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The Processing of Free and Bound Gender-Marked Morphemes in Speech Production: Evidence From Dutch

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In many languages, the production of noun phrases requires the selection of gender-marked elements like determiners or inflectional suffixes. There is a recent debate as to whether the selection of freestanding gender-marked elements, such as determiners, follows the same processing mechanisms as the selection of bound gender-marked morphemes, such as adjectival suffixes. Most of the evidence on which this debate is based relates to the gender-congruency effect in picture–word interference experiments. In the present article, the authors address this issue with a pure picture-naming task, extending previous work in German (H. Schriefers, J. D. Jescheniak, & A. Hantsch, 2005). The results of the present study on noun phrase production in Dutch show that both types of gender-marked morphemes are selected via the same basic processing mechanisms.

Keywords: speech production, grammatical gender, free morphemes, bound morphemes

In recent years, experimental research on speech production has started to focus on higher order processes, such as the encoding of the syntactic features of a word. For example, during the production of a noun phrase in a language like Dutch, the speaker has to retrieve the noun’s grammatical gender in order to select the appropriate gender-marked elements, like “free” morphemes (e.g., determiners) or “bound” morphemes (e.g., inflectional suffixes).

Previous studies have shown that the selection of free gender-marked morphemes is subject to competitive processes. In a study by Schriefers, Jescheniak, and Hantsch (2002), German speakers named singular and plural objects by determiner–noun phrases (e.g., der Baum, “the masc,sg tree,” or die Bäume, “the pl trees”). In German, the definite plural determiner for all genders is die, which is identical to the definite singular determiner for feminine nouns (e.g., die Tür, “the fem,sg door”). The results of this study showed that producing plural noun phrases was associated with a reaction time (RT) cost for masculine and neuter nouns, that is, in those cases in which singular and plural determiners did not match. However, for feminine nouns, for which singular and plural determiners are identical, this plural cost disappeared (see also Jansen & Caramazza, 2003, and Spalek & Schriefers, 2005, for parallel results in Dutch, and see Miozzo & Caramazza, 1999, for related results in Italian). These results suggest that during the production of plural noun phrases, the respective singular determiners become coactivated and compete with the plural determiners for selection, whereas the reverse is not the case (the singular-as-default hypothesis).

These results were obtained in a condition in which the relative proportion of the three determiner forms der, die, and das was balanced by the use of filler items, implying that there were more singular than plural trials. Schriefers et al. (2002) also showed that when the proportion of singular and plural trials was equal (leading to a higher proportion of the determiner die), the RT costs associated with plural noun phrases for masculine and neuter nouns were reduced or eliminated and a plural gain obtained for feminine nouns.

Recently, however, the question has emerged whether the observed competition of determiners, that is, of freestanding gender-marked elements, arises also during the selection of bound gender-marked morphemes, such as gender-marked adjectival inflections. Using the picture–word interference task, which has repeatedly been proven to be sensitive to determiner competition (La Heij, Mak, Sander, & Willeboordse, 1998; Schriefers, 1993; Schriefers & Teruel, 2000), two studies failed to find evidence for competi-

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1 We use subscripts to indicate those elements that are marked for gender and/or number in the target language: masc = masculine, fem = feminine, neu = neuter, com = common gender (in Dutch), sg = singular, pl = plural.
Recent findings of Schriefers, Jescheniak, and Hantsch (2005), however, question this view. In three experiments, speakers of German were asked to produce singular and plural noun phrases of different formats in the context of a picture-naming task. In the noun phrases to be produced, gender marking was either carried by the determiner (determiner–adjective–noun phrases) or by suffixes of the adjective (adjective–noun phrases). In German, the pattern of gender-inflation of adjectives corresponds to the pattern that holds for determiners: The inflation indicating plural number (-e, as in rote Tische, ‘redpl tables’) is identical across the three gender classes; furthermore, it is identical to the singular inflation for feminine nouns (rote Tür, ‘redfem,sing door’). The results of this study showed similar behavioral patterns for both types of noun phrases: The RT difference between plural and singular trials was reduced for feminine nouns, that is, for those cases in which singular and plural gender-marking morphemes coincided. However, the effect was somewhat weaker for adjective–noun phrases, compared with noun phrases involving a determiner. This latter observation of an attenuated effect in the case of utterance non-initial bound morphemes is in line with Schriefers’s (1993) earlier finding.

