



Sharp interface limit of a multi-phase transitions model under nonisothermal conditions

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Abstract

A vectorial Modica–Mortola functional is considered and the convergence to a sharp interface model is studied. The novelty of the paper is that the wells of the potential are not constant, but depend on the spatial position in the domain Ω . The mass constrained minimization problem and the case of Dirichlet boundary conditions are also treated. The proofs rely on the precise understanding of minimizing geodesics for the degenerate metric induced by the potential.

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1 Introduction

Phase transitions phenomena are ubiquitous in nature. Examples are the spinodal decomposition in metallic alloys, the change in the crystallographic structure in metals, the order-disorder transitions, and the alterations of the molecular structures. In view of the wide range of physical and industrial applications where phase transitions are observed, it is of primary interest to understand the different mechanisms that govern these complex processes. Many physical models have been proposed over the years to capture the behavior of these phenomena and an enormous amount of insight has been gained by performing analytical studies. For this reason, the theoretical investigation of phase transitions is still currently an active field of research in the mathematical community. In the particular case of liquid-liquid phase transitions, the preferred model was proposed by van der Waals (see [61]) and was later independently rediscovered by Cahn and Hilliard (see [18]). This theory revolves around the study of the so called Modica–Mortola energy functional (often referred to as the Ginzburg–Landau free energy in the physics literature), which is the foundation of the model we consider in this paper.

The primary focus of this work is the study of the Γ -convergence of the family of functionals

$$\mathcal{F}_\varepsilon(u) := \int_\Omega \left[\frac{1}{\varepsilon} W(x, u(x)) + \varepsilon |\nabla u(x)|^2 \right] dx,$$

where $u \in H^1(\Omega; \mathbb{R}^M)$, with $M \geq 1$, and $W: \Omega \times \mathbb{R}^M \rightarrow [0, \infty)$ is a locally Lipschitz potential such that, for all $x \in \Omega$, $W(x, p) = 0$ if and only if $p \in \{z_1(x), \dots, z_k(x)\}$. Here Ω denotes an open bounded subset of \mathbb{R}^N with Lipschitz continuous boundary and, for $i \in \{1, \dots, k\}$, the $z_i: \Omega \rightarrow \mathbb{R}^M$ are given Lipschitz functions.

Our main contribution is the treatment of the case $M \geq 2$ for x -dependent wells, thus providing a first vectorial counterpart to some of the results in [14,58], where moving wells were considered in the scalar case. For the precise statement of our results we refer the reader to Sect. 1.2.

1.1 Previous works

Denote by $\Omega \subset \mathbb{R}^N$ the container of the material, and assume that the system is described by a scalar valued phase (or order) parameter $u: \Omega \rightarrow \mathbb{R}$, which for instance, in the case of a mixture of two or more fluids, represents the density. Stable equilibrium configurations are local minimizers of the Gibbs free energy. Under *isothermal conditions*, consider

$$\int_\Omega W_0(u(x)) dx, \tag{1}$$

where the free energy density $W_0: \mathbb{R} \rightarrow [0, \infty)$ is taken to be non-convex in order to support a phase transitions. If the material has two stable phases, the typical form of W_0 is depicted in Fig. 1. In many situations, the physical interpretation of the phase parameter naturally

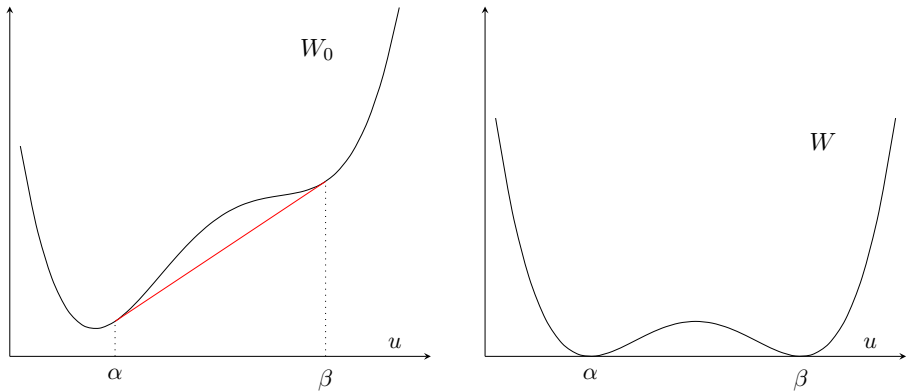


Fig. 1 On the left: the typical profile of the potential W_0 together with its convex envelope; the region where the two do not coincide is highlighted in red. On the right: the potential W , obtained by subtracting a linear term with slope $W'_0(\alpha)$ from W_0 (color figure online)

imposes a constraint on the class of admissible functions for the minimization problem for (1). If u represents a density, this often takes the form of a volume constraint, i.e.,

$$\int_{\Omega} u(x) \, dx = m, \tag{2}$$

for some $m \in \mathbb{R}$. For W_0 as in Fig. 1, let (α, β) be the interval where W_0 does not coincide with its convex envelope. To be precise, α and β are chosen to satisfy

$$W_0(\beta) - W_0(\alpha) = W'_0(\alpha)(\beta - \alpha), \quad W'_0(\alpha) = W'_0(\beta).$$

The numbers α, β, μ , where $\mu := W'_0(\alpha)$, are called *Maxwells parameters* (see [37]). Notice that if

$$m \in \left(-\infty, \alpha \mathcal{L}^N(\Omega)\right) \cup \left(\beta \mathcal{L}^N(\Omega), \infty\right)$$

then there is no phase transitions, in that solutions to the minimization problem for (1) subject to the constraint in (2) are constant. Here $\mathcal{L}^N(\Omega)$ denotes the volume of the set Ω . Therefore, we assume that

$$m \in \left(\alpha \mathcal{L}^N(\Omega), \beta \mathcal{L}^N(\Omega)\right)$$

and restrict our attention to admissible functions u taking values in the interval $[\alpha, \beta]$. Define

$$W(u) := W_0(u) - W'_0(\alpha)(u - \beta) - W_0(\beta).$$

Notice that $W(\alpha) = W(\beta) = 0$, that $W > 0$ otherwise, and that, in view of the mass constraint (2), replacing W_0 with W in (1) changes the free energy only by a constant.

As previously remarked by several authors, an energy of the form (1) cannot properly describe the physics of phase transitions. Indeed, given *any* region $A \subset \Omega$ with

$$\mathcal{L}^N(A) = \frac{\beta - m}{\beta - \alpha} \mathcal{L}^N(\Omega),$$

the phase variable which takes the value α in A and β in $\Omega \setminus A$ satisfies the mass constraint (2) and is a minimizer of the energy (1). This is not what it is observed in experiments, where for stable configurations the two phases are separated by an interface with minimal surface area.

Therefore, in order to capture this behavior, a term that penalizes the creation of interfaces has to be added to the energy. Indeed, in the van der Waals–Cahn–Hilliard theory of phase transitions the following functional is considered

$$\int_{\Omega} [W(u(x)) + \varepsilon^2 |\nabla u(x)|^2] dx. \tag{3}$$

One can justify heuristically the choice of the *singular perturbation* in (3) by considering the idealized situation where the transition between the two phases takes place in a layer Σ_ε , representing the diffuse separating interface. This is assumed to be an ε -tubular neighborhood of an $(N - 1)$ -dimensional surface Σ . In this case

$$\begin{aligned} \int_{\Omega} [W(u(x)) + \varepsilon^2 |\nabla u(x)|^2] dx &= \int_{\Sigma_\varepsilon} [W(u(x)) + \varepsilon^2 |\nabla u(x)|^2] dx \\ &\sim \varepsilon \mathcal{H}^{N-1}(\Sigma) \left[1 + \varepsilon^2 \frac{|\beta - \alpha|^2}{\varepsilon^2} \right], \end{aligned} \tag{4}$$

where the last estimate is obtained by assuming that both u and $|\nabla u|$ are bounded. Here with $\mathcal{H}^{N-1}(\Sigma)$ we denote the surface area of Σ . Therefore, in order to have an energy of order 1 we need to rescale the functional by a factor of $1/\varepsilon$. Hence we consider

$$\mathcal{E}_\varepsilon(u) := \int_{\Omega} \left[\frac{1}{\varepsilon} W(u(x)) + \varepsilon |\nabla u(x)|^2 \right] dx. \tag{5}$$

It was conjectured by Gurtin (see [38]) that in the limit as $\varepsilon \rightarrow 0$, minimizers $\{u_\varepsilon\}_{\varepsilon>0}$ of (5) subject to the constraint (2) converge to a piecewise constant function u that partitions Ω into two regions separated by an interface with minimal surface area. This conjecture was proved rigorously by Carr, Gurtin, and Slemrod for $N = 1$ (see [19]), and by Modica (see [49]) and Sternberg (see [58]) for $N \geq 2$ (see also [51,52]), thus showing that the sharp interface limit of the phase field model (5) provides the minimal interface criterion observed in experiments. The mathematical framework used was that of Γ -convergence, a notion of convergence introduced by De Giorgi and Franzoni in [25]. To be precise, it was proved that the energy of the sequence $\{u_\varepsilon\}_{\varepsilon>0}$ converges, as $\varepsilon \rightarrow 0$, to

$$\sigma \mathcal{H}^{N-1}(\Sigma), \tag{6}$$

where Σ is an interface having minimal area, separating two regions whose volume is determined by the mass constraint (2) (which is preserved in the limit), and

$$\sigma := 2 \int_{\alpha}^{\beta} \sqrt{W(t)} dt.$$

Observe that the factor σ represents the energy needed in order to have a phase transition, and it is independent of the position and of the orientation of the interface. The value of σ can be also characterized as

$$\inf \left\{ \int_{-\infty}^{\infty} [W(\gamma(t)) + |\gamma'(t)|^2] dt : \gamma \in W^{1,\infty}((-\infty, \infty)), \lim_{t \rightarrow -\infty} \gamma(t) = \alpha, \lim_{t \rightarrow \infty} \gamma(t) = \beta \right\}. \tag{7}$$

Functions achieving the minimum in (7) are called *heteroclinic connections* between α and β .

Let us remark that solutions to the minimal area problem enjoy some regularity properties: the interior regularity was studied by Gonzales, Massari, and Tamanini in [35], the behavior at

the boundary of Ω was investigated by Grüter in [36], while the connectivity of the interface was the focus of the paper [60] by Sternberg and Zumbrun.

After the early works mentioned above, the mathematical study of phase transitions has flourished. Since the literature on this problem and its variants is vast, here we limit ourselves at recalling only the main contributions that are close to the problem we consider in this paper: the static problem for first order phase transitions.

The case where the material has more than two stable phases requires vector-valued phase variables $u : \Omega \rightarrow \mathbb{R}^M$. Indeed, even if one considers a potential $W : \mathbb{R} \rightarrow [0, \infty)$ having more than two wells, minimizers of (3) will converge to piecewise constant functions taking only two values, which are selected by the mass constraint. A key ingredient in the treatment of the vectorial case is the study of the relation between the value in (7) where the minimization problem is suitably adapted to the vectorial case, and the geodesic distance between two of the wells $\alpha_i, \alpha_j \in \mathbb{R}^M$ with respect to the metric induced by the degenerate conformal factor $2\sqrt{W}$, namely

$$d_W(\alpha_i, \alpha_j) := \inf \left\{ \int_{-1}^1 2\sqrt{W(\gamma(t))} |\gamma'(t)| dt : \gamma \in W^{1,\infty}((-1, 1); \mathbb{R}^M), \right. \\ \left. \gamma(-1) = \alpha_i, \gamma(1) = \alpha_j \right\}. \tag{8}$$

The importance of this relation was first observed by Sternberg in [58] for the case of a potential W vanishing on two disjoint closed simple curves in \mathbb{R}^2 . The case of a phase variable $u : \Omega \rightarrow \mathbb{R}^M$ and a potential supporting $k = 2$ stable phases was treated by Sternberg in [59] when $M = 2$ and by Fonseca and Tartar in [33] when $M \geq 2$, while the general case $k \geq 2$ was investigated by Baldo in [11]. In these works the limiting energy is shown to be of the form

$$\sum_{i=1}^{k-1} \sum_{j=i+1}^k \mathcal{H}^{N-1}(\partial^* \Omega_i \cap \partial^* \Omega_j) d_W(\alpha_i, \alpha_j), \tag{9}$$

where $\alpha_1, \dots, \alpha_k \in \mathbb{R}^M$ denote the wells of the potential W , and $\Omega_i \subset \Omega$ is the region where the phase variable $u \in BV(\Omega; \mathbb{R}^M)$ takes the value α_i . Here $\partial^* \Omega^i$ denotes the essential boundary of the set of finite perimeter Ω^i (see Definition 2.14).

A further generalization was studied by Barroso and Fonseca in [12], where the authors considered singular perturbations of the form $h(x, \varepsilon \nabla u(x))$ and vector-valued phase variables. Moreover, the fully coupled singular perturbations, i.e., Gibbs free energies of the form

$$\frac{1}{\varepsilon} \int_{\Omega} f(x, u(x), \varepsilon \nabla u(x)) dx, \tag{10}$$

were the main focus of the work [55] by Owen and Sternberg in the scalar case and of [32] by Fonseca and Popovici for vector-valued phase variables. For functionals defined as in (10), the sharp interface limiting energy was shown to be of the form

$$\sum_{i=1}^{k-1} \sum_{j=i+1}^k \int_{\partial^* \Omega^i \cap \partial^* \Omega^j} \sigma(x, v(x)) d\mathcal{H}^{N-1}(x). \tag{11}$$

In this case, if x is in the interface separating Ω_i and Ω_j and $v \in \mathbb{S}^{N-1}$, the energy density σ is given by the so called *cell problem*

$$\sigma(x, v) := \inf_{s>0} \inf_v \left\{ \frac{1}{s} \int_{Q_v} f(x, v(y), s \nabla v(y)) dy \right\},$$

where $Q_\nu \subset \mathbb{R}^N$ is a unit cube centered at the origin having two faces orthogonal to ν , and the function v ranges among all Lipschitz functions taking the value α_i on one of these two faces, α_j on the other one, and it is 1-periodic in the directions orthogonal to every other face of the cube Q_ν .

The boundary of the container Ω could enter into play either via an interaction energy, or by forcing the phase variable to assume a specific value (not necessarily corresponding to a stable phase). The first case was studied by Modica in [50] where he considered the energy

$$\mathcal{E}_\varepsilon(u) + \int_{\partial\Omega} \tau(\text{Tr } u(x)) \, d\mathcal{H}^{N-1}(x).$$

Here \mathcal{E}_ε is defined as in (5), $\tau: \mathbb{R} \rightarrow [0, \infty)$ is a 1-Lipschitz function, and $\text{Tr } u$ denotes the trace of u on $\partial\Omega$. The author showed that the sharp interface limit is given by (see (6))

$$\sigma \mathcal{H}^{N-1}(\Sigma) + \int_{\partial\Omega} \hat{\tau}(\text{Tr } u(x)) \, d\mathcal{H}^{N-1}(x),$$

where $\hat{\tau}$ is a modified contact energy (for a precise definition see [50, Equation (6)]). Owen, Rubinstein, and Sternberg treated the case where admissible functions for the minimization problem for (5) are constrained to satisfy a Dirichlet boundary condition $u = g_\varepsilon$ on $\partial\Omega$ (see [54]). The limiting problem was shown to be

$$\sigma \mathcal{H}^{N-1}(\Sigma) + \int_{\partial\Omega} d_W(\text{Tr } u(x), g(x)) \, d\mathcal{H}^{N-1}(x),$$

where $g_\varepsilon \rightarrow g$ in a suitable sense and the distance d_W is the one induced by the degenerate metric as in (8).

The case where the zero level set of W has a more complicated topology was considered by several authors. The particular situation in which the potential vanishes on two disjoint C^1 curves in \mathbb{R}^2 was considered by Sternberg in [58]. The case where the set Z of zeros of W is a generic compact set in \mathbb{R}^M was studied by Ambrosio in [5], where by considering the canonical quotient space F of (\mathbb{R}^M, d_W) , together with the canonical projection map $\pi: \mathbb{R}^M \rightarrow F$, the author was able to prove that the family of functionals in (5) Γ -converges to

$$\int_{J_{\pi(u)}} d_W(\pi(u^+(x)), \pi(u^-(x))) \, d\mathcal{H}^{N-1}(x). \tag{12}$$

More recently, Lin, Pan, and Wang (see [47]) characterized the asymptotic behavior of sequences of minimizers satisfying a Dirichlet boundary condition in the specific case where Z is the union of two smooth disjoint manifolds $N^+, N^- \subset \mathbb{R}^M$, under the assumption that

$$W(p) := f(d^2(p, N^+ \cup N^-)), \tag{13}$$

where d denotes the distance function, and f is smooth and behaves linearly in a neighborhood of the origin. Their proofs rely on the fact that geodesics for d_W are shown to be segments joining two points of minimal distance between N^+ and N^- .

There are physically relevant cases where the phase variable u is not expected to possess derivatives; thus a different singular perturbation is needed. An example is the continuum limit of the Ising spin system on a lattice, where u represents the magnetization density. In this case the appropriate energy to consider is

$$\frac{1}{\varepsilon} \int_\Omega W(u(x)) \, dx + \frac{1}{\varepsilon} \int_\Omega \int_\Omega \frac{1}{\varepsilon^N} K\left(\frac{y-x}{\varepsilon}\right) |u(y) - u(x)|^2 \, dx \, dy,$$

where K is a ferromagnetic Kac potential, which is assumed to be nonnegative and integrable. This energy was studied by Alberti and Bellettini in [1,2], where they proved that the discrete nature of the problem does not affect the form of the limiting energy, which in turn was shown to still be an anisotropic perimeter functional as in (11). Notice that the integrability assumption on the potential K excludes the classical seminorm for fractional Sobolev spaces $W^{s,p}(\Omega)$ for $0 < s < 1$ and $p > 1$. The one dimensional case for $s = \frac{1}{2}$ and $p = 2$ was considered by Alberti, Bouchitté, and Seppecher in [3], where the authors identified the Γ -limit of the family of functionals

$$\lambda_\varepsilon \int_I W(u(x)) dx + \int_I \int_I \left| \frac{u(y) - u(x)}{y - x} \right|^p dx dy.$$

Here $I \subset \mathbb{R}$ is a bounded interval and $\varepsilon\lambda_\varepsilon \rightarrow l \in (0, \infty)$. The one dimensional case for $p > 2$ was considered by Garroni and Palatucci in [34]. The Γ -convergence for the nonlocal perimeter functional

$$\int_\Omega W(u(x)) dx + \frac{\varepsilon^{2s}}{2} \int_\Omega \int_\Omega \frac{|u(y) - u(x)|^2}{|y - x|^{N+2s}} dx dy + \varepsilon^{2s} \int_{\mathbb{R}^N \setminus \Omega} \int_\Omega \left| \frac{u(y) - u(x)}{y - x} \right|^2 dx dy$$

in the case $N \geq 2$ and $s \in (0, 1)$ was studied by Savin and Valdinoci in [57].

The Euler–Lagrange equation for minimizers of the functional (5) subject to the mass constraint (2) was investigated by Luckhaus and Modica in [48] (see [21] for the anisotropic case). The authors considered the equation

$$2\varepsilon \Delta u + \frac{1}{\varepsilon} W'(u) = \lambda_\varepsilon$$

where $\lambda_\varepsilon \in \mathbb{R}$ is the Lagrange multiplier associated to the mass constraint, and proved that

$$\lambda_\varepsilon \rightarrow \sigma H, \tag{14}$$

as $\varepsilon \rightarrow 0$. Here H denotes the mean curvature of the limiting interface. Formula (14) is known in the physics literature as the *Gibbs–Thomson relation*.

The minimal area interface principle serves as a first selection criterion to choose which of the (infinitely many) minimizers of (1) is physically relevant. More refined information can be obtained by considering the Γ -convergence expansion (see [9,16]) of the energy (3):

$$\mathcal{E}_\varepsilon = \mathcal{E}^{(0)} + \varepsilon \mathcal{E}^{(1)} + \varepsilon^2 \mathcal{E}^{(2)} + \dots,$$

where each $\mathcal{E}^{(i)}$ is the Γ -limit of the family of functionals

$$\mathcal{E}_\varepsilon^{(i)} = \frac{\mathcal{E}_\varepsilon^{(i-1)} - \inf \mathcal{E}^{(i-1)}}{\varepsilon}$$

and $\mathcal{E}_\varepsilon^{(0)} := \mathcal{E}_\varepsilon$. The characterization of the functional $\mathcal{E}^{(1)}$ was carried out by [9,10,13,19,24,45,46] in several cases of interest.

Variants of phase transitions models of the form (5) could also be used to investigate more intricate situations. For instance, the interaction between phase transitions and homogenization phenomena is described by the functional

$$\int_\Omega \left[\frac{1}{\varepsilon} W \left(\frac{x}{\varepsilon}, u(x) \right) + \varepsilon |\nabla u(x)|^2 \right] dx.$$

Here the periodic structure of the material is modeled by the periodicity of the function W in the first variable. The sharp interface model was derived by Braides and Zeppieri in [17]

for the one dimensional case $N = 1$ and by Fonseca, Hagerty, Popovici, and the first author in [22] (see also [20]) for $N > 1$. When the homogenization takes place at the level of the singular perturbation we refer to [7,8,27,28].

