Search for Neutral Minimal Supersymmetric Standard Model Higgs Bosons Decaying to Tau Pairs Produced in Association with $b$ Quarks in $p\bar{p}$ Collisions at $\sqrt{s} = 1.96$ TeV

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mass ratio suggests the density of dark matter also points towards high tanβ. At high tanβ, the mass of the pseudoscalar Higgs boson \(A\) is approximately degenerate in mass. They share similar couplings to quarks, enhanced by tanβ compared to the SM couplings for down-type fermions, while the couplings to up-type fermions are suppressed. The enhancement of couplings to down-type fermions has several consequences. First, the main decay modes of this Higgs boson pair are \(\phi \rightarrow b\bar{b}\) and \(\phi \rightarrow \tau\tau\) with branching ratios \(B(\phi \rightarrow b\bar{b}) = 90\%\) and \(B(\phi \rightarrow \tau\tau) = 10\%\), respectively. Their production in association with \(b\) quarks is enhanced by approximately \(\tan^2\beta\) compared to the SM, which could make this production rate measurable at a hadron collider.

Experiments at the CERN \(e^+e^-\) Collider (LEP) excluded MSSM Higgs boson masses below 93 GeV/c^2 [4]. The CDF and D0 collaborations at the Tevatron extended the exclusion to higher masses for high tanβ [5–9].
More recently, similar searches were performed at the LHC [10]. In this letter, we present a search for the process \( p \bar{p} \to \phi b \to \tau \tau b \) where one \( \tau \) lepton (denoted \( \tau_\mu \)) decays via \( \tau \to \mu \nu_\mu \nu_\mu \) and the other (denoted \( \tau_\tau \)) decays hadronically. This mode is complementary to the inclusive \( \phi \to \tau \tau \) [5,7] and the \( \phi b \to bbb \) [8] searches. This is because in the former, the presence of \( b \) quark(s) in the final state significantly decreases the \( Z \) boson background, while the latter has a larger branching ratio but suffers from a large multijet background and is more sensitive to the MSSM parameters. This result is built on, and supersedes, our previous result based on \( 2.7 \) fb\(^{-1}\) of integrated luminosity [9]. In addition to the increase in luminosity, the sensitivity is improved by a refined treatment of systematic uncertainties, higher-performance signal to background discriminants and a higher trigger efficiency.

The data considered in this analysis were recorded by the D0 detector, described in [11], and correspond to an integrated luminosity of \( 7.3 \) fb
\(^{-1}\) [12]. Events were recorded using a mixture of single high-\( p_T \) muon, jet, tau, muon plus jet, and muon plus tau triggers. A data sample of \( Z \to \tau_\mu \tau_\tau \) is employed to measure the efficiency of this inclusive trigger approach with respect to single \( \tau \) muon triggers. This has been validated in \( Z(\to \tau_\mu \tau_\tau) + \) jets events. The overall trigger efficiency ranges between 80% and 95%, depending on the kinematics and on the decay topology of the hadronically decaying \( \tau \). We rely on all components of the D0 detector: tracking, calorimetry, and the muon system. Muons are identified from track segments reconstructed in the muon system that are spatially matched to reconstructed tracks in the inner tracking system, and muon system scintillator hits must be in time with the beam crossing to veto cosmic muons. Hadronic \( \tau \) decays are reconstructed from energy deposits in the calorimeter [13] using a jet cone algorithm with radius = 0.3 [14]. They are required to have associated tracks. The \( \tau \) candidates are then split in three different categories which roughly correspond to one-prong \( \tau \) decay with no \( \pi^0 \)'s (\( \tau_h \) type 1), one-prong decay with \( \pi^0 \)'s (\( \tau_h \) type 2), and multiprong decay (\( \tau_h \) type 3). In addition, we use a neural-network-based \( \tau_h \) identification (\( NN_\tau \)) to separate quark and gluon jets from genuine hadronic \( \tau \) decays [13]. The \( NN_\tau \) is based on shower shape variables, isolation variables, and correlation variables between the tracking and the calorimeter energy measurements. We require \( NN_\tau > 0.9 \) (0.95 for \( \tau_h \) type 3) which has an efficiency around 65% while rejecting \( \sim 99\% \) of jets. Jets are identified as clusters of energy in the calorimeter reconstructed with the midpoint cone algorithm [14] with radius = 0.5. Jet reconstruction and energy calibration are described in [15]. All jets are required to pass a set of quality criteria and to have at least two reconstructed tracks originating from the \( p \bar{p} \) vertex matched within \( \Delta R(\text{track, jet axis}) = \sqrt{(\Delta \eta)^2 + (\Delta \phi)^2} < 0.5 \) (where \( \eta \) is the pseudorapidity [16] and \( \phi \) the azimuthal angle). A neural network \( b \)-tagging algorithm [17] (\( NN_b \)), with lifetime-based information involving the track impact parameters and secondary vertices as inputs, is used to identify jets from \( b \) quarks.

