller for the local gap description than for the interpolated description, although the local differences are much larger considering local gaps (Figure 9.5a). Moreover, note that a refined cohesive mesh (e.g. 180 elements instead of 90) will stiffen the interface even more considering local gaps. As a result of the stiffening of the interface, the response with a local gap description matched the experimental response better than considering a interpolated gap description. However, we believe it is better to work with the interpolated description, provided that the adapted description of the cement-bone interface is used (Table 9.3). In the micromechanical mixed-mode study on which the cohesive zone formulation was based, local interface phenomena were neither taken into account. Only the apparent response of the complete structure was considered; making the formulation mesh size dependent.

With respect to the third research question, the mechanics of the cement-bone interface had a considerable effect on the macromechanical properties of the whole transverse section. The adapted description of the cement-bone interface decreased the stem translations considerably and increased the global stiffness, relative to the original description. The stem translation of donor 1 was overestimated for both the original as the adapted description. This can be explained by the center of the stem which was not fixed in the FEA simulations. The overestimation of these stem translations in donor 1 might also have contributed to the underestimation of the corresponding global stiffness; the limited freedom of the stem in the experimental environment might not only have affected its translation, but also its rotation. However, the differences found in global stiffness might also be a result of the motions at the stem-cement interface, which have not been assessed.

The cement-bone interface was modeled by 90 cohesive elements with 4 degrees of angular spacing which captured the complete interdigitated region of the cement-bone interface. This was done in order to match the DIC measurement locations of the experiment. This modeling approach resulted in cohesive elements which all had approximately the same width, but differed considerably in height. This does not affect the mechanical response of the interface since cohesive elements are, in contrast to ‘regular’ elements, displacement driven and not strain driven. The element height is therefore a redundant parameter in the cohesive element description. This also makes cohesive element suitable to be implemented as zero thickness elements.

No failure of the cement-bone interface was considered, because the transverse torque limits that were applied were based on torques that occur during normal walking. Since recent research has shown that no instant failure of the cement-bone interface occurs during walking, interfacial failure was not included.

Because of the small stem-cement motions that were found experimentally, the stem-cement interface was assumed to be not bonded, although other studies have assumed the opposite. Since the friction coefficient at the stem-cement interface was unknown for both donors, they had to be assumed. Donor 1 was implanted with a Versys cemented stem which was assumed to have the same surface texture as a Charney stem. Therefore, the friction coefficient was set to 0.3 for this donor. Donor 2 was implanted with a Harris Precast stem. During a post-experimental evaluation of the stem-cement interface no debonding was seen and, moreover, a considerable force was required to remove the stem from the cement mantle. However, since motions were found experimentally, the stem-cement interface was assumed to be not bonded and, therefore, was assigned a high friction coefficient of 2.0. To what extent the experimental reported motions could be assigned to material deformation is unknown. As mentioned before, misinterpretations at this interface could have affected the global stiffness of the transverse section.

There were several limitations that need to be acknowledged and addressed regarding this study. Firstly, only surface information of the specimens was available. The transverse sections were too large for micro-CT scanning devices in order to document the internal micro-morphology of the cement-bone interface. It was therefore assumed that the morphology of the cement-bone interface was homogeneously distributed into the depth of the transverse section with the same gap distribution as visible on the outer surface. However, we realize that the ‘hidden’ internal morphology may weaken or stiffen the interface locally. This might also be an explanation why the micromotion distribution along the circumference of the cement-bone interface did not nicely match the experimental distribution. A second limitation was that only two transverse sections were considered in this study, because the FEA modeling of the transverse sections was a highly time consuming process. However, the two analyzed transverse sections were selected based on their mechanical characteristics, which were the two most extreme as analyzed by Mann et al. (2010). We realize that more analyzed specimens would have strengthened the current study. A third limitation was that only the gap thickness was considered as a morphological parameter which influenced the stiffness of the cement-bone interface. Previous studies have shown that also other factors contribute to the mechanical response, such as a normalized cement-bone contact index or the contact area between the bone and the cement.

From a clinical perspective, the results of the current study implicate that the currently developed cohesive zone models can be used for multiple applications in the research to cemented hip implants. Applications one could think of are patient specific FEA models to investigate causes of early failure of the cemented reconstruction or pre-clinical testing of newly developed orthopaedic implants. It has recently been reported that the behavior of the cement-bone interface affects cement-mantle failure. Also the degeneration of the cement-bone interface can be analyzed, since this can ultimately lead to aseptic loosening of the implant. However, a possible restriction could be that it is currently difficult to document the micro gap distribution at the cement-bone interface of a complete cemented hip reconstruction.

Based on the findings in the current study we conclude that with the current methods: (1) Only the mean micromotion and dispersion of micromotions as measured experimentally can be reproduced, but not the exact distribution of micromotions along the circumferential planes of the cement-bone interface of the complete cemented hip reconstruction.
ence of the cement-bone interface. (2) The previously derived micromechanical mixed-mode formulation is not directly applicable on a macro level. We also found that (3) the micromechanics of the cement-bone interface have a considerable influence on the macromechanical properties of the complete reconstruction. We finally conclude that, although the current study contributes to a better understanding of interfacial micromechanics on a macro level, there is still lots to improve in terms of consistency of the cohesive formulation and modeling issues.

Acknowledgements
This work was funded by the National Institutes of Health (NIH AR42017).

References


Discussion
This thesis described a number of studies in which several fundamental mechanical aspects of the cement-bone interface were investigated by the utilization and combination of finite element analysis (FEA) and experimental techniques. The overall goal was to provide more insight into the micromechanical fatigue and static response of the cement-bone interface of cemented total hip arthroplasty (THA) reconstructions. Furthermore, it was investigated how this micromechanical behavior affects the mechanics of cemented THA reconstructions on a macro scale. The outcomes of these studies have contributed to a much better understanding of cement-bone interface mechanics, also with respect to its morphology, and its effect of the cemented reconstruction. However, one question that still remains is how this fundamental work relates to clinical reality. In this Discussion the acquired fundamental contributions of this thesis will be put into a more clinical context.

