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# Tailoring therapy in colorectal cancer by PET-CT

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**Positron emission tomography (PET) using [<sup>18</sup>F]-fluoro-2'-deoxy-D-glucose (FDG) has an added value in the clinical management of patients with colorectal carcinoma (CRC). This includes restaging patients before surgical resection or local recurrence of liver metastases, assessment whether residual lesions are scar or recurrence and in pinpointing recurrence in case of unexplained increase in serum levels of carcinoembryonic antigen. At present, there is an increasing interest in new roles for FDG-PET, especially for characterization of lesions, for prognosis and response prediction and for early evaluation of treatment response to commenced therapy. FDG-PET may lead to better selection of patients for different therapeutic options or to early individual adjustment of current treatment. This systematic review aims to provide an up-to-date overview of literature on the current and potential value of FDG-PET in CRC patients by addressing staging and recurrence detection, prognosis and response prediction and evaluation of preoperative (chemo)radiotherapy for primary rectal carcinoma, ablative treatment for unresectable liver metastases and chemotherapy for advanced CRC.**

**KEY WORDS:** Leukocytes - Radionuclide imaging - Radiolabelling.

Colorectal cancer (CRC) is the third most common malignancy and third leading cause of cancer-related deaths in the United States. Even though the annual age-adjusted incidence rates and death rates are

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slowly declining in the last two decades, it remains a large health problem worldwide.<sup>1</sup> According to the National Cancer Institute,<sup>1, 2</sup> the age-adjusted incidence rate in the United States (2001-2005) is 50.6 per 100 000 per year, with a cancer-related death rate of 18.8 per 100 000 per year and an overall 5-year survival rate of 64.4%. Approximately 5.3% of people will develop CRC during their lives and it is estimated that in 2008, 148 810 people were diagnosed with and 49 960 people died from CRC in the United States.

At time of diagnosis, approximately 40% of CRC is confined to the primary site, 36% has spread locoregionally and 19% of patients are suffering from metastasized disease (for 5% in this registration the stage was unknown).<sup>1, 2</sup> Progress has been made in improvement of patient prognosis with the introduction of hepatic resection for treatment of isolated liver metastasis and with the development of effective chemotherapeutic and targeted agents.<sup>3, 4</sup>

Positron emission combined with computed tomography (PET/CT) with [<sup>18</sup>F]-fluoro-2'-deoxy-D-glucose (FDG) has proven a useful diagnostic modality in different phases of CRC management. This comprehensive review discusses the current and potential future applications of FDG-PET in management decisions of patients with CRC. The literature is systematically reviewed on the (potential) role of FDG-PET in changing individual

CRC patient management by addressing 1) the impact of FDG-PET on staging disease and detection of recurrence on individual management, 2) the prediction of individual patient prognosis and therapy response, and 3) the evaluation of treatment response.

### Search strategy and selection criteria of literature

References for this review were identified by systematic searches in PubMed, EMBASE (OvidSP), MEDLINE (OvidSP) and the Cochrane Library up to December 31, 2008. The strategy of Mijnhout *et al.*<sup>5</sup> was adapted for our research question. The construct of the query was: "(PET OR PET/CT) AND colorectal AND cancer", using medical subject headings, synonyms and truncations for all three building blocks of the search question (Table I).

Only articles in English were included. A total of 1 595 articles were retrieved and screened. Case-reports, small series (<15 patients), research by questionnaires, reviews, reports from meetings, abstracts of poster presentations, editorial comments or letters-to-the editor were excluded. Papers on disease (re)staging or recurrence detection which failed to describe the implications for clinical management or without verification of the results by histology or follow-up were excluded. Papers on treatment response without fixed outcome-parameters (*e.g.* histological or morphological response, patient survival) were excluded from further analysis. PET-tracers other than FDG were excluded. Results of the search strategy were supplemented by the references from included articles. In total 86 articles were considered suitable for further discussion in this review.

When considered appropriate, results have been pooled using fixed effects modelling, by weighting effect magnitudes (*i.e.* fraction management change) by their inverse variance. For calculation of the variance and confidence intervals (CIs) of proportions the  $\beta$ -distribution has been used since the commonly used asymptotic normal approximation only holds true for observed frequencies of  $\geq 5$ .<sup>6</sup> It should be noted, however, that the variation of results of individual papers is largely attributable to heterogeneity of the study populations.

#### *Impact of FDG-PET on individual management in staging disease and detection of recurrence*

Many articles address the impact of FDG-PET during initial staging of primary CRC or in detection of

TABLE I.—*Search strategy.*

PET OR PET/CT	Colorectal Cancer	
Positron emission tomography [MeSH]	Colorectal neoplasms [MeSH]**	Carcinom*
PET	Colorect*	Adenocarc*
PET/*	Colon	Cancer
PETscan*	Rectal*	Neoplas*
PET/CT*	Rectum*	Tumor
PET-CT*		Tumour
CT/PET*		Tumors
CT-PET*		Tumours
(Positron* AND emission* AND tomograph*)		

\*Truncation; \*\*In EMBASE the corresponding subject heading is "colorectal cancer". PET: positron emission tomography; CT: X-ray computed tomography; MeSH: Medical Subject Heading.

recurrence. Recurrence of CRC can be suspected due to several findings during routine clinical follow-up: abnormalities on morphological imaging and rise of the serum tumor-marker carcinoembryonic antigen (CEA). FDG-PET may have a pivotal role in patient management specifically in case of equivocal radiological studies, unexplained CEA rise or determination of resectability of local recurrence or colorectal liver metastases.

#### INFLUENCE OF STAGING PRIMARY CRC BY FDG-PET ON INDIVIDUAL MANAGEMENT

In staging of primary rectal cancer, FDG-PET may influence on management in 12%<sup>7</sup> to 27%.<sup>8</sup> Heriot *et al.*<sup>9</sup> showed in 46 patients with histology proven stage II-IV rectal cancer that the use of FDG-PET after routine staging by abdominal CT and pelvic MRI and/or transrectal endo-ultrasonography (TREUS) before neoadjuvant therapy changed previously proposed management in 17% of patients. Of these 8 cases, surgery was cancelled in 6 cases due to identification of metastatic disease and in 2 the neoadjuvant radiotherapy field was altered to include common iliac lymphadenopathy as identified by PET. Gearhart *et al.*<sup>8</sup> prospectively compared abdominal spiral CT and FDG-PET/CT after TREUS or pelvic MRI in 37 patients with previously untreated biopsy proven adenocarcinoma of the rectum and found discordant findings in 38%, leading to changes in the previously proposed treatment plan in 27%. Of these 10 cases, in 5 patients additional lymph node metastases were found not detected by CT alone

leading to neoadjuvant treatment or extension of the radiotherapy field. In 2 cases, CT-positive lymph nodes proved negative on PET leading to cancellation of neoadjuvant treatment or radiotherapy. In 3 additional cases more extensive surgical resection was performed. Bassi *et al.*<sup>10</sup> showed that additional staging by FDG-PET/CT in 25 T<sub>3-4</sub> rectal cancer patients who were candidates for neoadjuvant chemoradiotherapy (CRT) prior to surgery led to treatment changes in 16%. FDG-PET identified unknown nodal involvement and undiagnosed liver metastases. Another study in 83 patients performed by Davey *et al.*<sup>7</sup> showed that staging FDG-PET/CT could lead to management changes in 12% of primary rectal cancer patients. Of these 10 cases, surgery was cancelled in 6 patients due to unexpected metastases, in 3 neoadjuvant CRT was considered necessary due to identification of pelvic nodal spread and in one patient neoadjuvant CRT was cancelled because iliac lymph node metastases on CT appeared to be false positive.

In staging of primary CRC, FDG-PET may influence on management in 21-27%.<sup>12</sup> Kantorova *et al.*<sup>13</sup> found a change of treatment in 16% of 38 patients with histologically proven primary CRC which were prospectively staged by conventional imaging and FDG-PET (8% treatment modality change, 13% change in range of surgery). Park *et al.*<sup>12</sup> studied 100 patients with primary CRC (45 colon and 55 rectum carcinoma: 3 stage I, 23 stage II, 25 stage III, 49 stage IV) planned for surgery with FDG-PET/CT who had increased CEA or showed equivocal signs of metastases on CT. In 27% of the patients, proposed treatment plan was modified: 9 had treatment modality changes, 10 received more extensive surgery and in 8 unnecessary procedures could be avoided. The reason for a large proportion of patients in whom management changed might be that they only included patients with a relatively high likelihood of metastasized disease due to equivocal radiological findings or increased CEA levels. Veit-Haibach *et al.*<sup>14</sup> performed FDG-PET/CT in 47 patients with suspicious lesions at colonoscopy (50 sites: 13 rectum and 37 colon cancer). They found that FDG-PET/CT compared to CT alone led to management changes in 9% of the patients. Another study, by Llamas-Elvira *et al.*<sup>15</sup> showed that using FDG-PET/CT next to CT changed management in 12% of the 104 patients with histology proven CRC (56 rectum and 48 colon cancer) referred for surgery. In seven cases surgery was cancelled for extensive disease not detected by CT and in 5 the therapeutic approach was

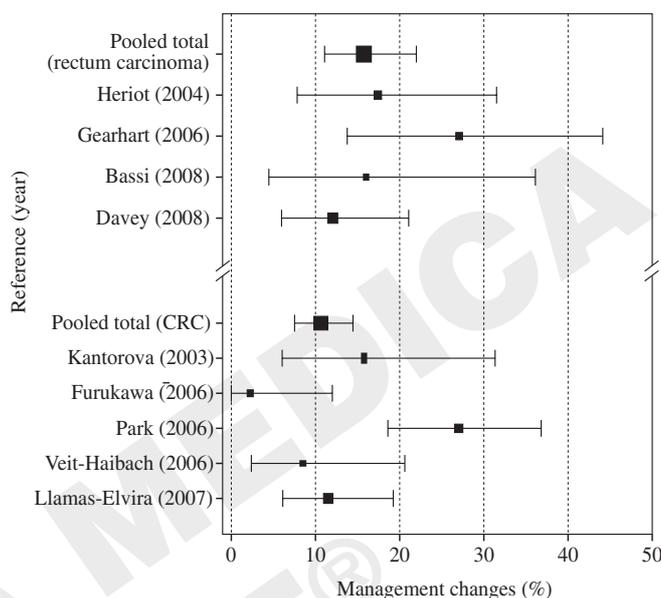


Figure 1.—Forest plot of management changes with corresponding confidence intervals for staging of primary rectal (upper) and primary colorectal cancer (CRC) described in 9 references. The size of the squares denotes the weight for calculation of the pooled average.

altered. In contrast, Furukawa *et al.*<sup>11</sup> showed no impact of FDG-PET/CT over whole-body CT alone, as PET/CT only changed management in one of 44 patients (2%) with histologically proven primary CRC (38 rectum carcinoma). They attributed discordance with other authors to the less advanced disease stage in their patient series.