All the previous works are based on (variants of) model (5), which describes phenomena where the system is assumed to be under *isothermal* conditions. There are physically relevant situations however, where this is not the case. For instance, consider a homogeneous mixture of a binary system in thermal equilibrium. If we quench the system below a critical temperature, then we would expect phase separation. Since the quenching takes place over a finite amount of time, the assumption of isothermal conditions is not plausible. In addition, there are situations where the phase separation process can be directed by using an external thermal activation (see [4] and the references therein). In all of these cases, the model (5) is not adequate to describe the physics of the phenomenon. A system of evolution equations aimed at modelling phase transitions under nonisothermal conditions was proposed by Penrose and Fife in [56] and by Alt and Pawlow in [4]. The free energy they considered reads as

$$\int_{\Omega} \left[\frac{1}{\varepsilon} W(T(x), u(x)) + \varepsilon K(T(x)) |\nabla u(x)|^2 \right] dx,$$

where $T : \Omega \rightarrow \mathbb{R}$ represents the temperature of the material (or any external field), and K is a given positive function. Here the unknowns of the problem are both the temperature distribution T and the phase parameter u . In particular, it could be the case where the wells of W depend themselves on the temperature, and thus are not necessarily the same for all points $x \in \Omega$. The dependence of W on both of the unknowns poses analytical challenges.

In order to get some insight we assume the distribution of the temperature T to be given a priori and K to be constant. These simplifications allow us to consider a free energy of the form

$$\mathcal{F}_{\varepsilon}(u) := \int_{\Omega} \left[\frac{1}{\varepsilon} W(x, u(x)) + \varepsilon |\nabla u(x)|^2 \right] dx, \tag{15}$$

where the potential $W : \Omega \times \mathbb{R}^M \rightarrow [0, \infty)$ is such that $W(x, p) = 0$ if and only if $p \in \{z_1(x), \dots, z_k(x)\}$, and the $z_i : \Omega \rightarrow \mathbb{R}^M$ are given functions representing the stable phases of the material at each point $x \in \Omega$.

The functional (15) was considered by Ishige in [40] (see also [39]) in the vectorial case, i.e. $M > 1$, when $k = 2$ and z_1, z_2 are constants. To the best of our knowledge, there are only two papers that considered the case where the functions z_i are nonconstant: [58] by Sternberg and [14] by Bouchitté. They both treated the scalar case, i.e. $M = 1$, with *two moving wells*. A specific kind of potential in two dimensions is considered in the former work, while fully coupled singular perturbations in general dimension are treated in the latter. More precisely, in [14] the author considered an energy of the form

$$\mathcal{G}_{\varepsilon}(u) := \frac{1}{\varepsilon} \int_{\Omega} f(x, u(x), \varepsilon Du(x)) dx,$$

where $f(x, u, 0) = 0$ if and only if $u \in \{z_1(x), z_2(x)\}$. The wells z_1 and z_2 are allowed to coincide in a subset of Ω . The limiting functional was shown to be

$$\mathcal{G}_0(u) := \int_{J_u} h(x, v_u(x)) d\mathcal{H}^{N-1}(x),$$

for $u \in BV_{loc}(\Omega_0)$, where $\Omega_0 := \{x \in \Omega : z_1(x) \neq z_2(x)\}$, and ∞ otherwise in $L^1(\Omega)$. Here, for $x \in \Omega$ and $v \in \mathbb{S}^{N-1}$, we define

$$h(x, v_u) := \liminf_{r \rightarrow \infty} \inf_{\gamma} \left\{ \int_0^r f(x, \gamma(t), \gamma'(t)v) dt \right\},$$

where the infimum is taken over all Lipschitz curves γ connecting $z_1(x)$ and $z_2(x)$. The scalar nature of the problem allows to implement techniques that are purely one dimensional and that cannot be adapted to the vectorial case.

In this paper we consider for the first time the energy (15) in the vectorial case, with $k \geq 2$, and for functions z_i which are possibly nonconstant. In particular, we prove that any sequence $\{u_{\varepsilon_n}\}_{n \in \mathbb{N}} \subset H^1(\Omega; \mathbb{R}^M)$ such that

$$\sup \{ \mathcal{F}_{\varepsilon_n}(u_{\varepsilon_n}) : n \in \mathbb{N} \} < \infty,$$

where $\varepsilon_n \rightarrow 0$, converges (eventually extracting a subsequence) to a function $u \in BV(\Omega; \mathbb{R}^M)$ of the form

$$u = \sum_{i=1}^k z_i \mathbb{1}_{\Omega_i}.$$

Here $\{\Omega_1, \dots, \Omega_k\}$ is a Caccioppoli partition of Ω . Moreover, the limiting sharp interface energy is

$$\sum_{i=1}^{k-1} \sum_{j=i+1}^k \int_{\partial^* \Omega_i \cap \partial^* \Omega_j} d_W(x, z_i(x), z_j(x)) d\mathcal{H}^{N-1}(x),$$

where, for $p, q \in \mathbb{R}^M$, $d_W(x, p, q)$ is the geodesic distance induced by the degenerate conformal factor $2\sqrt{W(x, \cdot)}$. Notice that if the wells z_i are independent of x we recover (9). We refer to the next section for the precise statement of the results and for all the assumptions we require.

1.2 Statement of the main results

Let $\Omega \subset \mathbb{R}^N$, $N \geq 2$, be a bounded open set with Lipschitz continuous boundary. Throughout the paper we make the following assumptions on the potential W .

- H.1 $W : \overline{\Omega} \times \mathbb{R}^M \rightarrow [0, \infty)$ is locally Lipschitz continuous, i.e., Lipschitz continuous on every compact subset of $\overline{\Omega} \times \mathbb{R}^M$. Moreover, for every $x \in \overline{\Omega}$, $W(x, p) = 0$ if and only if $p \in \{z_1(x), \dots, z_k(x)\}$, where the functions $z_i : \overline{\Omega} \rightarrow \mathbb{R}^M$ are Lipschitz continuous;
- H.2 There exists $\delta > 0$ such that

$$\min \{ |z_i(x) - z_j(x)| : x \in \overline{\Omega} \text{ and } i \neq j \} \geq \delta;$$

- H.3 There exists $r > 0$ such that if $p \in B(z_i(x), r)$ then

$$W(x, p) = \alpha_i |p - z_i(x)|^2,$$

where $\alpha_i > 0$, for all $i = 1, \dots, k$ and $x \in \Omega$;

- H.4 There exist $R, S > 0$ such that $W(x, p) \geq S|p|$, for all $x \in \Omega$ and all p with $|p| > R$.

Definition 1.1 For $\varepsilon > 0$, let $\mathcal{F}_\varepsilon : L^1(\Omega; \mathbb{R}^M) \rightarrow [0, \infty]$ be the functional defined via

$$\mathcal{F}_\varepsilon(u) := \begin{cases} \int_\Omega \left[\frac{1}{\varepsilon} W(x, u(x)) + \varepsilon |\nabla u(x)|^2 \right] dx & \text{if } u \in H^1(\Omega; \mathbb{R}^M), \\ \infty & \text{otherwise in } L^1(\Omega; \mathbb{R}^M). \end{cases}$$

In order to define the limiting functional, we need to introduce some notation.

Definition 1.2 For $p, q \in \mathbb{R}^M$ consider the class

$$\mathcal{A}(p, q) := \left\{ \gamma \in W^{1,1}((-1, 1); \mathbb{R}^M) : \gamma(-1) = p, \gamma(1) = q \right\} \tag{16}$$

and let $d_W : \overline{\Omega} \times \mathbb{R}^M \times \mathbb{R}^M \rightarrow [0, \infty)$ be the function defined via

$$d_W(x, p, q) := \inf \left\{ \int_{-1}^1 2\sqrt{W(x, \gamma(t))} |\gamma'(t)| dt : \gamma \in \mathcal{A}(p, q) \right\}. \tag{17}$$

It is immediate to verify that for all $x \in \overline{\Omega}$, the function $d_W(x, \cdot, \cdot) : \mathbb{R}^M \times \mathbb{R}^M \rightarrow [0, \infty)$ defines a distance on \mathbb{R}^M . The existence of solutions to the minimization problem (17), referred to as *minimizing geodesics* throughout the paper, is a classical problem which has been the subject of investigation of several studies. Since our proofs rely on a precise understanding of the dependence of minimizing geodesics on the variable x , our approach (see Proposition 3.2) requires more stringent assumptions on W than the ones required by Zuniga and Sternberg in [62, Theorem 2.5] (see also [53]), and is in spirit closer to the work of Sternberg [59].

We can now define our limiting functional. For all the relevant definitions we refer the reader to Sect. 2 (see, in particular, Definition 2.9).

Definition 1.3 Set

$$BV(\Omega; z_1, \dots, z_k) := \left\{ u \in BV(\Omega; \mathbb{R}^M) : u(x) \in \{z_1(x), \dots, z_k(x)\} \text{ for } \mathcal{L}^N\text{-a.e. } x \in \Omega \right\},$$

and let $\mathcal{F}_0 : L^1(\Omega; \mathbb{R}^M) \rightarrow [0, \infty]$ be the functional defined via

$$\mathcal{F}_0(u) := \begin{cases} \int_{J_u} d_W(x, u^+(x), u^-(x)) d\mathcal{H}^{N-1}(x) & \text{if } u \in BV(\Omega; z_1, \dots, z_k), \\ \infty & \text{otherwise in } L^1(\Omega; \mathbb{R}^M). \end{cases}$$

Throughout the rest of the paper we fix $\{\varepsilon_n\}_{n \in \mathbb{N}} \subset (0, \infty)$ with $\varepsilon_n \rightarrow 0$ as $n \rightarrow \infty$, and we write \mathcal{F}_n for $\mathcal{F}_{\varepsilon_n}$. We are now in position to state our main result.

Theorem 1.4 *Let W be given as in (H.1)–(H.4) and let $\{u_n\}_{n \in \mathbb{N}} \subset H^1(\Omega; \mathbb{R}^M)$ be such that*

$$\sup \{ \mathcal{F}_n(u_n) : n \in \mathbb{N} \} < \infty.$$

Then, eventually extracting a subsequence (not relabeled), we have that $u_n \rightarrow u$ in $L^1(\Omega; \mathbb{R}^M)$, where $u \in BV(\Omega; z_1, \dots, z_k)$ is such that $\mathcal{F}_0(u) < \infty$. Moreover, the sequence of functionals \mathcal{F}_n Γ -converges with respect to the L^1 -topology to \mathcal{F}_0 .

The proofs we present are robust and can be adapted to work also for several variants of the problem. In this paper we focus on two of these: the mass constrained problem and the case of Dirichlet boundary conditions.

Fix $\mathcal{M} = (m_1, \dots, m_M) \in \mathbb{R}^M$ in such a way that

$$\min_{1 \leq i \leq k} \int_{\Omega} z_i^j(x) dx \leq m_j \leq \max_{1 \leq i \leq k} \int_{\Omega} z_i^j(x) dx$$

for every $j = 1, \dots, M$, where $z_i^j(x)$ denotes the j th component of $z_i(x)$.

Theorem 1.5 *Let W be given as in (H.1)–(H.4) and let $\mathcal{M} \in \mathbb{R}^M$ be as above. For $n \in \mathbb{N}$, let*

$$\mathcal{F}_n^{\mathcal{M}}(u) := \begin{cases} \mathcal{F}_n(u) & \text{if } u \in H^1(\Omega; \mathbb{R}^M) \text{ with } \int_{\Omega} u(x) dx = \mathcal{M}, \\ \infty & \text{otherwise in } L^1(\Omega; \mathbb{R}^M). \end{cases}$$

Then the followings hold:

(i) *if $\{u_n\}_{n \in \mathbb{N}} \subset H^1(\Omega; \mathbb{R}^M)$ is such that*

$$\sup\{\mathcal{F}_n^{\mathcal{M}}(u_n) : n \in \mathbb{N}\} < \infty,$$

then, eventually extracting a subsequence (not relabeled), we have that $u_n \rightarrow u$ in $L^1(\Omega; \mathbb{R}^M)$, where $u \in BV(\Omega; z_1, \dots, z_k)$ is such that $\mathcal{F}_0^{\mathcal{M}}(u) < \infty$. Here the functional $\mathcal{F}_0^{\mathcal{M}}$ is defined via

$$\mathcal{F}_0^{\mathcal{M}}(u) := \begin{cases} \mathcal{F}_0(u) & \text{if } u \in BV(\Omega; z_1, \dots, z_k) \text{ with } \int_{\Omega} u(x) dx = \mathcal{M}, \\ \infty & \text{otherwise in } L^1(\Omega; \mathbb{R}^M). \end{cases}$$

(ii) *The sequence of functionals $\mathcal{F}_n^{\mathcal{M}}$ Γ -converges with respect to the L^1 -topology to $\mathcal{F}_0^{\mathcal{M}}$.*

Using the results in [41], we deduce the following corollary.

Corollary 1.6 *Under the assumptions of Theorem 1.5, let $u_0 \in BV(\Omega; z_1, \dots, z_k)$ be an L^1 -isolated local minimizer for $\mathcal{F}_0^{\mathcal{M}}$, namely there exists $\lambda > 0$ such that*

$$\mathcal{F}_0^{\mathcal{M}}(u_0) < \mathcal{F}_0^{\mathcal{M}}(v)$$

for all $v \in L^1(\Omega; \mathbb{R}^M)$ with $0 < \|v - u_0\|_{L^1(\Omega; \mathbb{R}^M)} < \lambda$. Then there exists $\{u_n\}_{n \in \mathbb{N}} \subset H^1(\Omega; \mathbb{R}^M)$ where each u_n is an L^1 -local minimizer for $\mathcal{F}_n^{\mathcal{M}}$, such that $u_n \rightarrow u_0$ in $L^1(\Omega; \mathbb{R}^M)$.

Next, we consider the case where a Dirichlet condition is imposed on the boundary of Ω .

Theorem 1.7 *Let W be given as in (H.1)–(H.4) and fix $g \in \text{Lip}(\partial\Omega; \mathbb{R}^M)$ with*

$$\min\{|z_i(x) - g(x)| : x \in \partial\Omega, i \in \{1, \dots, k\}\} \geq \delta.$$

For $n \in \mathbb{N}$ define

$$\mathcal{F}_n^D(u) := \begin{cases} \mathcal{F}_n(u) & \text{if } u \in H^1(\Omega; \mathbb{R}^M) \text{ with } \text{Tr } u = g \text{ on } \partial\Omega, \\ \infty & \text{otherwise in } L^1(\Omega; \mathbb{R}^M). \end{cases}$$

Then the followings hold:

(i) if $\{u_n\}_{n \in \mathbb{N}} \subset H^1(\Omega; \mathbb{R}^M)$ is such that

$$\sup \left\{ \mathcal{F}_n^D(u_n) : n \in \mathbb{N} \right\} < \infty,$$

then, eventually extracting a subsequence (not relabeled), we have that $u_n \rightarrow u$ in $L^1(\Omega; \mathbb{R}^M)$, where $u \in BV(\Omega; z_1, \dots, z_k)$ is such that $\mathcal{F}_0^D(u) < \infty$. Here the functional \mathcal{F}_0^D is defined via

$$\mathcal{F}_0^D(u) := \mathcal{F}_0(u) + \int_{\partial\Omega} d_W(x, \text{Tr } u(x), g(x)) d\mathcal{H}^{N-1}(x).$$

(ii) The sequence of functionals \mathcal{F}_n^D Γ -converges with respect to the L^1 -topology to \mathcal{F}_0^D .

1.3 Sketch of the strategy

Despite the fact that the strategy we have to follow is clear, the path to the proof of the main result (Theorem 1.4) is studded with technical difficulties.

First of all, we comment on the compactness result. For clarity of exposition, assume that $k = 2$, i.e., there are only two wells, namely z_1, z_2 . From the energy bound and Young’s inequality we get

$$\sup_{n \in \mathbb{N}} \int_{\Omega} 2\sqrt{W(x, u_n(x))} |\nabla u_n(x)| dx \leq \sup_{n \in \mathbb{N}} \mathcal{F}_n(u_n) < \infty.$$

In the case where W is independent of x , and thus z_1, z_2 are constant, the proof originally proposed by Modica in [49] (see also [33]) proceeds as follows: it can be checked that

$$\sup_{n \in \mathbb{N}} |D(w \circ u_n)|(\Omega) \leq \sup_{n \in \mathbb{N}} \int_{\Omega} 2\sqrt{W(x, u_n(x))} |\nabla u_n(x)| dx, \tag{18}$$

where $w(p) := d_W(p, z_1)$, and therefore the BV -compactness implies that $w \circ u_n \rightarrow w \circ u$ in $L^1(\Omega)$, where $w \circ u \in BV(\Omega)$. From this, one can then deduce that $u \in BV(\Omega)$ and that it only takes the values z_1, z_2 . In our case, since W depends on x , instead of (18) we get

$$\sup_{n \in \mathbb{N}} \int_{\Omega} |\nabla_y g_n(x, x)| dx \leq \sup_{n \in \mathbb{N}} \int_{\Omega} 2\sqrt{W(x, u_n(x))} |\nabla u_n(x)| dx,$$

where

$$g_n(x, y) := d_W(x, z_1(x), u_n(y)).$$

Therefore, in order to apply BV -compactness for the sequence of functions $\{g_n\}_{n \in \mathbb{N}}$, we need a control on the other derivatives as well. Notice that this does *not* come from the energy bound, and is achieved by showing that the function $x \mapsto d_W(x, p, q)$ is Lipschitz continuous for every $p, q \in \mathbb{R}^M$ (see Corollary 3.5). We prove this by first deriving a uniform upper bound on the Euclidean length of minimizing geodesics for our degenerate metric (see Proposition 3.2); we discuss this at the end of this section.

Here a note is a must. To the best of our knowledge, the strategy that we summarized above is *the* way to get compactness for this kind of problems. Indeed, in every papers that treated the issue (see, for example, [12,32,33]), suitable assumptions are required in order to use the argument described above.

We remark that in [11] it was assumed that (for a potential W independent of x)

$$W(p) \geq \sup_K W \tag{19}$$

for every $p \notin K$, where $K := [k_1, k_2]^M$. Since W is continuous, (19) implies that W is constant on ∂K , which is a rather restrictive assumption on W . Moreover, since for $M > 1$ the space $H^1(\Omega; \mathbb{R}^M)$ is not closed under truncation, we instead replace (19) with (H.4) and consider projections rather than truncations in order to reduce to a sequence $\{u_n\}_{n \in \mathbb{N}}$ bounded in $L^\infty(\Omega; \mathbb{R}^M)$ (see Step 2 in Proposition 4.1).

The strategy we use to prove the liminf inequality is the blow-up method introduced by Fonseca and Müller in [31]. To summarize the argument it is not restrictive to assume that $\Omega = Q \subset \mathbb{R}^N$ is the unit cube with faces orthogonal to the coordinate axes and that $u \in BV(\Omega; \mathbb{R}^M)$ is defined via

$$u(x) := \begin{cases} z_1(x) & \text{if } x_N < 0, \\ z_2(x) & \text{if } x_N \geq 0. \end{cases}$$

Let $\{\rho_m\}_{m \in \mathbb{N}} \subset (0, 1)$ be such that $\rho_m \rightarrow 0$, and consider the rescaled cubes $Q_{\rho_m} := \rho_m \bar{Q}$. Let $\{u_n\}_{n \in \mathbb{N}}$ be a sequence of functions in $H^1(\Omega; \mathbb{R}^M)$ such that $u_n \rightarrow u$ in $L^1(\Omega; \mathbb{R}^M)$. For the sake of the argument, assume in addition that $u_n(x) = z_1(x)$ if $x_N = -\rho_m/2$, and that $u_n(x) = z_2(x)$ if $x_N = \rho_m/2$. Our aim is to show that

$$\lim_{m \rightarrow \infty} \liminf_{n \rightarrow \infty} \frac{1}{\rho_m^{N-1}} \int_{Q_{\rho_m}} \left[\frac{1}{\varepsilon_n} W(x, u_n(x)) + \varepsilon_n |\nabla u_n(x)|^2 \right] dx \geq d_W(0, z_1(0), z_2(0)). \tag{20}$$

Since the map $x \mapsto W(x, p)$ is continuous, by an application of Young’s inequality and Tonelli’s theorem one expects to obtain

$$\begin{aligned} & \frac{1}{\rho_m^{N-1}} \int_{Q_{\rho_m}} \left[\frac{1}{\varepsilon_n} W(x, u_n(x)) + \varepsilon_n |\nabla u_n(x)|^2 \right] dx \\ & \geq \frac{1}{\rho_m^{N-1}} \int_{Q_{\rho_m}} 2\sqrt{W(x, u_n(x))} |\nabla u_n(x) \cdot e_N| dx \\ & \sim \int_{-1}^1 2\sqrt{W(0, u_n(0', t))} |\nabla u_n(0', t) \cdot e_N| dt \\ & \geq d_W(0, z_1(0), z_2(0)), \end{aligned}$$

where in the previous to last line we used the notation $(0', t) = (0, \dots, 0, t) \in \mathbb{R}^N$, for $t \in \mathbb{R}$. One possible way make this heuristics rigorous is the following:

$$\begin{aligned} & \frac{1}{\rho_m^{N-1}} \int_{Q_{\rho_m}} \left[\frac{1}{\varepsilon_n} W(x, u_n(x)) + \varepsilon_n |\nabla u_n(x)|^2 \right] dx \\ & \geq \frac{2}{\rho_m^{N-1}} \int_{Q'_m} \int_{-1}^1 \sqrt{W((x', \rho_m s), \tilde{u}_n(x', s))} |\nabla \tilde{u}_n(x', s) \cdot e_N| ds dx' \end{aligned}$$

where $Q'_m := \{x' : (x', 0) \in Q_{\rho_m}\}$ and $\tilde{u}_n(x', s) := u_n(x', \rho_m s)$. To conclude, one would need to prove that the function

$$x' \mapsto \inf \left\{ \int_{-1}^1 2\sqrt{W((x', \rho_m s), \gamma(s))} |\gamma'(s)| ds : \gamma \in \mathcal{A}(z_1(0), z_2(0)) \right\} \tag{21}$$

is continuous. Notice that the minimization problem on the right-hand side of (21) is significantly different from the geodesic problem (17), in that in (21) the conformal factor depends also on the variable of integration s . One way to prove the continuity is to show that curves solving that minimization problem have uniformly finite Euclidean length (or at least that

there exists one such curve enjoying this property) and then exploit the Lipschitz continuity of W . However, in the present work we choose to reason as follows. Define

$$F_m(p) := \min \left\{ 2\sqrt{W(x, p)} : x \in Q_{\rho_m} \right\}.$$

Then one can show that

$$\frac{1}{\rho_m^{N-1}} \int_{Q_{\rho_m}} \left[\frac{1}{\varepsilon_n} W(x, u_n(x)) + \varepsilon_n |\nabla u_n(x)|^2 \right] dx \geq d_{F_m}(z_1(0), z_2(0)),$$

where

$$d_{F_m}(p, q) := \inf \left\{ \int_{-1}^1 F_m(\gamma(t)) |\gamma'(t)| dt : \gamma \in \mathcal{A}(p, q) \right\}. \tag{22}$$