Chapter 10

It has to be noted that the fatigue studies as presented in the Chapters 2 and 3 do not represent the long term in vivo situation since the biological response to implantation has neither been incorporated in the laboratory experiments nor in the FEA simulations. In both the FEA simulations as the laboratory experiments it is unknown how the bone reacts to a repetitive load from a biological point of view. Moreover, in the FEA simulations, mechanical failure of the bone was not considered. This assumption was based on the fact that in the in vitro experiments hardly any bone damage was found. In addition, no constitutive model of fatigue failure of bone was available by then, although in vitro studies had gathered reasonable amounts of data regarding fatigue failure of trabecular bone. To date, improvements have been made regarding this matter. Despite these limitations, these studies have contributed to a much better understanding of the role of cement failure at the cement-bone interface. It has been shown that the role of cement cracking in the fatigue failure process of the cement-bone interface is much more important than cement creep. In the study as described in Chapter 2 it was found that cement cracking decreases the stiffness of the interface, but subsequently also increases the stresses in the periprosthetic bone (Chapter 3). Moreover, it has been shown that despite the finding that cement creep reduces crack formation up to 20%, it hardly influences the permanent plastic deformation of the cement-bone interface. Improvements of the cement toughness with respect to fatigue cracking could therefore help to prevent, or at least reduce, local failure of the cement-bone interface.

In studies in which postmortem cement-bone interfaces were analyzed, it was found that the strength and stiffness were considerably lower than in lab-prepared specimens. It can, however, not simply be stated that this is solely caused by fatigue cracking of the cement. Although postmortem retrieved transverse sections of cemented hip reconstructions, like in Chapter 9, showed some severe cement cracks (Figure 1.2), the micro computed tomography (μCT) scans did neither reveal any loose cement particles nor cracks in the cement itself. The latter could, however, be invisible on the μCT scans as a result of closing of the cracks and the relative coarse resolution of the scans. It is therefore very likely that the substantial difference in mechanical properties between the postmortem and lab-prepared cement-bone interface specimens is a result of another factor, namely the considerable difference in interface morphology as a result of bone remodeling and subsequently resorption.

Many morphological parameters have been considered in this thesis in order to acquire a relationship between the morphology and the mechanical characteristics of the cement-bone interface, such as cement penetration depth, contact area between the cement and bone, or the amount of gaps between the two constituents. Based on all these analyses that we have performed, it can be concluded that the amount of cement-bone apposition is essential in order to achieve a strong and stiff interface. But how can a good apposition between the bone and cement be realized? And, moreover, how can it be maintained?

Based on the results as presented in this thesis, a good apposition between the bone and cement can be realized by maximizing the amount of contact between the two constituents. Intuitively, the solution would be to simply increase the amount of cement interdigitation; the more cement fills up the bone lacunar and trabecular spaces, the more contact will be achieved. Another way to approach this issue is by decreasing the gaps at the
cement-bone interface. Decreasing the gaps at the interface implicitly increases the amount of interfacial apposition. This can be verified by the inverse proportional relationship that was found between the quantity of contact and the size of interface gaps (Chapter 7). Whether the depth of cement interdigitation into the bone is also an important factor is debatable. While unidirectional tests of the cement-bone interface have shown that there is, at least to a certain level, a positive relationship between the cement interdigitation depth and the mechanical strength (Chapter 4), multidirectional tests did not find such a relationship (Chapter 5 and 6). A minimal penetration depth is of course necessary to achieve the required cement-bone apposition, but this morphological feature is not the one that drives the mechanical response of the interface. One might, however, be confused by a surgical technique developed in France, which has the intention to remove as much trabecular bone in the intramedullary canal as feasible in combination with the Charnley-Kerboull prosthesis. The old-fashioned cement interdigitation into trabecular bone with a large cement penetration depth is not possible with this technique, although a micro interlock between the endosteal bone surface and cement might be still possible by cement penetrating the canaliculi of the cortical bone. This technique, consequently, results in a cement-bone fixation that generally relies on a macro locking between the cement and bone. Despite the excellent survival rates of this technique,

it cannot simply be stated that, based on the results of this thesis, the macro interlock can only be stable when a large contact area is pursued. This thesis solely concentrated on a fixation with trabecular and not with cortical bone. Moreover, it is more than likely that this French technique relies on a different locking mechanism, such as shape-closed mechanism between the cement mantle and endosteal bone. Except for this particular example, achieving a maximum infiltration of cement into the bone might be the key to generate a large contact area between the bone and cement what consequently results in a mechanically stable cement-bone interface.

Several laboratory studies have shown that the cement interdigitation into bone can be maximized, and thus the amount of cement-bone apposition, by using a low-viscosity cement. Although this should result in a stable cement-bone interface and thus improved survival rates, clinical studies show the contrary; much poorer survival rates were reported when low-viscosity cement was used. It was found that THA reconstructions using a low-viscosity cement resulted in inferior cement-bone interfaces. Endosteal bleeding in the femoral canal could be an explanation of this phenomenon, since it has the capability to displace the cement before it has cured. Low viscosity cement is obviously more sensitive to this phenomenon than regular viscosity cement what subsequently results in less apposition between the bone and cement and hence more and larger interfacial gaps. But also the curing process of the cement itself results in interface gaps between the cement and bone due to cement shrinkage. This is, however, not only an issue at the cement-bone interface, but also the integrity of the stem-cement interface is affected by gap formation as a result of cement shrinkage. One way to reduce the interfacial gaps and subsequently increase the contact area between bone and cement is by pressurization of the cement. However, one should keep in mind that excessive pressurization of the cement to obtain can lead to fat and bone marrow embolism what can cause complications to the patient and can sometimes even be fatal.