Pooling the data of the four studies on rectal carcinoma leads to a weighted mean change in management of 15.7% (95% CI: 10.8-21.7%), as shown in Figure 1. For the studies with both colon and rectal carcinoma (CRC) the weighted mean change in management is 10.7% (95% CI: 7.6-14.5%). Apparently due to the limited influence of FDG-PET on management in these patients, the latest editions of the European Society for Medical Oncology (ESMO) guidelines for rectal cancer<sup>16</sup> or CRC<sup>17</sup> do not recommend to use this technique routinely for staging primary disease. They do, however, note that for staging in advanced CRC,<sup>18</sup> FDG-PET can have a role.

INFLUENCE OF FDG-PET IN SUSPECTED RECURRENCE ON INDIVIDUAL MANAGEMENT

Many studies have been performed to investigate whether FDG-PET changes management in patients in

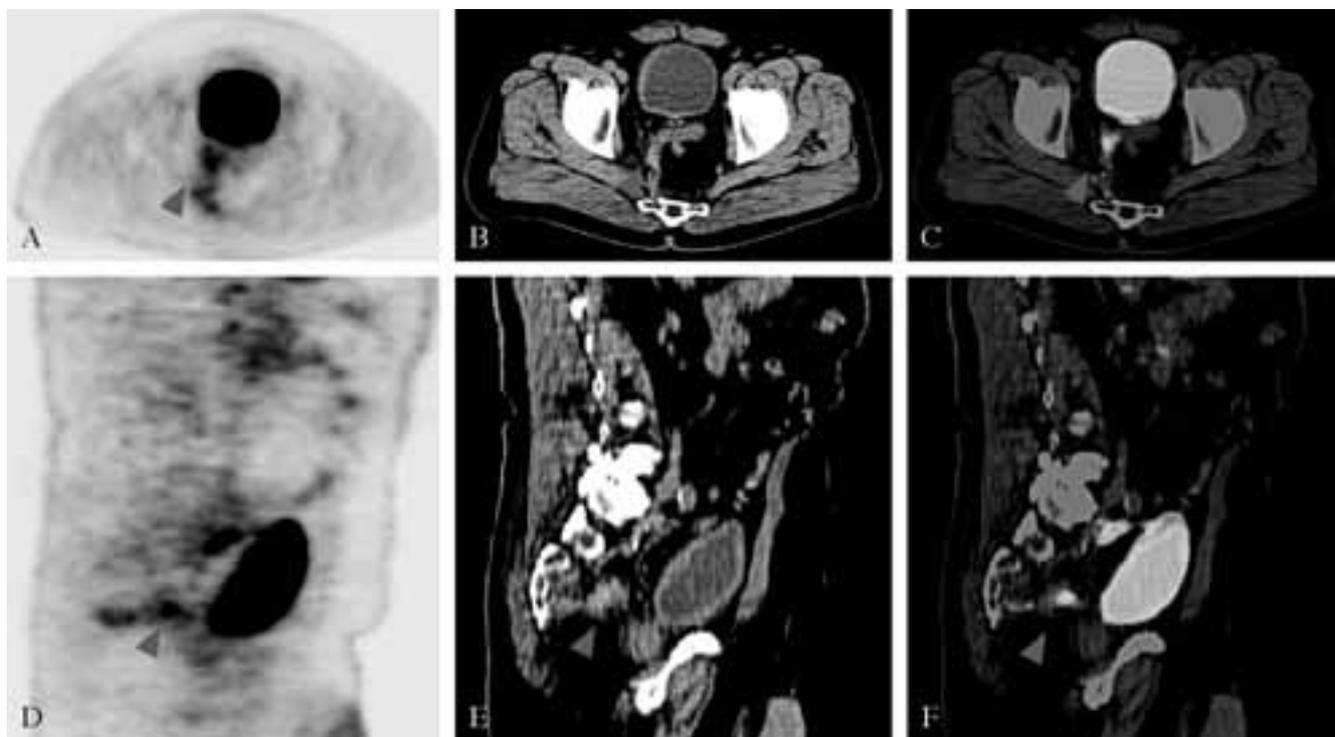


Figure 2.—Example of a male patient with a pT<sub>3</sub>N<sub>0</sub>M<sub>1</sub> rectosigmoid carcinoma treated by rectosigmoid resection in combination with chemotherapy for synchronous liver metastases. During follow-up with FDG-PET a local recurrence in the pelvis near the rectal stump (arrowhead) was detected by PET/CT that was equivocal on CT.

whom recurrent CRC was expected based on equivocal lesions on conventional diagnostic follow-up, rising CEA levels with normal radiologic findings or during restaging before surgical treatment of local recurrence or metastases.

*Equivocal radiologic findings suggestive for recurrence.*—After radiotherapy or surgery of the primary tumor, most patients develop a region of scar tissue in the surgical bed. These changes complicate the detection of local recurrence by ultrasound, CT or MRI. FDG-PET can distinguish metabolic active disease (tumor) from less active disease (scar tissue). An example is shown in Figure 2.

Of the 35 patients with a history of resected primary CRC described by Beets *et al.*,<sup>19</sup> 8 cases were included for pre-sacral masses with uncertain CT findings. FDG-PET correctly classified them as recurrence in 5 cases (62.5%) causing change in management in these patients. In the series of Simo *et al.*,<sup>20</sup> patient management was altered in 14 of 31 patients (45%) with inconclusive imaging during follow-up after sur-

gical resection of primary CRC. In all patients treatment changed from local therapy to systemic treatment for disseminated disease. Scott *et al.*<sup>21</sup> described 93 patients with residual structural after surgery for primary CRC suggestive of recurrence. Treatment changed in 66% of these patients.

One study<sup>22</sup> examined the influence of FDG-PET during routine follow-up after a history of CRC when there was no sign of recurrence as physical examination, CT, MRI and CEA were all normal. FDG-PET changed individual management in only 2 of 31 cases (6%). The first was an omental metastasis and the second was a false positive PET causing unnecessary laparotomy. Therefore, follow-up of CRC by FDG-PET without any signs pointing to recurrence seems to be of limited value.

*Unexplained rise in CEA.*—When serum CEA levels rise during postoperative surveillance in asymptomatic patients and history taking, physical examination and imaging do not lead to a distinct cause, treatment decisions are difficult to be made. With the aid

of FDG-PET, localization of the source of increased serum CEA levels may lead to a change in management in the majority of patients.

FDG-PET in patients with a history of CRC and CEA rise with normal (n=31) or equivocal (n=19) findings on conventional work-up (including abdominal CT and chest X-ray or CT) detected recurrent disease in 68% of patients in the study of Flamen *et al.*,<sup>23</sup> thereby changing management from observation to start of a new treatment (curative surgery for resectable disease or finding of non-resectable disease). Of the 56 lesions, 20% were local recurrences, 27% liver metastases, 9% lung metastases, 36% other abdominal lesions and 9% were non-pulmonary extra-abdominal lesions. In the subgroup of 8 patients included in an earlier study by Flamen *et al.*<sup>24</sup> with a rising CEA level, but negative findings on morphologic imaging, PET led to change in management in 3 (37.5%), due to detection of one local recurrence, one liver metastasis and one lymph node metastasis. Valk *et al.*<sup>25</sup> described 18 patients with a rise of CEA without abnormal findings on abdominal CT-scanning. Of these, 12 patients had detectable disease by FDG-PET (67%), which was subsequently histologically confirmed to be recurrent CRC. Simo *et al.*<sup>20</sup> described a subset of 58 patients with rise of CEA with normal findings on conventional imaging. With FDG-PET, they found the cause in 34 patients (59%), resulting in change of management. Of these, 18 could be treated with curative surgery, whereas the remaining 16 were treated with systemic therapy. Even-Sapir *et al.*<sup>26</sup> mentioned 16 cases with occult rising of CEA in which 13 (81%) tumor recurrences were detected. Of these patients, 9 were treated with chemotherapy and 4 with surgery. Only 1 patient had a negative PET despite an intraluminal recurrence at repeat colonoscopy. Shen *et al.*<sup>27</sup> reported that PET had influence on individual management in 41 of 50 patients (82%) with suspicion of recurrent CRC based on asymptotically elevated serum levels of CEA.

*Restaging for resectable local recurrence.*—When local recurrence is confirmed, resectability of disease is assessed by restaging the patient. FDG-PET can be useful by detecting distant disease, which makes surgery futile.

In case of presumed resectable pelvic recurrence of rectal carcinoma, Faneyte *et al.*<sup>28</sup> found management changes in 14% of 32 cases due to discrepant PET findings after conventional imaging. These 5 cases

caused cancellation of surgery for extensive disease in one, less extensive surgery in 3 and surgery instead of palliative therapy in one.

Flamen *et al.*<sup>24</sup> described a subset of 23 patients with recurrent locoregional CRC which was presumed resectable based on clinical and radiological findings. In 8 of these patients (35%) management was altered due to unexpected findings of additional tumor sites in 5 and the exclusion of disease in 3 patients. Valk *et al.*<sup>25</sup> described a subgroup of 78 patients with a history of CRC with local recurrence considered resectable based on conventional diagnostic workup. FDG-PET showed additional lesions in 23 patients (29%) rendering these recurrences unresectable. In contrast, PET did not show any signs of recurrence in six patients, of whom two showed malignant local lesions during laparotomy. Kalff *et al.*<sup>29</sup> asked the attending clinicians to assign a treatment plan to 102 consecutive patients with recurrent local CRC presumed being resectable based on conventional imaging. This treatment plan was then compared with that based on incremental information supplied by FDG-PET. In 54 cases treatment plan was altered due to unexpected PET-findings and in 6 more cases referring oncologists would not commit to a management plan without access to PET-information (59%). Of all these cases, one false positive result was due to a pelvic abscess and in 4 the extent of metastatic disease was underestimated by PET.

