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Abstract. In this study, we assessed whether cerebrospinal fluid (CSF) levels of the biomarker α-synuclein have a diagnostic value in differential diagnosis of dementia with Lewy bodies (DLB) and Alzheimer’s disease (AD). We also analyzed associations between CSF biomarkers and cognitive performance in DLB and in AD. We included 35 DLB patients, 63 AD patients, 18 patients with Parkinson’s disease (PD), and 34 patients with subjective complaints (SC). Neuropsychological performance was measured by means of the Mini-Mental Status Examination (MMSE), Visual Association Test (VAT), VAT object-naming, Trail Making Test, and category fluency. In CSF, levels of α-synuclein, amyloid-β 1–42 (Aβ1−42), total tau (tau), and tau phosphorylated at threonine 181 (ptau-181) were measured. CSF α-synuclein levels did not differentiate between diagnostic groups (p = 0.16). Higher ptau-181 and higher tau levels differentiated AD from DLB patients (p < 0.05). In DLB patients, lower Aβ1−42 and higher total tau levels were found than in SC and PD patients (p < 0.05). In DLB patients, linear regression analyses of CSF biomarkers showed that lower α-synuclein was related to lower MMSE-scores (β(SE) = 6(2) and p < 0.05) and fluency (β (SE) = 4(2), p < 0.05). Ultimately, CSF α-synuclein was not a useful diagnostic biomarker to differentiate DLB and/or PD (α-synucleinopathies) from AD or SC. In DLB patients maybe lower CSF α-synuclein levels are related to worse cognitive performance.

Keywords: Alzheimer’s disease, biomarkers, cerebrospinal fluid, dementia with Lewy bodies, diagnosis, α-synuclein

INTRODUCTION

Dementia with Lewy bodies (DLB) is the second most common form of neurodegenerative dementia after Alzheimer’s disease (AD) [1]. In pathological studies, DLB accounts for more than 20% of dementia cases [2,3]. Clinical hallmarks are cognitive decline accompanied by parkinsonism, visual hallucinations, and fluctuating cognitive performance and consciousness [2,4]. Unfortunately, diagnostic criteria have modest sensitivity and it can be difficult to differentiate
DLB from other forms of dementia, especially AD [2, 4,5]. Correct diagnosis is important for adequate clinical management. Next to clinical characteristics, ancillary investigations can aid in making the correct diagnosis [6]. For DLB, it has been shown that 123I-FP-CIT-SPECT can distinguish this disease from AD with quiet high accuracy in probable cases [7]. But its value in possible DLB is less clear and SPECT is rather expensive so there is rationale to explore other markers related to the underlying pathology.

Analysis of CSF biomarkers is increasingly applied in the diagnostic work-up of neurodegenerative disease. Especially in AD, a typical profile is observed with decreased CSF amyloid-β 1-42 (Aβ1-42) and increased total tau protein (tau) and tau phosphorylated at threonine 181 (ptau-181) when compared to controls [8]. These CSF biomarkers reflect the main neuropathological features of AD, i.e., Aβ and tau depositions. There are no generally accepted biomarkers to distinguish DLB from other types of dementia. Typical neuropathological changes in DLB are the formation of Lewy bodies, consisting of insoluble α-synuclein and ubiquitin depositions and aggregation [9]. These findings are also seen in Parkinson’s disease (PD) and multiple system atrophy (MSA), collectively labeled as α-synucleinopathies [9]. Since α-synuclein was found in CSF and plasma, several studies suggest that CSF α-synuclein may serve as a biomarker to differentiate α-synucleinopathies from other neurodegenerative diseases [10,11]. Conflicting results have been described, however, since some studies observed reduced levels of CSF α-synuclein in PD and DLB compared to controls [12,13], whereas in other studies no differences between groups were found [14,15]. Finally, lower levels of CSF α-synuclein have been reported in AD patients compared to controls, suggesting it may be a general marker of synapse loss [16].