Maintaining good apposition between the bone and cement is another issue. Directly after cement insertion, the periprosthetic bone at the cement-bone interface has to resist the hot temperatures due to the exothermal cement polymerization and the toxicity of the cement monomer. This could possibly result in direct bone necrosis, but it could also affect the bone’s blood supply what subsequently induces bone necrosis. Moreover, it has neither been investigated how the periprosthetic bone of a cemented THA reconstruction reacts as a result of the non-natural mechanical loading at the micro level. It is more than likely that the cement mantle locally induces stress shielding due to the non-physiological loading of the bone. All these phenomena could possibly affect the cement-bone apposition and eventually result in aseptic loosening of the cement-bone interface. In the late eighties, however, a surgical technique was developed that stimulates cement-bone bonding in cemented THA. This technique is better known as the ‘interface bioactive bone cement’ (IBBC) technique and it consists of smearing 2 to 5 grams of hydroxyapatite (HA) granules onto the endosteal bone surface just before cement insertion. The HA granules provide bioactivity on the surface of the cement mantle for prosthetic fixation. The clinical follow-up results indicate that IBBC results in low incidences of radiolucent lines and osteolysis after 15 to 20 years in vivo. Also animal studies show the presence HA at the cement-bone interface enhances cement-bone apposition.

HA granules at the cement-bone interface may therefore increase the longevity of the cemented reconstruction.

Proposed failure scenario in cemented THA

In the mid 90s, Verdonschot presented a flowchart which described the failure scenario of femoral cemented hip reconstructions from a mechanical point of view. This flowchart showed that the most probable site for the initiation of cemented THA failure was the implant-cement interface. This was subsequently followed by failure of the cement mantle and the cement-bone interface what eventually resulted in gross loosening of the complete reconstruction. Verdonschot also stated that it was highly unlikely that the mechanical failure process was initiated at the cement-bone interface. However, to date we know that this is not the case, since retrieval studies have shown that the cement-bone interface can be considerably degraded while the implant-cement interface still intact and very well bonded. Moreover, even in the most ideal situation of a cemented reconstruction where no abrasive particles arise at the implant-cement interface, it is not excluded that the bone will remain unaffected. Besides bone resorption, however, the cement-bone interface also suffers from fatigue cracking of the cement (Chapter 2 and 3). As shown in the Chapters 6, 7 and 9, both bone resorption and fatigue cement cracking make the cement-bone interface considerably more compliant. This in turn promotes fatigue cracking of the cement-mantle (Chapter 8).
The findings as presented in this thesis justify a modification of the flowchart of the failure scenario of cemented hip reconstructions as proposed by Verdonschot (Figure 10.1).

Firstly, the flowchart has been modified such that also the load is able to induce cement-bone interface failure (Chapter 2 and 3). Secondly, it has now been indicated in the flowchart that cement-bone failure also promotes cement failure, as shown in Chapter 8. Notice that Verdonschot already found that cement failure provokes cement-bone failure. Hence, the cement failure and cement-bone failure appear to attenuate each other.

According to Verdonschot, failure of the cement-bone interface results in gross loosening of the cemented reconstruction and is the end-stage of the failure process. This phenomenon of a degraded cement-bone interface, often termed as aseptic loosening, is the most common complication causing failure of the cemented THA reconstruction. It should be noted, however, that other failure mechanisms, such as wear, severe cement cracks in the cement mantle (like in Figure 1.2 from Chapter 1), luxation of the joint or serious loosening of the implant-cement interface, can also lead to complications to the patient and subsequently make a revision surgery necessary. But also biological issues, such as infections, which have not been incorporated in the flowchart, can lead to serious problems to the patient. It should be accentuated that even despite a considerably degraded cement-bone interface patients may still perform very well, what excludes a revision surgery. However, a mechanically stable cement-bone interface still remains one of the goals in order to improve the longevity of cemented THA components.

The quality of THA can be defined as the longtime durability of the reconstruction without the occurrence of complications to the patient. Considering the clinical outcomes of THA replacements over the past decades, it can be concluded that the quality of THA has improved considerably. Overall, the durability has increased and many patients with a THA replacement do not have to undergo a revision surgery in their lifetime. Hence, one could conclude that the current quality of hip implants is sufficient. However, one should also focus on the future. The current trend shows that patients are undergoing THA at an increasingly younger age and the patient population is becoming more and more active. Furthermore, also the health care systems demand long-term solutions in order to reduce costs. Hence, it is obvious that the quality of THA has to improve in the near future.

In order to improve the quality of THA, inferior implants and bone cements, and surgical techniques with moderate clinical outcomes should be excluded from the orthopaedic market. Ideally, and perhaps even more importantly, inferior implants should be excluded prior to entering the orthopaedic market. This requires pre-clinical testing, since pre-clinical testing has the potential to estimate the durability, and thus the quality, of the THA reconstruction containing the newly developed implant. Within orthopaedic research, there is a general pre-clinical testing sequence of four different methods that could be used to assess the quality.
of implants: FEA simulations, laboratory tests, animal experiments and clinical studies. Previous studies have shown that FEA can be a very valuable tool in the initial pre-clinical testing procedure, since it can provide information about the probability of failure of the THA reconstruction. However, it should also be noted that the FEA simulations have to become more accurate to have a real predictive value.

The Chapters 5 to 7 of this thesis have also contributed to new insights and techniques which can be used for the pre-clinical testing phase of newly developed orthopaedic devices. In these three studies, FEA and bench-top experiments were used to investigate the mixed-mode response of lab-prepared and postmortem retrieved cement-bone interfaces. The primary goal was not to investigate whether the cement-bone interface properties differed between the tensile and shear direction, but the development of a cohesive zone model which describes the complete multi-axial mechanical behavior of the cement-bone interface. This cohesive zone model should subsequently be implementable in FEA models. The results show that we have been able to develop a cohesive zone model of the cement-bone interface of which the mechanical response is dependent on its micro morphology (Chapter 7). This model has subsequently successfully been tuned and validated in macro models of cemented THA reconstructions (Chapter 9). It has also been shown how the cohesive zone model of the cement-bone interface can be used in FEA simulations in order to demonstrate how the cement mantle failure depends on the cement-bone interface stiffness (Chapter 8) and how it influences the macro mechanics of cemented THA reconstructions (Chapter 9). These findings emphasize the need of including the mechanical characteristics of the cement-bone interface into FEA-based pre-clinical testing models of cemented hip reconstructions.

