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Search for resonant $WW$ and $WZ$ production in $pp$ collisions at $\sqrt{s} = 1.96$ TeV

We search for resonant $WW$ or $WZ$ production using up to $5.4 \, fb^{-1}$ of integrated luminosity collected by the D0 experiment in Run II of the Fermilab Tevatron Collider. The data are consistent with the standard model background expectation, and we set limits on a resonance mass using the sequential standard model (SSM) $W'$ boson and the Randall-Sundrum model graviton $G$ as benchmarks. We exclude an SSM $W'$ boson in the mass range $180 - 690$ GeV and a Randall-Sundrum graviton in the range $300 - 754$ GeV at 95% CL.

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The standard model of particle physics is expected to be a low energy effective theory valid for particle interactions below the TeV scale. Above this scale, extensions to the standard model (SM) augment the existing particle content, leading to enhanced production of many final states at colliders. Specifically, the production and decay of massive charged or neutral particles can produce an excess of $W$ boson pairs for neutral particles or $W$ and $Z$ boson pairs for charged particles.

In this Letter, we search for resonant $WW$ and $WZ$ production using data collected by the D0 detector from $1.96 \, TeV$ $p\bar{p}$ collisions produced by the Fermilab Tevatron Collider. We use a sequential standard model (SSM) $W'$ boson as benchmark for a $WZ$ resonance and a Randall-Sundrum (RS) graviton $G$ resonance for the $WW$ final state.

There are two recent direct searches for $WZ$ or $WW$ resonances by the CDF and D0 collaborations that exclude $WZ$ resonances with mass below $516$ and $520$ GeV, respectively, and an RS graviton $G \rightarrow WW$ resonance with mass less than $607$ GeV [8, 9]. Indirect searches for new physics in the $WW$ and $WZ$ diboson systems through measurements of the triple gauge couplings also show no deviation from the SM predictions [8–10]. The D0 collaboration also excludes $M(W') < 1.00 \, TeV$ [11], when assuming the $W'$ boson decays as in the SM, and

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\( M(G) < 1.05 \text{ TeV} \), when assuming \( G \) decays into \( \gamma\gamma \) or \( ee \). The search for these resonances in the diboson decay channel covers the possibility that their coupling to leptons may be lower than the value predicted by the SM.

We obtain a combined result based on three independent searches: two new searches for resonant \( WW/WZ \) production with at least one jet and exactly one or two leptons in the final state using 5.4 fb\(^{-1} \) of integrated luminosity and one search previously done on 4.1 fb\(^{-1} \) of integrated luminosity with three leptons in the final state \( [6] \). We use data collected by the D0 experiment. A detailed description of the D0 detector can be found in \( [18] \); we only give a brief description here. The innermost region is the tracking detector, which consists of silicon microstrip and central fiber trackers, both of which are surrounded by a solenoidal magnet producing a 2 T magnetic field. Charged particle tracks are formed from signals in these detectors. Surrounding the tracking detector are electromagnetic (EM) and hadronic calorimeters, both of which use liquid argon as the active medium. The calorimeters are housed in three cryostats that define the central region as \( 1 \leq |\eta| < 1.1 \) \( [13] \) and two endcap regions as \( 1.5 < |\eta| < 4 \). Electrons are reconstructed in the EM calorimeter as isolated energy clusters, matched to tracks, and with a shower shape that is consistent with that of an electron. Jets are also formed in the calorimeters as clusters of energy in a cone with radius \( R = 0.5 \) \( [13] \). Finally, surrounding the calorimeters are central and forward muon systems in three layers consisting of precision wire chambers and fast scintillators used for triggering. Coverage of the muon system extends to \( |\eta| \approx 2 \). Located between the first and second layer of the muon system is a 1.8 T toroidal magnet which allows an independent muon momentum measurement. A muon candidate is reconstructed as the combination of tracks in the muon system and the inner tracking detector and is required to be isolated from other tracks or calorimeter energy deposits.