Our aim was to determine the diagnostic value of CSF α-synuclein levels to discriminate DLB and PD (α-synucleinopathies) from AD and controls in a relatively large group of clinically well-characterized patients. In addition, we investigated associations between CSF biomarkers and severity of cognitive impairment in AD and DLB.

MATERIALS AND METHODS

Patients

We selected patients from which CSF was available with probable DLB and PD without dementia from our outpatient memory clinic and movement disorder clinic database. Eighteen PD and 35 DLB patients were retrieved. DLB patients were matched for age and gender with 63 probable AD patients and with 34 controls. The diagnosis was made by consensus in a multidisciplinary team, without knowledge of CSF results. DLB patients were diagnosed according to the consensus criteria of McKeith, PD according to the UK Parkinson’s Disease Society Brain Bank (UK-PDSBB) clinical diagnostic criteria, and AD patients according to NINCDS-ADRDA criteria [4,17,18]. The control group consisted of patients who presented at our memory clinic with subjective complaints (SC), but who had normal clinical investigations and did not have any cognitive deficits. Standardized assessment included medical history, informant-based history, physical and neurological exam, laboratory tests, neuropsychological testing, and magnetic resonance imaging (MRI). In PD patients the Hoehn and Yahr scale, a five-point rating system to stage PD (1 = mild, 5 = very severe) was used to reflect severity of symptoms [19]. The Neuropsychiatric inventory (NPI), a 12-item caregiver questionnaire was used to assess behavioral and psychological symptoms of dementia [20]. The local ethical review board approved the study and all patients gave written informed consent.

CSF analysis

CSF was obtained by lumbar puncture between the L3/L4 or L4/L5 intervertebral space, using a 25-gauge needle and collected in polypropylene tubes. Within two hours, CSF samples were centrifuged at 1800 g for 10 min at 4°C. A small amount of CSF was used for routine analysis, including total cells (erythrocytes and leukocytes), total protein, and glucose. Erythrocytes were measured, as these are a known source of α-synuclein in blood [21]. Excess CSF erythrocytes (e.g., due to traumatic puncture) could therefore potentially confound CSF α-synuclein-levels. CSF was aliquoted in polypropylene tubes of 0.5 or 1 ml and stored at −80°C until further analysis. The technicians performing the analysis did not have access to the clinical data. CSF Aβ1-42, tau and ptau-181 concentrations were determined, using commercially available ELISAs [22].

The α-synuclein assay is based on a previously described procedure [23]. Currently, 4 isoforms of α-synuclein are known [24]. Isoform α-synuclein-140 comprises the whole transcript of the protein. The other 3 isoforms, α-synuclein-126, α-synuclein-112, and α-
synuclein-98, are the result of alternative splicing caus-
ing in-frame deletions of exon 3 (amino acids 41–54) and exon 5 (103–130), and both exon 3 and 5, respec-
tively [24,25]. In the current assay, both α-synuclein-
112 and α-synuclein-98 isoforms are not routinely mea-
sured.