With this in hand, to conclude (see (20)) it is sufficient to show that

$$\lim_{m \rightarrow \infty} d_{F_m}(z_1(0), z_2(0)) = d_W(0, z_1(0), z_2(0)).$$

Notice that the function F_m vanishes on the set

$$Z = \bigcup_{i=1}^k z_i(Q_{r_m}).$$

In view of (H.2), we can assume m large enough so that the sets $z_i(Q_{r_m})$ are pairwise disjoint. Let us remark that the advantage to work with (22) instead of (21) is that the latter is a purely geometric problem, i.e., the functional that we aim at minimizing does not depend on the specific choice of the parametrization. We are able to prove an *explicit* upper bound on the Euclidean length of certain solutions to the minimization problem in (22) (see Proposition 3.2). Furthermore, since the only property of Z that is needed in the proof is that it is the union of the images of a compact convex set through the z_i 's, the argument also works for the case where $Z = \{z_1(x), \dots, z_k(x)\}$ for some $x \in \Omega$. The strategy we use is the following. First of all, we show that the specific behavior of the potential in a neighborhood of the wells yields

$$F_m(z) = 2\sqrt{\alpha_i} d_i(z) \tag{23}$$

if $z \in \mathbb{R}^M$ is sufficiently close to Z , where $d_i(z)$ denotes the distance between z and $z_i(Q_{\rho_m})$. Given $p, q \in \mathbb{R}^M$ we want to show that solutions to (22) have uniformly finite Euclidean length. We only discuss the case where p and q belong to a neighborhood of $z_i(Q_{\rho_m})$ for some $i \in \{1, \dots, k\}$ since the general case will be obtained by using the upper bound for each $i \in \{1, \dots, k\}$ and (H.4) to get an upper bound of the length of geodesics outside of these neighborhoods. We consider three cases:

- (i) If $p, q \in z_i(Q_{\rho_m})$, then a minimizing geodesic is simply given by the image through z_i of a segment connecting two points in $z_i^{-1}(\{p\})$ and $z_i^{-1}(\{q\})$ respectively;
- (ii) If $p \in z_i(Q_{\rho_m})$ and $q \notin z_i(Q_{\rho_m})$, let us denote by q' one projection of q on $z_i(Q_{\rho_m})$. Then the curves obtained by first connecting q and q' with a segment and then q' and p with a curve in $z_i(Q_{\rho_m})$ is a solution to the minimization problem in (22). The proof of this uses the co-area formula and that each curve connecting p and q must traverse every level set of d_i lower than $d_i(q)$. This latter fact follows by using (23);
- (iii) If $p, q \notin z_i(Q_{\rho_m})$ we are able to prove the existence of a minimizing geodesic $\gamma \in \mathcal{A}(p, q)$ with the property that

$$L(\gamma) \leq |p - p'| + |q - q'| + \text{Lip}(z_i) \text{diam}(Q_{\rho_m}).$$

Here $L(\gamma)$ is the Euclidean length of γ , and p' and q' denote projections on $z_i(Q_{\rho_m})$ of p and q , respectively.

Next, we would like to comment on a hypothesis used to get the liminf inequality in the work [40] by Ishige. There the author considered potentials $W : \Omega \times \mathbb{R}^M \rightarrow [0, \infty)$ such that, for all $x \in \Omega$, $W(x, p) = 0$ if and only if $p \in \{\alpha, \beta\}$, for fixed $\alpha, \beta \in \mathbb{R}^M$ and satisfy the following property: for each $\lambda_1 > 0$ there exists $\lambda_2 > 0$ such that for all $p \in \mathbb{R}^M$ it holds

$$\left| \sqrt{W(x, p)} - \sqrt{W(y, p)} \right| \leq \lambda_1 \sqrt{W(x, p)} \tag{24}$$

whenever $|x - y| \leq \lambda_2$. As remarked in [40], (24) is satisfied if, for example, $W(x, p) = h(x)U(p)$, with $h > 0$. We notice that assumption (24) does not hold even in the simple case of a single moving well. For this reason one cannot immediately adapt the proof in [40] to our case.

The construction of the recovery sequence is carried out as follows. Thanks to Lemma 4.4 we can assume

$$u = \sum_{i=1}^k z_i \mathbb{1}_{\Omega^i}$$

where $\{\Omega_1, \dots, \Omega_k\}$ is a Caccioppoli partition of Ω and $\partial\Omega^i \cap \Omega$ is contained in a finite union of hyperplanes, for each $i = 1, \dots, k$. For the sake of exposition, we just discuss how to build the recovery sequence in a neighborhood of a connected component Σ of $\partial\Omega^i \cap \Omega$ contained in an hyperplane. Without loss of generality, we can assume that $\Sigma \subset \{x_N = 0\}$. The quantity we want to approximate is

$$\int_{\Sigma} d_W(x, u^-(x), u^+(x)) d\mathcal{H}^{N-1}(x).$$

To fix the ideas, let us assume that $u^-(x) = z_1(x)$, and $u^+(x) = z_2(x)$ for all $x \in \Sigma$. Consider a grid of $(N - 1)$ -dimensional cubes $Q'(\bar{y}_i, r_n) \subset \{x_N = 0\} \sim \mathbb{R}^{N-1}$ of side length $r_n > 0$ and center $\bar{y}_i \in \{x_N = 0\}$. Identify a point $x \in \mathbb{R}^N$ with the pair (y, t) where $y \in \mathbb{R}^{N-1}$ and $t \in \mathbb{R}$. Since the map $x \mapsto d_W(x, z_1(x), z_2(x))$ is continuous (see Corollary 3.5), it is enough to approximate

$$\sum_i d_W(\bar{y}_i, z_1(\bar{y}_i), z_2(\bar{y}_i)) \mathcal{H}^{N-1}(Q'(\bar{y}_i, r_n)).$$

The advantage of considering this discretization is the following: for each $(y, t) \in Q'(\bar{y}_i, r_n) \times (0, \tau_n)$, for some $\tau_n > 0$ with $\tau_n \rightarrow 0$ as $n \rightarrow \infty$, we can simply consider a suitable reparametrization of a geodesic $\gamma_i \in \mathcal{A}(z_1(\bar{y}_i), z_2(\bar{y}_i))$ for d_W , instead of taking a different geodesic for each $x \in Q'(\bar{y}_i, r_n)$. This comes at the cost of having to perform two gluing constructions in order for the function we define to have the required regularity $H^1(\Omega; \mathbb{R}^M)$. The first one is to use cut-off functions to transition between the geodesics considered in each adjacent cube. The second one is to match the value $z_i(\bar{y}_i)$ with $z_i(y, \tau_n)$. This will be done by using a linear interpolation. The technical difficulty is to show that the energy contribution of these gluing constructions is asymptotically negligible.

1.4 A discussion on the assumptions

It is immediate to verify that in view of (H.1), (H.3), and (H.4), there exists a positive number η such that

$$W(x, p) \geq \eta \quad \text{for all } (x, p) \in \Omega \times \left(\mathbb{R}^M \setminus \bigcup_{i=1}^k B(z_i(x), r/2) \right). \tag{25}$$

Let us mention here that while assumption (H.4) is required in order to obtain compactness of sequences with uniformly bounded energies, it is possible to prove the Γ -convergence results of Sect. 1.2 by assuming (H.1)–(H.3), and (25) in place of (H.4). We refer the reader to Sect. 5.4 for more details.

Finally, we notice that (H.2), (H.3), and (25) are only needed in order to obtain the results of Sect. 3 (see Proposition 3.2 and Corollary 3.5). If the results of Sect. 3 could be obtained with weaker assumptions than (H.2) and (H.3), then the statements and the proofs of the main results would require a few adjustments, as we explain below. First of all, we notice that (H.2), (H.3), and (25) imply that

$$d_W(x, z_i(x), z_j(x)) \geq C > 0$$

for all $i \neq j \in 1, \dots, k$ and $x \in \Omega$. Therefore, the functional $\widetilde{\mathcal{F}}_0: L^1(\Omega; \mathbb{R}^M) \rightarrow [0, +\infty]$ defined as

$$\widetilde{\mathcal{F}}_0(u) := \begin{cases} \int_{J_u} d_W(x, u^+(x), u^-(x)) d\mathcal{H}^{N-1}(x) & \text{if } u(x) \in \{z_1(x), \dots, z_k(x)\} \text{ for } \mathcal{L}^N\text{-a.e. } x \in \Omega, \\ \infty & \text{otherwise in } L^1(\Omega; \mathbb{R}^M), \end{cases}$$

is finite only if $u \in BV(\Omega; z_1, \dots, z_k)$ with $\mathcal{H}^{N-1}(J_u) < \infty$. In particular, it coincides with \mathcal{F}_0 (see Definition 1.3). Notice that $\widetilde{\mathcal{F}}_0$ is well defined since J_u is countably \mathcal{H}^{N-1} -rectifiable for all $u \in L^1_{\text{loc}}(\Omega; \mathbb{R}^M)$ (see [26]). On the other hand, if (H.2) does not hold, i.e., if

$$\min \{ |z_i(x) - z_j(x)| : x \in \overline{\Omega}, i \neq j \} = 0,$$

then there could exist $u \in L^1(\Omega; \mathbb{R}^M)$ such that $\widetilde{\mathcal{F}}_0(u) < \infty$, but u is *not* of bounded variation, as the following remark shows.

Remark 1.8 Take $N = M = k = 2$, $\Omega = (-1, 1)^2$, $W(x, p) := |p - z_1(x)|^2 |p - z_2(x)|^2$, where

$$z_1(x_1, x_2) := (x_1, 0), \quad z_2(x_1, x_2) := \begin{cases} (x_1, x_1^2) & \text{if } x_1 \geq 0, \\ (x_1, 0) & \text{if } x_1 < 0. \end{cases}$$

Notice that W satisfies (H.1), (H.3), and (H.4), but not (H.2). Let $f(t) := \sin(t^{-2})$, and consider the function

$$u(x_1, x_2) := \begin{cases} z_1(x) & \text{if } x_2 < f(x_1), \\ z_2(x) & \text{if } x_2 \geq f(x_1). \end{cases}$$

Fix $x = (x_1, x_2) \in \Omega$ with $x_1 > 0$ and let $\gamma: [-1, 1] \rightarrow \mathbb{R}^2$ be the curve given by

$$\gamma(t) := \left(x_1, \frac{t+1}{2} x_1^2 \right).$$

As one can readily check, $\gamma \in \mathcal{A}(z_1(x), z_2(x))$ and

$$d_W(x, z_1(x), z_2(x)) \leq \int_{-1}^1 2\sqrt{W(x, \gamma(t))}|\gamma'(t)| dt \leq x_1^6.$$

Therefore, by means of a direct computation we see that

$$\widetilde{\mathcal{F}}_0(u) \leq \int_0^1 x_1^6 \sqrt{1 + |f'(x_1)|^2} dx_1 < \infty,$$

while on the other hand we have

$$\begin{aligned} \int_{J_u} |z_1(x) - z_2(x)| d\mathcal{H}^1(x) &= \int_0^1 x_1^2 \sqrt{1 + |f'(x_1)|^2} dx_1 \\ &\geq \int_0^1 x_1^2 |f'(x_1)| dx_1 = \int_1^\infty \frac{|\cos(t)|}{t} dt \geq \sum_{n=1}^\infty \int_{\frac{\pi}{4} + 2\pi n}^{\frac{3\pi}{4} + 2\pi n} \frac{1}{2t} dt = \infty. \end{aligned}$$

Consequently, $\widetilde{\mathcal{F}}_0(u) < \infty$, but u is not of bounded variation. Moreover, notice that the jump set of the function u is not the boundary of a partition of Ω .

Theorem 1.9 *Let W be given as in (H.1) and (H.4), and assume that the conclusions of Proposition 3.2 and Corollary 3.5 hold true. Then the following hold:*

(i) *if $\{u_n\}_{n \in \mathbb{N}} \subset H^1(\Omega; \mathbb{R}^M)$ is such that*

$$\sup \{ \mathcal{F}_n(u_n) : n \in \mathbb{N} \} < \infty,$$

then, eventually extracting a subsequence (which we do not relabel), we have that $u_n \rightarrow u$ in $L^1(\Omega; \mathbb{R}^M)$, where u is such that $u(x) \in \{z_1(x), \dots, z_k(x)\}$ for \mathcal{L}^N -a.e. $x \in \Omega$, and $\widetilde{\mathcal{F}}_0(u) < \infty$.

(ii) *The sequence of functionals \mathcal{F}_n Γ -converges with respect to the L^1 -topology to $\widetilde{\mathcal{F}}_0$.*

1.5 Outline of the paper

The paper is organized as follows. In Sect. 2 we introduce the notation and we recall the definitions of the mathematical objects we will need for our analysis. The Lipschitz continuity of the function $x \mapsto d_W(x, z_i(x), z_j(x))$ is shown in Corollary 3.5. The proof makes use of a result obtained in the first part of Sect. 3, namely the fact that geodesics for d_W (and also for more degenerate conformal factors) joining two points in a compact subset of \mathbb{R}^M have uniformly bounded Euclidean length (see Proposition 3.2). The proof of Theorem 1.4 is divided in three parts: in Sect. 4.1 we prove the compactness result, while Sects. 4.2 and 4.3 are devoted at obtaining the liminf and the limsup inequality, respectively. Finally, in Sect. 5 we discuss how to suitably modify the arguments we used to prove Theorem 1.4 in order to obtain Theorems 1.5, 1.7, and 1.9.

2 Preliminaries

For the convenience of the reader, in this section we collect a few definitions and tools used throughout the paper.

2.1 Radon measures

Let $\mathcal{M}(\Omega)$ be the space of finite Radon measures on Ω . We recall that in view of the Riesz representation theorem (see, for example, [30, Theorem 1.200]), if we denote by $C_0(\Omega)$ the completion with respect to the L^∞ norm of the space of continuous functions with compact support in Ω , then the dual of $C_0(\Omega)$ can be identified with $\mathcal{M}(\Omega)$. The subset of $\mathcal{M}(\Omega)$ consisting of all finite nonnegative Radon measures on Ω will be denoted by $\mathcal{M}^+(\Omega)$. For the sake of brevity, the results of this section are stated in the form that will be used in the paper; for this reason we refer the reader to the monographs [29,30] for a more detailed treatment of these topics.

Definition 2.1 We say that a sequence $\{\mu_n\}_{n \in \mathbb{N}} \subset \mathcal{M}^+(\Omega)$ *weakly-** converges to $\mu \in \mathcal{M}^+(\Omega)$, and we write $\mu_n \xrightarrow{*} \mu$, if

$$\lim_{n \rightarrow \infty} \int_{\Omega} \varphi \, d\mu_n \rightarrow \int_{\Omega} \varphi \, d\mu$$

for all $\varphi \in C_0(\Omega)$.

The first result of this section gives a simple criterion for weak- $*$ compactness of measures. For a proof see [30, Proposition 1.202].

Theorem 2.2 *Let $\{\mu_n\}_{n \in \mathbb{N}} \subset \mathcal{M}^+(\Omega)$ be a sequence of finite nonnegative Radon measures such that*

$$\sup \{\mu_n(\Omega) : n \in \mathbb{N}\} < \infty.$$

Then there exist a subsequence (not relabeled) and a measure $\mu \in \mathcal{M}^+(\Omega)$ such that $\mu_n \xrightarrow{} \mu$.*

The following lemma is a key ingredient in the proof of the liminf inequality (see Proposition 4.2). For a proof see [30, Theorem 1.203(iii)].

Lemma 2.3 *Let $\{\mu_n\}_{n \in \mathbb{N}} \subset \mathcal{M}^+(\Omega)$ be a sequence of finite nonnegative Radon measures such that $\mu_n \xrightarrow{*} \mu$. Then*

$$\lim_{n \rightarrow \infty} \mu_n(A) = \mu(A)$$

for every Borel set $A \subset \Omega$ with $\mu(\partial A) = 0$.

Remark 2.4 For our purposes, the condition $\mu(\partial A) = 0$ in Lemma 2.3 is not very restrictive. Indeed, fix $x \in \Omega$ and let E be an open convex set that contains x . Consider the family $\{E_\rho\}_{\rho>0}$ of rescaled copies of E , i.e., let $E^\rho := x + \rho(E - x)$. Since by assumption μ is a *finite* Radon measure, it is immediate to verify that the set

$$\{\rho > 0 : \mu(\partial E_\rho) > 0\}$$

is at most countable. Indeed, take $\bar{\rho} > 0$ such that $E_{\bar{\rho}} \subset \Omega$. Then, for each $m \in \mathbb{N}$, consider the set

$$A_m := \left\{ \rho \in (0, \bar{\rho}) : \frac{1}{m+1} < \mu(\partial E_\rho) \leq \frac{1}{m} \right\}.$$

Then

$$\sum_{m=1}^{\infty} \frac{1}{m+1} \mathcal{L}^0(A_m) \leq \mu(E_{\bar{\rho}}) < \infty,$$

yielding that each A_m is at most finite (and not all of them can be non-empty). In particular, if $\mu_n \xrightarrow{*} \mu$, the argument above shows that

$$\lim_{n \rightarrow \infty} \mu_n(E_\rho) = \mu(E_\rho)$$

for all but at most countably many values of $\rho < \bar{\rho}$.

In an approximation result needed for the limsup inequality (see Proposition 4.3) we will also make use of another notion of convergence for measures.

Definition 2.5 Let $\{\mu_n\}_{n \in \mathbb{N}} \subset \mathcal{M}^+(\Omega)$ be a sequence of finite nonnegative Radon measures. We say that μ_n converges in $(C_b(\Omega))'$ to $\mu \in \mathcal{M}^+(\Omega)$ if

$$\lim_{n \rightarrow \infty} \int_{\Omega} \varphi d\mu_n \rightarrow \int_{\Omega} \varphi d\mu$$

for all $\varphi \in C_b(\Omega)$. Here $C_b(\Omega)$ denotes the space of continuous bounded functions on Ω .

Since $C_0(\Omega) \subset C_b(\Omega)$, if μ_n converges in $(C_b(\Omega))'$ to μ , then $\mu_n \xrightarrow{*} \mu$. The opposite is true if, in addition, we know that the limit measure does not charge the boundary of Ω , as shown in the next result (for a proof see [30, Proposition 1.206]).

Lemma 2.6 Let $\{\mu_n\}_{n \in \mathbb{N}} \subset \mathcal{M}^+(\Omega)$ be a sequence of finite nonnegative Radon measures such that $\mu_n \xrightarrow{*} \mu$ for some $\mu \in \mathcal{M}^+(\Omega)$, and assume that

$$\lim_{n \rightarrow \infty} \mu_n(\Omega) = \mu(\Omega).$$

Then μ_n converges in $(C_b(\Omega))'$ to μ .

We conclude this list of results on Radon measures with a well-known theorem from measure theory. The result presented below allows to recover the absolutely continuous part of a measure with respect to another via a differentiation process. For a proof we refer the reader to [30, Theorem 1.153 and Remark 1.154].

Theorem 2.7 (Besicovitch derivation theorem). Let $\mu, \nu \in \mathcal{M}^+(\Omega)$, and write $\nu = \nu^{ac} + \nu^s$, where $\nu^{ac} \ll \mu$, and $\nu^s \perp \mu$. Let $C \subset \mathbb{R}^N$ be an open convex set that contains the origin. Then there exists a Borel set $S \subset \Omega$ with $\mu(S) = 0$ such that

$$\frac{d\nu^{ac}}{d\mu}(x) = \lim_{\rho \rightarrow 0} \frac{\nu(x + \rho C)}{\mu(x + \rho C)} \in [0, \infty),$$

and

$$\lim_{\rho \rightarrow 0} \frac{\nu^s(x + \rho C)}{\mu(x + \rho C)} = 0,$$

for all $x \in \Omega \setminus S$.

2.2 Functions of bounded variation

We start by recalling basic definitions and well known properties of functions of bounded variation and sets of finite perimeter. We refer the reader to [6] for more details.

Definition 2.8 Let $u \in L^1(\Omega; \mathbb{R}^M)$. We say that u is a function of bounded variation if its distributional derivative Du is a finite matrix-valued Radon measure on Ω . In particular,

$$|Du|(\Omega) = \sup \left\{ \sum_{i=1}^M \int_{\Omega} u^i(x) \operatorname{div} \varphi^i(x) \, dx : \varphi \in C_c^\infty(\Omega; \mathbb{R}^{M \times N}), \|\varphi\|_{L^\infty} \leq 1 \right\}.$$

In this case we write $u \in BV(\Omega; \mathbb{R}^M)$.