*Restaging for resectable liver metastases.*—FDG-PET can be used to restage disease in case of presumed resectable liver metastases to confirm resectability in these patients and to avoid futile liver surgery.

Wiering *et al.*<sup>30</sup> performed a systematic review and a meta-analysis of 32 studies published up to 2003 concerning patients selected for surgical treatment for liver metastases. They found pooled sensitivity and specificity for FDG-PET to be 88% and 96.1% for hepatic lesions and 91.5% and 95.4% for extra-hepatic lesions. Pooling results of CT-scanning resulted in 82.7%, 84.1% (hepatic lesions), 60.9% and 91.1% (extra-hepatic lesions), respectively, underlining the higher sensitivity of FDG-PET for extra-hepatic lesions as compared to CT. Detection of extra-hepatic lesions may lead to management changes such as a different surgical approach or cancellation of surgery for extensive disease and starting of palliative chemo(radio)therapy. They noted that only 18 of 32 studies mentioned

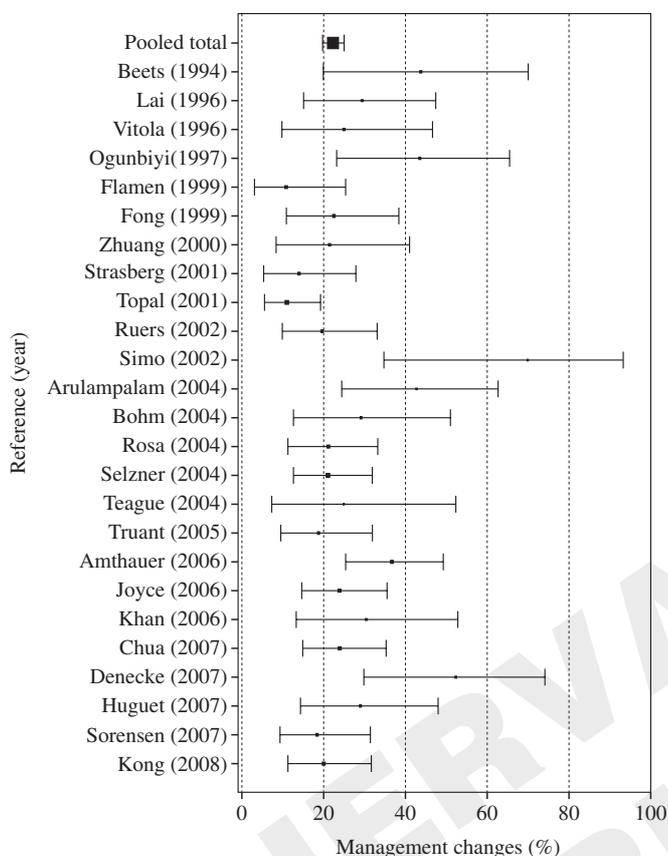


Figure 3.—Forest plot of management changes with corresponding confidence intervals for preoperative restaging in colorectal liver metastases described in 25 references. The size of the squares denotes the weight for calculation of the pooled average.

the change in patient management due to FDG-PET findings, the pooled value being 32% (range: 20-58%).

Our search query resulted in 25 papers<sup>19, 20, 24, 31-52</sup> in which FDG-PET was used in restaging patients prior to surgery for liver metastases (Figure 3). Management changes were reported in 11%<sup>37</sup> to 70%.<sup>20</sup> The authors of the paper with lowest management change (11%)<sup>37</sup> noted that in their population in only 5.5% of patients intra-abdominal unexpected extrahepatic metastases were present, a number which is exceptionally low. They attributed this to improvement of accuracy of conventional diagnostic imaging. The high percentage of management changes noted by Denecke *et al.*<sup>49</sup> (52%) was possibly due to the fact that they included patients with recurrence after LASER induced thermotherapy of unresectable

liver metastases. A high number of unexpected extrahepatic lesions was found. In addition, when calculating management changes, 2 of 11 cases were included, in which false-positive FDG-PET results led to inadequate conclusions and unnecessary interventions. For Simo *et al.*<sup>20</sup> the high proportion of management changes (7 of 10 patients who were restaged before liver surgery) might be due to inclusion of 3 cases in which changes were limited to surgical planning (use of radiofrequency ablation [RFA] or resection of additional lesions).

The pooled mean management change in these 1 060 patients is 22.3% (95% CI: 19.8-24.9%). An example of how FDG-PET can influence management in liver metastases is displayed in Figure 4. The results of Scott *et al.*<sup>21</sup> (management changes in 49% of 98 patients) were not used for calculation of pooled management change, since they included both patients with potentially resectable hepatic and pulmonary CRC metastases. It was not possible to derive how many of these had liver lesions only.

The consequence of restaging these patients by FDG-PET prior to liver surgery is described in two cohorts of in total 203 patients who were selected for hepatic surgery.<sup>53</sup> Patients staged by FDG-PET (group A, n=100) were compared to patients staged by CT alone (group B, n=103). Although futile laparotomy ratios were similar for both groups (19.4% vs 28%; P=0.186), significantly less extrahepatic disease was seen during surgery in the cohort of patients staged by FDG-PET (1.9% vs 10%; P=0.017). Most of these futile laparotomies were due to too extensive hepatic disease, but no difference between both cohorts were seen (17.4% vs 17%; P=1). Pawlik *et al.*<sup>54</sup> on the other hand did show in a retrospective analysis of 461 patients surgically treated for liver metastases in the same period, that the rate of unnecessary laparotomies was significantly lower in patients restaged by FDG-PET compared to patients who did not have FDG-PET (5.6% vs 12.4%; P=0.009).

Fernandez *et al.*<sup>55</sup> described improved overall survival in patient selected for surgery for liver metastases by FDG-PET. In their study, the outcome of 100 patients selected for resection of hepatic metastases by FDG-PET had better 5-year overall survival rates than in 19 reviewed similar studies (including 6 066 patients) not using functional imaging. Five-year overall survival in this study was 58.6% (95% CI: 45.6-71.6%), which was higher than in the 19 other studies (30%; range: 12-41%). Strasberg *et al.*<sup>36</sup> noted that

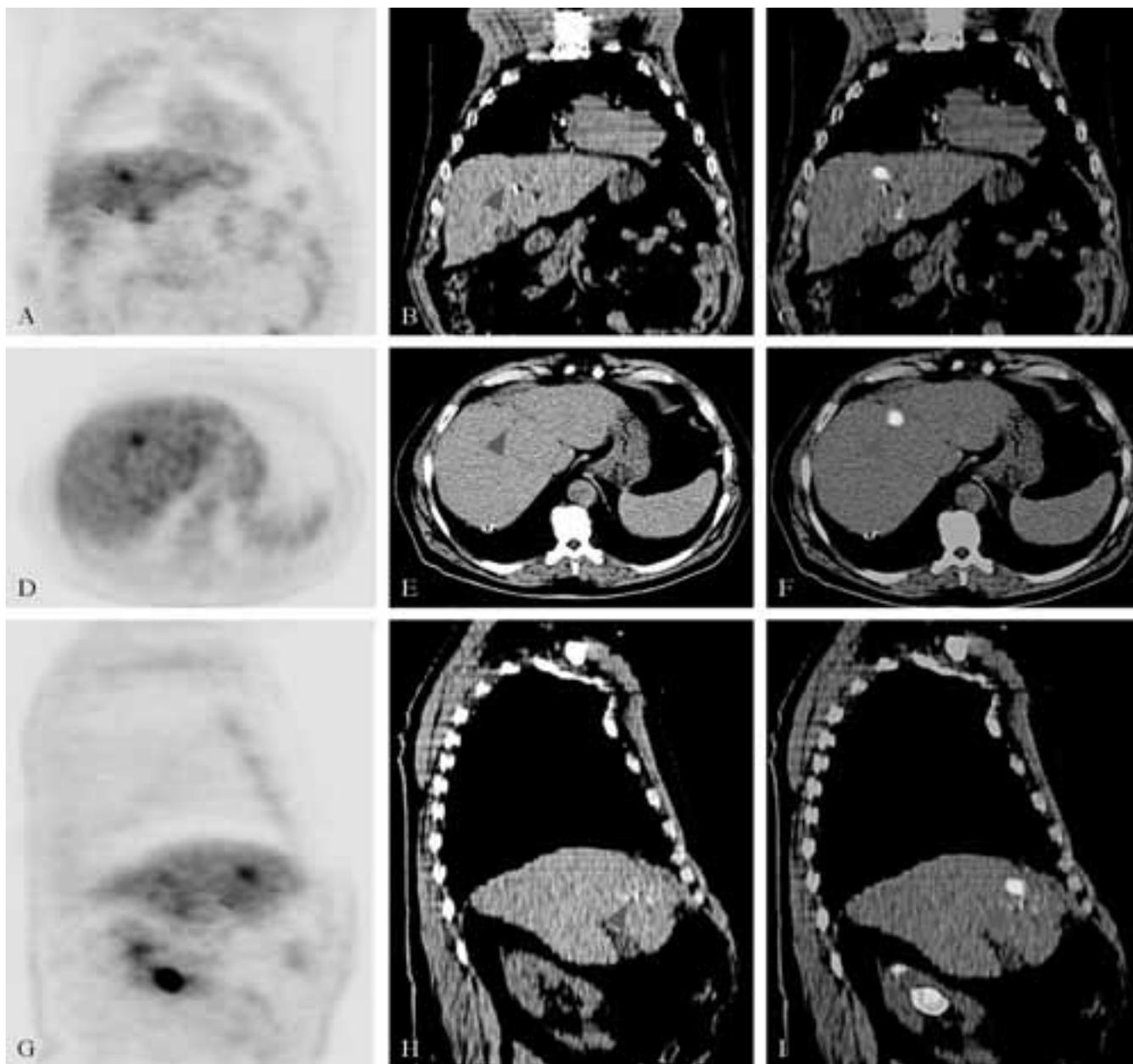


Figure 4.—Example of a male patient with a pT<sub>3</sub>N<sub>3</sub>M<sub>3</sub> rectosigmoid carcinoma treated by rectosigmoid resection in combination with chemotherapy. After an initially good response, resection of liver metastasis was performed. During follow-up with ultrasound, CT and FDG-PET a recurrence in the liver (arrowhead) was detected by PET/CT which was not detected by ultrasound or CT alone.

in their series of 35 patients restaged by PET before liver surgery, 3-year overall survival was 77% and this proportion was higher than any of the 13 similar articles they reviewed that used conventional restaging (range: 30-58%). However, care must be taken to com-

pare results with historic data, since the improvements in CT scans has led to stage migration and thus survival benefit.<sup>56</sup> Wiering *et al.*<sup>53</sup> found no differences in both overall survival (3-year: 57.1% *vs* 60.1%; P=0.678) and disease free survival (3-year: 29.9% *vs*

29.2%,  $P=0.656$ ) between the group restaged by FDG-PET and the group without FDG-PET. They explain this discrepancy by stating that they used well-matched control group in contrast to the others who used a historical control group. The effect of FDG-PET on overall survival seemed lower than reported in these other studies. They concluded that tumor biology, resectability and chemotherapy response seem to be the major determinants of survival and that their results suggest that the intraoperative surgical approach to disease control and postoperative care in both groups were similar.