Thus, to summarize, the empirical evidence concerning the selection of free versus bound gender-marked morphemes is still ambiguous. Whereas some (but not all) picture–word interference studies produced results that indicate competitive processes for the selection of freestanding morphemes such as determiners, but not for bound morphemes (e.g., suffixes), the findings by Schriefers et al. (2005), using a pure picture-naming task, do not support such a fundamental distinction.

In view of this situation, in the present study we sought to replicate the results obtained by Schriefers et al. (2005) in Dutch. Although the gender-marking system of Dutch is, on the whole, very similar to German, it is also different in some aspects. In particular, in contrast to German, in which almost all adjective inflections are realized by way of suffixes (-e Feminine, -er Masculine, or -es Neuter), exceptions are a few color adjectives like lila (‘purple’) or rosa (‘pink’), which do not take inflectional suffixes, and the indefinite determiner ein (‘a’), which only takes an inflectional suffix in feminine gender), gender-marked adjectives in Dutch are either suffixed with a null morpheme (i.e., they remain in the citation form) or they are suffixed with -e (see Table 1).

Table 1

Examples of Noun Phrases to Be Produced in the Three Utterance Formats

<table>
<thead>
<tr>
<th>Utterance format</th>
<th>Number and gender</th>
<th>Determiner + adjective + noun</th>
<th>Adjective + noun</th>
<th>Bare noun</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Common</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Singular</td>
<td></td>
<td>de grote hond</td>
<td>grote hond</td>
<td>hond</td>
</tr>
<tr>
<td></td>
<td></td>
<td>(‘the sg,com big dog’)</td>
<td>(‘big sg,com dog’)</td>
<td>(‘dog’)</td>
</tr>
<tr>
<td>Neuter</td>
<td></td>
<td>het grote paard</td>
<td>groot paard</td>
<td>paard</td>
</tr>
<tr>
<td></td>
<td></td>
<td>(‘the sg,neu big horse’)</td>
<td>(‘big sg,neu horse’)</td>
<td>(‘horse’)</td>
</tr>
<tr>
<td>Plural</td>
<td></td>
<td>de grote honden</td>
<td>grote honden</td>
<td>honden</td>
</tr>
<tr>
<td></td>
<td></td>
<td>(‘the pl big dog’ )</td>
<td>(‘big pl dog’ )</td>
<td>(‘dogs’ )</td>
</tr>
<tr>
<td></td>
<td></td>
<td>de grote paarden</td>
<td>grote paarden</td>
<td>paarden</td>
</tr>
<tr>
<td></td>
<td></td>
<td>(‘the pl big horse’ )</td>
<td>(‘big pl horse’ )</td>
<td>(‘horses’ )</td>
</tr>
</tbody>
</table>

Note. sg = singular; com = common; neu = neuter; pl = plural.
morphemes as observed for overtly realized suffixes in German is also observable between a null morpheme and an inflectional suffix in Dutch. The case of null morphemes is interesting because it allows inferences concerning the type of gender-marked elements that enter a potential competition to be drawn. When the gender-marked elements are represented in the form of actual sequences of phonemes, it is less likely that one would observe competition between zero morphemes and actual morphemes. If, however, the gender-marked elements are more abstract morphological representations, it should not matter whether an element entering the competition is a null morpheme or not.