The missing transverse energy, \( E_T \), used to infer the presence of neutrinos, is reconstructed as the negative of the vector sum of the transverse energy of calorimeter cells with \( |\eta| < 3.2 \). It is corrected for the energy scales of all reconstructed objects.

The leading order (LO) event generator PYTHIA [18] is used to generate \( \phi b \) production in the 5-flavor scheme, \( gb \to \phi b \). To correct the cross section and the event kinematics to next-to-leading order (NLO), we use MCFM [19] to compute correction weights as a function of the leading \( b \) quark \( p_T \) and \( \eta \) in the range \( p_T^b > 12 \) GeV/\( c \) and \( |\eta|^b < 5 \). The dominant backgrounds to this search are the production of \( Z + \) jets, \( tt \) and multijets (MJ), the latter being estimated from data. We also consider \( W + \) jets and diboson (WW, WZ and ZZ) production. Diboson events are simulated with PYTHIA while \( Z + \) jets, \( W + \) jets, and \( tt \) samples are generated using ALPGEN [20] with PYTHIA for showering and hadronization. TAUOLA [21] is used for the decay of \( \tau \) leptons; \( b \) hadron decays are modeled with EVTGEN [22]. The generated samples are processed through a detailed simulation of the D0 detector based on GEANT [23]. The output is then combined with data events recorded during random beam crossings to model the effects of detector noise and pile up energy from multiple interactions and different beam crossings. Finally, the same reconstruction algorithms as for data are used on the simulated events. Corrections to the simulation are derived from data control samples and applied to object identification efficiencies, energy scales and resolutions, trigger efficiencies, and the longitudinal \( p \bar{p} \) vertex distribution. Signal, \( tt \), and diboson yields are determined from the product of the acceptance and detector efficiency (both determined from the simulation) multiplied by theoretical cross section times luminosity. For the dominant \( Z \to \tau \tau \) background, the simulation is corrected by comparing a large sample of \( Z \to \mu \mu \) events in data and in the simulation. This correction, measured in each jet multiplicity bin as a function of the \( \phi^* \) event variable [24], leading-jet \( \eta \), and leading \( b \)-tagged jet \( NN_b \), affects both the normalization and the kinematic distributions. For the \( W + \) jets background, the muon predominantly arises from the \( W \) boson decay while the hadronic \( \tau \) candidate is faked by a jet. While this background is estimated from the simulation, it is normalised to data using a \( W(\to \mu \nu) + \) jets control sample. We define a background-dominated sample, named Pretag in the following, to ensure our background modeling is correct. We select events with one reconstructed \( p \bar{p} \) vertex with at least three tracks, exactly one isolated muon (\( \mu \)), exactly one reconstructed hadronic tau (\( \tau_h \)), and at least one jet. The muon is required to have a transverse momentum \( p_T^\mu > 15 \) GeV/\( c \), \( |\eta|^\mu < 1.6 \), and to be isolated in the calorimeter and in the central tracking system,
TABLE I. Expected background yield, observed data yield, and expected signal yields for the two selections described in the text with systematic uncertainties. The signal yields are given for the $m_h^{\text{max}}$ scenario ($\mu = +200$ GeV and $\tan\beta = 40$).

<table>
<thead>
<tr>
<th></th>
<th>Pretag</th>
<th>$b$-tagged</th>
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<tbody>
<tr>
<td>$Z + \text{jets}$</td>
<td>$2237.7 \pm 123.5$</td>
<td>$217.5 \pm 16.8$</td>
</tr>
<tr>
<td>$t\bar{t}$</td>
<td>$225.6 \pm 38.7$</td>
<td>$182.6 \pm 32.2$</td>
</tr>
<tr>
<td>MJ</td>
<td>$225.0 \pm 39.6$</td>
<td>$28.4 \pm 4.8$</td>
</tr>
<tr>
<td>Other</td>
<td>$451.8 \pm 18.6$</td>
<td>$47.6 \pm 3.0$</td>
</tr>
<tr>
<td>Total background</td>
<td>$3139.9 \pm 154.0$</td>
<td>$476.0 \pm 40.2$</td>
</tr>
<tr>
<td>Data</td>
<td>3236</td>
<td>488</td>
</tr>
<tr>
<td>Signal $m_{\phi} = 110$ GeV/c$^2$</td>
<td>107.4</td>
<td>67.8</td>
</tr>
<tr>
<td>Signal $m_{\phi} = 180$ GeV/c$^2$</td>
<td>24.0</td>
<td>15.0</td>
</tr>
</tbody>
</table>