Definition 2.9 Let $u \in L^1(\Omega; \mathbb{R}^M)$. We define $J_u \subset \Omega$, the jump set of u , as the set of points $x \in \Omega$ such that there exist distinct vectors $a, b \in \mathbb{R}^M$ and a direction $v \in \mathbb{S}^{N-1}$ for which

$$\lim_{\rho \rightarrow 0^+} \frac{1}{\rho^N} \int_{B^+(x, v, \rho)} |u(y) - a| \, dy = \lim_{\rho \rightarrow 0^+} \frac{1}{\rho^N} \int_{B^-(x, v, \rho)} |u(y) - b| \, dy = 0,$$

where

$$B^+(x, v, \rho) := v_+(x) \cap B(x, \rho), \quad B^-(x, v, \rho) := v_-(x) \cap B(x, \rho),$$

and

$$v_+(x) := \{y \in \mathbb{R}^N : (y - x) \cdot v \geq 0\}, \quad v_-(x) := \{y \in \mathbb{R}^N : (y - x) \cdot v \leq 0\}.$$

If $x \in J_u$, we denote the triple (a, b, v) as $(u^+(x), u^-(x), \nu_u(x))$. Notice that $(u^+(x), u^-(x), \nu_u(x))$ is unique up to replacing $\nu_u(x)$ with $-\nu_u(x)$ and interchanging $u^+(x)$ and $u^-(x)$.

Remark 2.10 Notice that using cubes instead of balls in Definition 2.9 yields an analogous characterization of the jump set. In order to keep the notation as simple as possible, in the proof of the liminf inequality (see Proposition 4.2) it will be convenient to consider cubes with two faces orthogonal to the vector ν_u .

The next result concerns the structure of the jump set and the decomposition of the distributional derivative of a function of bounded variation. For a proof see [6, Theorem 3.78].

Theorem 2.11 (Federer–Vol’pert). *The jump set J_u of a function $u \in BV(\Omega; \mathbb{R}^M)$ is countably \mathcal{H}^{N-1} -rectifiable, i.e., there exist Lipschitz continuous functions $f_i: \mathbb{R}^{N-1} \rightarrow \mathbb{R}^N$ such that*

$$\mathcal{H}^{N-1} \left(J_u \setminus \bigcup_{i=1}^{\infty} f_i(K_i) \right) = 0,$$

where each K_i is a compact subset of \mathbb{R}^{N-1} . Moreover,

$$Du = \nabla u \mathcal{L}^N + (u^+ - u^-) \otimes \nu_u \mathcal{H}^{N-1} \llcorner J_u + D^c u,$$

where $D^c u$ denotes the so called Cantor part of the distributional derivative.

We now focus on the special class of functions of bounded variations which consists of characteristic functions of sets.

Definition 2.12 Let $E \subset \Omega$. We say that E has finite perimeter in Ω if its characteristic function $\mathbb{1}_E: \Omega \rightarrow \{0, 1\}$, defined as

$$\mathbb{1}_E(x) := \begin{cases} 1 & \text{if } x \in E, \\ 0 & \text{otherwise,} \end{cases}$$

is of bounded variation in Ω .

For sets of finite perimeter, we have two notions of boundary coming from measure theory.

Definition 2.13 Let $E \subset \mathbb{R}^N$ be a set of finite perimeter in Ω . We call *reduced boundary* of E , denoted with $\mathcal{F}E$, the set of points $x \in \text{supp}|D\mathbb{1}_E| \cap \Omega$ for which the limit

$$v_E(x) := \lim_{\rho \rightarrow 0} \frac{D\mathbb{1}_E(B(x, \rho))}{|D\mathbb{1}_E|(B(x, \rho))}$$

exists in \mathbb{R}^N and is such that $|v_E(x)| = 1$.

Definition 2.14 Let $E \subset \mathbb{R}^N$ be an \mathcal{L}^N -measurable set. For $t \in [0, 1]$ we define

$$E^t := \left\{ x \in \mathbb{R}^N : \lim_{\rho \rightarrow 0} \frac{\mathcal{L}^N(E \cap B(x, \rho))}{\mathcal{L}^N(B(x, \rho))} = t \right\},$$

the set of points of density t for E . The set $\partial^*E := \mathbb{R}^N \setminus (E^1 \cup E^0)$ is called the *essential boundary* of E .

The relation between these two notions of measure theoretic boundary is specified in the following theorem (see [6, Theorem 3.61]).

Theorem 2.15 (Federer). *Let $E \subset \mathbb{R}^N$ be a set of finite perimeter in Ω . Then*

$$\mathcal{F}E \subset \partial^{1/2}E \subset \partial^*E,$$

and

$$\mathcal{H}^{N-1}(\Omega \setminus (E^0 \cup \mathcal{F}E \cup E^1)) = 0.$$

In a similar fashion as the Federer–Vol’pert theorem, the reduced boundary enjoys some structure properties (see [6, Theorem 3.59]).

Theorem 2.16 (De Giorgi). *Let $E \subset \mathbb{R}^N$ be a set of finite perimeter in Ω and for all $x_0 \in \mathcal{F}E$ and $\rho > 0$ let $E_\rho := \frac{E - x_0}{\rho}$. Then $\mathcal{F}E$ is countably \mathcal{H}^{N-1} -rectifiable and*

$$\mathbb{1}_{E_\rho} \rightarrow \mathbb{1}_H$$

locally in $L^1(\Omega)$ as $\rho \rightarrow 0$, where $H := \{x \in \mathbb{R}^N : x \cdot v_E(x_0) \geq 0\}$. Moreover,

$$\lim_{\rho \rightarrow 0} \frac{\mathcal{H}^{N-1}(\mathcal{F}E \cap Q(x_0, \rho))}{\rho^{N-1}} = 1.$$

Finally, we define the notion of Caccioppoli partitions. This will be useful in the approximation results in order to get the limsup inequality (see Sect. 4.3).

Definition 2.17 A partition $\{\Omega^i\}_{i \in \mathbb{N}}$ of Ω is called a *Caccioppoli partition* if each Ω^i is a set of finite perimeter in Ω .

Remark 2.18 Notice that for every $u \in BV(\Omega; z_1, \dots, z_k)$ (see Definition 1.3) there exists a Caccioppoli partition $\{\Omega^1, \dots, \Omega^k\}$ of Ω such that $u(x) = z_i(x)$ for \mathcal{L}^N -a.e. $x \in \Omega^i$, for all $i \in \{1, \dots, k\}$, and

$$\mathcal{H}^{N-1} \llcorner J_u = \sum_{i=1}^{k-1} \sum_{j=i+1}^k \mathcal{H}^{N-1} \llcorner (\partial^*\Omega^i \cap \partial^*\Omega^j).$$

Moreover, using [6, Theorem 3.84], it is possible to show that the distributional derivative of each $u \in BV(\Omega; z_1, \dots, z_k)$ has no Cantor part.

2.3 Γ -convergence

We now recall the basic definition and some properties of Γ -convergence that will be used throughout the paper (for a reference see [15,23]).

Definition 2.19 Let (X, d) be a metric space. We say that a sequence of functions $F_n : X \rightarrow \mathbb{R} \cup \{\infty\}$ Γ -converges to $F : X \rightarrow \mathbb{R} \cup \{\infty\}$, and we write $F_n \xrightarrow{\Gamma-d} F$, if the following hold:

- (i) for every $x \in X$ and every sequence $\{x_n\}_{n \in \mathbb{N}}$ of elements of X such that $x_n \rightarrow x$ we have

$$F(x) \leq \liminf_{n \rightarrow \infty} F_n(x_n);$$

- (ii) for every $x \in X$ there exists a sequence $\{x_n\}_{n \in \mathbb{N}}$ of elements of X such that $x_n \rightarrow x$ and

$$\limsup_{n \rightarrow \infty} F_n(x_n) \leq F(x).$$

A sequence $\{x_n\}_{n \in \mathbb{N}}$ as in (ii) is called a *recovery sequence* for x .

We recall that the definition of Γ -convergence is primarily motivated by seeking minimal conditions which guarantee the convergence of minima and minimizers for a family of functionals (see, for example, [23, Corollary 7.20]). This is specified in the following theorem.

Theorem 2.20 Let (X, d) be a metric space, let $F_n, F : X \rightarrow \mathbb{R} \cup \{\infty\}$ and assume that $F_n \xrightarrow{\Gamma-d} F$. For each $n \in \mathbb{N}$, let $x_n \in X$ be a minimizer of F_n on X . Then every cluster point of $\{x_n\}_{n \in \mathbb{N}}$ is a minimizer of F and

$$\lim_{n \rightarrow \infty} F_n(x_n) = \min\{F(x) : x \in X\}.$$

3 Existence of minimizing geodesics

The purpose of this section is to collect some preliminary results concerning the existence of minimizing geodesics for possibly degenerate metrics with conformal factor F . To be precise, given a continuous nonnegative function F and $p, q \in \mathbb{R}^M$, we study the minimization problem

$$d_F(p, q) := \inf \left\{ \int_{-1}^1 F(\gamma(t)) |\gamma'(t)| dt : \gamma \in \mathcal{A}(p, q) \right\}, \tag{26}$$

where the class of admissible parametrizations $\mathcal{A}(p, q)$ is given as in Definition 1.2. Notice that the value of the integral on the right-hand side of (26) is a purely geometric quantity, i.e., it is independent of the choice of the parametrization. Throughout the rest of the paper, we refer to any function $\gamma \in \mathcal{A}(p, q)$ for which the infimum on the right-hand side of (26) is achieved as a *minimizing geodesic*. Moreover, we use the phrase *sequence of almost minimizing geodesics* to denote a sequence in $\mathcal{A}(p, q)$ for which the infimum is achieved in the limit.

Let us remark that the existence of minimizing geodesics for (26) has been previously investigated by many authors. We mention here the work of Zuniga and Sternberg [62], where existence of solutions to the minimization problem is shown under very general assumptions

on the conformal factor F . Of particular interest for our analysis is the special case where the conformal factor is given by

$$F(z) := 2\sqrt{W(x, z)}. \tag{27}$$

Indeed, we observe that for a fixed value of $x \in \Omega$, if F is given as above, the distance function d_F defined in (26) is identically equal to the function $d_W(x, \cdot, \cdot)$, introduced in Definition 1.2.

As the proofs of our main results rely on a precise understanding of minimizing geodesics for (26), and in particular on their dependence on the variable x when F is chosen as in (27), compared to [62] we require more stringent assumptions on the behavior of the potential W near the wells (see (H.3)). In turn, our approach is in spirit closer to that of Sternberg [59], where the author considered a singular perturbation of the conformal factor which renders the associated Riemannian metric conformal to the Euclidean metric and proceeded to prove a uniform bound with respect to the perturbation parameter. Our method, on the other hand, consists of proving a uniform bound on the Euclidean length of a sequence of almost minimizing geodesics. For technical reasons, we will need to consider conformal factors of the form

$$F(z) := \inf \left\{ 2\sqrt{W(x, z)} : x \in \mathcal{R} \right\},$$

where $\mathcal{R} \subset \Omega$.

In the following, given a function $\gamma \in W^{1,1}(\mathcal{I}; \mathbb{R}^M)$, where $\mathcal{I} \subset \mathbb{R}$ is an open interval, we work with its representative in $AC(\overline{\mathcal{I}}; \mathbb{R}^M)$ and denote its Euclidean length by $L(\gamma)$, i.e.,

$$L(\gamma) := \int_{\mathcal{I}} |\gamma'(t)| dt. \tag{28}$$

For any two points $p, q \in \mathbb{R}^M$, we let $\ell_{p,q}$ be a parametrization in $\mathcal{A}(p, q)$ of the line segment that joins p to q . To be precise, for $t \in [-1, 1]$ we let

$$\ell_{p,q}(t) := \frac{1-t}{2}p + \frac{1+t}{2}q. \tag{29}$$

We begin by presenting a compactness criterion for almost minimizing geodesics. The result states that the existence of a sequence of almost minimizing geodesic for (26) with a uniform bound on the Euclidean length of each element in the sequence implies the existence of a minimizing geodesic which enjoys the same bound. The proof is adapted from the classical result on the existence of shortest paths, i.e., minimizers of the length functional (28) (see, for example, [44, Theorem 5.38]).

Lemma 3.1 *Given a continuous function $F: \mathbb{R}^M \rightarrow [0, \infty)$ and $p, q \in \mathbb{R}^M$, let $d_F(p, q)$ be given as in (26), $\{\gamma_n\}_{n \in \mathbb{N}} \subset \mathcal{A}(p, q)$ be a sequence of almost minimizing geodesics, i.e.,*

$$d_F(p, q) = \lim_{n \rightarrow \infty} \int_{-1}^1 F(\gamma_n(t)) |\gamma_n'(t)| dt,$$

and furthermore assume that $L(\gamma_n) \leq \Lambda$ for some positive constant Λ independent of n . Then there exists $\gamma \in \mathcal{A}(p, q)$ with $L(\gamma) \leq \Lambda$ such that

$$d_F(p, q) = \int_{-1}^1 F(\gamma(t)) |\gamma'(t)| dt.$$

Proof Notice that if $p = q$ then there is nothing to do. Thus, we can assume without loss of generality that $\gamma_n: [-1, 1] \rightarrow \mathbb{R}^M$ is a parametric representation of a continuous simple

rectifiable curve. In turn, it can be parametrized by arclength, i.e., there exists a function $\varphi_n : [0, L(\gamma_n)] \rightarrow [-1, 1]$ with the property that

$$v_n(s) := \gamma_n(\varphi_n(s))$$

is Lipschitz continuous, and in particular $|v'_n(s)| = 1$ for \mathcal{L}^1 -a.e. $s \in (0, L(\gamma_n))$. Eventually extracting a subsequence (which we do not relabel), we can assume that $L(\gamma_n) \rightarrow \lambda$ for some $\lambda > 0$. Let $\psi_n : [-1, 1] \rightarrow [0, L(\gamma_n)]$ be defined via

$$\psi_n(t) := \frac{(t + 1)L(\gamma_n)}{2},$$

and set $w_n(t) := v_n(\psi_n(t))$. Notice that the functions $w_n \in \mathcal{A}(p, q)$ and satisfy

$$|w'_n(t)| = \frac{L(\gamma_n)}{2} \leq \frac{\lambda + 1}{2} \tag{30}$$

for \mathcal{L}^1 -a.e. $t \in (-1, 1)$ and every n sufficiently large. Consequently, we are in a position to apply the Ascoli-Arzelá theorem to find a function $\gamma : [-1, 1] \rightarrow \mathbb{R}^M$ and a further subsequence (not relabeled) such that $w_n \rightarrow \gamma$ uniformly. Furthermore, since $L(\cdot)$ is lower semicontinuous with respect to pointwise convergence, we also get

$$L(\gamma) \leq \liminf_{n \rightarrow \infty} L(\gamma_n) = \lim_{n \rightarrow \infty} L(\gamma_n) = \lambda \leq \Lambda.$$

Finally, in view of (30), we notice that for every $s, t \in (-1, 1)$ we have

$$|\gamma(s) - \gamma(t)| = \lim_{n \rightarrow \infty} |w_n(s) - w_n(t)| \leq \lim_{n \rightarrow \infty} \frac{L(\gamma_n)}{2} |s - t| = \frac{\lambda}{2} |s - t|,$$

and thus

$$\begin{aligned} \int_{-1}^1 F(\gamma(t)) |\gamma'(t)| dt &\leq \frac{\lambda}{2} \int_{-1}^1 F(\gamma(t)) dt = \left(\lim_{n \rightarrow \infty} \frac{L(\gamma_n)}{2} \right) \left(\lim_{n \rightarrow \infty} \int_{-1}^1 F(w_n(t)) dt \right) \\ &= \lim_{n \rightarrow \infty} \int_{-1}^1 F(w_n(t)) |w'_n(t)| dt \\ &= \lim_{n \rightarrow \infty} \int_{-1}^1 F(\gamma_n(t)) |\gamma'_n(t)| dt = d_F(p, q). \end{aligned}$$

This concludes the proof. □

With Lemma 3.1 in hand, we can turn our attention back to the minimization problem (26).

Proposition 3.2 *Let W be given as in (H.1)–(H.3), and assume that (25) holds for some $\eta > 0$. Let \mathcal{R} be a convex compact subset of Ω , and denote by $z_i(\mathcal{R})$ the set of points $z \in \mathbb{R}^M$ such that $z = z_i(x)$ for some $x \in \mathcal{R}$. Assume that*

$$\mathcal{N}_{\delta/2}(z_i(\mathcal{R})) \cap \mathcal{N}_{\delta/2}(z_j(\mathcal{R})) = \emptyset \tag{31}$$

whenever $i \neq j$, where

$$\mathcal{N}_\rho(z_i(\mathcal{R})) := \left\{ z \in \mathbb{R}^M : |z - z_i(x)| \leq \rho \text{ for some } x \in \mathcal{R} \right\},$$

define

$$F(z) := \min \left\{ 2\sqrt{W(x, z)} : x \in \mathcal{R} \right\},$$

and let $d_F : \mathbb{R}^M \times \mathbb{R}^M \rightarrow [0, \infty)$ be given as in (26). Then for every $p, q \in \mathbb{R}^M$ there exists a minimizing geodesic $\gamma \in \mathcal{A}(p, q)$ for $d_F(p, q)$ such that

$$L(\gamma) \leq k\sigma + \text{diam}(\mathcal{R}) \sum_{i=1}^k \text{Lip}(z_i) + \frac{d_F(p, q) + 1}{\Sigma_\sigma(\mathcal{R})}, \tag{32}$$

where, for η as in (25),

$$\sigma := \frac{1}{2} \min \left\{ r, \delta, \frac{\min_i \alpha_i}{\max_i \alpha_i} \delta, \sqrt{\frac{\eta}{\max_i \alpha_i}} \right\} \tag{33}$$

and

$$\Sigma_\sigma(\mathcal{R}) := \min \left\{ F(z) : z \notin \bigcup_{i=1}^k \mathcal{N}_{\sigma/2}(z_i(\mathcal{R})) \right\}. \tag{34}$$

Proof In the following we let $d_i(z)$ denote the distance from a point $z \in \mathbb{R}^M$ to the set $z_i(\mathcal{R})$. We recall that the functions $d_i : \mathbb{R}^M \rightarrow [0, \infty)$ are Lipschitz continuous with Lipschitz constant at most 1. We divide the proof into several steps.

Step 1: We begin by showing that for σ as in (33), if $z \in \mathcal{N}_\sigma(z_i(\mathcal{R}))$ then

$$F(z) = 2\sqrt{\alpha_i}d_i(z). \tag{35}$$

To this end, for $z \in \mathcal{N}_\sigma(z_i(\mathcal{R}))$ define $A := \{x \in \mathcal{R} : |z - z_i(x)| \leq \sigma\}$ and $B := \{y \in \mathcal{R} : |z - z_i(y)| > \sigma\}$. We claim that

$$\sup \{W(x, z) : x \in A\} \leq \inf \{W(y, z) : y \in B\}. \tag{36}$$

Indeed, for every $x \in A$ we have

$$W(x, z) = \alpha_i |z - z_i(x)|^2 \leq \alpha_i \sigma^2, \tag{37}$$

while for $y \in B$, by (31) we obtain

$$W(y, z) = \alpha_j |z - z_j(y)|^2 \geq \alpha_j d_j(z)^2 \geq \alpha_j \frac{\delta^2}{4}, \tag{38}$$

provided that $|z - z_j(y)| \leq r$ for some $j \neq i$, and $W(y, z) \geq \eta$ otherwise, where $\eta > 0$ is the constant introduced in (25). In turn, inequality (36) follows from (33), (37), and (38). Notice in particular that (36) implies that

$$F(z) = \min \left\{ 2\sqrt{W(x, z)} : x \in A \right\} = \min \left\{ 2\sqrt{\alpha_i} |z - z_i(x)| : x \in A \right\},$$

and (35) readily follows.