The experimental design was adapted from Schriefers et al. (2005). Participants had to name single or double objects in one of three utterance formats (see Table 1): (1) As determiner–adjective–noun phrases, in which gender marking is carried by the determiner; (2) as adjective–noun phrases, in which the adjective takes over the function of gender marking by way of suffixes (null or –e morpheme), or (3) as bare nouns, to control for possible differences between the items of the two gender categories. If the results of Schriefers et al. (2005) generalize to Dutch, we would expect that for determiner–adjective–noun phrases and adjective–noun phrases (Utterance Formats 1 and 2), the difference between plural and singular trials should be reduced for common gender words (for which the gender-marking element remains invariant in singular and plural). This should result in an interaction of gender and number for these utterances, but not for bare noun utterances (Utterance Format 3). On the other hand, given the mixed picture number for these utterances, but not for bare noun utterances.

In this experiment (as in Schriefers et al., 2005), the proportion of singular and plural trials was kept equal, thus leading to a higher proportion of the determiner de for determiner–adjective–noun phrases, or of the -e inflection for adjective–noun phrases. Given the previous findings of Schriefers et al. (2002), this should lead to a plural RT gain for common gender and to no or smaller plural–singular differences for neuter nouns.

Method

Participants

Forty-eight native speakers of Dutch, ages 18 to 35 years (M = 22.5), most of them students of Radboud University Nijmegen, took part in the experiment. They all had normal or corrected-to-normal vision, had no known language or hearing deficits, and received money or course credit for participation. Each participant carried out the experiment with only one of the three utterance formats, with 16 participants assigned to each format.

Materials

The material construction, design, and procedure were analogous to those of the study by Schriefers et al. (2005). The pictures were line drawings of 48 objects, with 24 names of objects belonging to each gender category. The nouns of the two gender categories were matched item by item for the number of syllables of both the singular and plural word form, singular and plural word frequency according to the CELEX database (Baayen, Piepenbrock, & Gullikers, 1995), and plural dominance (i.e., the relative frequency of the plural compared with the singular). All experimental items are listed in the Appendix.

Eighteen additional object drawings (9 of each gender) were included as training and warming-up items. The line drawings were prepared in three different sizes (see Schriefers et al., 2005, for details): in medium size for the familiarization and subsequent training phase and in small and large size for the main experiment. The small and large pictures were used to induce noun phrases containing either the adjective klei (‘small’) or groot (‘big’). The use of two different adjectives avoids a situation in which the adjective + noun utterances always start with the same adjective stem, and it thus prevents strategic behavior on the part of the participants that might eliminate potential effects of competition of inflectional morphemes. In the main experiment, objects were always presented twofold (two identical objects next to each other) with the color black indicating the target(s).

Participants were instructed to produce plural utterances if both objects were drawn in black, and singular utterances if one of them was drawn in black while the other was drawn in gray. This way, display complexity was held constant across singular and plural trials (for more details on stimulus construction, see Schriefers et al., 2005).

Procedure

The experimental session consisted of three parts: the familiarization phase, the training phase and the main experiment. In the familiarization phase, the participants saw each of the 66 (experimental and warming-up) line drawings as a single medium-sized object with its name (as a singular bare noun) written below it in the middle of a computer monitor, with the instruction to read the names aloud and to use these names in the remainder of the experiment. A new trial started after the participant had pressed a button on a button box. In the subsequent training, participants named the pictures (as singular bare nouns), which were now presented without their names. In the main experiment, participants named the pictures in one of the three utterance formats (see Table 1). Two practice blocks with the 18 warming-up items were followed by the two main blocks, each consisting of the 48 experimental pictures, preceded by 9 warming-up items. Singular and plural trials were mixed, with each object occurring once in the singular and once in the plural condition. Whether a given object occurred in a singular or a plural trial first was counterbalanced across participants. Furthermore, object size and, in singular trials, the position of the black line drawing (left vs. right) were counterbalanced. Altogether, 16 experimental lists were constructed. In these lists, no more than five trials with objects of the same size, determiner, gender category, or number followed each other. Furthermore, objects with names that shared the same onset or that were members of the same semantic category were not presented in immediate succession. A minimum of eight trials occurred in between the two presentations of the same object in singular and plural trials. Precisely the same 16 lists were used in each utterance format. The experimental session took about 35 min in total.