$\Delta R(\mu, \text{jet}) > 0.5$ relative to any reconstructed jet. The $\tau_h$ candidate must satisfy $p_T^{\tau_h} > 10$ GeV/c, $|\eta^{\tau_h}| < 2.0$, $\Delta R(\tau_h, \mu) > 0.5$ relative to any muon, and $\tau_h$ tracks must not be shared with any reconstructed muons in the event. We also require the distance along the beam axis between $\tau_h$ and $\mu$ $\Delta z(\tau_h, \mu) < 2.0$. Selected jets have $p_T^{\text{jet}} > 15$ GeV/c, $|\eta^{\text{jet}}| < 2.5$, $\Delta R(\text{jet}, \tau_h) > 0.5$. In addition, we require $\tau_h$ and $\mu$ to have an opposite electric charge (OS) and a transverse mass $M_T(\mu, E_T) < 60$ GeV/c$^2$ (100 GeV/c$^2$ for $\tau_h$ type 2). The transverse mass of $N$ reconstructed objects is defined as:

$$M_T(O_1, \ldots, O_N) = \sum_{O_i, O_j} p_T^{O_i} \cdot p_T^{O_j} \cdot [1 - \cos\Delta\varphi(O_i, O_j)],$$

where $\Delta\varphi(O_i, O_j)$ is the azimuthal angle between objects $O_i$ and $O_j$. Most of the MJ background is removed by the requirement $D_{\text{MJ}} > 0.1$ (0.2 for $\tau_h$ type 3) where $D_{\text{MJ}}$ is a multivariate discriminant described below.

Finally, to improve the signal to background ratio, we select a more restrictive $b$-tagged sample by demanding at least one jet to have $N_{\text{NNS}} > 0.25$. This $b$-tag requirement has an efficiency of 65% for a probability of misidentifying a light parton jet as a $b$ jet of 5% and its dependence on jet kinematics are described in [17]. Table I shows the predicted backgrounds, observed data yields, and expected signal yields in the pretag and $b$-tagged samples.

The MJ background is estimated from control data samples. We define two MJ-enriched control samples with identical requirements as in the pretag and $b$-tagged signal samples, but reversing the muon isolation criteria. In a dedicated MJ sample obtained by requiring $\mu$ and $\tau_h$ to have the same electric charge (SS), we measure the ratio of the probability for a MJ-event muon to appear isolated to the probability for a MJ-event muon to be nonisolated: $\frac{R_{\text{iso/iso}}}{R_{\text{iso/col}}^\text{noSS}} = \frac{\mathcal{P}(\mu_{\text{iso}}|\text{MJ})}{\mathcal{P}(\mu_{\text{iso}}|\text{MJ})}$. The dependence on $\eta^{\tau_h}$, $p_T^{\tau_h}$, and leading-jet $p_T$ of $R_{\text{iso/iso}}$ is taken into account. This $R_{\text{iso/iso}}$ is then applied to events in the non-isolated-muon sample to predict the MJ background in the signal samples. An alternate method is used to estimate the systematic uncertainty. For MJ events, we expect the correlation between the charge of $\mu$ and $\tau_h$ to be small. Therefore, we use a data sample that has the same selection as the $b$-tagged sample except that $\mu$ and $\tau_h$ are SS. We subtract from this MJ-dominated SS sample the residual contribution from other SM backgrounds. The number of MJ events in the OS signal sample is obtained by multiplying the SS sample yield by the OS:SS ratio, $1.07 \pm 0.01$, determined in the non-isolated-muon sample. The difference in normalization between the two methods is taken as a systematic uncertainty on the MJ contribution. This systematic uncertainty also covers for potential differences between the $b$-tagged jets spectra in the signal and control samples.