Step 2: In this step we show that if $p \in \mathcal{N}_\sigma(z_i(\mathcal{R}))$, and $q \in z_i(\mathcal{R})$ realizes the distance, i.e., $d_i(p) = |p - q|$, then the line segment that joins p and q is a minimizing geodesic for $d_F(p, q)$. To see this, let $\gamma \in \mathcal{A}(p, q)$ and notice that the map $t \mapsto d_i(\gamma(t))$ is continuous, $d_i(\gamma(-1)) = |p - q|$, and $d_i(\gamma(1)) = 0$. Thus, by the mean value theorem, for every $y \in (0, |p - q|)$ there exists $t \in (-1, 1)$ such that $d_i(\gamma(t)) = y$. We recall that the composite function $d_i \circ \gamma$ belongs to the space $W^{1,1}((-1, 1))$ and that

$$\left| \frac{d}{dt} d_i(\gamma(t)) \right| \leq |\gamma'(t)| \tag{39}$$

for \mathcal{L}^1 -a.e. $t \in (-1, 1)$. Indeed, as remarked above, the function d_i is Lipschitz continuous with Lipschitz constant at most 1. Moreover, the composite function $d_i \circ \gamma : (-1, 1) \rightarrow \mathbb{R}$

is absolutely continuous and therefore it is also differentiable for \mathcal{L}^1 -a.e. $t \in (-1, 1)$. If we now let $t \in (-1, 1)$ be a point where both γ and $d_i \circ \gamma$ are differentiable we see that

$$\begin{aligned} \left| \frac{d}{dt} d_i(\gamma(t)) \right| &= \left| \lim_{|h| \rightarrow 0} \frac{d_i(\gamma(t+h)) - d_i(\gamma(t))}{|h|} \right| \\ &= \lim_{|h| \rightarrow 0} \frac{|d_i(\gamma(t+h)) - d_i(\gamma(t))|}{|h|} \leq \limsup_{|h| \rightarrow 0} \frac{|\gamma(t+h) - \gamma(t)|}{|h|} = |\gamma'(t)|, \end{aligned}$$

which gives (39). By (35), (39), and by an application of the co-area formula (see [6, Theorem 3.2.6]), we get

$$\begin{aligned} \int_{-1}^1 F(\gamma(t)) |\gamma'(t)| dt &\geq 2\sqrt{\alpha_i} \int_{\{0 < d_i(\gamma(t)) < |p-q|\}} d_i(\gamma(t)) |\gamma'(t)| dt \\ &\geq 2\sqrt{\alpha_i} \int_{\{0 < d_i(\gamma(t)) < |p-q|\}} d_i(\gamma(t)) \left| \frac{d}{dt} d_i(\gamma(t)) \right| dt \\ &= 2\sqrt{\alpha_i} \int_0^{|p-q|} y \mathcal{H}^0(\{t : d_i(\gamma(t)) = y\}) dy \\ &\geq 2\sqrt{\alpha_i} \int_0^{|p-q|} y dy = \sqrt{\alpha_i} |p - q|^2. \end{aligned}$$

On the other hand, if we let $\ell_{p,q}$ be given as in (29), as one can readily check, we have that $d_i(\ell_{p,q}(t)) = |\ell_{p,q}(t) - q|$ for every $t \in [-1, 1]$ and $\mathcal{H}^0(\{t : |\ell_{p,q}(t) - q| = y\}) = 1$ for every $y \in (0, |p - q|)$. In turn, by the co-area formula we conclude that

$$\begin{aligned} \int_{-1}^1 F(\ell_{p,q}(t)) |\ell'_{p,q}(t)| dt &= 2\sqrt{\alpha_i} \int_{-1}^1 d_i(\ell_{p,q}(t)) |\ell'_{p,q}(t)| dt \\ &= 2\sqrt{\alpha_i} \int_{-1}^1 |\ell_{p,q}(t) - q| |\ell'_{p,q}(t)| dt \\ &= 2\sqrt{\alpha_i} \int_0^{|p-q|} y \mathcal{H}^0(\{t : |\ell_{p,q}(t) - q| = y\}) dy \\ &= \sqrt{\alpha_i} |p - q|^2. \end{aligned} \tag{40}$$

This proves our claim.

Step 3: If $p, q \in z_i(\mathcal{R})$ then there are $x_1, x_2 \in \mathcal{R}$ such that $z_i(x_1) = p$ and $z_i(x_2) = q$. Let

$$\psi(t) := \frac{1-t}{2} x_1 + \frac{1+t}{2} x_2,$$

and notice that $\psi(t) \in \mathcal{R}$ for every $t \in [-1, 1]$ since \mathcal{R} is convex by assumption. Let $\gamma : [-1, 1] \rightarrow \mathbb{R}^M$ be defined via $\gamma(t) := z_i(\psi(t))$. Then $\gamma \in \mathcal{A}(p, q)$ is a minimizing geodesic for $d_F(p, q)$, and furthermore

$$L(\gamma) \leq \text{Lip}(z_i) \text{diam}(\mathcal{R}).$$

Consequently, we see that if $p \in \mathcal{N}_\sigma(z_i(\mathcal{R}))$ and $q \in z_i(\mathcal{R})$ then any parametrization in $\mathcal{A}(p, q)$ of the line segment from p to a closest point on $z_i(\mathcal{R})$, namely p' , together with any curve with support contained in $z_i(\mathcal{R})$ that connects p' to q gives a minimizing geodesic for $d_F(p, q)$.

Step 4: This step is concerned with the proof of the more delicate case where p and q are distinct points in $\mathcal{N}_{\sigma/2}(z_i(\mathcal{R}))$, neither of which lies on $z_i(\mathcal{R})$. Throughout the step, we

assume without loss of generality that $d_i(p) \geq d_i(q)$. Let $\{\gamma_n\}_{n \in \mathbb{N}} \subset \mathcal{A}(p, q)$ be a sequence of almost minimizing geodesics for $d_F(p, q)$ and observe that it is possible to assume that

$$\gamma_n(t) \in \mathcal{N}_\sigma(z_i(\mathcal{R})) \tag{41}$$

for every $(n, t) \in \mathbb{N} \times [-1, 1]$. Indeed, if this is not the case then we can find two disjoint subintervals of $(-1, 1)$, namely $\mathcal{I}_1 := (s_1, t_1)$ and $\mathcal{I}_2 := (s_2, t_2)$, such that

$$2d_i(\gamma_n(s_1)) = 2d_i(\gamma_n(t_2)) = d_i(\gamma_n(t_1)) = d_i(\gamma_n(s_2)) = \sigma$$

and

$$\frac{\sigma}{2} \leq d_i(\gamma_n(t)) \leq \sigma$$

for all $t \in \mathcal{I}_1 \cup \mathcal{I}_2$. In turn, we have

$$\int_{-1}^1 F(\gamma_n(t))|\gamma_n'(t)| dt \geq 2\sqrt{\alpha_i} \int_{\mathcal{I}_1 \cup \mathcal{I}_2} d_i(\gamma(t))|\gamma'(t)| dt \geq \sqrt{\alpha_i}\sigma^2. \tag{42}$$

On the other hand, let $p', q' \in z_i(\mathcal{R})$ be such that $d_i(p) = |p - p'|$ and $d_i(q) = |q - q'|$, and for $x_1, x_2 \in \mathcal{R}$ such that $p' = z_i(x_1)$ and $q' = z_i(x_2)$ define

$$\gamma(t) := \begin{cases} (1 - 2t - 2)p + (2t + 2)p' & \text{if } -1 < t < -1/2, \\ z_i\left(\left(\frac{1}{2} - t\right)x_1 + \left(t + \frac{1}{2}\right)x_2\right) & \text{if } -\frac{1}{2} \leq t < \frac{1}{2}, \\ (2 - 2t)q' + (2t - 1)q & \text{if } \frac{1}{2} \leq t < 1. \end{cases} \tag{43}$$

Then $\gamma \in \mathcal{A}(p, q)$ is a parametric representation of the curve whose support constitutes of the line segments that join p to p' and q' to q , together with an arc in $z_i(\mathcal{R})$ that connects p' and q' . By means of a direct computation we see that

$$\int_{-1}^1 F(\gamma(t))|\gamma'(t)| dt = \sqrt{\alpha_i} (d_i(p)^2 + d_i(q)^2). \tag{44}$$

Comparing (42) and (44) shows that we can replace every γ_n for which (41) does not hold with the function γ defined in (43) and thus obtain a sequence of almost minimizing geodesics with the desired properties.

Next, we claim that there exists a minimizing geodesic for $d_F(p, q)$ with Euclidean length bounded from above by

$$d_i(p) + d_i(q) + \text{Lip}(z_i)\text{diam}(\mathcal{R}). \tag{45}$$

In view of Lemma 3.1, if the sequence $\{\gamma_n\}_{n \in \mathbb{N}}$ admits a subsequence $\{\gamma_{n_k}\}_{k \in \mathbb{N}}$ such that $L(\gamma_{n_k}) \leq d_i(p) + d_i(q) + \text{Lip}(z_i)\text{diam}(\mathcal{R})$ then there is nothing to do. Notice also that if there exists a subsequence $\{\gamma_{n_j}\}_{j \in \mathbb{N}}$ with the property that

$$\min \{d_i(\gamma_{n_j}(t) : t \in [-1, 1])\} = d_i(\gamma_{n_j}(t_j)) = 0,$$

then an application of the co-area formula yields

$$\begin{aligned} \int_{-1}^{t_j} F(\gamma_{n_j}(t))|\gamma_{n_j}'(t)| dt &\geq 2\sqrt{\alpha_i} \int_{-1}^{t_j} d_i(\gamma_{n_j}(t)) \left| \frac{d}{dt}d(\gamma_{n_j}(t)) \right| dt \\ &= 2\sqrt{\alpha_i} \int_0^{d_i(p)} y \mathcal{H}^0(\{t \in (-1, t_j) : d_i(\gamma_{n_j}(t) = y)\}) dy \geq \sqrt{\alpha_i}d_i(p)^2, \end{aligned}$$

and with similar computations in the interval $(t_j, 1)$ we arrive at

$$\int_{-1}^1 F(\gamma_{n_j}(t))|\gamma'_{n_j}(t)| dt \geq \sqrt{\alpha_i} (d_i(p)^2 + d_i(q)^2) = \int_{-1}^1 F(\gamma(t))|\gamma'(t)| dt$$

where γ is the function defined in (43) and the last equality follows from (44). In turn, γ is a minimizing geodesic and the claim would follow in this case. Thus, throughout the rest of the step we assume that

$$\min \{d_i(\gamma_n(t)) : t \in [-1, 1]\} = d_i(\gamma_n(t_n)) > 0 \tag{46}$$

for every $n \in \mathbb{N}$. In particular, eventually passing to a subsequence (which we do not relabel), we can assume without loss of generality that $\gamma_n : [-1, 1] \rightarrow \mathbb{R}^M$ is a parametric representation of a continuous simple rectifiable curve. Hence, it can be parametrized by arclength, i.e., there exists a function $\varphi_n : [0, L(\gamma_n)] \rightarrow [-1, 1]$ with the property that

$$v_n(s) := \gamma_n(\varphi_n(s)) \tag{47}$$

is Lipschitz continuous, and in particular $|v'_n(s)| = 1$ for \mathcal{L}^1 -a.e. $s \in (0, L(\gamma_n))$, where

$$L(\gamma_n) = d_i(p) + d_i(q) + a_n, \quad a_n \geq 0. \tag{48}$$

Our aim is to show that if (46) and (48) hold, then the function γ in (43) is a minimizing geodesic for $d_F(p, q)$. We prove this claim in two substeps.

Substep 1: If $d_i(p) = d_i(\gamma_n(t_n))$, then $d_i(p) = d_i(q)$, and so

$$\int_{-1}^1 F(\gamma_n(t))|\gamma'_n(t)| dt \geq 2\sqrt{\alpha_i}d_i(p) \int_{-1}^1 |\gamma'_n(t)| dt = 4\sqrt{\alpha_i}d_i(p)^2 + 2a_n\sqrt{\alpha_i}d_i(p), \tag{49}$$

where in the last equality we have used (48). In this case the claim readily follows by comparing (44) and (49).

Substep 2: If $d_i(p) > d_i(\gamma_n(t_n))$, let $p' \in z_i(\mathcal{R})$ be given as above, let p_n be the point on the line segment that joins p to p' with the property that $d_i(p_n) = d_i(\gamma_n(t_n))$, and define

$$\mathcal{Q}_n := B\left(p_n, \frac{d_i(p_n)}{2}\right) \cap \left\{z \in \mathbb{R}^M : \frac{d_i(p_n)}{2} \leq d_i(z) \leq d_i(p_n)\right\}. \tag{50}$$

Let $w_n : [0, a_n + 2d_i(p_n)] \rightarrow \mathbb{R}^M$ be a parametrization by arclength of a simple closed arc of length $a_n + 2d_i(p_n)$ with the following properties:

$$w_n(0) = w_n(a_n + 2d_i(p_n)) = p_n, \quad w_n(t) \in \mathcal{Q}_n \text{ for all } t \in (0, a_n + 2d_i(p_n)). \tag{51}$$

Let q_n be the point on the line segment that joins p and p' with the property that $d_i(q_n) = d_i(q)$ and define $f_n : [0, d_i(p) - d_i(p_n)] \rightarrow \mathbb{R}^M$ and $g_n : [d_i(p) + d_i(p_n) + a_n, L(\gamma_n)] \rightarrow \mathbb{R}^M$ via

$$\begin{aligned} f_n(t) &:= \left(1 - \frac{t}{d_i(p) - d_i(p_n)}\right) p + \frac{tp_n}{d_i(p) - d_i(p_n)}, \\ g_n(t) &:= \left(1 + \frac{d_i(p) + d_i(p_n) + a_n - t}{d_i(q) - d_i(p_n)}\right) p_n + \frac{t - (d_i(p) + d_i(p_n) + a_n)}{d_i(q) - d_i(p_n)} q_n. \end{aligned}$$

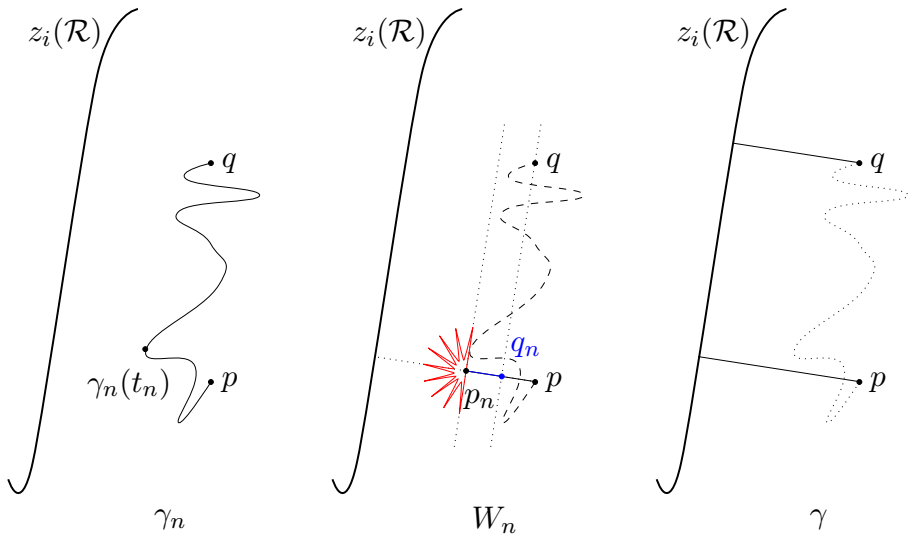


Fig. 2 From left to right, the figure depicts the curves parametrized by: an element of the sequence of almost minimizing geodesics, the function W_n constructed to estimate the energy of γ_n , and the competitor γ

Notice that if $d_i(q) = d_i(\gamma_n(t_n))$ then $q_n = p_n$ and the interval of definition of g_n trivializes to a single point. Finally (see Fig. 2), we set

$$W_n(t) := \begin{cases} f_n(t) & \text{if } 0 \leq t < d_i(p) - d_i(p_n), \\ w_n(t + d_i(p_n) - d_i(p)) & \text{if } d_i(p) - d_i(p_n) \leq t < d_i(p) + d_i(p_n) + a_n, \\ g_n(t) & \text{if } d_i(p) + d_i(p_n) + a_n \leq t \leq L(\gamma_n). \end{cases} \quad (52)$$

As one can readily check, when restricted to the interval $(0, d_i(p) + d_i(p_n) + a_n)$ the function W_n gives a parametrization by arclength of a simple arc of length $d_i(p) + d_i(p_n) + a_n$. Moreover, if $d_i(p) + d_i(p_n) + a_n < L(\gamma_n)$, then W_n restricted to the interval $(d_i(p) + d_i(p_n) + a_n, L(\gamma_n))$ gives a parametrization by arclength of a segment of length

$$L(\gamma_n) - d_i(p) - d_i(p_n) - a_n = d_i(q) - d_i(p_n).$$

We claim that

$$d_i(W_n(t)) \leq d_i(v_n(t)) \quad (53)$$

for every $t \in [0, L(\gamma_n)]$, where v_n is the reparametrization of γ_n introduced in (47). To prove claim, we argue by contradiction by assuming first that there exists $\bar{t} \in (0, d_i(p) - d_i(p_n))$ for which (53) does not hold, so that by (52)

$$d_i(p) - d_i(v_n(\bar{t})) > d_i(p) - d_i(W_n(\bar{t})) = |p - f_n(\bar{t})| = \frac{\bar{t}|p - p_n|}{d_i(p) - d_i(p_n)} = \bar{t}. \quad (54)$$

Recalling that d_i is Lipschitz continuous with Lipschitz constant at most 1, and in view of (47), we see that

$$d_i(p) - d_i(v_n(\bar{t})) \leq |v_n(0) - v_n(\bar{t})| \leq \bar{t}. \quad (55)$$

Combining (54) and (55) we arrive at a contradiction. Notice that for $t \in (d_i(p) - d_i(p_n), d_i(p) + d_i(p_n) + a_n)$ inequality (53) is satisfied in view of (50)–(52), while for

all the remaining values of t we can argue as above. Hence the claim is proved and therefore

$$F(W_n(t)) = 2\sqrt{\alpha_i}d_i(W_n(t)) \leq 2\sqrt{\alpha_i}d_i(v_n(t)) = F(v_n(t)) \tag{56}$$

for every $t \in (0, L(\gamma_n))$. Moreover, in view of (51) and by means of a direct computation which uses the co-area formula, we see that

$$\begin{aligned} \int_0^{d_i(p)-d_i(p_n)} F(W_n(t)) dt &= \sqrt{\alpha_i} (d_i(p)^2 - d_i(p_n)^2), \\ \int_{d_i(p)-d_i(p_n)}^{d_i(p)+d_i(p_n)+a_n} F(W_n(t)) dt &\geq \sqrt{\alpha_i}d_i(p_n)(a_n + 2d_i(p_n)), \\ \int_{d_i(p)+d_i(p_n)+a_n}^{L(\gamma_n)} F(W_n(t)) dt &= \sqrt{\alpha_i} (d_i(q)^2 - d_i(p_n)^2). \end{aligned}$$

In particular, combining the inequalities above with (44) and (56) we obtain

$$\begin{aligned} \int_{-1}^1 F(\gamma_n(t))|\gamma'_n(t)| dt &= \int_0^{L(\gamma_n)} F(v_n(t)) dt \geq \int_0^{L(\gamma_n)} F(W_n(t)) dt \\ &\geq \sqrt{\alpha_i} (d_i(p)^2 + d_i(q)^2) + \sqrt{\alpha_i}d_i(p_n)a_n \\ &\geq \int_{-1}^1 F(\gamma(t))|\gamma'(t)| dt + \sqrt{\alpha_i}d_i(p_n)a_n \\ &\geq \int_{-1}^1 F(\gamma(t))|\gamma'(t)| dt. \end{aligned}$$

Letting $n \rightarrow \infty$ in the previous inequality shows that γ is a minimizing geodesic, thus proving our claim.

Step 5: Finally, we show the existence of a minimizing geodesic for any two distinct points $p, q \in \mathbb{R}^M$. Given $v \in \mathcal{A}(p, q)$ such that

$$\int_{-1}^1 F(v(t))|v'(t)| dt \leq d_F(p, q) + 1,$$

let

$$s_1 := \min \left\{ \inf \left\{ t \in (-1, 1) : v(t) \in \bigcup_{i=1}^k \mathcal{N}_{\sigma/2}(z_i(\mathcal{R})) \right\}, 1 \right\}.$$

If $s_1 < 1$, let i_1 be such that $v(s_1) \in \overline{\mathcal{N}_{\sigma/2}(z_{i_1}(\mathcal{R}))}$, and define

$$t_1 := \max \left\{ \sup \left\{ t \in (-1, 1) : v(t) \in \mathcal{N}_{\sigma/2}(z_{i_1}(\mathcal{R})) \right\}, s_1 \right\}.$$

Notice that if $s_1 < 1$, then s_1 and t_1 denote the first and last instance for which the support of the curve parametrized by v can be found in the $\sigma/2$ -neighborhood of $z_{i_1}(\mathcal{R})$, respectively. Similarly, for $j > 1$, if $t_{j-1} < 1$, we define s_j, i_j , and t_j inductively as follows:

$$s_j := \min \left\{ \inf \left\{ t \in (t_{j-1}, 1) : v(t) \in \bigcup_{i \neq i_1, \dots, i_{j-1}} \mathcal{N}_{\sigma/2}(z_i(\mathcal{R})) \right\}, 1 \right\},$$

if $s_j < 1$ then the index i_j is such that $v(s_j) \in \overline{\mathcal{N}_{\sigma/2}(z_{i_j}(\mathcal{R}))}$, and

$$t_j := \max \left\{ \sup \left\{ t \in (t_{j-1}, 1) : v(t) \in \mathcal{N}_{\sigma/2}(z_{i_j}(\mathcal{R})) \right\}, s_j \right\}.$$

For every $j \in \{1, \dots, k\}$, let $v_j : [s_j, t_j] \rightarrow \mathbb{R}^M$ be the reparametrization of the minimizing geodesic which connects $v(s_j)$ to $v(t_j)$ found as in the previous steps, and let $V : [-1, 1] \rightarrow \mathbb{R}^M$ be defined via

$$V(t) := \begin{cases} v_j(t) & \text{if } t \in [s_j, t_j], \\ V(t) & \text{otherwise.} \end{cases}$$

Then $V \in \mathcal{A}(p, q)$,

$$\int_{-1}^1 F(V(t))|V'(t)| dt \leq \int_{-1}^1 F(v(t))|v'(t)| dt,$$

and furthermore, we see from (45) that

$$\begin{aligned} L(V) &\leq \sum_{j=1}^k \int_{s_j}^{t_j} |v'_j(t)| dt + \int_{(-1,1) \setminus \cup_j (s_j, t_j)} |v'(t)| dt \\ &\leq \sum_{j \in \mathcal{J}} (d_j(v(s_j)) + d_j(v(t_j)) + \text{Lip}(z_i) \text{diam}(\mathcal{R})) + \frac{1}{\Sigma_\sigma(\mathcal{R})} \int_{-1}^1 F(v(t))|v'(t)| dt \\ &\leq k\sigma + \text{diam}(\mathcal{R}) \sum_{i=1}^k \text{Lip}(z_i) + \frac{d_F(p, q) + 1}{\Sigma_\sigma(\mathcal{R})}, \end{aligned}$$

where \mathcal{J} denotes the set of indices for which $s_j \neq t_j$ and $\Sigma_\sigma(\mathcal{R})$ is defined as in (34).