The participant was seated in a soundproof cabin in front of the microphone, the button box (used during the familiarization phase only), and the 17-in. (approximately 43-cm) computer screen. The visual stimuli were presented centered as black or dark gray line drawings on a light gray background (see Schriefers et al., 2005, for details). The presentation of the visual stimuli and the online collection of the data were controlled by a computer with a Pentium processor. Speech-onset latencies were measured to the closest millisecond with a voice key connected to the computer. In the training phase and main experiment, pictures were presented for 1,000 ms. The time between the offset of a picture and the onset of the next picture was 2,500 ms.

Results

For the analysis of RTs, trials with disfluent or incorrect answers and those with incorrect speech onset measures (e.g., due to a nonspeech sound prematurely triggering the voice key) were excluded. The mean percentage of errors was 7.4%. Outlier RTs that
lay more than 2 standard deviations away from the subject and item mean (per experimental condition) were also discarded (1.9% of the correct trials).²

The mean RTs and error rates for the three utterance formats are reported in Table 2. The data were analyzed with the factors format (between participants, within items), gender (within participants, between items), and number (within participants, within items).

The RT differences between plural and singular noun phrases as a function of utterance format and gender class are illustrated in Figure 1. In the analysis of variance (ANOVA) on the RTs, there was a significant main effect of format, $F(2, 45) = 3.6, p < .05$, $MSE = 25,608$; $F(2, 92) = 86.2, p < .001$, $MSE = 1,542$, with bare nouns being the fastest ($M = 690$ ms) and adjective–noun noun phrases the slowest ($M = 763$ ms). The mean RT for determiner–adjective–noun phrases was $743$ ms. Furthermore, gender also significantly affected RTs, $F(1, 45) = 96.6, p < .001$, $MSE = 640$; $F(1, 46) = 8.8, p < .01$, $MSE = 11,167$, with longer RTs for neuter ($M = 750$ ms) compared with common gender words ($M = 714$ ms). The main effect of number, $F(1, 45) = 11.0, p < .01$, $MSE = 973$; $F(1, 46) = 15.8, p < .001$, $MSE = 992$, indicated that singular trials were reacted to more slowly than plural trials ($M = 739$ ms and $724$ ms, respectively). The two-way interactions Gender × Number, $F(1, 45) = 8.7, p < .01$, $MSE = 632$; $F(1, 46) = 7.0, p < .05$, $MSE = 992$, and Number × Format, $F(2, 45) = 9.2, p < .001$, $MSE = 973$; $F(2, 92) = 15.8, p < .001$, $MSE = 731$, were also significant. There was no interaction of gender and format (both ps > .25). Most important, the triple interaction of format, gender, and number was significant, $F(2, 45) = 3.5, p < .05$, $MSE = 632$; $F(2, 92) = 5.8, p < .01$, $MSE = 731$, showing that the critical Gender × Number interaction was modulated by utterance format.

To further clarify this triple interaction, we carried out the same analysis, but excluded the bare noun format. If the Format × Gender × Number interaction was caused by gender marking in general, it should disappear as soon as the bare noun (baseline) format is no longer part of the analysis. Indeed, in the analysis of the RTs for the two utterance formats with determiner–adjective–noun noun phrases and with adjective–noun noun phrases only, the triple interaction Format × Gender × Number was no longer significant, $F(1, 30) < 1$; $F(1, 46) = 2.7, p > .10$. At the same time, the critical interaction of gender and number (indicating that the difference between singular and plural trials was modulated by word gender) was significant, $F(1, 30) = 12.5, p < .01$, $MSE = 751$; $F(1, 46) = 11.6, p < .01$, $MSE = 1,138$. We conducted t tests (see Table 2) that confirmed this pattern of results for these two utterance formats; the plural gain was significant for common gender words (there was a significant difference of $61$ ms with a $95\%$ confidence interval of $20$ ms for determiner–adjective–noun noun phrases, and there was a significant difference of $26$ ms with a $95\%$ confidence interval of $17$ ms for adjective–noun noun phrases), but not for neuter gender. In contrast, no significant differences between singular and plural utterances arose in the baseline condition with bare nouns.