The strength of FEA relative to laboratory tests, animal experiments and clinical studies lies in the fact that FEA has the ability to determine the effect of isolating and modifying one single parameter, while keeping all the other parameters constant. Hence, in contrast to laboratory tests or animal experiments, which are often obscured by uncontrollable experimental adverse effects, there is absolute control in the FEA environment. This is also reflected in the Chapters 5 and 7, where a novel way was used to in-silico load the cement-bone interface to failure in multiple directions. As a result of this novel way, confounding issues that would occur in laboratory experiments were made redundant. When the specimens were loaded in pure tension, for instance, no out of axis movements and tractions occurred. However, in pure shear something remarkable occurred relative to previous studies in which cement-bone interface specimens were loaded in pure shear. The two FEA mixed-mode studies showed that the shear response of the cement-bone interface was almost infinitely strong in pure shear. However, previous physical experimental tests and, moreover, another study in this thesis (Chapter 4) have shown that this is not the case. The discrepancy between these cases can be explained by the differences in applied boundary conditions. In laboratory experiments of cement-bone interfaces, the experimental setup is usually such that it allows some interfacial movements perpendicular to the loading direction. This means that, when loaded in pure shear, the interface deformation is able to follow the path of least resistance and therefore dilates. In the experiments performed by Yang et al.

Validation of computer simulations

The limited validation of several in-silico studies as described in this thesis is a major shortcoming (Chapter 4, 5 and 7). A direct quantitative validation was unfortunately not possible as a result of geometrical discrepancies. Although the FEA-models were based on a physiological morphology of the cement-bone interface, they were manipulated such that the achieved geometry did not match the physiological situation anymore. In the Chapters 5 and 7, for instance, the initial models were mirrored what resulted in a fully symmetric geometry. It is obvious that these models cannot be directly validated by experimental tests. However, these geometrical assumptions had to be made in order to reduce the complexity of the geometrical situation to a minimum and to generate an unaffected mechanical response. But also the FEA simulations of Chapter 4, where the mechanical effect of different cement penetration depths in a single bone morphology was investigated, are hard to execute with experimental testing. If one wants to know using experiments how the penetration depth affects the mechanical response one needs many cement-bone interface specimens. But for that case the bone morphology is still a confounding variable. As an alternative, the FEA simulations, which were impossible to validate directly, were qualitatively compared with previously obtained experimental findings. The studies from Chapter 3 and 6 are even a different story. Here, the FEA simulations were used as a sensitivity analysis where the material properties of the ce-
ment (Chapter 3) and cement-bone interface (Chapter 8) were modified such that it did not represent the physical situation anymore, making a direct validation impossible.

Over the years, considerable improvements have been made within orthopaedic research regarding FEA modeling. The newest scanning devices, like CT and Magnetic Resonance Imaging (MRI), allow us to generate FEA models that accurately match the physiological situation. Thanks to musculoskeletal models, loads can be applied that would also occur in the in vivo situation. Laboratory experiments have provided lots of data regarding, for instance, the anisotropic bone material properties, cement properties, and implant-cement and cement-bone interface properties (this thesis). These data can be converted to numerical formulations, which can subsequently be implemented into FEA simulations. When similar laboratory studies are compared with FEA simulations in which the numerical formulations are implemented, the numerical formulations can be validated. A similar approach has been used in the Chapters 7 and 9 of this thesis.

**Future perspective and concluding remarks**

With respect to the cement-bone interface, this thesis has provided some unique insights, but it is obvious that there is still a lot to investigate. For instance: How does the bone adapt to the toxicity and the exothermal reaction of the cement directly after cement insertion? What is the biological response of the cement-bone interface as a result of fatigue loading? Will it in the future be possible to document the micro morphology of the cement-bone interface on a macro level, so an accurate representation of the mechanical characteristics around e.g. a complete cement mantle is feasible? Is it possible to develop a bone cement or a surgical treatment which counteracts the inflammation reaction due to wear particles or fatigue cracking? But perhaps the most serious subject that we do not know, and what is critical in preclinical testing utilizing FEA, is the sequence of failure events and how these events influence and accelerate each other. We are able to simulate many failure mechanisms independent from each other, but to date we are not able to put all the failure mechanisms in a realistic time-frame due to a lack of comprehension of the integrated failure mechanics that occur at different areas within the reconstruction. This is very challenging, but also essential to know in order to provide an accurate prediction.

It can therefore be concluded that generating and maintaining a mechanically stable cement-bone interface is easier said than done. Although the in vitro and in silico studies from this thesis have shown which morphological factors are essential to achieve a stable cement-bone interface, the surgeon faces many challenges in order to optimize these factors. The surgeon is trained to obtain a suitable femoral canal preparation, avoiding over pressurization and the appropriate timing of cement and stem insertion. The skills of the surgeon are, however, also challenged by patient variables, such as excessive bleeding or limited endosteal trabecular bone for fixation, what is especially the case in revision arthroplasty. This makes the surgical procedure more challenging. It would therefore be very valuable if future research focuses on the possibilities to overcome all the aforementioned clinical issues or to come up with methods that aim to prevent complications. Possible research areas to focus on are for instance the optimization of the cement properties. Laboratory studies have indicated that for example the fatigue toughness of the cement can be improved by highly cross-linking or by adding zirconia fibers to the cement. The cement could also be equipped with self-healing capacities. If micro cracks arise in the cement, then the cement has the ability to restore itself, what also improves its fatigue properties. When micro encapsulated paraffin based phase change material is incorporated into the cement, then the peak temperatures that arise during the curing process are reduced. This might be beneficial for the initial cement-bone apposition, since this will reduce the chance of bone necrosis due to overheating. Another way to augment the cement-bone apposition is to have no or at least a reduction of cement shrinkage during the curing process. One could also think of adding a bioactive component to the cement that enhances bone apposition, such as a bone morphogenic protein (BMP). Several animal studies have shown bone formation at peri-prosthetic tissues utilizing BMPs. In these studies, however, the BMPs were implemented as an allograft directly at the interface with the bone. It would be worth to investigate whether it is possible to add the BMP to the cement. When a crack arises in the cement, the BMP comes free what could give a boost of bone formation at the surrounding tissue. Besides the developments of new bone cement, the development of new surgical techniques is also one of the options to improve the longevity of the cement-bone interface. One could think of placing a mesh-like resorbable socket prior to cement insertion. This socket should be placed directly onto the endosteal bone of the reamed medullary canal and be equipped with for instance HA granules and BMPs, which are released when the socket is resorbed. As a result of the mesh texture of the socket, the cement is still able to penetrate the trabecular bone. Although this figment might sound impossible on beforehand, unique ideas, possibly combined with techniques that never have been applied in orthopaedics, will be the key to improve the longevity of the cement-bone interface in cemented THA.