To further improve the signal to background discrimination, we use multivariate techniques. A first neural network $D_{\text{MJ}}$ is used to separate MJ background from the signal. Two $D_{\text{MJ}}$ discriminants are trained, one for $\tau_h$ types 1 and 3, and another for $\tau_h$ type 2. They are based on $p_T^\mu$, $p_T^\tau$, $E_T$, $|\Delta\varphi(\mu, \tau_h)|$, $H_T = \sum_{\text{jets}} p_T^{\text{jet}}$, $M_T(\text{AllO})$ (where the sum is performed over all objects), $M_{\text{hat}}$, and $M_{\text{col}}$. The quantity $M_{\text{hat}}$ is defined as:

$$M_{\text{hat}} = \sqrt{(E^{\mu\tau_h} - p_T^{\mu\tau_h} + E_T)^2 - [p_T^{\mu\tau_h} + p_T^{\tau_h} + E_T]^2},$$

where $E^{\mu\tau_h}$ is the energy of the $\tau_h\mu$ system, and $p_T^{\mu\tau_h}$ is its momentum along the beam axis. It represents the minimal center-of-mass energy consistent with a di-tau resonance.
decay. The quantity $M_{\text{col}}$ is the $\mu \tau_b$ invariant mass assuming neutrinos are emitted along the $\tau$ decay axis [25]. To address the $t\bar{t}$ background, we train a neural network $D_t$ to discriminate against signals built from samples simulated at three consecutive Higgs boson masses, in order to increase the signal statistics. It is constructed from the variables $|\Delta \phi(\mu, \tau_b)|$, $|\Delta \phi(\mu, \ell_T)|$, $H_T$, $H_T + p_T^Z + p_T^\ell$, $\ell_T$, $M_T(\text{AllO})$, $M_T(\mu, \ell_T)$, $M_{\text{had}}$, $M_{\text{col}}$, $A_T = (p_T^\mu - p_T^\ell)/p_T$ and $N_j$, the total number of jets in the event. 

Finally, for events satisfying $D_t > 0.1$, we form a likelihood discriminant $D_f$ which uses as input $D_{\text{MJ}}$, $D_t$, $NN_b$, and $M_{\text{had}}$.

Systematic uncertainties are divided in two categories: those affecting only the normalizations and those also affecting the shapes of $D_t$ distributions. Those affecting the dominant $Z + jets$ background modeling are evaluated with $Z \rightarrow \mu\mu$ samples: $Z + jets$ (3.2%) and $Z + b$-tagged jets (5%) normalizations, inclusive trigger efficiency (3%) which also affects all other simulated processes, $Z$ boson kinematics (1%) which is shape-dependent. For non-$Z$ boson and non-$MJ$ backgrounds, we consider the uncertainties affecting the normalization: luminosity (6.1%), muon reconstruction efficiency (2.9%), $\tau_b$ reconstruction efficiency [(4–10) %], single muon triggers efficiency (1.3%), $t\bar{t}$ and diboson cross sections (11% and 7%), and the uncertainties affecting the shape of $D_f$: jet energy calibration (~10%) and $b$-tagging (~4%). The $\tau_b$ energy scale, and jet identification efficiencies have a negligible effect. The MJ background systematic uncertainties range from 10% to 40%.

The predicted background, signal, and data distributions of $M_{\text{had}}$ and $D_f$ discriminant are shown in Fig. 1. The $D_f$ distributions are used as input to a significance calculation using the modified frequentist approach [26,27]. We do not observe any significant excess over the expected background. We first set model independent limits (assuming the Higgs boson width is negligible compared to the experimental resolution) at the 95% C.L. on the signal cross section times branching fraction as a function of the Higgs boson mass; these are shown in Fig. 2(a). These limits are then translated into the $\tan \beta$, $M_A$ plane for two MSSM benchmark scenarios [28]: the $m_h^{\text{max}}$ and no-mixing scenarios. The MSSM to SM signal ratio as well as the Higgs boson width are calculated with the FEYNHIGGS program [29]. In this interpretation, we further include systematic uncertainties on the signal production cross section (15%) [8]. We also take into account the Higgs boson width using the method described in [8]. Figs. 2(b) and 2(c) present the limits for the two scenarios with the higgsino mass parameter $\mu = +200 \text{ GeV}/c^2$. Numerical results and limits in other MSSM scenario are presented in [30]. We exclude a substantial region of the MSSM parameter space, especially at low $M_A$, and set the most stringent limit to date at a hadron collider, when using this final state.

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- With visitors from The University of Liverpool, Liverpool, United Kingdom.
- With visitors from SLAC, Menlo Park, CA, USA.
- University College London, London, United Kingdom.
- With visitors from Centro de Investigacion en Computacion-IPN, Mexico City, Mexico.
- With visitors from ECFM, Universidad Autonoma de Sinaloa, Culiacán, Mexico.
- With visitors from Universitat Bern, Bern, Switzerland.

The pseudorapidity $\eta$ is defined relative to the center of the detector as $\eta = -\ln[\tan(\theta/2)]$ where $\theta$ is the polar angle with respect to the proton beam direction.