The error rates were analyzed in analogy to the RTs. In the $3 \times 2 \times 2$ ANOVA, there was a main effect of format, $F(2, 45) = 29.3, p < .001$, $MSE = 0.004$; $F(2, 92) = 34.7, p < .001$, $MSE = 0.006$, with most errors for determiner–adjective–noun noun phrases (mean $11.9\%$) and the least errors for bare nouns (3.4%). The mean for adjective–noun noun phrases was $7.0\%$. The main effect of gender was also significant, $F(1, 45) = 9.3, p < .01$, $MSE = 0.003$; $F(1, 46) = 4.8, p < .05$, $MSE = 0.01$, with fewer errors on common gender words (6.2%) than on words with neuter gender (8.6%). The main effect of number was not significant (both Fs < 1); neither were any of the two-way interactions (all ps > .13). The three-way interaction of format, gender, and number was not significant either, $F(2, 45) = 1.51, p > .20$; $F(2, 92) = 1.25, p > .25$. The fact that the overall three-way interaction was not significant indicates that for error rates, baseline and

² In addition to the analyses reported here, where each individual incorrect trial and outlier was excluded, we also carried out all RT analyses with a “pairwise” exclusion of these trials (i.e., both the singular and the corresponding plural presentation of an excluded item were discarded for a given participant). The different procedures had only minor effects on the mean RTs and no effect at all on the results of the analyses of variance.
gender-marked conditions did not differ in terms of the critical Gender × Number interaction.

Discussion

The obtained results confirm and extend the previous findings on the selection of gender-marked elements reported by Schriefers et al. (2002, 2005). For gender-marked utterances, RTs in plural trials were significantly shorter than those in singular trials when the gender-marked elements of plural and singular were identical (i.e., for common gender), whereas this RT difference disappeared for nouns with nonmatching gender-marking elements in singular and plural (neuter gender). Crucially, this pattern was found for both free (determiners) and bound (suffixes) gender-marked morphemes, whereas it was absent in the nongender-marked condition (bare noun naming). Descriptively, the effects were attenuated for bound morphemes as compared with free morphemes, but not significantly so. Thus, the data not only replicate the results concerning freestanding gender-marked morphemes (e.g., Schriefers et al., 2002) but also show that similar processes take place when bound gender-marking morphemes, such as adjective inflections, are concerned (see also Schriefers et al., 2005). The results therefore extend the findings by Schriefers et al. (2005) to a different language, Dutch, which differs from German with respect to its use of suffixes in gender-marked adjectives (see the introduction). Together, the two studies, the present one and the one by Schriefers et al. (2005), provide converging evidence against the view that freestanding and bound gender-marking morphemes are processed in fundamentally different ways.