It can be concluded that the quality of the cement-bone interface can theoretically be considerably improved. Thanks to the latest techniques, the actual physical construct can be tested and simulated more and more realistically, both in a pre-clinical state, but also following in vivo service. However, one should realize that the ultimate test is still taking place in the patient.
References


Introduction (Chapter 1)

Cemented total hip arthroplasty (THA) is one of the most successful and reliable surgical procedures in orthopaedics. However, 5 to 10% of all the cemented THA reconstructions fail within 10 years of in vivo service. The most common reason for failure of cemented reconstructions is periprosthetic osteolysis at the cement-bone interface, usually termed as aseptic loosening. This interfacial degradation mechanically weakens the cement-bone interface relative to the direct post-operative situation. The true micromechanics of the cement-bone interface, both direct post-operative and after longer term, are not well understood. The main issue addressed in this thesis, therefore, is to investigate how the cement-bone interface behaves on a micro scale and how this micro behavior affects the macro mechanics of the cemented reconstruction. In order to achieve this goal, laboratory experiments and Finite Element Analysis (FEA) techniques were used to analyze the static and fatigue response of the interface.

In Chapter 1, backgrounds of cemented THA are presented and the current state of the understanding of cement-bone interface mechanics are discussed. It is also discussed how FEA can contribute to a better understanding of the cement-bone interface.

Fatigue response (Chapter 2 and 3)

It has previously been indicated that in cemented THA fatigue failure of the cement mantle initiates at the cement-bone interface. Previous research on the fatigue response of the cement-bone interface has primarily focused on documenting the overall structural response, such as permanent creep damage. A more detailed fatigue study, however, showed that fatigue cracking arose at the contact interface and occurred mainly in the cement, leaving the bone almost unaffected. It was also found that the creep damage-deformation was presumed to manifest as gapping and sliding between the cement and the bone. However, it is also commonly known that, due to fatigue loading, the bone cement itself will creep, what subsequently effectively attenuates stress peaks and as a result decreases fatigue crack formation. A limitation of these laboratory experiments is that the mechanism of failure cannot be attributed to a particular mechanical feature. However, FEA can be a useful tool to provide more insight into this failure mechanism.

In Chapter 2 the micromechanics of the cement-bone interface under tensile fatigue loading were investigated. In this particular study, laboratory-prepared cement-bone specimens were subjected to a tensile fatigue load, while the local displacements and crack growth on the specimen’s surface were monitored. In order to explain the fatigue response, FEA models were created from micro-computed tomography (μCT) data of the same specimens. A new erosion procedure was developed to model the complex morphology at the contact interface between the bone and cement. As a result of this procedure, a gradual distributed load transfer was created and peak stress artifacts that occurred in previous studies were avoided. The results showed that the FEA simulations were able to capture the general experimental creep damage behavior of the cement-bone interface as occurred experimentally. Consistent with the experiments, the majority of the deformation took place at the contact interface and not in the bulk bone or cement. The FEA simulations could also, to a reasonable extent, predict fatigue crack patterns on the specimen’s surface which were similar to experimental findings. Although the cracks that were found experimentally on the specimen’s surface correlated moderately with FEA surface cracks, they did not correlate with the simulated crack volume fraction. This indicates that the specimen’s surface information does not necessarily represent the behavior of the complete volume. Moreover, the FEA creep damage displacement showed a strong relationship with the cracked volume fraction, but no correlation with the surface cracks.

The aim of Chapter 3 was to gain more insight into the relative contributions of cement creep and cement fatigue crack formation on the micromechanical fatigue response of the cement-bone interface. Utilizing two of the micromechanical FEA models created in the previous chapter, we found that when the cement-bone interface is subjected to rather low stresses, the plastic interface displacement is mostly caused by cement creep. For higher loads, however, cement fatigue cracking was unambiguously the dominant factor. Although cement creep was able to reduce the crack formation in the cement up to 20%, it had virtually no additional effect on the plastic deformation of the interface, nor did it decrease the stress levels at the contact interface in the bone.

Effect of cement penetration (Chapter 4)

Since conventional bone cement, such as polymethylmethacrylate (PMMA), is not osteoconductive, physicochemical bonding between the bone and cement cannot be expected. Therefore, the interface fixation relies on cement penetration into the bone lacunar and trabecular spaces, which provides an interlock between the two constituents. While several studies found that the strength of the cement-bone interface is dependent on the depth of the cement penetration, others did not find such a relationship. In this chapter it was investigated what the mechanical responses of the cement-bone interface were during tension and shear loading in case of different cement penetration depths. It was also investigated whether the mechanical responses could be related to the contact area between the bone and cement, since it was previously shown that this might also influence the mechanical response. Simulations were performed with micromechanical FEA models of the cement-bone interface with one single bone morphology in order to eliminate specimen-to-specimen variability as a confounding factor. There were very strong correlations between the penetration depth and the interfacial tensile and shear strength. Also the contact area showed a very strong correlation with the strength in both tension and shear. Overall, the cement-bone interface was more than twice as strong in shear than in tension and slightly stiffer in tension than in shear.
Mixed-mode response (Chapter 5 to 7)

Since the cement-bone interface is a compliant interface\textsuperscript{14,14}, it is essential to include this interface in FEA models of cemented hip reconstructions. An elegant method to model the cement-bone interface within FEA models is the utilization of cohesive elements\textsuperscript{17,19}. In these cohesive elements, a cohesive zone model has to be implemented, which describes the interfacial normal response, tangential response and a combination of the two, the mixed-mode response. A good understanding of the mixed-mode response is therefore essential. Experimentally, acquiring the mixed-mode response of cement-bone interface specimens remains challenging, because a specimen can only be loaded to failure once. One solution is to generate FEA models of the test specimens, which obviously can be loaded to failure in multiple directions in the virtual environment. The goal of the Chapters 5 to 7 was to investigate the micromechanical mixed-mode response of the cement-bone interface in detail. Additionally, it was investigated whether the mixed-mode response could be related to multiple morphological parameters and subsequently fit into a cohesive zone model.