Let $\{\gamma_n\}_{n \in \mathbb{N}} \subset \mathcal{A}(p, q)$ be a sequence of almost minimizing geodesics for $d_F(p, q)$. Then, for every n sufficiently large we can find a function $V_n \in \mathcal{A}(p, q)$ such that

$$L(V_n) \leq k\sigma + \text{diam}(\mathcal{R}) \sum_{i=1}^k \text{Lip}(z_i) + \frac{d_F(p, q) + 1}{\Sigma_\sigma(\mathcal{R})}.$$

Thus, we are in a position to apply Lemma 3.1. This concludes the the proof. □

Remark 3.3 In view of (H.2), condition (31) is satisfied if, for example, $\text{diam}(\mathcal{R})$ is sufficiently small. Moreover, the convexity assumption on \mathcal{R} can be easily relaxed by requiring that for any two points $x_1, x_2 \in \mathcal{R}$ there exists a path in \mathcal{R} with finite Euclidean length from one to the other. One must then change the constant on the right-hand side of (32) accordingly.

Remark 3.4 Notice that the right-hand side of (32) depends continuously on p and q . In particular, given $\lambda > 0$, set

$$\mathcal{W}(\lambda) := \max \left\{ 2\sqrt{W(x, z)} : (x, z) \in \overline{\Omega} \times \overline{B(0, \lambda)} \right\} \tag{57}$$

and observe that for every $p, q \in B(0, \lambda)$, if $\ell_{p,q}$ is defined as in (29), we have

$$d_F(p, q) \leq \int_{-1}^1 F(\ell_{p,q})|\ell'_{p,q}(t)| dt \leq 2\lambda\mathcal{W}(\lambda).$$

Consequently, if we let

$$\Lambda(\lambda, \mathcal{R}) := k\sigma + \text{diam}(\Omega) \sum_{i=1}^k \text{Lip}(z_i) + \frac{2\lambda\mathcal{W}(\lambda) + 1}{\Sigma_\sigma(\mathcal{R})},$$

then for every $p, q \in B(0, \lambda)$, Proposition 3.2 yields the existence of a minimizing geodesic $\gamma \in \mathcal{A}(p, q)$ for $d_F(p, q)$ such that

$$L(\gamma) \leq \Lambda(\lambda, \mathcal{R}),$$

$$\|\gamma\|_{L^\infty((-1,1); \mathbb{R}^M)} \leq \Lambda(\lambda, \mathcal{R}) + \lambda.$$

Finally, observe that if $\mathcal{S} \subset \mathcal{R}$ then $\Sigma_\sigma(\mathcal{R}) \leq \Sigma_\sigma(\mathcal{S})$ (see (34)) and that in view of assumptions (H.1)–(H.3) and (25),

$$\inf \{ \Sigma_\sigma(\{x\}) : x \in \Omega \} > 0.$$

Therefore, the following hold:

- (i) $\Lambda(\lambda, \mathcal{R}) \geq \Lambda(\lambda, \mathcal{S})$,
- (ii) $\sup \{ \Lambda(\lambda, \{x\}) : x \in \Omega \} =: \Lambda_W(\lambda) < \infty$.

Corollary 3.5 *Under the assumptions of Proposition 3.2, the function d_W introduced in Definition 1.2 is Lipschitz continuous in x and locally Lipschitz continuous with respect to the variables p and q . In particular, d_W is locally Lipschitz continuous, i.e., Lipschitz continuous on every compact subset of $\bar{\Omega} \times \mathbb{R}^M \times \mathbb{R}^M$.*

Proof Fix $p, q \in \mathbb{R}^M$ and let x_1, x_2 be any two points in Ω . Let λ be such that $p, q \in B(0, \lambda)$ and notice that we can assume without loss of generality that $d_W(x_1, p, q) \geq d_W(x_2, p, q)$ since in the other case the result follows from similar computations. It follows from an application of Proposition 3.2 with $\mathcal{R} = \{x_2\}$, together with Remark 3.4, that there exists a minimizing geodesic for $d_W(x_2, p, q)$, namely γ , such that $L(\gamma) \leq \Lambda_W(\lambda)$.

Since by assumption W is locally Lipschitz continuous, behaves quadratically near the wells, and is bounded away from zero away from the wells (see (H.1)–(H.3), (25)), we have that \sqrt{W} is also locally Lipschitz continuous. Thus there exists a constant $\text{Lip}(\sqrt{W}; \lambda)$, which also depends on $\Lambda_W(\lambda)$, such that

$$\left| \sqrt{W(x_1, \gamma(t))} - \sqrt{W(x_2, \gamma(t))} \right| \leq \text{Lip}(\sqrt{W}; \lambda) |x_1 - x_2|, \tag{58}$$

for all $t \in (-1, 1)$. Consequently, using (58), we can estimate

$$d_W(x_1, p, q) - d_W(x_2, p, q) \leq 2 \int_{-1}^1 \left| \sqrt{W(x_1, \gamma(t))} - \sqrt{W(x_2, \gamma(t))} \right| |\gamma'(t)| dt$$

$$\leq 2 \text{Lip}(\sqrt{W}; \lambda) |x_1 - x_2| \int_{-1}^1 |\gamma'(t)| dt$$

$$\leq 2 \text{Lip}(\sqrt{W}; \lambda) \Lambda_W(\lambda) |x_1 - x_2|.$$

On the other hand, for fixed $x \in \Omega$ and $p \in \mathbb{R}^M$ and for every $q, q' \in B(0, \lambda)$ with $q \neq q'$, if we let $\ell_{q,q'} \in \mathcal{A}(q, q')$ be defined as in (29), then we have

$$\frac{|d_W(x, p, q) - d_W(x, p, q')|}{|q - q'|} \leq \frac{d_W(x, q, q')}{|q - q'|} \leq \frac{1}{|q - q'|} \int_{-1}^1 2\sqrt{W(x, \ell_{q,q'}(t))} |\ell'_{q,q'}(t)| dt$$

$$= \int_{-1}^1 \sqrt{W(x, \ell_{q,q'}(t))} dt \leq \mathcal{W}(\lambda),$$

where $\mathcal{W}(\lambda)$ is given as in (57). The rest of the proof follows from similar considerations; we omit the details. □

4 Proof of Theorem 1.4

4.1 Compactness

In this section we show that any sequence with bounded energy is precompact in $L^1(\Omega; \mathbb{R}^M)$.

Proposition 4.1 *Let W be given as in (H.1)–(H.4) and let $\{u_n\}_{n \in \mathbb{N}} \subset H^1(\Omega; \mathbb{R}^M)$ be such that*

$$\sup \{ \mathcal{F}_n(u_n) : n \in \mathbb{N} \} =: C < \infty. \tag{59}$$

Then, eventually extracting a subsequence (not relabeled), we have that $u_n \rightarrow u$ in $L^1(\Omega; \mathbb{R}^M)$, where $u \in BV(\Omega; z_1, \dots, z_k)$ is such that $\mathcal{F}_0(u) < \infty$.

Proof We divide the proof into several steps.

Step 1: In this first step we prove that the sequence $\{u_n\}_{n \in \mathbb{N}}$ is bounded in $L^1(\Omega; \mathbb{R}^M)$ and equi-integrable. The proof is standard, but we report it here for the reader’s convenience. The proof we present is adapted from [11, Proposition 4.1] (see also [42, Theorem 1.6 and Theorem 2.4]). To this end, notice that in view of (H.4) and (59) we have that

$$S \int_{\{|u_n| > R\}} |u_n(x)| \, dx \leq \int_{\Omega} W(x, u_n(x)) \, dx \leq C \varepsilon_n. \tag{60}$$

Consequently, if we let $E \subset \Omega$ be a measurable set, then

$$\int_E |u_n(x)| \, dx = \int_{E \cap \{|u_n| \leq R\}} |u_n(x)| \, dx + \int_{E \cap \{|u_n| > R\}} |u_n(x)| \, dx \leq R \mathcal{L}^N(E) + \frac{C}{S} \varepsilon_n, \tag{61}$$

where in the last step we used (60). In particular, by taking $E = \Omega$ we obtain that the sequence $\{u_n\}_{n \in \mathbb{N}}$ is bounded in $L^1(\Omega; \mathbb{R}^M)$. Moreover, for every fixed $s > 0$, setting

$$\bar{n} := \inf \left\{ n \in \mathbb{N} : \frac{C}{S} \varepsilon_m \leq \frac{s}{2} \text{ for all } m \geq n \right\}$$

and

$$t := \frac{1}{R} \left(s - \frac{C}{S} \varepsilon_{\bar{n}} \right),$$

as a consequence of (61) we obtain that for every $n \geq \bar{n}$ and every measurable set $E \subset \Omega$

$$\int_E |u_n(x)| \, dx \leq s$$

provided that $\mathcal{L}^N(E) \leq t$. This shows that the sequence $\{u_n\}_{n \in \mathbb{N}}$ is equi-integrable.

Step 2: For R as in (H.4) and using the notation introduced in Remark 3.4, set

$$R' := R + \Lambda_W(R) \tag{62}$$

and let $\varphi : [0, \infty) \rightarrow [0, 1]$ be a smooth cut-off function such that $\varphi(\rho) = 1$ for $\rho \leq R'$ and $\varphi(\rho) = 0$ for $\rho \geq 2R'$. Let

$$W_1(x, z) := \varphi(|z|)W(x, z) + (1 - \varphi(|z|))S|z|.$$

Then $W_1 : \Omega \times \mathbb{R}^M \rightarrow [0, \infty)$ satisfies (H.1)–(H.4) and moreover

$$W_1(x, z) \leq W(x, z) \tag{63}$$

for every $x \in \Omega$ and $z \in \mathbb{R}^M$. For every $n \in \mathbb{N}$, define the function $v_n : \Omega \rightarrow \mathbb{R}^M$ via

$$v_n(x) := \begin{cases} u_n(x) & \text{if } u_n(x) \in B(0, 2R'), \\ 2R' \frac{u_n(x)}{|u_n(x)|} & \text{otherwise.} \end{cases} \tag{64}$$

Notice that $v_n \in H^1(\Omega; \mathbb{R}^M) \cap L^\infty(\Omega; \mathbb{R}^M)$ with $\|v_n\|_{L^\infty(\Omega; \mathbb{R}^M)} \leq 2R'$, and that $|\nabla v_n(x)| \leq |\nabla u_n(x)|$ for \mathcal{L}^N -a.e. $x \in \Omega$. We claim that

$$W_1(x, v_n(x)) \leq W_1(x, u_n(x)) \tag{65}$$

for \mathcal{L}^N -a.e. $x \in \Omega$. Indeed, equality holds for \mathcal{L}^N -a.e. x such that $u_n(x) \in B(0, 2R')$, while if this is not the case then

$$W_1(x, v_n(x)) = S|v_n(x)| \leq S|u_n(x)| = W_1(x, u_n(x)).$$

Thus, by (59), (63), and (65) we see that

$$\begin{aligned} \int_{\Omega} \left[\frac{1}{\varepsilon_n} W_1(x, v_n(x)) + \varepsilon_n |\nabla v_n(x)|^2 \right] dx &\leq \int_{\Omega} \left[\frac{1}{\varepsilon_n} W_1(x, u_n(x)) + \varepsilon_n |\nabla u_n(x)|^2 \right] dx \\ &\leq \int_{\Omega} \left[\frac{1}{\varepsilon_n} W(x, u_n(x)) + \varepsilon_n |\nabla u_n(x)|^2 \right] dx \leq C. \end{aligned} \tag{66}$$

We conclude this step by remarking that R' (see (62)) is chosen in such a way that

$$d_{W_1}(x, z_i(x), z_j(x)) = d_W(x, z_i(x), z_j(x)) \tag{67}$$

for every $x \in \Omega$ and every $i, j \in \{1, \dots, k\}$.

Step 3: For $i \in \{1, \dots, k\}$ and $n \in \mathbb{N}$, let $f_n^i : \Omega \rightarrow \mathbb{R}$ be the function defined via

$$f_n^i(x) := d_{W_1}(x, z_i(x), v_n(x)).$$

The purpose of this step is to show that, up to the extraction of a subsequence (which we do not relabel), $f_n^i \rightarrow f^i$ in $L^1(\Omega)$ as $n \rightarrow \infty$, for some $f^i \in BV(\Omega)$. To prove the claim, it is enough to show that

$$\int_{\Omega} |\nabla f_n^i(x)| dx \leq \text{Lip}(d_{W_1}; 3R') (1 + \text{Lip}(z_i)) \mathcal{L}^N(\Omega) + \int_{\Omega} 2\sqrt{W_1(x, v_n(x))} |\nabla v_n(x)| dx, \tag{68}$$

where by $\text{Lip}(d_{W_1}; \lambda)$ we denote the Lipschitz constant of d_{W_1} on $\Omega \times B(0, \lambda) \times B(0, \lambda)$ (see Corollary 3.5). Indeed, (66) implies that

$$\int_{\Omega} 2\sqrt{W_1(x, v_n(x))} |\nabla v_n(x)| dx \leq \int_{\Omega} \left[\frac{1}{\varepsilon_n} W_1(x, v_n(x)) + \varepsilon_n |\nabla v_n(x)|^2 \right] dx \leq C, \tag{69}$$

and so it follows from (68) and (69) that

$$\int_{\Omega} |\nabla f_n^i(x)| dx \leq \text{Lip}(d_{W_1}; 3R') (1 + \text{Lip}(z_i)) \mathcal{L}^N(\Omega) + C. \tag{70}$$

Since, as one can readily check, the sequence $\{f_n^i\}_{n \in \mathbb{N}}$ is bounded in $L^1(\Omega)$, (70) yields that it is also bounded in $W^{1,1}(\Omega)$. In turn, the claim follows by the Rellich–Kondrachov compactness theorem (see, for example, [44, Theorem 14.36]). The rest of the step is devoted to the proof of (68), which is adapted from [11, Proposition 2.1].

By the Meyer–Serrin approximation theorem, for every $n \in \mathbb{N}$ there exists a sequence $\{v_{n,k}\}_{k \in \mathbb{N}}$ of functions in $H^1(\Omega; \mathbb{R}^M) \cap C^1(\bar{\Omega}; \mathbb{R}^M)$ such that

$$\begin{aligned} v_{n,k} &\rightarrow v_n && \text{in } H^1(\Omega, \mathbb{R}^M), \\ v_{n,k} &\rightarrow v_n && \text{pointwise a.e. in } \Omega, \\ \nabla v_{n,k} &\rightarrow \nabla v_n && \text{pointwise a.e. in } \Omega, \\ |v_{n,k}(x)| &\leq 3R' && \text{in } \Omega. \end{aligned} \tag{71}$$

Moreover, eventually passing to a subsequence (which we do not relabel), for every $n \in \mathbb{N}$ we can find a function $h_n \in L^1(\Omega)$ such that for \mathcal{L}^N -a.e. $x \in \Omega$

$$|v_{n,k}(x)|^2 + |\nabla v_{n,k}(x)|^2 \leq h_n(x). \tag{72}$$

Let $f_{n,k}^i : \Omega \rightarrow \mathbb{R}$ be defined as

$$f_{n,k}^i(x) := d_{W_1}(x, z_i(x), v_{n,k}(x)).$$

Then $f_{n,k}^i$ is Lipschitz continuous in Ω and therefore differentiable almost everywhere. Observe that for $x, y \in \Omega$

$$\begin{aligned} |f_{n,k}^i(y) - f_{n,k}^i(x)| &\leq |d_{W_1}(y, z_i(y), v_{n,k}(y)) - d_{W_1}(x, z_i(y), v_{n,k}(y))| + |d_{W_1}(x, z_i(y), v_{n,k}(y)) \\ &\quad - d_{W_1}(x, z_i(x), v_{n,k}(y))| + |d_{W_1}(x, z_i(x), v_{n,k}(y)) - d_{W_1}(x, z_i(x), v_{n,k}(x))|, \end{aligned}$$

so that

$$|f_{n,k}^i(y) - f_{n,k}^i(x)| \leq \text{Lip}(d_{W_1}; 3R')(1 + \text{Lip}(z_i))|x - y| + d_{W_1}(x, v_{n,k}(x), v_{n,k}(y)). \tag{73}$$

Fix $\tau > 0$, and set $\Omega_\tau := \{x \in \Omega : \text{dist}(x, \partial\Omega) > \tau\}$. Then, if $x \in \Omega_\tau$, $h \in \mathbb{R} \setminus \{0\}$ is such that $|h| \leq \tau$, and $v \in \mathbb{S}^{N-1}$, by setting $y = x + hv$ in (73), we obtain

$$\frac{|f_{n,k}^i(x + hv) - f_{n,k}^i(x)|}{|h|} \leq C_1 + \frac{d_{W_1}(x, v_{n,k}(x), v_{n,k}(x + hv))}{|h|}, \tag{74}$$

where the constant C_1 is defined as

$$C_1 := \text{Lip}(d_{W_1}; 3R')(1 + \text{Lip}(z_i)).$$

Let $\ell_{n,k}^h \in \mathcal{A}(v_{n,k}(x), v_{n,k}(x + hv))$ be a parametrization of the line segment that joins $v_{n,k}(x)$ and $v_{n,k}(x + hv)$ and notice that

$$\begin{aligned} \frac{d_{W_1}(x, v_{n,k}(x), v_{n,k}(x + hv))}{|h|} &\leq \frac{1}{|h|} \int_{-1}^1 2\sqrt{W_1(x, \ell_{n,k}^h(t))} |(\ell_{n,k}^h)'(t)| dt \\ &= \frac{|v_{n,k}(x + hv) - v_{n,k}(x)|}{|h|} \int_{-1}^1 \sqrt{W_1(x, \ell_{n,k}^h(t))} dt \\ &\leq \frac{1}{|h|} \int_0^{|h|} |\nabla v_{n,k}(x + sv)| ds \int_{-1}^1 \sqrt{W_1(x, \ell_{n,k}^h(t))} dt. \end{aligned} \tag{75}$$

If $f_{n,k}^i$ is differentiable at $x \in \Omega_\tau$, by (74) and (75), and in view of the continuity of W_1 , it follows that by letting $h \rightarrow 0$ we get

$$|\nabla f_{n,k}^i(x) \cdot v| \leq C_1 + 2\sqrt{W_1(x, v_{n,k}(x))} |\nabla v_{n,k}(x)|. \tag{76}$$

Taking the supremum over all $v \in \mathbb{S}^{N-1}$ in (76), we obtain that for \mathcal{L}^N -a.e. $x \in \Omega$

$$|\nabla f_{n,k}^i(x)| \leq C_1 + 2\sqrt{W_1(x, v_{n,k}(x))} |\nabla v_{n,k}(x)|. \tag{77}$$

In turn, by (71), (72), (77), and Lebesgue’s dominated convergence theorem we see that

$$\begin{aligned} \int_{\Omega_\tau} |\nabla f_{n,k}^i(x)| dx &\leq C_1 \mathcal{L}^N(\Omega_\tau) + \int_{\Omega_\tau} 2\sqrt{W_1(x, v_{n,k}(x))} |\nabla v_{n,k}(x)| dx \\ &\leq C_1 \mathcal{L}^N(\Omega) + \int_{\Omega} 2\sqrt{W_1(x, v_{n,k}(x))} |\nabla v_{n,k}(x)| dx \\ &\rightarrow C_1 \mathcal{L}^N(\Omega) + \int_{\Omega} 2\sqrt{W_1(x, v_n(x))} |\nabla v_n(x)| dx \end{aligned} \tag{78}$$

as $k \rightarrow \infty$. Next, using the notation introduced in Remark 3.4 (see (57)), we observe that by (72) and (77) we have that

$$\begin{aligned} \int_{\Omega_\tau} |\nabla f_{n,k}^i(x)|^2 dx &\leq 2C_1^2 \mathcal{L}^N(\Omega) + 8\mathcal{W}(3R') \int_{\Omega} |\nabla v_{n,k}(x)|^2 dx \\ &\leq 2C_1^2 \mathcal{L}^N(\Omega) + 8\mathcal{W}(3R') \int_{\Omega} h_n(x) dx. \end{aligned}$$

By the monotone convergence theorem, letting $\tau \rightarrow 0$ in the previous inequality, we conclude that $\{f_{n,k}^i\}_{k \in \mathbb{N}}$ is bounded in $H^1(\Omega; \mathbb{R}^M)$. Therefore, eventually extracting a subsequence (which we do not relabel), there exists $g_n^i \in H^1(\Omega; \mathbb{R}^M)$ such that $f_{n,k}^i \rightharpoonup g_n^i$ in $H^1(\Omega; \mathbb{R}^M)$ and $f_{n,k}^i \rightarrow g_n^i$ in $L^2(\Omega; \mathbb{R}^M)$ as $k \rightarrow 0$. Since $f_{n,k}^i \rightarrow f_n^i$ in $L^2(\Omega; \mathbb{R}^M)$, we obtain that f_n^i must coincide with g_n^i almost everywhere in Ω , and therefore

$$\int_{\Omega_\tau} |\nabla f_{n,k}^i(x)| dx \rightarrow \int_{\Omega_\tau} |\nabla f_n^i(x)| dx. \tag{79}$$

Combining (78) and (79), we arrive at (68) with an application of the monotone convergence theorem, letting $\tau \rightarrow 0$.