It should be noted that previous chemotherapy lowers sensitivity of FDG-PET when restaging patients before liver surgery for liver metastases. Akhurst *et al.*<sup>57</sup> stated that sensitivity of FDG-PET in the detection of colorectal metastases during preoperative staging was decreased in patients pre-treated by neoadjuvant chemotherapy due to downregulation of hexokinase activity (lesion detection sensitivity: 63% *vs* 77%). No lesions larger than 1.2 cm were missed in the untreated group, but lesions up to 3.2 cm were missed after neoadjuvant chemotherapy. Interpretation of FDG-PET data should be done with caution in the context of concomitant chemotherapy. In this respect both the specific cytostatic agent(s) prescribed as the timing of PET scanning after neoadjuvant treatment are of relevance.

*Overall effect on treatment decisions in suspected recurrence.*—The remaining papers<sup>21, 26, 58-65</sup> dealt with heterogeneous populations of patients in whom during follow-up of CRC any recurrence or metastasis was suspected based on clinical findings, CEA increase or conventional diagnostic imaging (Table II). In these studies the detection ratio of local recurrence (sensitivity) by FDG-PET varied from 90% to 100%, which is higher compared to CT (71-88%). Specificity of PET and CT in these studies was similar (86-92% for PET *vs* 85-89% for CT). For hepatic metastases sensitivity and specificity for PET *vs* CT were 89-100% *vs* 45-100% and 91-100% *vs* 60-100%, respectively. For extra-hepatic metastases sensitivity and specificity were 94-100% *vs* 64-74% and 40-100% *vs* 50-96% for PET *vs* CT, respectively. Management changes in these 10 papers varied from 6-30%. Huebner *et al.*<sup>66</sup> performed a meta-analysis of 11 similar articles up to 1 999 and found a pooled management change in 29% (95% CI: 25-34%).

The consequence of PET-tailored management in

follow-up of patients after curative resection of colonic or rectal cancer was investigated by Sobhani *et al.*<sup>67</sup> They stratified and randomized 130 patients in a group with a standardized follow-up consisting of history taking, physical examination, biomarker assays and conventional imaging (ultrasound, thorax X-ray, abdominal CT) and a group in which this follow-up included a whole body FDG-PET after 9 and 15 months. They found the time to recurrence-detection was significantly shorter in the FDG-PET arm (12.1 *vs* 15.4 months;  $P=0.01$ ) associated with more curative resections of recurrences (65% *vs* 9.5%;  $P<0.01$ ).

The added value of fusing FDG-PET and CT was assessed by Fukunaga *et al.*,<sup>68</sup> who compared fused PET/CT with separate PET and CT in patients with suspected local recurrence after curative resection of rectal cancer. They reported improved accuracy of diagnosis of fused PET/CT over PET or CT alone of 93%, 79% ( $P=0.0138$ ) and 88% ( $P=0.0156$ ), respectively. Nakamoto *et al.*<sup>64</sup> investigated 63 patients with suspected recurrent CRC, but failed to show a significant improvement of diagnostic accuracy of FDG-PET/CT (CT alone: 78%, FDG-PET alone: 79%, FDG-PET and CT: 84% and fused FDG-PET/CT: 92%;  $P=0.13$ ). Even-Sapir *et al.*<sup>26</sup> showed in 62 patients with suspected recurrence or metastases after rectal cancer and preoperative staging for rectal cancer, that the specificity of fused PET/CT is higher than PET alone (89% *vs* 74%;  $P<0.05$ ) with similar sensitivity (96% *vs* 88%).

It can be concluded that FDG-PET results in modification of individual patient management in situations where conventional diagnostic work-up shows equivocal findings in CRC patients (45-66%), in patients with unexplained rise in CEA (37.5-82%), in preoperative restaging of resectable local recurrence (29-59%) and assessment of patients before surgery for liver metastases (11-70%). Interpretation of FDG-PET-images for detection of metastases should be carried out with caution during or soon after administration of chemotherapy, since the sensitivity for detection of metastases is lower than normal. Use of FDG-PET in patients with a history of CRC without clinical, biochemical or radiological signs of recurrence, appears of limited additional value.

The value of metabolic imaging next to morphologic imaging seems to have high sensitivity for local disease with similar specificity as CT. Especially in detection of extrahepatic metastases, the application of FDG-PET is superior to CT alone. In a population with sus-

TABLE II.—Management changes and test characteristics in recurrent CRC by FDG-PET.

Author	Year	N.	Design	Inclusion
Delbeke <i>et al.</i> <sup>58</sup>	1997	52	Retrospective	Suspected recurrence in CRC follow-up
Ruhlmann <i>et al.</i> <sup>59</sup>	1997	59	Retrospective	Suspected primary, screening follow-up, suspected recurrence in CRC
Imdahl <i>et al.</i> <sup>60</sup>	2000	71	Prospective	Suspected recurrence or metastases in CRC follow-up
Whiteford <i>et al.</i> <sup>61</sup>	2000	105	Retrospective	Suspected recurrence or metastases
Arulampalam <i>et al.</i> <sup>62</sup>	2001	42	Prospective	Suspected or confirmed recurrence
Desai <i>et al.</i> <sup>63</sup>	2003	42	Prospective	Follow-up or preoperative staging with resectable disease on CT
Even-Sapir <i>et al.</i> <sup>26</sup>	2004	62	Retrospective	Suspected recurrence/metastases or preoperative staging in rectal cancer
Nakamoto <i>et al.</i> <sup>64</sup>	2007	63	Prospective	Suspected recurrence or screening follow-up PET/CT
Akiyoshi <i>et al.</i> <sup>65</sup>	2008	63	Prospective	Suspected metastases or pre-chemoradiotherapy staging

Author	Disease free/benign	Observation to start new treatment	Cancel treatment for no disease	Cancel surgery for extensive disease	Cancel other treatment for extensive disease	Modifications to treatment plan	Inconclusive CDW treatment	Unnecessary intervention	Total (%)
Delbeke <i>et al.</i> <sup>58</sup>	6/52 (histology or follow-up >12 months)	2	2	9		4			17 (33%)
Ruhlmann <i>et al.</i> <sup>59</sup>	14/59 (histology or suggested by CDW)	2		2			2		6 (10%)
Imdahl <i>et al.</i> <sup>60</sup>	20/71 (histology or suggested by CDW)	7		9					16 (23%)
Whiteford <i>et al.</i> <sup>61</sup>	22/105 (histology or follow-up >6 months)	4	8	14				4	30 (29%)
Arulampalam <i>et al.</i> <sup>62</sup>	12/42 (histology or follow-up)	9		3		2*		2	16 (38%)
Desai <i>et al.</i> <sup>63</sup>	0/42 (histology or follow-up)			17					17 (40%)
Even-Sapir <i>et al.</i> <sup>26</sup>	19/62 (histology or follow-up >6 months)	13	8		5		3		29 (47%)
Nakamoto <i>et al.</i> <sup>64</sup>	27/63 (histology or follow-up >6 months)	20	3			1	1		25 (40%)
Akiyoshi <i>et al.</i> <sup>65</sup>	0/63 (histology)			4		6			10 (16%)

Author	Test characteristics PET <i>versus</i> CT		
	Local recurrence	Hepatic metastases	Extra-hepatic metastases
Delbeke <i>et al.</i> <sup>58</sup>	N/A	Se: 0.91 <i>vs</i> 0.81 Sp: 0.91 <i>vs</i> 0.6	Se: 1 <i>vs</i> 0.74 Sp: 0.4 <i>vs</i> 0.5
Ruhlmann <i>et al.</i> <sup>59</sup>	N/A	N/A	N/A
Imdahl <i>et al.</i> <sup>60</sup>	Se: 0.92 <i>vs</i> 0.88 Sp: 0.87 <i>vs</i> 0.89	Se: 1 <i>vs</i> 0.87 Sp: 0.98 <i>vs</i> 0.91	Se: 0.94 <i>vs</i> 0.64 Sp: 1 <i>vs</i> 0.98
Whiteford <i>et al.</i> <sup>61</sup>	Se: 0.9 <i>vs</i> 0.71 Sp: 0.92 <i>vs</i> 0.85	Se: 0.89 <i>vs</i> 0.71 Sp: 0.98 <i>vs</i> 0.92	Se: 0.94 <i>vs</i> 0.67 Sp: 0.98 <i>vs</i> 0.96
Arulampalam <i>et al.</i> <sup>62</sup>	Se: 1 <i>vs</i> 0.75 Sp: 0.86 <i>vs</i> 1	Se: 1 <i>vs</i> 0.45 Sp: 1 <i>vs</i> 1	Se: 0.93 <i>vs</i> 0.73** Sp: 0.58 <i>vs</i> 0.75**
Desai <i>et al.</i> <sup>63</sup>	N/A	N/A	N/A
Even-Sapir <i>et al.</i> <sup>26</sup>	Se: 0.96 <i>vs</i> 0.88° Sp: 0.89 <i>vs</i> 0.74°	N/A	N/A
Nakamoto <i>et al.</i> <sup>64</sup>	Se: 0.89 <i>vs</i> 0.75 Sp: 0.96 <i>vs</i> 0.81		
Akiyoshi <i>et al.</i> <sup>65</sup>	N/A	Se: 0.92 <i>vs</i> 1 Sp: 1 <i>vs</i> 0.98	Se: 0.43 <i>vs</i> 0.89°° Sp: 0.95 <i>vs</i> 0.52°°

CRC: colorectal carcinoma; FDG: [<sup>18</sup>F]-fluoro-2'-deoxy-D-glucose; PET: positron emission tomography; CDW: conventional diagnostic workup; CT: X-ray computed tomography; N/A: not applicable; Se: sensitivity, Sp: specificity. \*Including one patient in whom an incidental second tumor type was found; \*\*test characteristics for extrahepatic metastases are based on detection of recurrent and metastatic CRC; °PET/CT compared to PET alone; °°only locoregional lymph node metastases were included.