In Chapter 5, FEA was used to look into the mixed-mode response of lab-prepared cement-bone interfaces. The simulations showed that when loaded in pure tension, the response was characterized by a linear elastic phase, followed by yielding and softening of the interface. A remarkable finding was the response in pure shear, which did not indicate interfacial failure despite considerable crack formation in the cement and bone. Moreover, a considerable normal compression was generated in pure shear to prevent dilation of the interface. The mechanical mixed-mode response could not be related to the cement interdigitation depth into the bone.

In Chapter 6, the mixed-mode response of laboratory prepared and postmortem retrieved cement-bone interfaces were investigated in in vitro experiments. This was only possible since the deformation to which the specimens were subjected remained in the elastic phase and was therefore non-destructive. The experiments showed that the compliance did not depend on the loading angle for both lab-prepared and postmortem interfaces. From a FEA modeling perspective, this suggests that the cement-bone interface could be numerically implemented as a compliant layer with the linear isotropic material properties. Like in Chapter 5, but in contrast with Chapter 4, the interfacial compliance did not correlate with the cement interdigitation depth. On the other hand, the interfacial compliance was inversely proportional to the amount of contact between the cement and the bone. Interestingly, for the same amount of interfacial contact, the postmortem specimens were much more compliant than the lab-prepared specimens.

In Chapter 7, the mixed-mode response of postmortem retrieved cement-bone interfaces was investigated by in silico experiments. Due to in vivo service, these specimens were considerably degraded in terms of bone resorption, what resulted in large gaps between the bone and cement. The mixed-mode responses were similar to those as reported in Chapter 5, although the strength and stiffness were considerably weaker, what can be explained by the interfacial degradation. This study distinguished itself from the one in Chapter 5, since the mixed-mode response was successfully converted to two cohesive zone models; a fully elastic model and one that included failure. Both cohesive zone models were depending on the gap thickness between the bone and cement, which will weaken the interface for larger gaps.

Micromechanics of transverse sections of cemented THA (Chapter 9)

In previous FEA studies of cemented hip reconstructions, in which the cement-bone interface was modeled by cohesive elements, the cohesive zone model as derived on a micro level was directly implemented on a macro level\textsuperscript{17,19}. The simulated interfacial responses were taken for granted, although it has never been verified whether this predicted behavior is still reliable. The main issue addressed in Chapter 9, therefore, was whether the mechanical response of the cement-bone interface as determined on a micro level could be reproduced on a macro level by the utilization of cohesive elements. From transverse sections of postmortem retrieved cemented hip reconstructions, FEA models were generated in which the cement-bone interface was modeled by cohesive elements. It appeared that the cohesive zone model, as derived in Chapter 7, was not fully applicable on a macro level. Firstly, the distribution of gaps along the circumference of the cement-bone interface needed to be interpolated in order to tackle the mesh dependent artifacts. Secondly, the cohesive model needed to be adapted such to make it applicable for larger interface gaps. After this adaption the mean magnitude of the micro motions along the circumference of the cement-bone interface could be reproduced. However, reproducing the exact distribution of micro motions remains challenging. The micromechanics of the cement-bone interface also appeared to have a considerable effect on the macromechanical properties of the complete reconstruction. This emphasizes that a correct description of the cement-bone interface is essential in the modeling of cemented hip reconstructions.
References


**Introductie (Hoofdstuk 1)**

De gecementeerde totale heupvervanging (THV) is één van de meest succesvolle en betrouwbare chirurgische ingrepen binnen de orthopedie. Echter, 3 tot 10% van alle gecementeerde THV reconstructies faalt binnen 10 jaar na de operatie. De meest voorkomende complicatie die het falen van een gecementeerde reconstructie veroorzaakt is osteodystyse van het bot in het gebied rondom de cementmantel. Deze verbinding tussen het femur en de cementmantel wordt de ‘cement-bot interface’ genoemd. Het fenomeen van osteodystyse rondom de cementmantel staat bekend als aseptische loslating. Deze degeneratie van de cement-bot interface verzwakt de interface in vergelijking met de situatie direct postoperatief. Het mechanische gedrag van de cement-bot interface op microniveau, van zowel de direct postoperatieve als de gedegenereerde situatie, is nog niet goed begrepen. Het belangrijkste doel van dit proefschrift is daarom te onderzoeken hoe de cement-bot interface zich gedraagt op microniveau en hoe dit microgedrag de macromechanica van de gecementeerde reconstructie beïnvloedt. Teneinde dit doel te bereiken zijn de statische en vermoeiingseigenschappen van de cement-bot interface onderzocht door middel van de Eindige Elementen Methode (EEM) en laboratorium experimenten.

In Hoofdstuk 1 worden zowel de achtergronden van gecementeerde THV gepresenteerd als de huidige stand van zaken wat betreft de mechanica van de cement-bot interface. Verder wordt besproken hoe de EEM kan bijdragen om een beter inzicht te krijgen in de mechanische eigenschappen van de cement-bot interface.

**Vermoeiingsgedrag (Hoofdstuk 2 en 3)**

In het verleden heeft onderzoek aangetoond dat het falen van de cementmantel van een gecementeerde THV beginnt in de cement-bot interface door scheurfening ten gevolge van vermoeiing. Eerdere onderzoeken naar het vermoeiingsgedrag van de cement-bot interface concentreren zich meestal op de globale respons, zoals blijvende vervorming door microgeografie. Een meer gedetailleerde vermoeiingsstudie, uitgevoerd door Mann et al., heeft aangetoond dat scheurpannen in het cement een sterke relatie hebben tot de schade en vermoeiingsweerstand in de cementmantel. Net als bij de experimenten trad de meerderheid van de vervorming op bij de contactinterface, zoals die experimenteel optrad, te reproduceren. Net als bij de experimenten trad de meerderheid van de vervorming op bij de contactinterface, zoals die experimenteel optrad, te reproduceren.