A disposable flat-bottom microtiterplate (Nunc Max-
isorp F96, Roskilde, Denmark) was coated with 100
µl antibody 211 (0.2 µg/ml in 0.20 M carbonate buffer
pH 9.6) overnight at 4°C. A plate washer (BioTek, Be-
un de Ronde, Abcoude The Netherlands) was used to
wash the plate five times with 250 µl PBS containing
0.05% Tween-20 (PBS washing buffer). All further
incubations were performed at 37°C, unless stated oth-
erwise, and all measurements were performed in dupli-
cate. 250 µl of blocking buffer (2.5% gelatin in PBS
washing buffer) was added and incubated for 2 hr and
the plate was subsequently washed for five times with
PBS washing buffer. Next, 100 µl α-synuclein solution
(from 0 to 500 ng/ml diluted in PBS) or CSF (1:2 di-
luted in PBS) was added to each well and incubated for
2.5 hr (in duplicate). Then, the plate was washed five
times with PBS washing buffer, and 100 µl of antibody
FL-140, diluted 1:1000 in blocking buffer, was added
and incubated for 2 hr and the plate was subsequently washed for five times with
PBS washing buffer. For memory, the Visual Association
Test (VAT) was used (range 0–12) [27]. VAT object naming
was used as a measure for language (0–12). The Trail
making Test (TMT) consists of a simple part A and a
more complex part B, used to evaluate executive func-
tioning [28]. In TMT the measure of mental speed and
the time required for completion is recorded. Catego-
ry fluency is a test of executive function and language
and requires verbal production of as many animals as
possible within a time limit of 60 s. Only 8 PD pa-
tients underwent neuropsychological testing; these da-
ta were not analyzed. Neuropsychological test results
were missing for a number of patients: MMSE 3 cases,
VAT 15 cases, VAT object naming 19 cases, TMT-A
scores 18 cases, TMT-B scores 36 cases and category
fluency 15 cases.

Statistical analysis

For statistical analysis, Statistical Package of the So-
creatic significance was set at

RESULTS

Demographic data, CSF biomarkers concentrations and neuropsychological test results are presented by
diagnostic group in Table 1. There were group differ-
ces in age and the distribution of gender (p < 0.05).

Post hoc testing showed that DLB patients were old-
er than SC and PD patients. The proportion of males

Neuropsychological tests

The neuropsychological test battery was designed to
screen the major cognitive functions and included the
following tests. Mini-Mental State Examination
(MMSE) was used as a measure of global cognitive
function [26]. For memory, the Visual Association Test
(VAT) was used (range 0–12) [27]. VAT object naming
was used as a measure for language (0–12). The Trail
making Test (TMT) consists of a simple part A and a
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ces in age and the distribution of gender (p < 0.05).

Post hoc testing showed that DLB patients were old-
er than SC and PD patients. The proportion of males
was higher in patients with DLB than SC patients and patients with AD.

CSF α-synuclein levels, adjusted for age and gender, were not different among diagnostic groups (p = 0.16, see also Fig. 1). In our data the reference range for controls had a median (interquartile range) of 18 ng/ml (14–26 ng/ml). As expected, there were group differences for CSF Aβ1–42, tau and ptau-181 (p < 0.05). DLB patients had a profile with decreased Aβ1–42 and increased tau compared to SC and PD patients. Aβ1–42 levels were similar, but tau levels were lower in DLB patients compared to AD patients. AD patients had an increased ptau-181 level compared to DLB patients. SC and PD patients had no differences in biomarker levels.

Neuropsychological test results by diagnostic group are shown in Table 1. As expected patients with dementia (DLB and AD) performed worse in all neuropsychological tests, except VAT object naming, which was only reduced in AD patients. DLB patients were slower on TMT-B than patients with AD.

Across groups we found no association between CSF α-synuclein and age, gender, disease duration, or CSF storage time. Hoehn and Yahr-scores had a tendency of negative correlation with CSF α-synuclein levels in PD patients (r = −0.25, p = 0.3). NPI-scores for hallucinations had a tendency to be negatively correlated with CSF α-synuclein levels in DLB (r = −0.25, p = 0.2). We found positive correlations between CSF α-synuclein levels and CSF total protein and erythrocytes (both r = 0.27, p = 0.01). When we reanalyzed our data, with exclusions of samples with CSF erythrocytes more than 500 erythrocytes per µl (n = 18) and CSF protein more than 500 per µl (n = 24), there were no essential changes in outcomes.

CSF Aβ1–42, tau, and ptau-181 were not correlated with age, disease duration, CSF total protein, storage time or erythrocytes (data not shown). CSF α-synuclein levels did not correlate with other biomarkers across groups: Aβ42 (r = 0.04, p = 1.0), tau (r = −0.13, p = 0.10) or ptau-181 (r = −0.13, p = 0.10).