TABLE III.—Prognostic stratification by baseline FDG-PET.

Author	Year	N.	Inclusion	Therapy	PET-parameters	Outcome-parameter	Favourable criteria	Results	Sign.
Calvo <i>et al.</i> <sup>69</sup>	2004	25	cT2-4Nx primary rectal cancer	CRT (45-50.4Gy, 5FU/FA or tegafur) + resection	SUV <sub>max</sub>	3-year overall survival	SUV <sub>max</sub> ≥6	92% <i>vs</i> 60%	0.04
Dimitrakopoulou-Strauss <i>et al.</i> <sup>70</sup>	2004	20	CRC metastases	2 <sup>nd</sup> line FOLFOX	SUV <sub>mean</sub> , k1-4, FD, Vb	1-year overall survival	SUV <sub>mean</sub>	CCR: 67%	—
de Geus-Oei <i>et al.</i> <sup>71</sup>	2006	152	CRC metastases	Resection or pyrimidine based chemotherapy	SUV <sub>mean</sub>	Median overall survival	k1, k3, Vb & FD SUV <sub>mean</sub> ≤4.26	CCR: 76% 32 months <i>vs</i> 19 months	0.017
Riedl <i>et al.</i> <sup>72</sup>	2007	90	CRC liver metastases	Resection	SUV <sub>max</sub>	Median overall survival	SUV <sub>max</sub> <5 SUV <sub>max</sub> <7 SUV <sub>max</sub> <10	>72 months <i>vs</i> 48 months >72 months <i>vs</i> 42 months >72 months <i>vs</i> 35 months	0.014 0.025 0.0095
Scott <i>et al.</i> <sup>21</sup>	2008	91	Suspected recurrence CRC based on CDW	Various	Additional sites detected	1-year progression free survival	No additional lesions detected	60.5% <i>vs</i> 36.2%	0.04
		96	Resectable liver or pulmonary metastases	Various				65.9% <i>vs</i> 39.2%	0.01

FDG: [<sup>18</sup>F]-fluoro-2'-deoxy-D-glucose; PET: positron emission tomography; CRT: chemoradiotherapy; 5FU: 5-fluorouracil; FA: folinic acid; SUV: standardized uptake value; CRC: colorectal carcinoma; FOLFOX: 5FU/FA/oxaliplatin; k1-4: 2-compartment rate constants; FD: fractal dimensions, Vb: vascular fraction; CCR: correct classification rate; CDW: conventional diagnostic workup.

pected recurrence, management will change in about 6-30% due to FDG-PET findings leading to earlier detection of recurrences and to more curative resections. Combined PET/CT is superior to PET alone in recurrence detection.

#### Prognostic stratification and response prediction by PET

##### DETERMINATION OF PROGNOSIS BY PET

The use of (semi)quantitative parameters for tracer uptake before start of treatment, such as the mean standardized uptake value (SUV<sub>mean</sub>), maximum standardized uptake value (SUV<sub>max</sub>) or parameters of FDG-metabolism in tumor lesions, can be related to overall patient outcome (prognosis). This might help in selecting the appropriate treatment for an individual patient (Table III).

Calvo *et al.*<sup>69</sup> performed a study in primary rectal cancer treated by neoadjuvant CRT (45-50.4 Gy com-

bined with 5FU/FA or tegafur) followed by surgical resection showed that the 3-year overall survival ratio in patients with a (arbitrarily chosen) SUV<sub>max</sub> of 6 or lower on baseline FDG-PET was significantly higher than for higher values for the SUV<sub>max</sub> (92% *vs* 60%; P=0.04) (Table III). The above-mentioned paper of Scott *et al.*<sup>21</sup> showed the prognostic potential of FDG-PET in a subgroup of 93 patients with residual structural lesions during follow-up of CRC after primary surgery. Significantly better 1-year progression free survival was found when no additional lesions were detected by PET as compared to patients in whom PET showed additional lesions (60.5% *vs* 36.2%; P=0.04).

Most studies which used baseline PET to predict patient outcome used individuals with metastasized disease treated either with surgery or chemotherapy. Dimitrakopoulou-Strauss *et al.*<sup>70</sup> published a paper on patients with metastatic CRC treated with second line folinic acid/5FU/oxaliplatin (FOLFOX). They used FDG SUV<sub>mean</sub>, fractal dimensions and pharmacoki-

TABLE IV.—Therapy response prediction by baseline FDG-PET.

First author	Year	N.	Inclusion	Therapy	PET-Parameters	Outcome-parameter	Favourable criteria	Results	Sign.
Oku <i>et al.</i> <sup>73</sup>	2002	40	cT <sub>2-4</sub> and/or N <sub>1-3</sub> primary rectal cancer	Radiotherapy (50 Gy)	SUV <sub>mean</sub>	CT shrinkage rate at 3-5 weeks		Correlation: -0.162	0.326
Dimitrakopoulou-Strauss <i>et al.</i> <sup>70</sup>	2003	28	CRC metastases	2 <sup>nd</sup> line FOLFOX	SUV <sub>mean</sub>	Clinical response (WHO-guidelines): PD, SD or PR	SUV <sub>mean</sub>	CCR: 96% (PD), 47% (SD) and 0% (PR)	—

FDG: [<sup>18</sup>F]-fluoro-2'-deoxy-D-glucose; PET: positron emission tomography; SUV: standardized uptake value; CT: X-ray computed tomography; CRC: colorectal carcinoma; FOLFOX: 5FU/FA/oxaliplatin; WHO: World Health Organization; PD: progressive disease; SD: stable disease; PR: partial remission; CCR: correct classification rate.

netic rate constants combined in a discriminant analysis in 25 patients. SUV<sub>mean</sub> correctly classified 1-year overall survival in 67% and the pharmacokinetic parameters in 76%. Unfortunately, their discriminant functions with coefficients were not provided, which makes implementation of their model in different subsets of patients difficult. Our group used the FDG SUV<sub>mean</sub> in 152 patients with CRC metastases treated by resection or pyrimidine-based chemotherapy. The 76 patients with a SUV<sub>mean</sub> lower than 4.26 had a longer median overall survival than the rest of the patients (32 months *vs* 19 months; P=0.017).<sup>71</sup> Riedl *et al.*<sup>72</sup> performed a similar experiment in surgically treated liver metastases and found overall survival benefit in subgroups of patients with lowest SUV<sub>max</sub> for a range of cut-off values (Table III). Scott *et al.*<sup>21</sup> showed prognostic ability of FDG-PET in another subgroup of 98 patients restaged before resection of presumable resectable hepatic and pulmonary metastases. In this group, significant better 1-year progression free survival was found when no additional lesions were detected by PET compared to patients in whom PET did show additional lesions (65.9% *vs* 39.2%; P=0.01).

#### PREDICTION OF RESPONSE BY PET

The imaging of glucose uptake of CRC lesions before start of treatment might indicate which patients are more likely to respond to therapy. For patients that are less likely to respond to the opted treatment, a different therapeutic approach might be beneficial. For this purpose, baseline (semi)quantitative parameters of tracer uptake, such as the SUV<sub>mean</sub> are related to individual patient outcome (Table IV).

For primary rectal cancer treated with 50 Gy of

neoadjuvant radiotherapy prior to surgery, Oku *et al.*<sup>73</sup> found a negative correlation between the shrinkage rate on CT and the baseline SUV<sub>mean</sub> measured by FDG-PET of the lesion (*i.e.* the larger the SUV<sub>mean</sub> prior to treatment the larger the treatment induced reduction in lesion size). However, this correlation was very weak and not significant (correlation coefficient: -0.162; P=0.326). They found that only follow-up SUV<sub>mean</sub> correlated with morphological changes and rationalized that a high SUV at follow-up indicated both a high SUV<sub>mean</sub> at baseline and a low reduction of uptake during treatment.

Dimitrakopoulou-Strauss *et al.*<sup>74</sup> published a paper on patients with metastatic CRC treated with second line FOLFOX. They showed by discriminant analysis that the pretreatment SUV<sub>mean</sub> correctly identified non-responders (96% of 28 patients with progressive disease). The same limitations to implementation of their model apply as described in the previous paragraph.

Functional imaging of lesions before start of treatment can identify patients with poor prognosis or who are less likely to respond to treatment. These patients might benefit from treatment-modification, when alternatives exist. Using a baseline FDG-PET, it appears feasible to stratify patients with different prognosis and possible resistance to treatment in CRC. So far, no prospective randomized controlled trials have been published using baseline FDG-PET for determination of individual treatment strategy.

#### Treatment follow-up by PET-response evaluation

After localised or during systemic treatment, tracer uptake in lesions can be monitored. Uptake during fol-

TABLE V.—Radiotherapy and multimodality (neoadjuvant) therapy response evaluation in locally advanced rectal cancer by FDG-PET.