De resultaten tonen aan dat de EEM simulaties in staat zijn de vermoeiingsschade, de vermoeiingsweg en het vermoeiingsscheurvolume in het cement te voorverenigen. Teneinde deze vermoeiingsscheuren te voorverenigen werden EEM modellen gemaakt van dezelfde specimens als in het experiment door middel van micro-computed tomography (μCT) scans. Teneinde de contactinterface tussen het bot en het cement goed te kunnen modelleren is er een erose procedure ontwikkeld. Door deze erose procedure wordt de belastingsoverdracht tussen cement en bot geleidelijk verdeeld en worden piekspanningen, die optraden in vorige studies, tevens ontbroken. De resultaten tonen aan dat de EEM simulaties in staat zijn het algemene vermoeiingsscheur volume van de cement-bot interface, zoals dat experimenteel optrad, te reproduceren. Net als bij de experimenten trad de meerderheid van de vervorming op bij de contactinterface. Deze patroon overeenkomt met de experimenteel verkregen vermoeiingsscheuren, maar met een vermoeiingsscheurvolume dat 3-5% lager is dan bij de experimenten.

**Effect van cement penetratie (Hoofdstuk 4)**

Het doel van Hoofdstuk 3 is om meer inzicht te krijgen in de relatieve bijdragen van enerzijds kruip deformatie van het cement en anderzijds de formatie van vermoeiingsscheuren in het cement ten gevolge van de vermoeiingsscheuren in de cement-bot interface. Twee van de EEM modellen uit Hoofdste 2 werden hiervoor gebruikt. Aangetoond werd dat wanneer de cement-bot interface wordt onderworpen aan een relatief lage belasting de plasticische deformatie van de interface vooral wordt veroorzaakt door kruip van het cement. Echter, voor hogere belastingen zijn vermoeiingsscheuren zonder twijfel de dominante factor. Kruip van het cement was in staat om de vermoeiingsscheuren in het cement tot 20% te reduceren. Daarentegen had kruip van het cement nagenoeg geen effect op de plastische deformatie van de interface, noch verlaagde het de spanningen op de contactinterface in het bot.
schillende penetratiedieptes van het cement werden uitgevoerd met EEM modellen van de cement-bot interface waarbij het bot een unieke morfologie had. Dit werd gedaan om een 'speciment-to-specimen' variabiliteit tegen te gaan. Zeer sterke correlaties werden gevonden tussen de penetratie diepte en de sterkte van de interface in trek en schuif. Ook het contacttappend met een steen sterkte met de sterkte van de interface. De cement-bot interface was meer dan twee keer zo sterk in schuif dan in trek en maar nauwelijks stijver in trek dan in schuif.

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Mixed-mode response (Hoofdstuk 5 tot en met 7)

Omdat de cement-bot interface geen stijve, maar een compliant interface is, is het essentieel deze interface te modelleren in EEM studie van de gecementeerde heup reconstructies. Een elegante manier deze cement-bot interface te modelleren in een EEM omgeving is door gebruik te maken van zogenoemde cohesive-elementen. In deze cohesive-elementen dient een cohesive-model dat de cement-bot interfacetensheid geïmplementeerd te worden. Dit behelst de interfacetensheid in normaal richting, tangentiële en een combinatie van de twee; de mixed-mode response. Het is daarom essentieel een goed inzicht in de mixed-mode respons van de cement-bot interface te hebben. Experimenteel is het lastig de mixed-mode respons van de cement-bot interface te onderzoeken, omdat een specimen maar één maal tot falen kan worden belast. De vervaardig van EEM modellen van de cement-bot interface kan dat probleem oplossen. In de virtuele EEM omgeving kunnen de specimens namelijk meerdere malen in verschillende richtingen tot falen worden belast. Het doel van de Hooftstukken 5 tot en met 7 was om de micromechanische mixed-mode respons van de cement-bot interface in detail te onderzoeken. Daarnaast is onderzocht of de mixed-mode response kan worden gerelateerd aan verschillende morfologische parameters van de cement-bot interface en vervolgens omgeschreven kon worden naar een cohesive-model.


In Hoofdstuk 6 werden in vitro experimenten gebruikt om de mixed-mode response van lab-geprepareerde en post-mortem cement-bot interfaces te onderzoeken. Dit was alleen mogelijk door de deformatie waaraan de specimens werden onderworpen in de elastische fase te houden en de deformatie was daarom niet destructief. De experimenten lieten zien dat de compliantie van de lab-geprepareerde en post-mortem specimen niet afhing van de belastingshoek. Dit zou betekenen dat de cement-bot interface in EEM modellen geïmplementeerd zou kunnen worden als een zachte laag met lineaire isotrope materiaal eigenschappen.

Net zoals in Hoofdstuk 5 werd er geen relatie gevonden tussen de compliantie van de interface en de penetratiediepte van het cement in het bot. Deze compliantie van de cement-bot interface bleek wel omgekeerd evenredig te zijn met de hoeveelheid contact tussen cement en bot. Interessant was dat voor dezelfde hoeveelheid contact tussen het bot en cement de post-mortem specimens veel complianter waren dan de lab-geprepareerde specimens.


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Cementmantel falen (Hoofdstuk 8)

In EEM modellen van gecementeerde heup reconstructies is de cement-bot interface vaak gemodelleerd als een eenheid, een ongedeeld model, een uniforme laag van zacht weefsel. Een elegant manier deze cement-bot interface te modelleren in een EEM omgeving is door gebruik te maken van cohesive-elementen. In deze cohesive-elementen dien een cohesive-model dat de cement-bot interfacerespons beschrijft geïmplementeerd te worden. Dit behelst de interfacerespons in normaal richting, tangentiële en een combinatie van de twee; de mixed-mode response. Het is daarom essentieel een goed inzicht in de mixed-mode respons van de cement-bot interface te hebben. Experimenteel is het lastig de mixed-mode respons van de cement-bot interface te onderzoeken, omdat een specimen maar één maal tot falen kan worden belast. De vervaardig van EEM modellen van de cement-bot interface kan dat probleem oplossen. In de virtuele EEM omgeving kunnen de specimens namelijk meerdere malen in verschillende richtingen tot falen worden belast. Het doel van de Hooftstukken 5 tot en met 7 was om de micromechanische mixed-mode respons van de cement-bot interface in detail te onderzoeken. Daarnaast is onderzocht of de mixed-mode response kon worden gerelateerd aan verschillende morfologische parameters van de cement-bot interface en vervolgens omgeschreven kon worden naar een cohesive-model.