We employ a Monte Carlo (MC) simulation to model all background processes except backgrounds from events not involving the decay of a \( W \) or \( Z \) boson, such as multijet production. The SSM \( W' \) boson, RS graviton, and the SM diboson processes are simulated using the \textsc{pythia} \( [24] \) event generator, which generates the tree-level matrix element process and simulates subsequent particle showering and hadronization effects. Backgrounds from \( t\bar{t}, W+\text{jets} \) with \( W \to \ell\nu \), and \( Z+\text{jets} \) with \( Z \to \ell\ell \) are modeled using the \textsc{alpgen} \( [17] \) generator and single top quark production is modeled with the \textsc{comphep} \( [18] \) generator. All generators are interfaced with \textsc{pythia} for showering and hadronization. The \textsc{alpgen}-generated samples make use of the MLM \( [19] \) jet-parton matching scheme to improve the jet multiplicity modeling. All MC samples are passed through a \textsc{geant} simulation of the D0 detector and overlayed with data events from randomly selected bunch crossings to simulate multiple \( pp \) interactions within a single event. The signal samples are generated in exclusive final states with diboson resonance masses between 180 and 1250 GeV in 10 GeV steps up to 200 GeV and then 50 GeV steps above 200 GeV, using the \textsc{cteq6l1} \( [21] \) parton distribution functions. No interference between the SM \( W \) boson and the SSM \( W' \) boson production is included in the simulation since the effect is negligible \( [22] \). All MC samples are normalized such that the predicted yield is equal to the production cross section multiplied by the integrated luminosity of data. The \( W+\text{jets} \) and \( Z+\text{jets} \) samples are scaled to the product of the cross section calculated by \textsc{alpgen} and the \( k \)-factor defined as the ratio of the next-to-leading order (NLO) and leading order (LO) cross sections, which is computed by \textsc{mcfm} \( [23] \). The \( tt \) events are normalized to a next-to-next-to-leading order (NNLO) calculation \( [24] \) with \( m_t = 172.5 \text{ GeV} \). Finally, the diboson samples are normalized to the NLO cross section predicted by \textsc{mcfm}, and the signal \( W' \) boson samples are normalized to the NNLO cross sections \( [22] \). The RS graviton samples are normalized to the \textsc{pythia}-level cross section multiplied by a \( k \)-factor of 1.3 \( [24] \).

Events in this search are placed in three mutually exclusive categories, thus maximizing signal sensitivity to each \( WW \) and \( WZ \) decay channel. The first category contains events with a leptonic decay of the \( W \) boson and hadronic decay of the \( W \) or \( Z \) boson. Events must contain exactly one electron or muon with transverse momentum \( p_T > 20 \text{ GeV} \), either one or two jets with \( p_T > 20 \text{ GeV} \), and missing transverse energy \( E_T > 20 \text{ GeV} \) \( [27] \). These events were collected using triggers that require the presence of a high \( p_T \) lepton. Events with charged leptonic decays of the \( Z \) boson and hadronic decays of the \( W \) boson comprise the second category. These events must contain exactly two electrons or muons and exactly one or two jets with the same \( p_T \) thresholds as the first category. We require \( E_T < 50 \text{ GeV} \) to remove mismeasured events and a dilepton mass between 70 and 110 GeV to select \( Z \) boson events. Both single lepton and dilepton triggers were used to collect events in this category. Fully leptonic decays of the \( WZ \) system constitute the final selection category. In this category any combination of three leptons (\( eee, \mu\mu\mu, e\mu\mu, e\mu\mu \)) with \( p_T > 20 \text{ GeV} \) for each lepton is accepted. Additionally, \( E_T > 30 \text{ GeV} \) is required. Events in this channel were collected using the same set of triggers as the dilepton channel. More details of the trilepton analysis have been presented in a previous Letter \( [6] \).

In the first two selection categories the background after the initial event selection is dominated by \( W \) or \( Z \) boson+jets, followed by multijet, \( t\bar{t} \), single top quark, and diboson production. The multijet background, in both single lepton and dilepton events, is modeled using data that fail the final lepton quality selection crite-
ria. In single lepton events, the relative fraction of multijet (fake-lepton) background and all other backgrounds (real-lepton) is determined by measuring the relative rates at which each background type satisfies two different lepton quality criteria. A sample of $Z \rightarrow \ell\ell$ events is used to measure the real-lepton efficiency, and a sample of events without significant missing transverse energy ($E_T < 20$ GeV) is used to measure the fake-lepton efficiency. In dilepton events, the sum of the MC-based backgrounds and the multijet background is normalized to data in the dilepton mass region between 40 and 70 GeV. The signal acceptance, in both single lepton and dilepton events, is estimated from the MC and corrected for all data/MC differences and the estimated trigger selection efficiency.