First author	Year	N.	Inclusion	Therapy	PET-parameter
Engenhart <i>et al.</i> <sup>77</sup>	1992	21	Unresectable recurrence	40 Gy photons, 14 Gy neutrons	$\Delta$ SUV <sub>mean</sub> at 8-9 weeks
Guillem <i>et al.</i> <sup>93</sup>	2000	15	cT <sub>3</sub> and/or N <sub>1</sub>	Neoadjuvant CRT (50.4 Gy, 5FU/FA)	VRS, $\Delta$ SUV <sub>mean</sub> , $\Delta$ TLG at 5 weeks
Oku <i>et al.</i> <sup>73</sup>	2002	40	cT <sub>2-4</sub> and/or N <sub>1-3</sub>	Neoadjuvant radiotherapy (50 Gy)	SUV <sub>mean</sub> -ratio at 3-5 weeks
Amthauer <i>et al.</i> <sup>84</sup>	2004	20	cT <sub>3/4</sub> M <sub>0</sub>	Neoadjuvant CRT (45 Gy, 5FU/FA) + RH	$\Delta$ SUV <sub>max</sub> at 2-4 weeks
Calvo <i>et al.</i> <sup>69</sup>	2004	25	cT <sub>2-4</sub> N <sub>x</sub>	Neoadjuvant CRT (45-50.4 Gy, 5FU/FA or tegafur)	Absolute change in SUV <sub>max</sub> at 4-5 weeks
Guillem <i>et al.</i> <sup>85</sup>	2004	15	cT <sub>3</sub> and/or N <sub>1</sub>	Neoadjuvant CRT (50.4 Gy, 5FU/FA)	$\Delta$ SUV <sub>max</sub> , $\Delta$ TLG at 5 weeks
Denecke <i>et al.</i> <sup>78</sup>	2005	23	cT <sub>3/4</sub> M <sub>0</sub>	Neoadjuvant CRT (45 Gy, 5FU/FA) + RH	$\Delta$ SUV <sub>max</sub> at 2-4 weeks
Konski <i>et al.</i> <sup>86</sup>	2005	20	uT <sub>3/4</sub> and/or N <sub>1</sub>	Neoadjuvant CRT (45-55 Gy $\pm$ fluoropyrimidine)	$\Delta$ SUV <sub>max</sub> at 3-4 weeks
Capirci <i>et al.</i> <sup>79</sup>	2006	88	cT <sub>3/4</sub> and/or N <sub>1-3</sub> M <sub>0</sub>	Neoadjuvant CRT (50-56 Gy, 5FU)	VRS, (SUV <sub>max</sub> ) at 7 weeks
Cascini <i>et al.</i> <sup>90</sup>	2006	33	cT <sub>3/4</sub> and/or N <sub>1</sub>	Neoadjuvant CRT (45 Gy, FOLFOX + raltitrexed)	$\Delta$ SUV <sub>mean</sub> at 12 days
Kalff <i>et al.</i> <sup>80</sup>	2006	34	cT <sub>3/4</sub> N <sub>x</sub> M <sub>0</sub>	Neoadjuvant CRT (50.4 Gy, 5FU/FA $\pm$ oxaliplatin/carboplatin)	VRS at 3-4 weeks
Capirci <i>et al.</i> <sup>87</sup>	2007	45	cT <sub>3/4</sub>	Neoadjuvant CRT (50-56 Gy $\pm$ fluoropyrimidine)	$\Delta$ SUV <sub>max</sub> at 4-5 weeks
Melton <i>et al.</i> <sup>88</sup>	2007	21	cT <sub>3/4</sub> and/or N <sub>1</sub>	Neoadjuvant CRT (50.4 Gy $\pm$ fluoropyrimidine or FOLFOX)	$\Delta$ SUV <sub>max</sub> at 4-6 weeks
Konski <i>et al.</i> <sup>83</sup>	2008	53	cT <sub>3/4</sub> N <sub>x</sub> or N <sub>1</sub>	Neoadjuvant CRT (50.4-54 Gy, fluoropyrimidines, mitomycin-C)	$\Delta$ SUV <sub>max</sub> at 3-4 weeks
Kristiansen <i>et al.</i> <sup>81</sup>	2008	30	cT <sub>3/4</sub>	Neoadjuvant CRT (60 Gy, uracil, tegafur, FA)	Visual response at 7 weeks (PET/CT)
Nakagawa <i>et al.</i> <sup>92</sup>	2008	29	uT <sub>3/4</sub> or N <sub>1</sub>	Neoadjuvant radiotherapy (50 Gy)	SUV <sub>mean</sub> -ratio at 2-3 weeks
Rosenberg <i>et al.</i> <sup>91</sup>	2008	29	uT <sub>3</sub> N <sub>x</sub> M <sub>0</sub>	Neoadjuvant CRT (45 Gy, 5FU)	$\Delta$ SUV <sub>mean</sub> at 14 days and at 5 weeks
Siegel <i>et al.</i> <sup>89</sup>	2008	32	uT <sub>2</sub> N+ or uT <sub>3</sub> N <sub>x</sub>	Neoadjuvant short course CRT (25 Gy, 5FU)	$\Delta$ SUV <sub>max</sub> at 9 days
Vliegen <i>et al.</i> <sup>82</sup>	2008	20	cT <sub>3/4</sub>	Neoadjuvant CRT (50.4 Gy, capecitabine)	$\Delta$ SUV <sub>max</sub> at 4-6 weeks

(C)RT: (chemo)radiotherapy; CT: X-ray computed tomography; DFS: disease free survival; FA: folic acid; FDG: [18F]-fluoro-2'-deoxy-D-glucose; FOLFOX: 5FU/FA/oxaliplatin; 5FU: 5-fluorouracil; MRI: magnetic resonance imaging; NPV: negative predictive value (fraction of responder on PET that are a clinical responder); OS: overall survival; PD: progressive disease; PET: positron emission tomography; PPV: positive predictive value (fraction of non-responder on PET that are clinical non-responders); PR: partial remission; RH: radiofrequency hyperthermia; SD: stable disease; SUV: standardized uptake value; TLG: total lesion glycolysis; TRG: tumor regression grade; T-stage: TNM-classification tumor stage; VRS: visual response score.

Outcome-parameter	Response criteria	Results	Sigm.
Local control	Complete response (SUV <sub>mean</sub> normalization to background)	PPV: 3/15 (0.2) NPV: 4/6 (0.67)	
Histological response at 4-6 weeks	Any decrease	PPV: 15/15 (1) NPV: 0/0 (n/a)	—
CT shrinkage rate at 3-5 weeks	—	Correlation -0.383	0.014
Histological response at 6-8 weeks	Histological CR/PR, $\Delta\text{SUV}_{\text{max}} > -36\%$	PPV: 6/6 (1.00) NPV: 13/14 (0.93)	<0.001
Histological response at 5-8 weeks	Histological T-stage decrease	Responders: -3.3 Non-responders: -1.9	0.03
Median OS and DFS	$\Delta\text{SUV}_{\text{max}} \geq -62.5\%$ $\% \text{TLG} \geq -69.5\%$	Mean OS: >54 weeks <i>vs</i> ~39 weeks Mean DFS: >54 weeks <i>vs</i> ~26 weeks Mean OS: >54 weeks <i>vs</i> ~27 weeks Mean DFS: >54 weeks <i>vs</i> ~26 weeks	0.08 0.02 0.03 0.01
$\Delta\text{T}$ -stage at 6-8 weeks	Any T-stage decrease or >65% volume reduction $\Delta\text{SUV}_{\text{max}} < -36\%$	PPV: 13/17 (0.76) NPV: 6/6 (1.00)	0.002
Histological response at 6-8 weeks	Pathological complete response	$\Delta\text{SUV}_{\text{max}} -74.5\%$ <i>vs</i> -52.1%	0.24
5-year OS and DFS	VRS $\leq 1$ (focal/diffuse) or SUV <sub>max</sub> <1.4	5-year OS: 91% <i>vs</i> 70% 5-year DFS: 81% <i>vs</i> 62%	0.024 0.003
Histological response at 8 weeks	Histological response $\leq$ scattered residual cells $\Delta\text{SUV}_{\text{mean}} \geq -52\%$	$\Delta\text{SUV}_{\text{mean}} -63\%$ <i>vs</i> -22% PPV: 13/13 (1.00) NPV: 18/20 (0.9)	<0.0001
3-year OS and DFS	VRS: CR, PR or SD/PD	3-year OS: 100%, 79%, 0% 3-year DFS: 100%, 47%, 0%	<0.0001
Histological response at 8-10 weeks	Histological response $\leq$ scattered residual cells $\Delta\text{SUV}_{\text{max}} \geq -66.2\%$	$\Delta\text{SUV}_{\text{max}} -75.9\%$ <i>vs</i> -46.9% PPV: 17/22 (0.77) NPV: 19/23 (0.89)	<0.0001 0.0015
Histological response at 8-10 weeks	Histological down-staging $\Delta\text{SUV}_{\text{max}} \geq -70\%$	$\Delta\text{SUV}_{\text{max}} -72\%$ <i>vs</i> -44% PPV: 7/12 (0.58) NPV: 9/9 (1.00)	<0.001
Histological response	Histological complete response (T0N0)	Responders: -67% Non-responders: -55%	0.08
Histological response at 8 weeks	Complete histological response Negative PET on follow-up	PPV: 10/12 (0.83) NPV: 6/18 (0.13)	—
Histological response at 3-11 weeks	Grade 0-3 histological response	Significant correlation	0.047
Survival	SUV <sub>mean</sub> -ratio <1	Significantly longer	0.0121
Histological response at 10 weeks	$\Delta\text{SUV}_{\text{mean}}$ at 14 days $\geq -35\%$ Grade 1 histological response $\Delta\text{SUV}_{\text{mean}}$ at 14 days $\geq -57.7\%$	PPV: 7/12 (0.58) NPV: 14/17 (0.82) PPV: 7/11 (0.64)	—
Histological response at 2 weeks	Grade 1 histological response Histological down-staging $\leq$ predominant fibrotic changes (TRG2) $\Delta\text{SUV}_{\text{max}} \geq -40\%$	NPV: 15/18 (0.83) No correlation	—
Histological response at 6-8 weeks	TRG $\leq 2$	-83% <i>vs</i> -59.4%	0.025

low-up can be compared to baseline uptake, can be used to assess the effect of therapy and might be used as (early) prediction of treatment response or be related to patient survival for prognostic purposes. Thereby it might contribute to early change in management, if alternative treatment options are available. Response evaluation is most often performed by morphologic imaging according to RECIST (response evaluation criteria in solid tumors).<sup>75, 76</sup> In an era where new cytotoxic treatment is cytostatic rather than cytoreductive, metabolic changes may precede anatomical changes, PET-imaging might aid in early response evaluation.