Subsequently, we assessed associations between CSF biomarker levels and performance on neuropsychological tests in DLB and AD, using linear regression analyses (Table 2). Adjusted for age and gender (Model 1), MMSE-score was related to lower levels of CSF α-synuclein (see also Fig. 2) and higher levels of tau and ptau-181 in DLB-patients. Furthermore, impaired performance on the VAT was related to higher levels of tau and impaired object naming was related with lower Aβ1–42 levels. When we entered all biomarkers simultaneously in the second model, relationships with tau disappeared. Additionally, lower α-synuclein was also related to worse category fluency. In AD patients we observed a different picture. Worse performance on the VAT was related to higher levels of tau, but there were no associations between Aβ42 CSF α-synuclein and cognitive performance.

**DISCUSSION**

We found no differences in levels of α-synuclein in CSF between DLB, PD, AD, and SC. However, lower
Table 2
Linear regression between biomarkers and neuropsychological performance in dementia (DLB, AD)

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<td>Aβ 1-42</td>
<td>Tau</td>
<td>Ptau-181</td>
<td>β (SE)</td>
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<td>2</td>
<td>4 (2)*</td>
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Numbers represent regression coefficients (standard error) from linear regression analysis. Model 1: Each separate biomarker level was entered separately in a model together with age and gender. Model 2: Biomarker levels (α-synuclein, Aβ 1-42 and tau) were entered into one model with age and gender. Due to colinearity with tau, ptau-181 levels were entered into one model with α-synuclein, Aβ 1-42 and age and gender. *p < 0.05. DLB: Dementia with Lewy bodies, AD: Alzheimer’s disease, MMSE: Mini-Mental State Examination, VAT: Visual Association Test, Naming: VAT object naming, TMT: Trail Making Test, Fluency: Category fluency.

Fig. 1. Box and whisker plots of log transformed CSF α-synuclein levels (ng/ml) by diagnostic group. Patients with Parkinson’s disease (PD) (n = 18), dementia with Lewy bodies (DLB) (n = 35), Alzheimer’s disease (AD) (n = 63), and subjective complaints (SC) (n = 34). The line through the middle of the boxes corresponds to the median and the lower and the upper lines to the 25th and 75th percentile respectively. The whiskers extend from the 5th percentile on the bottom to the 95th percentile on top. Group comparisons were performed using analysis of variance (ANOVA), corrected for age and gender. CSF α-synuclein levels, adjusted for age and gender, were not different among the diagnostic groups (p = 0.16).
Fig. 2. A) Scatterplot of the distribution of log transformed CSF α-synuclein levels (ng/ml) and MMSE in patients with DLB. The X-axis shows the MMSE scores and the Y-axis the CSF α-synuclein levels. The MMSE and CSF α-synuclein levels have a positive association in the DLB group, as shown by linear regression analysis with age and gender as covariates (β = 6.2, p < 0.05). B) Scatterplot of the distribution of log transformed CSF α-synuclein levels (ng/ml) and MMSE in patients with AD. The MMSE and CSF α-synuclein levels have no association in the AD group, as shown by linear regression analysis with age and gender as covariates (β = −1.1, p = 0.35).
CSF α-synuclein levels were related to worse cognitive performance in DBL patients. Our findings suggest that CSF α-synuclein is not a useful biomarker to distinguish DBL and/or PD from AD and SC. A possible explanation could be that CSF α-synuclein does not reflect the disease process in α-synucleinopathies. The pathological hallmark of this group of diseases is the Lewy body, an intracytoplasmatic inclusion body that contains aggregates of insoluble α-synuclein. It has not been elucidated yet what the exact relationship is between intracellular α-synuclein aggregates and extracellular α-synuclein levels. CSF levels of α-synuclein represent the pool of extracellular α-synuclein secreted by neurons, but it is not known if the concentration changes in pathological situations as it does for Aβ.