The $W$ and $Z$ bosons result from the decay of a massive resonance and are therefore highly boosted. We exploit this property in the single lepton channel by requiring that the $p_T$ of the lepton-$E_T$ system be greater than 100 GeV and the azimuthal angle between the lepton and $E_T$ be less than 1.5 radians. In the dilepton channel, we require that the dilepton pair $p_T$ be greater than 100 GeV. The angular distance $\Delta R$ between the two leptons must be less than 1.5, where the angular distance $\Delta R = \sqrt{(\Delta \eta)^2 + (\Delta \phi)^2}$ is defined as the distance between the two objects in the pseudorapidity and azimuthal angle plane. The hadronically decaying $W$ or $Z$ boson in both single lepton and dilepton events will also be highly boosted. Given the extended size of jets, the two jets from the hadronic decay of $W$ or $Z$ bosons with sufficient transverse momentum may be merged in a single jet whose mass, defined in terms of the jet energy $E_j$ and momentum $p_j$ as $m_j = \sqrt{E_j^2 - |p_j|^2}$, corresponds to the original boson mass. Thus, we further select events having a single jet with $m_j$ greater than 60(70) GeV when searching for a hadronically decaying $W(Z)$ boson. If no jets satisfy these requirements, two isolated jets must be reconstructed in the event with a dijet mass between 60 and 105 GeV (70 and 115 GeV) for a $W(Z)$ boson decay. In each channel, the $\Delta R$ between the jets must be less than 1.5 radians.

We increase the search sensitivity by subdividing all search channels into “high”-mass and “low”-mass signal regions, where the mass refers to the assumed signal mass ($M_{res}$) and high-mass and low-mass are defined as $M_{res} \geq 450$ GeV and $< 450$ GeV, respectively. The low-mass signal region is composed of all events that satisfy the single lepton and dilepton selection requirements. In the high-mass signal region we additionally require the lepton-$E_T$ system $p_T > 150$ GeV and the azimuthal angle difference between the lepton and $E_T$ to be less than 1.0 radian for single lepton events. The dilepton high-mass selection requires the dilepton $p_T$ to be greater than 150 GeV and the $\Delta R$ between the two leptons must be less than 1.0 radian. Table I displays the estimated background yields, the expected numbers of signal events, and the numbers of observed data events after the high-mass selection. Figs. 1 and 2 compare the data with the estimated backgrounds in the single lepton and dilepton channels using the reconstructed resonance mass and transverse mass [28], respectively. In both Figures, the absence of events below 350 GeV is a result of the high-mass event selection.

The dominant systematic uncertainties on the background normalization and signal acceptance in the dilepton channel are mostly due to $Z$+jets modeling (10%) and to jet energy resolution (3%) effects. The main uncertainties in single lepton events are $W$+jets modeling (15%), the $t\bar{t}$ cross section (10%), and the integrated luminosity (6.1%) [29]. In single jet events, the principal uncertainty is the jet-mass modeling. To determine it, a control region is defined from events satisfying the initial event selection, but failing the lepton-$E_T$ or the dilepton system $p_T > 100$ GeV requirement. The relative difference between the background prediction and the data is 10% for jet masses below 30 GeV and rises to 25% for masses near 60 GeV. We do not observe any event with jets having a mass greater than 60 GeV in the control region.

No statistically significant excess of the data over the background prediction is observed. Thus, we set limits on the production cross section multiplied by the branching ratio using a modified frequentist approach [30]. In this method a log-likelihood ratio (LLR) test statistic is formed using the Poisson probabilities for estimated background yields, the signal acceptance, and the observed number of events for all resonant mass hypotheses. Confidence levels are derived by integrating the LLR in pseudo-experiments using both the signal plus background hypotheses ($CL_{s+b}$) as well as the background only hypothesis ($CL_b$). In the modified frequentist approach, the excluded production cross section is computed as the cross section for which $CL_{s+b}/CL_b$, is equal to 0.05. Limits on the $WZ$ resonance are set using the distribution of the reconstructed mass in dilepton events and of the reconstructed transverse mass in single lepton events, using a bin size of 50