#### RESPONSE EVALUATION OF RADIOTHERAPY IN RECTAL CANCER

Engenhart *et al.*<sup>77</sup> were the first to address the effect of irradiation on inoperable pre-sacral recurrent rectal carcinoma in 21 patients. They noticed a small but significant decrease in FDG uptake during radiotherapy (2.3 to 1.6 uptake-units after 6 months;  $P=0.002$ ). Oku *et al.*<sup>73</sup> described a significant negative ( $r=-0.383$ ,  $P=0.014$ ) correlation between shrinkage rate on CT (fractional decrease in tumor axial diameter) and FDG SUV ratio (fraction remaining SUV of follow-up compared to baseline) after 50 Gy of radiotherapy to primary rectal cancer in 40 patients.

Two studies compare TNM tumor (T)-stage, relating baseline TREUS T-stage with follow-up histological T-stage. In 25 patients with local invasive primary rectal cancer treated with neoadjuvant CRT prior to surgery, Calvo *et al.*<sup>69</sup> described a significant difference in  $SUV_{max}$ -reduction ( $\Delta SUV_{max}$ ) between patients with reduction in T-stage compared to non-responders ( $-3.3$  vs  $-1.9$  SUV-units;  $P=0.03$ ). Denecke *et al.*<sup>78</sup> showed that a cut-off for  $\Delta SUV_{max}$  of  $-36\%$  was able to separate responders from non-responders: 76% of FDG-PET responders demonstrated T-downstaging and 100% of FDG-PET non-responders did not show T-downstaging and ( $P=0.002$ ) in 23 patients with advanced rectal carcinoma treated with neoadjuvant CRT in combination with hyperthermia. Results of PET were superior to CT or MRI in response prediction.

Many studies compared visual FDG-PET response,<sup>79-81</sup>  $\Delta SUV_{max}$ ,<sup>79, 82-89</sup>  $\Delta SUV_{mean}$ ,<sup>90, 91</sup> SUV-ratio<sup>92</sup> and  $\gamma TLG$  (change in total lesion glycolysis: the product of metabolic volume and SUV) at different intervals after radiotherapy, varying from 12 days<sup>90</sup> up to 7 weeks<sup>79, 81</sup> and all found a significant relation with semi-quantitative histological response (Table V). Depending on response criteria, predictive values of FDG-PET response (NPV) ranged from 83%<sup>91</sup> to 100%

and predictive values of FDG-PET non-response (PPV) varied from 77%<sup>87</sup> to 100%.<sup>84, 90</sup> The worst results were found by Engenhart *et al.*<sup>77</sup> and Melton *et al.*<sup>88</sup> who used rigorous criteria for definition of treatment response (complete SUV normalisation<sup>77</sup> and  $\Delta SUV_{max} \geq 70\%$  determined by ROC analysis<sup>88</sup>) and found a PPV of 20% and 58% respectively, which means 42-80% of the patients without response on FDG-PET, clinically did show local control<sup>77</sup> or regression score during histopathological examination.<sup>88</sup> Kristiansen *et al.*<sup>81</sup> on the other hand used a very strict criterion for pathological response (defined as no histological detectable residual carcinoma) and, therefore, 40% false FDG-PET therapy responses were found (accuracy 53%). This confirmed the data of Guillem *et al.*,<sup>93</sup> who found an accuracy of 60% for PET to define the extent of pathological response. Rosenberg *et al.*<sup>91</sup> attributed their low PPV of FDG-PET (PPV: 64%) to be due to influx of inflammatory cells.

The prognostic value of the metabolic response of rectal cancer to neoadjuvant CRT has been described in a few studies.<sup>80, 85</sup> Guillem *et al.*<sup>85</sup> dichotomized FDG-PET results in responders and non-responders in 15 patients with locally advanced primary rectal cancer treated by preoperative CRT. Responders were defined as those with only focal or diffuse FDG uptake with a maximum  $SUV_{max}$  of 1.4 on the follow-up PET-scan. They showed higher median overall survival ( $>54$  weeks vs 39 weeks;  $P=0.08$ ) and higher median disease-free survival ( $>54$  weeks vs 26 weeks;  $P=0.02$ ) of responders compared to non-responders. The corresponding 5-year overall survival percentages were 91% vs 70% ( $P=0.024$ ) and 5-year disease free survival percentages were 81% vs 62% ( $P=0.003$ ). In another study, in 34 patients treated with neoadjuvant CRT before curative surgery, visual PET response was categorized into complete remission, partial remission and stable or progressive disease before results of pathology were available. This PET-based response stratification showed 3-year overall survival percentages of 100%, 79% and 0% respectively ( $P<0.0001$ ) and 3-year disease free survival percentages of 100%, 47% and 0% respectively ( $P<0.0001$ ).<sup>80</sup>

A drawback of post-radiotherapy FDG-PET is the radiation-induced inflammation.<sup>94</sup> This causes influx and activation of macrophages, neutrophils, fibroblasts and granulation tissue, that can accumulate approximately 25% of FDG uptake.<sup>95</sup> On the other hand, direct effect of radiation may induce tumor cell dormancy ("stunning") which mimics glucose metabolic

TABLE VI.—Response evaluation for local ablative treatment for unresectable CRC liver metastases by FDG-PET.

First author	Year	N.	Therapy	PET-Parameters	Outcome-parameter	Response criteria	Results
Langenhoff <i>et al.</i> <sup>98</sup>	2002	22	CSA or RFA	Visual interpretation within 3 weeks	Histopathology, follow-up >9 months, CT	PET-negative	NPV: 17/17 (1.00) PPV: 4/5 (0.80)
Donckier <i>et al.</i> <sup>99</sup>	2003	17	RFA	Visual interpretation at 1 week Visual interpretation at 3 months	Follow-up >3 months by CT	PET-negative	NPV: 7/13 (0.54) PPV: 4/4 (1.00) NPV: 6/8 (0.75) PPV: 8/8 (1.00)
Joosten <i>et al.</i> <sup>97</sup>	2005	43	CSA or RFA	Visual interpretation within 3 weeks	Follow-up >3 months by CT	PET-negative	NPV: 35/36 (0.97) PPV: 6/7 (0.86)
Denecke <i>et al.</i> <sup>49</sup>	2007	21	LITT	Visual interpretation at suspected progression (MRI or CEA) SUV <sub>max</sub> at suspected progression (MRI or CEA)	Histopathology, follow-up >12 months by MRI	PET-negative SUV <sub>max</sub> ≤ 4.2	NPV: 24/25 (0.96) PPV: 28/29 (0.97) NPV: 23/24 (0.96) PPV: 28/30 (0.93)

CEA: carcinoembryonic antigen; CRC: colorectal carcinoma; CSA: cryosurgical ablation; CT: X-ray computed tomography; FDG: [<sup>18</sup>F]-fluoro-2'-deoxy-D-glucose; LITT: LASER induced thermotherapy; MRI: magnetic resonance imaging; NPV: negative predictive value (fraction of patients with response on PET having clinical response); PET: positron emission tomography; PPV: positive predictive value (fraction of patients with no response on PET having no clinical response); RFA: radiofrequency ablation; SUV: standardized uptake value.

response.<sup>84</sup> Siegel *et al.*<sup>89</sup> saw metabolic response ( $\Delta\text{SUV}_{\text{max}} = -39.3\%$ ) after short course radiotherapy (25 Gy in 5 days) at day 9, but could not correlate these results to histopathology (negligible morphological response) which might partly be explained by the latter effect or due to the fact that surgery is performed immediately after radiotherapy in contrast to CRT where surgery is postponed 6 weeks.

FDG-PET allows prediction of pathological response in patients treated by neoadjuvant CRT. Moreover it seems able to predict overall and disease free survival after surgery with curative intent. However, the clinical consequences remain unclear. It does not seem possible to select patients who have no advantage of surgery after neoadjuvant treatment. It seems possible to demonstrate functional response after short-course radiotherapy,<sup>89</sup> but there are no data that shortening of neoadjuvant treatment prior to surgery (*i.e.* when no histopathological data is available) might benefit the subgroup of patients with early PET-response to CRT or that escalation of chemoradiation (*e.g.* increasing the radiation dose, adding regional hyperthermia, decision for intraoperative radiation) might benefit the subgroup of patients with no PET-response. Discrimination of responders to neoadjuvant chemoradiation from non-responders could also be used for preoperative selection for individualized

surgery. This could include sphincter-saving surgery in deep-seated tumors, less aggressive treatment in limited disease or planning of intraoperative radiation therapy. However, no studies provide definitive evidence. Apart from selection for individualized surgery, FDG-PET-response might theoretically help to decide which patient benefits from adjuvant chemotherapy after surgery, but no studies concerning this have been published either, nor compared this to the use of histopathological data obtained during surgery.

#### EFFECT OF LOCAL ABLATIVE THERAPY OF LIVER METASTASES

Qualitative assessment of FDG-PET imaging after cryosurgical or RFA of unresectable liver metastases has advantages over conventional imaging such as CT, MRI and ultrasonography since latter techniques do not easily identify treatment failures at an early stage. This is due to hyperechogenicity and rim-like contrast enhancement caused by regional hyperperfusion, resembling residual tumour.<sup>96</sup> The NPV of FDG-PET 3 weeks after local ablative therapy varied from 97%<sup>97</sup> to 100%<sup>98</sup> and of a positive PET from 80%<sup>98</sup> to 86%<sup>97</sup> in two studies treating 81 patients with 237 hepatic lesions. The lower PPV is caused by false-positive results due to liver abscesses occurring after local ablative therapy (Table VI).

Donckier *et al.*<sup>99</sup> compared the predictive value of FDG-PET 1 week and 3 months after RFA of unresectable liver metastases. They found that an early negative PET (after 1 week) is less suitable for determining the disease free status as compared to a late negative PET (NPV: 54% *vs* 75%) whereas both early and late positive PET show 100% (P)PV for residual tumor or recurrence. Apparently the optimal time-frame to judge the effect of local ablative therapy is somewhere between 1 weeks and 3 months after surgery.