Another potential confounding factor is overlap in histopathology in neurodegenerative diseases. Neuropathological studies reported the presence of α-synuclein pathology in brains of AD patients in around 60% of cases [29]. A decrease of CSF α-synuclein levels in AD patients compared to controls has been described [16]. α-Synuclein pathology has also been found in brain tissue of 10–37% of aged healthy controls although the load seems to be much higher in PD/DBL [3,30]. This ‘mixed pathology’ could explain why the levels of α-synuclein could not discriminate between DBL/PD and AD.

In this study, DBL patients had decreased CSF Aβ1−42 and increased tau compared to PD and SC patients. Tau levels in DBL were less elevated than in AD patients. This has been described by other and is in line with histopathological findings [31,32]. Most DBL patients have sufficient amyloid plaques to meet the pathological criteria of AD, although few patients display severe diffuse tangle pathology to reach Braak stages V or VI [33]. Ptau-181 levels were solely elevated in AD, underscoring the results of previous studies that this marker can be used to discriminate between AD and DBL [34].

Our results are in agreement with recent studies in which CSF α-synuclein concentrations did not differ between α-synucleinopathies and healthy controls [14–16]. In contrast, two earlier studies described lower levels of α-synuclein in CSF of PD and DBL patients compared to controls and AD patients [12,13]. An explanation for these discrepant results could be the use of different antibodies, possibly binding to different parts of the α-synuclein protein. Also these discrepancies could be partly due to the application of different assays. The assay of Tokuda et al. required protein-enriched CSF [12] and the assay of Mollenhauer et al. required elongated incubation (48 hr at 4°C) of unconcentrated CSF [13].

To our knowledge, this is the first study that investigates the relation between neuropsychological data other than the MMSE and CSF α-synuclein levels. Lower CSF α-synuclein levels were related to worse cognitive performance (MMSE, category fluency) in DBL patients in the present study. This is in agreement with a recent finding by Ballard et al., who found a positive correlation between the CSF α-synuclein and MMSE in 12 DBL-patients [35]. Ohrfelt et al. found results of lower CSF α-synuclein related to worse MMSE in AD patients [16]. Although MMSE-scores were comparable between DBL and AD patients we could not replicate this finding. If CSF α-synuclein is related to neunorphathological aggregation of α-synuclein, the observed correlation between CSF α-synuclein levels and MMSE in DBL patients possibly reflects disease severity. When biomarkers were assessed separately in DBL, associations between cognitive performance and lower levels of Aβ1−42 and higher tau were found. This suggests a synergistic effect of AD-pathology in DBL. In AD patients, tau was related to worse memory performance. This is comparable with previous studies which suggested that higher levels of CSF tau reflects the intensity of the disease process in AD [36,37].

This study contributes to the still sparse literature on CSF α-synuclein and shows that this protein is not a reliable diagnostic biomarker for DBL, at least when using an immunosorbent assay designed by Van Geel et al. [23] Further investigation is needed to determine technical aspects of the assays and the specific species and isoforms of CSF α-synuclein that might be detected. Several studies support the idea that soluble oligomers of CSF α-synuclein are the pathogenic components that drive neurodegeneration and neuronal cell death [38]. Future studies focus on the detection of these soluble α-synuclein oligomers and a novel ELISA method has recently been described [39].

DBL is clinically a heterogeneous disease and involves several neuropathological processes. In view of the current suboptimal diagnostic accuracy, a biomarker that would provide early and correct diagnosis would be an asset. It has to be further clarified how ‘mixed pathology’ is reflected in the CSF and how this influences the diagnostic ability of CSF biomarkers.

The tendency of CSF α-synuclein to be related to cognitive performance in DBL and AD needs additional investigation, as to how this associates with underlying neurodegenerative processes.
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