Step 4: Without loss of generality, we can assume that $f_n^i \rightarrow f^i$ pointwise \mathcal{L}^N -a.e. in Ω for every i . Next, let

$$\Omega^i := \{x \in \Omega : f^i(x) = 0\}$$

and set

$$u(x) := \sum_{i=1}^k z_i(x) \mathbb{1}_{\Omega^i}(x). \tag{80}$$

We claim that eventually extracting a subsequence, $v_n \rightarrow u$ in $L^1(\Omega; \mathbb{R}^M)$. Notice that by Vitali’s convergence theorem, together with the results of Step 1, it is enough to show the existence of a subsequence which converges pointwise almost everywhere to u . To this end, we observe that (66) implies that

$$\mathcal{L}^N \left(\left\{ x \in \Omega : \limsup_{n \rightarrow \infty} W_1(x, v_n(x)) > 0 \right\} \right) = 0.$$

Thus, for \mathcal{L}^N -a.e. $x \in \Omega$ we have that cluster points of the sequence $\{v_n(x)\}_{n \in \mathbb{N}}$ belong to the set $\{z_1(x), \dots, z_k(x)\}$. For $i \neq j$, let B_{ij} be the subset of Ω^i consisting of all points x

for which there exists a subsequence $\{v_{n_m}(x)\}_{m \in \mathbb{N}}$ such that $v_{n_m}(x) \rightarrow z_j(x)$. Arguing by contradiction, assume that $\mathcal{L}^N(B_{ij}) > 0$ for some j . Then we have

$$\begin{aligned} 0 < \int_{B_{ij}} d_{W_1}(x, z_i(x), z_j(x)) \, dx &= \lim_{m \rightarrow \infty} \int_{B_{ij}} d_{W_1}(x, z_i(x), v_{n_m}(x)) \, dx \\ &= \lim_{m \rightarrow \infty} \int_{B_{ij}} f_{n_m}^i(x) \, dx \\ &= \int_{B_{ij}} f^i(x) \, dx = 0. \end{aligned}$$

Thus we have arrived at a contradiction. In particular, since $v_n \rightarrow u$ in $L^1(\Omega; \mathbb{R}^M)$, we deduce that

$$f^i(x) = d_{W_1}(x, z_i(x), u(x)) = d_W(x, z_i(x), u(x)) \tag{81}$$

for \mathcal{L}^N -a.e. $x \in \Omega$, where in the last equality we have used (67) and (80). Finally, notice that by (60) and (64) we have that

$$\int_{\Omega} |u_n(x) - v_n(x)| \, dx \leq \int_{\{|u_n| > 2R'\}} |u_n(x)| \, dx \leq C\varepsilon_n,$$

and therefore, eventually extracting a subsequence, $u_n \rightarrow u$ in $L^1(\Omega; \mathbb{R}^M)$ as it was claimed.

Step 5: This step is concerned with the proof of additional regularity properties of the function u , defined in (80). Let us remark that in view of (81), throughout the rest proof we can return to working with the potential W instead of W_1 . We begin by showing the following characterization of the jump set of u (see Definition 2.9):

$$J_u = \bigcup_{i=1}^{k-1} \bigcup_{j=i+1}^k U_{ij}, \tag{82}$$

where the sets U_{ij} are defined via

$$U_{ij} := \left\{ x \in J_{f^i} : (f^i(x)^+, f^i(x)^-, \nu_{f^i}(x)) = (0, d_W(x, z_i(x), z_j(x)), \nu_{f^i}(x)) \right\}. \tag{83}$$

Notice that

$$J_u = \bigcup_{i=1}^{k-1} \bigcup_{j=i+1}^k V_{ij},$$

where

$$V_{ij} := \left\{ x \in J_u : (u^+(x), u^-(x), \nu_u(x)) = (z_i(x), z_j(x), \nu_u(x)) \right\}, \tag{84}$$

so that to prove (82) it is enough to show that $U_{ij} = V_{ij}$. To this end, fix $x \in V_{ij}$ and observe that

$$\begin{aligned} d_W(y, z_i(y), u(y)) &= d_W(y, z_i(y), u(y)) - d_W(x, z_i(x), u(y)) + d_W(x, z_i(x), u(y)) \\ &\leq \text{Lip}(d_W; 3R') ((1 + \text{Lip}(z_i))|x - y| + |u(y) - z_i(x)|) \end{aligned} \tag{85}$$

holds for \mathcal{L}^N -a.e. $y \in \Omega$. Consequently, from (81), (84), and (85) we see that

$$0 \leq \frac{1}{\rho^N} \int_{B^+(x, \nu_u(x), \rho)} f^i(y) \, dy$$

$$\leq \text{Lip}(d_W; 2R') \left(\frac{(1 + \text{Lip}(z_i))\rho}{2} + \frac{1}{\rho^N} \int_{B^+(x, v_u(x), \rho)} |u(y) - z_i(x)| dy \right) \rightarrow 0$$

as $\rho \rightarrow 0^+$. Similarly, one can show that

$$\frac{1}{\rho^N} \int_{B^-(x, v_u(x), \rho)} |f^i(y) - d_W(x, z_i(x), z_j(x))| dy \rightarrow 0,$$

and thus we conclude that $V_{ij} \subset U_{ij}$. To prove the reverse inequality, we begin by noticing that in view of (H.2) there exists a constant ω such that

$$\inf \{d_W(x, z_i(x), z_j(x)) : x \in \Omega \text{ and } i \neq j\} \geq \omega. \tag{86}$$

In turn, if $x \in U_{ij}$ (see (83)) and $\varepsilon > 0$ is given, there exists $\bar{\rho} > 0$ such that if $\rho \leq \bar{\rho}$ then

$$\rho^N \varepsilon \geq \int_{B^+(x, v_{f^i}(x), \rho)} f^i(y) dy \geq \omega \sum_{j \neq i} \mathcal{L}^N \left(B^+(x, v_{f^i}(x), \rho) \cap \Omega^j \right).$$

Consequently, recalling that $\|z_i\|_{L^\infty(\Omega; \mathbb{R}^M)} \leq R$ by (H.4), notice that for every such ρ we have

$$\begin{aligned} \int_{B^+(x, v_{f^i}(x), \rho)} |u(y) - z_i(x)| dy &= \sum_{j=1}^k \int_{B^+(x, v_{f^i}(x), \rho) \cap \Omega^j} |z_j(y) - z_i(x)| dy \\ &\leq \text{Lip}(z_i)\rho^{N+1} + 2R \sum_{j \neq i} \mathcal{L}^N \left(B^+(x, v_{f^i}(x), \rho) \cap \Omega^j \right) \\ &\leq \text{Lip}(z_i)\rho^{N+1} + \frac{2R\rho^N \varepsilon}{\omega}, \end{aligned}$$

and therefore

$$\limsup_{\rho \rightarrow 0^+} \frac{1}{\rho^N} \int_{B^+(x, v_{f^i}(x), \rho)} |u(y) - z_i(x)| dy \leq \frac{2R\varepsilon}{\omega}.$$

Finally, letting $\varepsilon \rightarrow 0^+$ yields the desired result. Notice that with similar computations one can show that

$$\lim_{\rho \rightarrow 0^+} \frac{1}{\rho^N} \int_{B^-(x, v_{f^i}(x), \rho)} |u(y) - z_j(x)| dy = 0.$$

Hence $x \in V_{ij}$, and therefore we have shown that also $U_{ij} \subset V_{ij}$. The characterization of the jump set in (82) readily follows.

Next, observe that for U_{ij} defined as in (83), if ω is the constant given in (86) then

$$\begin{aligned} \mathcal{H}^{N-1}(U_{ij}) &= \int_{U_{ij}} d\mathcal{H}^{N-1}(x) = \int_{U_{ij}} \frac{d_W(x, z_i(x), z_j(x))}{d_W(x, z_i(x), z_j(x))} d\mathcal{H}^{N-1}(x) \\ &\leq \frac{1}{\omega} \int_{U_{ij}} d_W(x, z_i(x), z_j(x)) d\mathcal{H}^{N-1}(x) \\ &= \frac{1}{\omega} \int_{U_{ij}} \left| f^i(x)^+ - f^i(x)^- \right| d\mathcal{H}^{N-1}(x) < \infty, \tag{87} \end{aligned}$$

where in the last equality we have used the fact that $f^i \in BV(\Omega)$. Combining (80), (82), and (87) we see that $u \in BV(\Omega; z_1, \dots, z_k)$. Finally, notice that

$$\begin{aligned} \mathcal{F}_0(u) &= \int_{J_u} d_W(x, u^+(x), u^-(x)) d\mathcal{H}^{N-1}(x) = \sum_{i=1}^{k-1} \sum_{j=i+1}^k \int_{U_{ij}} d_W(x, z_i(x), z_j(x)) d\mathcal{H}^{N-1}(x) \\ &\leq \sum_{i=1}^{k-1} \sum_{j=i+1}^k \int_{U_{ij}} |f^i(x)^+ - f^i(x)^-| d\mathcal{H}^{N-1}(x) \\ &< \infty. \end{aligned}$$

This concludes the proof. □

4.2 Liminf inequality

The goal of this section is to prove the following result.

Proposition 4.2 *Let W be given as in (H.1)–(H.4). For $u \in L^1(\Omega; \mathbb{R}^M)$, let $\{u_n\}_{n \in \mathbb{N}}$ be a sequence of functions in $H^1(\Omega; \mathbb{R}^M)$ such that $u_n \rightarrow u$ in $L^1(\Omega; \mathbb{R}^M)$. Then*

$$\mathcal{F}_0(u) \leq \liminf_{n \rightarrow \infty} \mathcal{F}_n(u_n).$$

Proof We divide the proof into several steps.

Step 1: Eventually extracting a subsequence (which we do not relabel), we can assume without loss of generality that

$$\liminf_{n \rightarrow \infty} \mathcal{F}_n(u_n) = \lim_{n \rightarrow \infty} \mathcal{F}_n(u_n) < \infty. \tag{88}$$

Consequently we are in a position to apply Proposition 4.1 for n large enough and conclude that $u \in BV(\Omega; \{z_1, \dots, z_k\})$ (see Definition 1.3). In order to prove the liminf inequality we will use the blow-up method of Fonseca and Müller (see [31]). Let us consider the finite positive Radon measures $\mu_n \in \mathcal{M}^+(\Omega)$ given by

$$\mu_n := \left(\frac{1}{\varepsilon_n} W(\cdot, u_n(\cdot)) + \varepsilon_n |\nabla u_n(\cdot)|^2 \right) \mathcal{L}^N \llcorner \Omega.$$

In view of (88) we can further assume that $\sup \{\mu_n(\Omega) : n \in \mathbb{N}\} < \infty$, and therefore, up to the extraction of a subsequence (which again we do not relabel), there exists a measure $\mu \in \mathcal{M}^+(\Omega)$ such that $\mu_n \xrightarrow{*} \mu$ (see Theorem 2.2). Let $\lambda := \mathcal{H}^{N-1} \llcorner J_u$ and let $x_0 \in J_u$ be such that

$$\frac{d\mu}{d\lambda}(x_0) < \infty \quad \text{and} \quad \frac{d\mathcal{H}^{N-1}}{d\lambda}(x_0) = 1. \tag{89}$$

Recall that (89) holds for \mathcal{H}^{N-1} -a.e. $x_0 \in J_u$. Let $Q_\nu \subset \mathbb{R}^N$ be a unit cube centered at the origin with two faces orthogonal to $\nu := \nu_u(x_0)$, where the direction $\nu_u(x_0)$ is given as in Definition 2.9, and for $\rho > 0$ write $Q(x_0, \nu, \rho) := x_0 + \rho Q_\nu$. Let $b_1, \dots, b_{N-1} \in \mathbb{R}^N$ be such that $\{b_1, \dots, b_{N-1}, \nu\}$ is an orthonormal bases for \mathbb{R}^N . Then, for every point $x \in \mathbb{R}^N$ there are constants $y_1, \dots, y_{N-1}, t \in \mathbb{R}$ such that

$$x = \sum_{i=1}^{N-1} y_i b_i + t \nu.$$

In the following we identify x with (x', t) , where $x' \in \mathbb{R}^{N-1}$ denotes the vector (y_1, \dots, y_{N-1}) . Let

$$Q'(x_0, v, \rho) := \left\{ x' = (y_1, \dots, y_{N-1}) : \sum_{i=1}^{N-1} y_i b_i + tv \in Q(x_0, v, \rho) \text{ for all } t \in \left(-\frac{\rho}{2}, \frac{\rho}{2}\right) \right\}, \tag{90}$$

and notice that with this notation at hand we can write

$$Q(x_0, v, \rho) = \{x = (x', t) : x' \in Q'(x_0, v, \rho) \text{ and } t \in (-\rho/2, \rho/2)\}.$$

Moreover, we define

$$Q^+(x_0, v, \rho) := \left\{ x = (x', t) : x' \in Q'(x_0, v, \rho) \text{ and } t \in \left(0, \frac{\rho}{2}\right) \right\},$$

$$Q^-(x_0, v, \rho) := \left\{ x = (x', t) : x' \in Q'(x_0, v, \rho) \text{ and } t \in \left(-\frac{\rho}{2}, 0\right) \right\}.$$

Since by assumption $x_0 \in J_u$, there are two indices $1 \leq i_1 < i_2 \leq k$ such that for every $\varepsilon > 0$ there exists $\bar{\rho} = \bar{\rho}(\varepsilon) > 0$ with the property that for every $\rho \leq \bar{\rho}$

$$\frac{1}{\rho^N} \int_{Q^+(x_0, v, \rho)} |u(x) - z_{i_1}(x_0)| dx \leq \varepsilon \quad \text{and} \quad \frac{1}{\rho^N} \int_{Q^-(x_0, v, \rho)} |u(x) - z_{i_2}(x_0)| dx \leq \varepsilon. \tag{91}$$

In particular, if we let $\Omega^j := \{x : u(x) = z_j(x)\}$, we see that

$$\begin{aligned} \varepsilon &\geq \frac{1}{\rho^N} \int_{Q^+(x_0, v, \rho)} |u(x) - z_{i_1}(x_0)| dx \\ &\geq \sum_{j \neq i_1} \frac{1}{\rho^N} \int_{Q^+(x_0, v, \rho) \cap \Omega^j} |z_j(x) - z_{i_1}(x_0)| dx \\ &\geq \sum_{j \neq i_1} \frac{1}{\rho^N} \int_{Q^+(x_0, v, \rho) \cap \Omega^j} (|z_j(x_0) - z_{i_1}(x_0)| - |z_j(x) - z_j(x_0)|) dx \\ &\geq \sum_{j \neq i_1} \left(\frac{\delta}{\rho^N} \int_{Q^+(x_0, v, \rho)} \mathbb{1}_{\Omega^j}(x) dx - \text{Lip}(z_j)c(N)\rho \right). \end{aligned} \tag{92}$$

Notice that we can choose $\bar{\rho}$ in such a way that it also satisfies

$$c(N)\bar{\rho} \sum_{j=1}^k \text{Lip}(z_j) \leq \varepsilon. \tag{93}$$

Combining (92) and (93) we obtain that for every $j \neq i_1$

$$\frac{2\varepsilon}{\delta} \geq \frac{1}{\rho^N} \int_{Q^+(x_0, v, \rho)} \mathbb{1}_{\Omega^j}(x) dx = \frac{1}{\rho} \int_0^{\rho/2} G_j^+(\rho, t) dt, \tag{94}$$

where

$$G_j^+(\rho, t) := \frac{1}{\rho^{N-1}} \int_{Q'(x_0, v, \rho)} \mathbb{1}_{\Omega^j}(x', t) dx'.$$

In view of (94), there exists a measurable set $E_j^+(\rho) \subset (0, \rho/2)$ with

$$\mathcal{L}^1(E_j^+(\rho)) = \left(\frac{1}{2} - \frac{1}{4(k-1)} \right) \rho \tag{95}$$

such that

$$G_j^+(\rho, t) \leq \frac{8(k-1)\varepsilon}{(2k-3)\delta} \quad \text{for } \mathcal{L}^1\text{-a.e. } t \in E_j^+(\rho). \tag{96}$$

Indeed, if we assume that (96) does not hold, then for every $E \subset (0, \rho/2)$ with $\mathcal{L}^1(E) = (2k-3)\rho/4(k-1)$ we would have

$$\frac{2\varepsilon}{\delta} \geq \frac{1}{\rho} \int_E G_j^+(\rho, t) dt > \frac{1}{\rho} \frac{(2k-3)\rho}{4(k-1)} \frac{8(k-1)\varepsilon}{(2k-3)\delta} = \frac{2\varepsilon}{\delta}.$$

Let $E_\rho^+ := \bigcap_{j \neq i_1} E_j^+(\rho)$, and notice that by De Morgan’s law and (95)

$$\mathcal{L}^1(E_\rho^+) = \frac{\rho}{2} - \mathcal{L}^1\left(\bigcup_{j \neq i_1} (E_j^+(\rho))^c\right) \geq \frac{\rho}{2} - \sum_{j \neq i_1} \mathcal{L}^1((E_j^+(\rho))^c) = \frac{\rho}{4}.$$

A similar argument yields the existence of a set $E_\rho^- \subset (-\rho/2, 0)$ with the property that $\mathcal{L}^1(E_\rho^-) \geq \rho/4$ and such the analogous statement to (96) holds for every $j \neq i_2$. Thus, by letting $\varepsilon = 1/m$ we can find two decreasing sequences $\{\rho_m\}_{m \in \mathbb{N}}$ and $\{r_m^+\}_{m \in \mathbb{N}}$ and an increasing sequence $\{r_m^-\}_{m \in \mathbb{N}}$ such that

$$\begin{aligned} \rho_m &\in \left(0, \bar{\rho}\left(\frac{1}{m}\right)\right), \\ r_m^+ &\in \left(\frac{\rho}{8}, \frac{\rho}{2}\right), \quad r_m^+ \in E_{\rho_m}^+, \\ r_m^- &\in \left(-\frac{\rho}{2}, -\frac{\rho}{8}\right), \quad r_m^- \in E_{\rho_m}^-, \end{aligned}$$

and with the property that $\mu(\partial R_m(x_0, \nu)) = 0$ (see Remark 2.4), where

$$R_m(x_0, \nu) := Q'(x_0, \nu, \rho_m) \times (r_m^-, r_m^+).$$

Moreover, eventually extracting a subsequence (not relabeled), we can assume that for \mathcal{L}^{N-1} -a.e. $x' \in Q'(x_0, \nu, \rho_m)$ it holds

$$\lim_{n \rightarrow \infty} u_n(x', r_m^\pm) = u(x', r_m^\pm), \tag{97}$$

and

$$u(x', r_m^\pm) \in \{z_1(x', r_m^\pm), \dots, z_k(x', r_m^\pm)\}. \tag{98}$$

Notice in particular that there are constants C, c such that

$$\overline{B(x_0, c\rho_m)} \subset R_m(x_0, \nu) \subset \overline{B(x_0, C\rho_m)}.$$

Therefore, by Besicovitch’s derivation theorem (see Theorem 2.7), Lemma 2.3, and (89) we obtain that

$$\frac{d\mu}{d\lambda}(x_0) = \lim_{m \rightarrow \infty} \frac{\mu(R_m(x_0, \nu))}{\lambda(R_m(x_0, \nu))} = \lim_{m \rightarrow \infty} \frac{\mu(R_m(x_0, \nu))}{\rho_m^{N-1}} = \lim_{m \rightarrow \infty} \lim_{n \rightarrow \infty} \frac{\mu_n(R_m(x_0, \nu))}{\rho_m^{N-1}}.$$

Thus, to prove the liminf inequality it is enough to show that

$$\lim_{m \rightarrow \infty} \lim_{n \rightarrow \infty} \frac{\mu_n(R_m(x_0, \nu))}{\rho_m^{N-1}} \geq d_W(x_0, z_{i_1}(x_0), z_{i_2}(x_0)). \tag{99}$$

Step 2: Using the notation introduced in the previous step, we begin by noticing that

$$\mu_n(R_m(x_0, \nu)) \geq \int_{R_m(x_0, \nu)} 2\sqrt{W(x, u_n(x))} |\nabla u_n(x)| dx$$

$$\begin{aligned} &\geq \int_{Q'(x_0, v, \rho_m)} \int_{r_m^-}^{r_m^+} 2\sqrt{W((x', t), u_n(x', t))} |\nabla u_n(x', t) \cdot v| dt dx' \\ &= \int_{Q'(x_0, v, \rho_m)} \int_{-1}^1 2\sqrt{W(x', g_m(t), \gamma_{m,n}(x', t))} |\gamma'_{m,n}(x', t)| dt dx', \end{aligned}$$

where

$$g_m(t) := \begin{cases} tr_m^+ & \text{if } t \in (0, 1), \\ tr_m^- & \text{if } t \in (-1, 0), \end{cases}$$

and $\gamma_{m,n}(x', t) := u_n(x', g_m(t))$. Then, if we set

$$F_m(z) := \min \left\{ 2\sqrt{W(x, z)} : x \in \overline{R_m(x_0, v)} \right\} \tag{100}$$

we obtain

$$\begin{aligned} \mu_n(R_m(x_0, v)) &\geq \int_{Q'(x_0, v, \rho_m)} \int_{-1}^1 F_m(\gamma_{m,n}(x', t)) |\gamma'_{m,n}(x', t)| dt dx' \\ &\geq \int_{Q'(x_0, v, \rho_m)} d_{F_m}(\gamma_{m,n}(x', 1), \gamma_{m,n}(x', -1)) dx', \end{aligned} \tag{101}$$

where for $p, q \in \mathbb{R}^M$

$$d_{F_m}(p, q) := \inf \left\{ \int_{-1}^1 F_m(\gamma(t)) |\gamma'(t)| dt : \gamma \in \mathcal{A}(p, q) \right\}. \tag{102}$$

By (97), the continuity of d_{F_m} , and Fatou's lemma, we see that

$$\liminf_{n \rightarrow \infty} \mu_n(R_m(x_0, v)) \geq \int_{Q'(x_0, v, \rho_m)} d_{F_m}(u(x', r_m^+), u(x', r_m^-)) dx'. \tag{103}$$