Denecke *et al.*<sup>49</sup> used FDG-PET after LASER induced thermotherapy ablation (LITT) of unresectable liver metastases when tumor progression was suspected on MRI or obscure rising serum levels of CEA. Standardized visual interpretation of the images based on consensus of two blinded nuclear medicine physicians led to a NPV of PET of 96% and a PPV of 97%. Using the  $SUV_{max}$  they found a NPV of 96% and a PPV of 93%. They concluded that FDG-PET is a promising tool for assessment of local control and whole-body restaging in patients with clinical suspicion of tumor progression after local ablative treatment for liver metastases. Timing of follow-up though was highly variable in this study, since FDG-PET was performed only when tumor progression was suspected and 11% of follow-up scans were performed immediately (1-3 days post-LITT), 50% at short term (within 6 months post-LITT) and 39% after more than 6 months post-LITT.

FDG-PET seems a promising modality to select patients with incomplete tumor ablation or with early relapse after local ablative treatment for unresectable liver metastases. Assessment of local control by FDG-PET 3 weeks after local ablative treatment seems advisable.

#### EVALUATION OF RESPONSE TO CHEMOTHERAPY

Depending on the drug and regimen, cytotoxic treatment has a clear influence on CRC adenocarcinoma cell lines. Oxaliplatin, 5FU and irinotecan cause decreased FDG uptake after 72 h due to decrease in glucose transport, a decrease in hexokinase activity.<sup>100</sup> This effect can be monitored by FDG-PET during systemic treatment of liver metastases.

Findlay *et al.*<sup>101</sup> were the first to report the effect of 5FU with or without interferon- $\alpha$  chemotherapy on liver metastases >3 cm in diameter with FDG-PET. They used morphological CT response as outcome measure and found that a reduction in tumor to normal liv-

er (T:L) ratio of tissue activity concentrations of 15% or more has a sensitivity of 100% with a specificity of 75% to predict morphological response. They noted that patients with an increase in T:L 1-2 weeks subsequently had a reduction in T:L at 4-5 weeks, suggesting that this "flare" phenomenon is caused by infiltration of macrophages as response to tumor cell kill (*i.e.* early inflammatory reaction).

The two above-mentioned papers by Dimitrakopou-lou-Strauss *et al.*<sup>70, 74</sup> also evaluated treatment response by including follow-up FDG-PET in 28 and 25 patients treated with second line FOLFOX. The prognostic value of the metabolic response of liver metastases to chemotherapy is described in three papers.<sup>70, 102, 103</sup> Dimitrakopoulou-Strauss *et al.*<sup>70</sup> showed prognostic aspects of dynamic FDG-PET response in 25 patients treated with second line FOLFOX. Discriminant analysis based on  $SUV_{mean}$  correctly classified overall survival in 69% of the patients and based on two-compartment rate constants, vascular fraction and fractal dimensions correctly classified overall survival in 78% of the patients.<sup>70</sup> As stated before they showed the accuracy of their model, but by omitting the model coefficients, it is not transferable to other patient populations. In a different study by our group<sup>102</sup> it was shown that the percentage decrease in  $SUV_{mean}$  ( $\Delta SUV_{mean}$ ) and glucose metabolic rate ( $MR_{glc}$ , derived from two-compartment kinetic analysis) are both able to distinguish subgroups with different median overall survival in 50 patients with stage IV CRC treated by various schedules of chemotherapy. Using a cut-off for  $\Delta SUV_{mean}$  of -20% distinguished subgroups with a median survival of 25 weeks from 15 weeks ( $P=0.009$ ). Using a  $\Delta MR_{glc}$  cut-off of -65% distinguished subgroups with a median survival of 32 weeks from 18 weeks ( $P=0.021$ ). These cut-offs were selected after analysis of a range of cut-off values. Small *et al.*<sup>103</sup> show similar results using FDG-PET/CT to qualitatively monitor treatment of 54 patients with liver metastases by FOLFOX/FOLFIRI (folinic acid/5FU/irinotecan) with or without bevacizumab. Univariate analysis showed the hazard ratio of PET/CT response (defined as absence or reduced uptake of FDG) during treatment was not significantly associated with overall survival (3.127, 95% CI: 0.874-11.187), but was significantly associated with disease-free survival (3.826; 95% CI: 1.39-10.534). CT-response alone did predict overall survival (hazard ratio: 4.584; 95% CI: 1.133-18.536) and progression free survival (hazard ratio:

TABLE VII.—*Chemotherapy response evaluation by FDG-PET for CRC metastases.*

First author	Year	N.	Inclusion	Therapy	PET-Parameters	Outcome-parameter	Favourable criteria	Results	Sign.
Findlay <i>et al.</i> <sup>101</sup>	1996	18	CRC liver metastases $\geq 3$ cm	5FU $\pm$ IF $\alpha$	$\Delta T:L$ at 4-5 weeks	CT response (WHO guidelines) at 12 weeks	CT response: CR or PR $\Delta T:L \geq 15\%$	Sensitivity: 1.00 Specificity: 0.75	—
Dimitrakopoulou-Strauss <i>et al.</i> <sup>74</sup>	2003	28	CRC metastases	2 <sup>nd</sup> line FOLFOX	SUV <sub>mean</sub>	Clinical response (WHO-guidelines)	Clinical response: PD, SD or PR	CCR: 92% (PD) 57% (SD) and 0% (PR)	—
Dimitrakopoulou-Strauss <i>et al.</i> <sup>74</sup>	2004	20	CRC metastases	2 <sup>nd</sup> line FOLFOX	SUV <sub>mean</sub> , k1-4, FD, Vb at 3 months	1-year overall survival	SUV <sub>mean</sub> k1, k3, Vb, FD	CCR: 69%	—
de Geus-Oei <i>et al.</i> <sup>102</sup>	2008	50	CRC metastases	Various schedules	$\Delta$ SUV <sub>mean</sub> , $\Delta$ MR <sub>glc</sub> at 2 months	Median overall survival	$\Delta$ SUV <sub>mean</sub> $\geq -20\%$ $\Delta$ MR <sub>glc</sub> $\geq -65\%$	CCR: 78% 25 weeks <i>vs</i> 15 weeks 32 weeks <i>vs</i> 18 weeks	0.009 0.021
Small <i>et al.</i> <sup>103</sup>	2008	40	CRC liver metastases	Neoadjuvant FOLFOX/FOLFIRI $\pm$ bevacizumab	Visual PET-CT response	Overall survival Disease free survival	CR or PR	HR 3.127 HR 3.826	0.079 0.009

CCR: correct classification rate; CR: complete remission; CRC: colorectal carcinoma; CT: X-ray computed tomography; FA: folinic acid; FD: fractal dimensions; <sup>18</sup>F-FDG: [18F]-fluoro-2'-deoxy-D-glucose; FOLFIRI: 5FU/FA/irinotecan; FOLFOX: 5FU/FA/oxaliplatin; 5FU: 5-fluorouracil; IF $\alpha$ : interferon- $\alpha$ ; k1-4: 2-compartment rate constants; HR: hazard ratio; MR<sub>glc</sub>: glucose metabolic rate; PET: positron emission tomography; PD: progressive disease; PR: partial remission; SD: stable disease; SUV: standardized uptake value; T:L: tumor to normal liver ratio; Vb: vascular fraction; WHO: World Health Organization.

2.925; 95% CI: 1.078-7.937). Results of all above-mentioned studies are displayed in Table VII.

Thus, the degree of metabolic response during treatment appeared to correlated with both pathological response and survival. However, it is of concern that the test-retest reproducibility of the SUV<sub>mean</sub> using a semi-automatically delineation of the lesion (50% of maximum value) is limited. When comparing the SUV<sub>mean</sub> of 28 lung cancer patients determined on the same system setup on two consecutive days, Krak *et al.*<sup>104</sup> found a standard deviation of the relative differences of both SUV<sub>mean</sub>'s of 11%. In 26 cancer patients in whom a FDG-PET was repeated within 1-5 days, the standard deviation of the relative differences of both SUV<sub>mean</sub>'s was 7%.<sup>105</sup> These standard deviations are larger when using different setups (multicenter trials). This suggests that measured tumor responses of less than ~15-20% (two standard deviations) might be regarded as within the reproducibility limits of the method used and should thus be interpreted as no actual change in SUV.

Therapy decisions on PET-response seem feasible.

However, before use in routine daily practice future randomized controlled trials are necessary to prove its value. Optimal cut-offs are not only dependent on patient, treatment setting and cytostatic agent, but are dependent on follow-up timing and reproducibility limits of this technique.<sup>106, 107</sup>

## Conclusions

FDG-PET has limited added value in staging primary CRC. It has a convincing role in detection of local recurrence when conventional imaging fails to distinguish scar tissue from recurrent or residual tumor and can influence management decisions in about 38-82% of patients with unexplained rise in CEA. During pre-surgical restaging of local recurrence or metastases it may provide relevant information by detecting additional disease that renders laparotomy futile. The use of concomitant chemotherapy should be taken into account since it lowers sensitivity of PET.

Based on baseline FDG-PET, patients with recurrent or metastasized CRC treated by chemotherapy or surgical resection can be stratified in different subgroups based on prognosis or predicted therapy effect. This risk assessment might be used for individualized treatment assignment. The first studies that use this PET-based stratification for survival to select patients for different treatment schedules are currently being undertaken.

The relation between FDG-PET response to preoperative neoadjuvant (chemo)radiotherapy in rectal cancer, seems well-related to both histopathology and survival. The exact clinical significance of PET-based response evaluation for this group of patients remains to be investigated. Unfortunately, early response prediction of radiotherapy seems less feasible since the combined effects of stunning, proliferation and inflammation may cause incorrect FDG-PET findings. In assessment of local ablative treatment of liver metastases FDG-PET seems suitable. The high predictive ability of negative FDG-PET provides evidence that it can be used to assess radicality of tumor ablation. On the other hand, a positive FDG-PET might point to intensification of follow-up or even additional treatment. In case of chemotherapy response evaluation in metastasized CRC, there is a clear relation the results of FDG-PET early after start of treatment with pathological response and survival. This could improve patient management by reducing morbidity, efforts and costs of ineffective treatment in non-responders. Moreover in an era where new and expensive drugs have limited morphologic effect, it may provide an alternative to anatomy-based assessment of response. However, it appears impossible to give one single definition of metabolic response, since cut-off values depend on type of treatment, timing of evaluation and tumor type.

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