In Hoofdstuk 5 werd de EEM gebruikt om de mixed-mode response van lab-geprepareerde cement-bot interfaces te onderzoeken. De simulaties lieten zien dat wanneer de modellen in pure trek belasting, de interfacerespons werd gekarakteriseerd door een lineaire elastische fase, gevolgd door versteviging en plastische vervorming. Een bijzondere bevinding was de respons in pure schuif. Hier werd geen falen van de interface gevonden, ondanks aanzienlijke scheurverandering in het cement en bot. Bovendien werd er in pure schuif een aanzienlijk compressie gegenereerd om openen van de interface tegen te gaan. De mixed-mode response kon niet worden gerelateerd aan de penetratiediepte van het cement in het bot.

In Hoofdstuk 6 werden in vitro experimenten gebruikt om de mixed-mode response van lab-geprepareerde en post-mortem cement-bot interfaces te onderzoeken. Dit was alleen mogelijk door de deformatie waaraan de specimens werden onderworpen in de elastische fase te houden en de deformatie was daarom niet destructief. De experimenten lieten zien dat de compliantie van de lab-geprepareerde en post-mortem specimen niet afhing van de belastingshoek. Dit zou betekenen dat de cement-bot interface in EEM modellen geïmplementeerd zou kunnen worden als een zachte laag met lineaire isotrope materiaal eigenschappen.

Het is onbekend hoe deze verschillende beschrijvingen van de cement-bot interface andere faalmechanismes in de gecementeerde heup reconstructie beïnvloeden. In Hoofdstuk 8 werd beschreven hoe het falen van de cementmantel ten gevolge van een vermoeibeslag wordt beïnvloed door vier verschillende mechanische modellen van de cement-bot interface: (I) een oneindig stijve interface; (II) een compliant interface met een eenheid; (III) een mixed-mode faal response volgens experimentele bevindingen; en (IV) een mixed-mode faal response volgens EEM modellen. Om het effect van de stijfheid van de interface te bestuderen werd elk model bovendien beschouwd als stijf en compliant. Wanneer een loogbelasting op de reconstructie werd geïmplementeerd, werd het grootste verschil in scheurvorming in de cementmantel gevonden bij een oneindige stijve interface tegen een compliant interface. Hierbij ontstonden in het oneindig stijve model de minste scheuren. Hieruit blijkt dat de compliantie van de cement-bot interface een aanzienlijk effect heeft op het falen van de cementmantel ten gevolge van een vermoeibeslag. Verder werd aangetoond dat bij een externebelasting die optreedt tijdens het lopen van een patiënt alle spanningen onder de sterkte van de interface bleven. Dit houdt in dat onder deze conditions de cement-bot interface gemodelleerd kan worden als een elastische laag zonder faaligenschappen. Een laatste bevinding was dat op de lange termijn het falen ten gevolge van vermoeing resulteerde in een herverdeling van spanningen op de cement-bot interface in termen van lagere trek- en schuifspanningen en minder piekspanningen.
In voorgaande EEM studies van gecementeerde heup reconstructies waarin de cement-bot interface werd gemodelleerd door cohesive-elementen werd het cohesive-model, zoals afgeleid op een micro niveau, direct geïmplementeerd op een macro niveau. In dat geval werd de gesimuleerde interfacerespons voor lief genomen en werd niet geverifieerd of deze respons nog wel betrouwbaar was. Het belangrijkste doel van Hoofdstuk 8 was daarom te onderzoeken of de mechanische respons van de cement-bot interface, zoals bepaald op een microniveau, ook direct op een macroniveau geïmplementeerd kan worden door middel van cohesive-elementen. EEM modellen werden gegenereerd van dwarsdoorsneden van post-mortem gecementeerde heupreconstructies, waarin de cement-bot interface werd gemodelleerd met cohesive-elementen. Aangetoond werd dat het cohesive-model zoals afgeleid in Hoofdstuk 7 niet direct toepasbaar was op macroniveau. Ten eerste moest de verdeling van gaps rondom de omtrek van de cement-bot interface geïnterpoleerd worden om mesh-afhankelijke artefacten te vermijden, ten tweede moest het cohesive-model zodanig aanpast worden dat het ook toepasbaar was voor relatief grote gaps. Na deze aanpassing kon de gemiddelde microbeweging rondom de omtrek van de cement-bot interface gereproduceerd worden. Het reproduceren van de exacte verdeling van microbewegingen was lastig. De micromechanica van de cement-bot interface bleek ook een aanzienlijk effect te hebben op de mechanische eigenschappen van de totale heupreconstructie. Dit benadrukt dat een correcte beschrijving van de cement-bot interface essentieel is in de modellering van gecementeerde heupreconstructies.

**References**

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About the author

Daan Waanders was born on September 8, 1983 in Lichtenvoorde. In 2000, he received his HAVO certificate at the “Scholengemeenschap Marianum” in Groenlo. Subsequently, he studied mechanical engineering at the “Saxion Hogeschool Enschede”, with a specialization in human care and technology. During this period he did internships at WE Engineering, Stork MPS and Bronkhorst High Tech. After obtaining his bachelor degree in 2004, he studied mechanical engineering at Twente University, with a specialization in biomechanical engineering. He did his master assignment in the Orthopaedic Research Laboratory (ORL) in Nijmegen under the supervision of Nico Verdonschot and Dennis Janssen. He obtained his masters degree in 2007 on his thesis titled ‘Bone Ingrowth and Tissue Differentiation around Uncemented Hip Implants’. During his master assignment he was offered a PhD position at the ORL, which he gratefully accepted. His research focused on the micromechanics of the cement-bone interface in total hip arthroplasty what eventually resulted in this thesis.

List of publications