Still, there is some inconsistency among the extant data with respect to the effects obtained for bound morphemes, like adjective inflections. It is currently unclear what exactly is responsible for this situation. A first issue to consider is that both Schiller and Caramazza (2003) and Costa et al. (2003) made use of the picture-word interference paradigm, which differs in many aspects from the simple naming task used here (for a more elaborate discussion of this point, see Schriefers et al., 2005). A second issue to consider is that in both the present study and Schriefers et al. (2005) the critical effect (the modulation of the plural–singular difference by gender) for adjective–noun phrases (with bound morphemes) was descriptively smaller than that for determiner–adjective–noun phrases (with free morphemes). Thus, it is possible that the picture–word paradigm is less sensitive to the effects at question, so that the smaller competition effect for bound morphemes cannot be picked up in this paradigm (but see Schriefers, 1993). This suggestion could be tested by using precisely the same materials from a simple picture-naming experiment showing a Gender × Number interaction for utterances with bound gender-marked morphemes in a picture–word interference experiment with gender-congruent and gender-incongruent distractors. It appears to be highly advisable to have this direct comparison empirically tested before embarking on further discussions on the potential communalities and differences in the processing of free and bound gender-marked morphemes.

Another issue evoked by a comparison of the present with previous results is that of the direction of the plural–singular difference. For the gender-marked utterance formats, that is, determiner–adjective–noun noun phrases and adjective–noun noun phrases, in the present study, there was no significant difference between singular and plural for nouns with different gender-marked elements in plural and singular (neuter gender), and a significant plural gain for common gender nouns, for which the determiner is invariant across singular and plural. By contrast, both Schriefers et al. (2005) and Schriefers et al. (2002) had obtained plural costs for German neuter and masculine nouns in phrases involving a gender-marked determiner. However, as shown by Schriefers et al. (2002), whether the production of plural noun phrases creates an RT cost or a gain seems to depend on the proportion of singular and plural trials (and thus on the proportion
of the different gender-marked elements) in the experiment (see also Spalek & Schriefers, 2005, for further discussion). More specifically, there appears to be a growing tendency toward plural gains as the relative frequency of the word form of the plural determiner in the experiment increases (i.e., in the case of equal numbers of singular and plural trials in the experiment). This is also in line with the results obtained by Schiller and Caramazza (2003) with the picture–word interference paradigm. In their experiments, the proportions of singular and plural noun phrases were also equal, and the authors also observed plural gains in all Dutch (and some of the German) experiments.

To summarize, the results of the present experiment show that the selection of freestanding gender-marking morphemes (determiners) and that of bound morphemes such as adjective suffixes follow the same processing principles, a finding that is in line with previous evidence from German (Schriefers et al., 2005). The current data thus provide evidence against the view that the selection of free morphemes and the selection of bound gender-marked morphemes are qualitatively distinct processes. Furthermore, it appears that the picture–word interference paradigm is less sensitive for picking up the selection process of bound gender-marked morphemes than the pure naming task used in the present experiments, though this latter assumption is still lacking a direct empirical test.

References


Appendix

Names of Experimental Objects Used in the Experiment (Approximate English Translations in Parentheses) with Mean Item Statistics

Common gender

- aap (monkey), bel (bell), bijl (axe), borstel (brush), bril (glasses), bus (bus), deur (door), douche (shower), hond (dog), jurk (dress), kast (cupboard), kroon (crown), lamp (lamp), lepel (spoon), riem (belt), schaar (scissors), sigaar (cigar), spiegel (mirror), spons (sponge), stoel (chair), tafel (table), tent (tent), vogel (bird), vos (fox).

Item statistics: mean word form frequency, singular form: 47.1 occurrences per million words (o.p.m.); plural form: 2.29; values taken from the CELEX database (Baayen et al., 1995).

Neuter gender

- anker (anchor), bed (bed), boek (book), dak (roof), eiland (island), gewicht (weight), hek (fence), hert (deer), kasteel (castle), konijn (rabbit), mes (knife), nest (nest), net (net), oor (ear), paard (horse), pistool (pistol), raam (window), skelet (skeleton), slot (padlock), tapijt (carpet), touw (rope), vuur (fire), zadel (saddle), zwaard (sword).

Item statistics: word form frequency, singular form: 4.8 o.p.m., plural form: 17.2 o.p.m.; mean number of syllables, singular form: 1.21; plural form: 2.04; values taken from the CELEX database (Baayen et al., 1995).

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