<table>
<thead>
<tr>
<th>Process</th>
<th>Single lepton sample</th>
<th>Dilepton sample</th>
</tr>
</thead>
<tbody>
<tr>
<td>$Z$+jets</td>
<td>$3.6 \pm 0.2$</td>
<td>$7.9 \pm 0.8$</td>
</tr>
<tr>
<td>$W$+jets</td>
<td>$124.5 \pm 20.3$</td>
<td>$&lt; 0.01$</td>
</tr>
<tr>
<td>Top</td>
<td>$22.9 \pm 2.5$</td>
<td>$&lt; 0.01$</td>
</tr>
<tr>
<td>Multijet</td>
<td>$4.6 \pm 0.3$</td>
<td>$&lt; 0.01$</td>
</tr>
<tr>
<td>Diboson</td>
<td>$27.6 \pm 1.4$</td>
<td>$0.8 \pm 0.1$</td>
</tr>
<tr>
<td>Background sum</td>
<td>$183.2 \pm 24.5$</td>
<td>$8.7 \pm 0.8$</td>
</tr>
<tr>
<td>Data</td>
<td>$174$</td>
<td>$8$</td>
</tr>
</tbody>
</table>
Expected Limit of the warped extra dimension and the reduced Planck mass. The expected and observed exclusion cross sections as a function of the signal resonance mass for the combined single lepton, dilepton, and trilepton channels, along with the SSM $p\bar{p} \rightarrow W' \rightarrow WZ$ production cross section are shown in Figure 3. The excluded mass region is the region where the SSM $W'$ cross section exceeds the excluded cross section.

In this analysis we assume a linear relationship between the resonance mass and total width, and that the width is smaller than the expected experimental mass resolution. In some classes of models, the total width grows as a power of the mass yielding widths larger than the expected mass resolution. Using PYTHIA $W' \rightarrow WZ$ MC events with varying $W'$ boson widths, we observe that our results are valid for widths below 10% of the resonance mass or, alternatively, for a coupling strength at the $W'WZ$ vertex up to ten times the SSM value.

In summary, with up to 5.4 fb$^{-1}$ of Tevatron Run II

\[ M(W') = 600 \text{ GeV} \]

\[ M(G) = 600 \text{ GeV} \]

\[ \sigma \times B(W' \rightarrow WZ) \]

\[ \sigma \times B(G \rightarrow WW) \]

\[ \sigma \times B(W' \rightarrow WZ) \]

\[ \sigma \times B(G \rightarrow WW) \]

\[ \sigma \times B(W' \rightarrow WZ) \]

\[ \sigma \times B(G \rightarrow WW) \]

\[ \sigma \times B(W' \rightarrow WZ) \]

\[ \sigma \times B(G \rightarrow WW) \]
integrated luminosity we do not observe an excess of events over the SM background prediction for events in $WW$ and $WZ$ boson final states. We set limits on the production cross section multiplied by the branching ratio for resonant $WW$ and $WZ$ boson pair production using two theoretical benchmark scenarios: SSM $W' \to WZ$ and RS $G \to WW$ production. Under these assumptions, we exclude an SSM $W'$ boson with a mass between 180 and 690 GeV and an RS graviton with a mass between 300 and 754 GeV at 95% CL. Our novel use of the jet mass to select hadronic decays of the $W$ and $Z$ bosons was essential to obtaining such stringent limits, which are the best for these new physics scenarios.

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[14] The D0 detector utilizes a right-handed coordinate system with the $z$ axis pointing in the direction of the proton beam and the $y$ axis pointing upwards. The azimuthal angle $\phi$ is defined in the $xy$ plane. The pseudorapidity is defined as $\eta = -\ln \tan(\theta/2)$, where $\theta$ is the rapidity.
[27] The missing transverse energy, denoted as $E_T$ in the text, is the imbalance of the momentum estimated from the calorimeter and reconstructed muons in the $xy$ plane.
[28] The transverse mass of a particle with $N$ decay products is defined as $M_T^2 = \sum_i (E_i^T)^2 - (p_T^i)^2 - (p_T^i)^2$.