Let

$$Q'_m(i, j) := Q'(x_0, v, \rho_m) \cap \{x' : u(x', r_m^+) = z_i(x', r_m^+), u(x', r_m^-) = z_j(x', r_m^-)\},$$

and notice that in view of (98) we can write

$$Q'(x_0, v, \rho_m) = T_m \cup \bigcup_{i,j=1}^k Q'_m(i, j),$$

where $\mathcal{L}^{N-1}(T_m) = 0$. Observe that as a consequence of (100) and (102) we have

$$\begin{aligned} \int_{Q'_m(i, j)} d_{F_m}(z_i(x', r_m^+), z_j(x', r_m^-)) dx' &\leq \int_{Q'_m(i, j)} d_W(x_0, z_i(x', r_m^+), z_j(x', r_m^-)) dx' \\ &\leq C \mathcal{L}^{N-1}(Q'_m(i, j)), \end{aligned} \tag{104}$$

where C is a constant that only depends on W, z_i , and z_j . Recalling the definition of r_m^\pm , if $i \neq i_1$ we have

$$\mathcal{L}^{N-1}(Q'_m(i, j)) \leq \rho_m^{N-1} G_i^+(\rho_m, r_m) \leq \rho_m^{N-1} \frac{8(k-1)}{(2k-3)\delta} \frac{1}{m}. \tag{105}$$

Similar computations hold if $j \neq i_2$. Consequently, combining (103)–(105) we arrive at

$$\begin{aligned} \liminf_{n \rightarrow \infty} \mu_n(R_m(x_0, \nu)) &\geq \sum_{i,j=1}^k \int_{Q_m^{(i,j)}} d_{F_m}(z_i(x', r_m^+), z_j(x', r_m^-)) dx' \\ &\geq \int_{Q'(x_0, \nu, \rho_m)} d_{F_m}(z_{i_1}(x', r_m^+), z_{i_2}(x', r_m^-)) dx' - \frac{C \rho_m^{N-1}}{m}, \end{aligned} \tag{106}$$

for some positive constant C . Using the fact that for every $p, q, z \in \mathbb{R}^M$

$$|d_{F_m}(p, z) - d_{F_m}(z, q)| \leq d_{F_m}(p, q) \leq d_W(x_0, p, q)$$

and the continuity of $d_W(x_0, \cdot, \cdot)$, we obtain

$$d_{F_m}(z_{i_1}(x', r_m^+), z_{i_2}(x', r_m^-)) \geq d_{F_m}(z_{i_1}(x_0), z_{i_2}(x_0)) + \mathcal{O}(1). \tag{107}$$

Combining (106) and (107), we see that

$$\lim_{m \rightarrow \infty} \liminf_{n \rightarrow \infty} \frac{\mu_n(R_m(x_0, \nu))}{\rho_m^{N-1}} \geq \liminf_{m \rightarrow \infty} d_{F_m}(z_{i_1}(x_0), z_{i_2}(x_0)).$$

Therefore, in order to prove (99) it is enough to show that

$$\lim_{m \rightarrow \infty} d_{F_m}(z_{i_1}(x_0), z_{i_2}(x_0)) = d_W(x_0, z_{i_1}(x_0), z_{i_2}(x_0)). \tag{108}$$

Step 3: This step is dedicated to the proof of (108). We claim that the sequence $\{F_m\}_{m \in \mathbb{N}}$ converges uniformly to $2\sqrt{W}(x_0, \cdot)$ on every compact subset of \mathbb{R}^M . Indeed, as one can readily check, the map $z \mapsto F_m(z)$ is continuous for every m , while the map $m \mapsto F_m(z)$ is nondecreasing for every z . To conclude, notice that $F_m(z) \rightarrow 2\sqrt{W}(x_0, z)$ for every $z \in \mathbb{R}^M$ as $m \rightarrow \infty$. Since the map $z \mapsto 2\sqrt{W}(x_0, z)$ is continuous by assumption (see (H.1)), we are in a position to apply Dini’s convergence theorem. This proves the claim.

Let $p := z_{i_1}(x_0)$, $q := z_{i_2}(x_0)$ and, using the notation introduced in Proposition 3.2, notice that (see (H.2); see also Remark 3.3) there exists m_1 such that if $m \geq m_1$ then for every $i \neq j$

$$\mathcal{N}_{\delta/2}(z_i(R_m(x_0, \nu))) \cap \mathcal{N}_{\delta/2}(z_j(R_m(x_0, \nu))) = \emptyset.$$

Thus, if $m \geq m_1$, we are in a position to apply the results of Proposition 3.2 and Remark 3.4 and conclude that there exists a minimizing geodesic for $d_{F_m}(p, q)$, namely $\gamma_m \in \mathcal{A}(p, q)$, such that if we set

$$\lambda := \max \{|z_i(x)| : i \in \{1, \dots, k\}, x \in \overline{\Omega}\}$$

then

$$\begin{aligned} L(\gamma_m) &\leq \Lambda(\lambda, \mathcal{R}_{m_1}(x_0, \nu)), \\ \|\gamma_m\|_{L^\infty((-\!-\!1, 1); \mathbb{R}^M)} &\leq \Lambda(\lambda, \mathcal{R}_{m_1}(x_0, \nu)) + \lambda. \end{aligned} \tag{109}$$

Consequently, given $\varepsilon > 0$, we can find $m_2 \geq m_1$ with the property that for every $m \geq m_2$

$$\left| F_m(z) - 2\sqrt{W}(x_0, z) \right| < \varepsilon \tag{110}$$

for every $z \in B(0, \Lambda(\lambda, \mathcal{R}_{m_1}(x_0, \nu)) + \lambda)$. Then, from (109) and (110) we see that

$$d_{F_m}(p, q) = \int_{-1}^1 F_m(\gamma_m(t)) |\gamma_m'(t)| dt$$

$$\begin{aligned}
 &= \int_{-1}^1 2\sqrt{W(x_0, \gamma_m(t))} |\gamma'_m(t)| dt - \int_{-1}^1 \left[2\sqrt{W(x_0, \gamma_m(t))} - F_m(\gamma_m(t)) \right] |\gamma'_m(t)| dt \\
 &\geq d_W(x_0, p, q) - \varepsilon \Lambda(\lambda, \mathcal{R}_{m_1}(x_0, v)).
 \end{aligned}$$

Letting $m \rightarrow \infty$ in the previous inequality we obtain

$$d_W(x_0, p, q) \geq \limsup_{m \rightarrow \infty} d_{F_m}(p, q) \geq \liminf_{m \rightarrow \infty} d_{F_m}(p, q) \geq d_W(x_0, p, q) - \varepsilon \Lambda(\lambda, \mathcal{R}_{m_1}(x_0, v)).$$

The desired inequality (108) follows immediately by letting $\varepsilon \rightarrow 0^+$. □

4.3 Limsup inequality

This section is devoted to proving the following result, which concludes the proof of Theorem 1.4.

Proposition 4.3 *Let W be as is (H.1)–(H.4). Then, for every $u \in BV(\Omega; z_1, \dots, z_k)$ there exists a sequence $\{u_n\}_{n \in \mathbb{N}}$ of functions in $H^1(\Omega; \mathbb{R}^M)$ such that $u_n \rightarrow u$ in $L^1(\Omega; \mathbb{R}^M)$ and $\mathcal{F}_n(u_n) \rightarrow \mathcal{F}_0(u)$.*

We split the proof in three lemmas. In the first result presented below, we exhibit a sequence $\{u_n\}_{n \in \mathbb{N}}$ of functions with polyhedral jump set which approximates the function u and furthermore satisfies $\mathcal{F}_0(u_n) \rightarrow \mathcal{F}_0(u)$. This is a straightforward consequence of a classical result due to Baldo (see [11, Lemma 3.1]). In the following we say that $U \subset \Omega$ is a *polyhedral set* if $\partial U \cap \Omega$ is contained in a finite union of hyperplanes.

Lemma 4.4 *Under the assumptions of Proposition 4.3, let $u \in BV(\Omega; z_1, \dots, z_k)$. Then there exists a sequence $\{u_n\}_{n \in \mathbb{N}}$ of functions in $BV(\Omega; z_1, \dots, z_k)$ of the form*

$$u_n = \sum_{i=1}^k z_i \mathbb{1}_{\Omega_n^i},$$

where Ω_n^i is a polyhedral set for each $i \in \{1, \dots, k\}$ and each $n \in \mathbb{N}$, such that $u_n \rightarrow u$ in $L^1(\Omega; \mathbb{R}^M)$ and $\mathcal{F}_0(u_n) \rightarrow \mathcal{F}_0(u)$.

Proof Write $u = \sum_{i=1}^k z_i \mathbb{1}_{\Omega^i}$, where $\{\Omega^i\}_{i=1}^k$ is a Caccioppoli partition of Ω (see Definition 2.17 and Remark 2.18). Thanks to [11, Proposition A.2] and as a consequence of the proof of [11, Lemma A.7], it is possible to find a sequence $\{\{\Omega_n^i\}_{i=1}^k\}_{n \in \mathbb{N}}$ of Caccioppoli partitions of Ω with the following properties:

- (i) Ω_n^i is a polyhedral set;
- (ii) $\mathbb{1}_{\Omega_n^i} \rightarrow \mathbb{1}_{\Omega^i}$ in $L^1(\Omega)$;
- (iii) μ_n^{ij} converges in $(C_b(\Omega))'$ to μ^{ij} (see Definition 2.5), where for each $i \neq j \in \{1, \dots, k\}$, and $n \in \mathbb{N}$ the measures $\mu_n^{ij}, \mu^{ij} \in \mathcal{M}^+(\Omega)$ are given by

$$\mu_n^{ij} := \mathcal{H}^{N-1} \llcorner (\partial^* \Omega_n^i \cap \partial^* \Omega_n^j), \quad \mu^{ij} := \mathcal{H}^{N-1} \llcorner (\partial^* \Omega^i \cap \partial^* \Omega^j).$$

Since $d_W \in C_b(\Omega)$ (see Corollary 3.5) and recalling that the z_i 's are bounded we get

$$\lim_{n \rightarrow \infty} \mathcal{F}_0(u_n) = \lim_{n \rightarrow \infty} \sum_{i=1}^{k-1} \sum_{j=i+1}^k \int_{\partial^* \Omega_n^i \cap \partial^* \Omega_n^j} d_W(x, z_i(x), z_j(x)) d\mathcal{H}^{N-1}(x)$$

$$\begin{aligned}
 &= \lim_{n \rightarrow \infty} \sum_{i=1}^{k-1} \sum_{j=i+1}^k \int_{\Omega} d_W(x, z_i(x), z_j(x)) d\mu_n^{ij}(x) \\
 &= \sum_{i=1}^{k-1} \sum_{j=i+1}^k \int_{\Omega} d_W(x, z_i(x), z_j(x)) d\mu^{ij}(x) \\
 &= \mathcal{F}_0(u),
 \end{aligned}$$

where in the previous to last step we used property (iii) above. □

A key ingredient in the proof of the limsup inequality is a reparametrization due to Modica (see [49, Proposition 2], and [11, Lemma 3.2]). Being crucial to our construction of the recovery sequence, we present the proof for the reader’s convenience.

Lemma 4.5 *Under the assumptions of Proposition 4.3, fix $\lambda > 0$, $\varepsilon > 0$, $x \in \Omega$, and $p, q \in \mathbb{R}^M$. Let $\gamma \in \mathcal{A}(p, q)$ be the parametrization of a curve of class C^1 with $\gamma'(s) \neq 0$ for all $s \in (-1, 1)$. Then there exist $\tau > 0$ with*

$$\tau \leq \frac{\varepsilon}{\sqrt{\lambda}} L(\gamma) = \frac{\varepsilon}{\sqrt{\lambda}} \int_{-1}^1 |\gamma'(t)| dt, \tag{111}$$

and $g \in C^1((0, \tau); [-1, 1])$ such that

$$(g'(t))^2 = \frac{\lambda + W(x, \gamma(g(t)))}{\varepsilon^2 |\gamma'(g(t))|^2} \tag{112}$$

for all $t \in (0, \tau)$, $g(0) = -1$, $g(\tau) = 1$, and

$$\begin{aligned}
 &\int_0^\tau \left[\frac{1}{\varepsilon} W(x, \gamma(g(t))) + \varepsilon |\gamma'(g(t))|^2 (g'(t))^2 \right] dt \\
 &\leq \int_{-1}^1 2\sqrt{W(x, \gamma(s))} |\gamma'(s)| ds + 2\sqrt{\lambda} \int_{-1}^1 |\gamma'(s)| ds.
 \end{aligned} \tag{113}$$

Proof Define

$$\Psi(t) := \int_{-1}^t \frac{\varepsilon |\gamma'(s)|}{\sqrt{\lambda + W(x, \gamma(s))}} ds,$$

and set $\tau := \Psi(1)$. Then Ψ is strictly increasing, and its inverse $g: [0, \tau] \rightarrow [-1, 1]$ is of class C^1 in $(0, \tau)$ and satisfies (112) for all $t \in (0, \tau)$. Moreover,

$$\tau = \Psi(1) = \int_{-1}^1 \frac{\varepsilon |\gamma'(s)|}{\sqrt{\lambda + W(x, \gamma(s))}} ds \leq \frac{\varepsilon}{\sqrt{\lambda}} \int_{-1}^1 |\gamma'(s)| ds.$$

Finally, by (112), a change of variables, and the subadditivity of the square root function we get

$$\begin{aligned}
 &\int_0^\tau \left[\frac{1}{\varepsilon} W(x, \gamma(g(t))) + \varepsilon |\gamma'(g(t))|^2 (g'(t))^2 \right] dt \\
 &= \int_0^\tau 2|\gamma'(y(t))| |g'(t)| \sqrt{W(x, \gamma(g(t))) + \lambda} dt \\
 &= \int_{-1}^1 2\sqrt{W(x, \gamma(s)) + \lambda} |\gamma'(s)| ds
 \end{aligned}$$

$$\leq \int_{-1}^1 2\sqrt{W(x, \gamma(s))} |\gamma'(s)| ds + 2\sqrt{\lambda} \int_{-1}^1 |\gamma'(s)| ds,$$

and (113) follows, thus concluding the proof. □

We now turn to the technical construction of the recovery sequence.

Lemma 4.6 *Under the assumptions of Proposition 4.3, let $u \in BV(\Omega; z_1, \dots, z_k)$ be such that $u = \sum_{i=1}^k z_i \mathbb{1}_{\Omega^i}$, where, for each $i = 1, \dots, k$, $\partial\Omega^i \cap \Omega$ is a polyhedral set. Then there exists a sequence $\{u_n\}_{n \in \mathbb{N}}$ of functions in $H^1(\Omega; \mathbb{R}^M)$ such that $u_n \rightarrow u$ in $L^1(\Omega; \mathbb{R}^M)$ and $\mathcal{F}_n(u_n) \rightarrow \mathcal{F}_0(u)$ as $n \rightarrow \infty$.*

Proof Throughout the proof we denote by C a positive constant independent of n , which could possibly differ from line to line. We divide the proof into several steps.

Step 1: (definitions of the main objects). For $n \in \mathbb{N}$ define

$$r_n := \varepsilon_n^{\frac{1}{6}}, \quad r'_n := \left(1 - \varepsilon_n^{\frac{2}{3}}\right) r_n. \tag{114}$$

Thanks to Proposition 3.2 and Remark 3.4, there exists $\bar{L} > 0$ such that for every $x \in \Omega$ and $i \neq j \in \{1, \dots, k\}$ it is possible to find a minimizing geodesic $\gamma \in \mathcal{A}(z_i(x), z_j(x))$ for $d_W(x, z_i(x), z_j(x))$ satisfying

$$L(\gamma) < \bar{L}. \tag{115}$$

For each $n \in \mathbb{N}$ set

$$\ell_n := \bar{L} \varepsilon_n^{\frac{5}{8}}.$$

For each $i \in \{1, \dots, k\}$ write

$$\partial\Omega^i \cap \Omega = \bigcup_{m=1}^{p_i} \overline{\Sigma_m^i} \cap \Omega,$$

where $p_i \in \mathbb{N}$, the Σ_m^i 's are pairwise disjoint, and, for each $m \in \{1, \dots, p_i\}$, Σ_m^i is contained in a hyperplane with normal $v_m^i \in \mathbb{S}^{N-1}$. Define the *singular set* of the partition $\{\Omega^i\}_{i=1}^k$ as

$$S := \bigcup_{i=1}^k \left[\bigcup_{m \neq s} \left(\overline{\Sigma_m^i} \cap \overline{\Sigma_s^i} \right) \cup \left(\partial\Omega^i \cap \partial\Omega \right) \right].$$

For $i \in \{1, \dots, k\}$ and $m \in \{1, \dots, p_i\}$ set

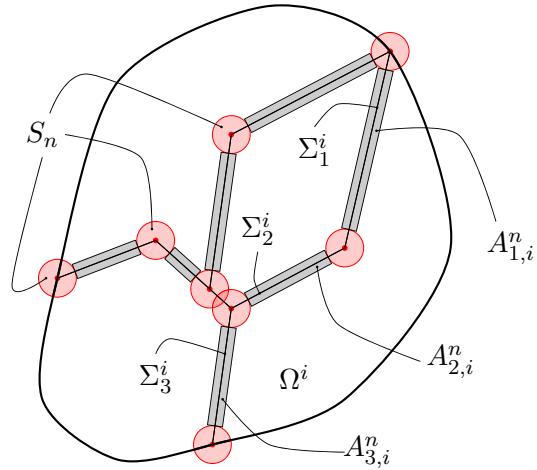
$$A_{m,i}^n := \left\{ x \in \mathbb{R}^N : x = y + tv_m^i, y \in \Sigma_m^i \setminus \mathcal{N}_{\Theta\varepsilon_n}(S), t \in (-\ell_n - \varepsilon_n, \ell_n + \varepsilon_n) \right\},$$

where $\mathcal{N}_{\Theta\varepsilon_n}(S) := \{x \in \mathbb{R}^N : |x - y| < \Theta\varepsilon_n \text{ for some } y \in S\}$. Using the fact that $\partial\Omega$ is Lipschitz, it is possible to find $\bar{n} \in \mathbb{N}$ and $\Theta > 0$ such that for all $n \geq \bar{n}$, $i \in \{1, \dots, k\}$ and $m \in \{1, \dots, p_i\}$ it holds that $A_{m,i}^n \subset \Omega$, and furthermore that either $A_{m,i}^n = A_{s,j}^n$, or

$$A_{m,i}^n \cap A_{s,j}^n = \emptyset \tag{116}$$

for all $n \geq \bar{n}$, $i, j \in \{1, \dots, k\}$, $m \in \{1, \dots, p_i\}$, and $s \in \{1, \dots, p_j\}$ with $m \neq s$ if $i = j$ (see Fig. 3). Without loss of generality, up to increasing the value of \bar{n} , we can

Fig. 3 The region shaded in red depicts the tubular neighborhood of the singular set of the partition $\{\Omega^i\}_{i=1}^k$. The main construction of the recovery sequence is carried out in the region shaded in grey (color figure online)



assume that for all $i \in \{1, \dots, k\}$ and $m \in \{1, \dots, p_i\}$ there exists only two different indexes $j_1, j_2 \in \{1, \dots, k\}$ such that

$$\left\{ x \in \mathbb{R}^N : x = y + tv_m^i, y \in \Sigma_m^i \setminus \mathcal{N}_{\Theta\varepsilon_n}(S), t \in (-\ell_n + \varepsilon_n, \ell_n + \varepsilon_n) \right\} \subset \Omega^{j_1}$$

and

$$\left\{ x \in \mathbb{R}^N : x = y + tv_m^i, y \in \Sigma_m^i \setminus \mathcal{N}_{\Theta\varepsilon_n}(S), t \in (-\ell_n - \varepsilon_n, 0) \right\} \subset \Omega^{j_2}.$$

For $n \in \mathbb{N}$ set $S_n := \mathcal{N}_{\Theta\varepsilon_n}(S)$, and notice that

$$\mathcal{L}^N(S_n) \leq D\varepsilon_n^2, \tag{117}$$

for some constant $D > 0$ depending only on $\mathcal{H}^{N-2}(S)$.

Step 2: (definition of u_n close to Σ_m^i). Let us first consider $\Omega^1 \setminus S_n$. Fix $m \in \{1, \dots, p_1\}$. Without loss of generality we can assume that $\Sigma_m^1 \subset \{x_N = 0\}$ and that the normal vector $\nu_m^1 = e_N$ points outside Ω^1 . In the following we write points $x \in \mathbb{R}^N$ as $x = (y, t)$ where $y \in \mathbb{R}^{N-1}$ and $t \in \mathbb{R}$. For each $n \in \mathbb{N}$, consider the sets

$$U_n^j := \{y \in \mathbb{R}^{N-1} : (y, 0) \in Q(\bar{y}_j, r_n) \cap (\Sigma_m^1 \setminus S_n)\},$$

where \bar{y}_j ranges among the elements of $\frac{r_n}{2}\mathbb{Z}^{N-1} \times \{0\}$. In the following we will consider only the indices j for which $U_n^j \neq \emptyset$. Let $\varphi_n^j \in C_c^\infty(\mathbb{R}^{N-1})$ be a function such that

$$0 \leq \varphi_n^j \leq 1, \quad \varphi_n^j \equiv 1 \text{ in } Q'(\bar{y}_j, e_N, r'_n), \quad \varphi_n^j \equiv 0 \text{ on } \mathbb{R}^{N-1} \setminus Q'(\bar{y}_j, e_N, 2r_n - r'_n), \tag{118}$$

$$\sum_j \varphi_n^j = 1 \text{ in } \Sigma_m^1, \quad |\nabla \varphi_n^j| \leq \frac{C}{r_n - r'_n}, \tag{119}$$

where C is a positive constant independent of n , and we used the notation in (90). For every such index j , let $\gamma^j \in \mathcal{A}(u^-(\bar{y}_j), u^+(\bar{y}_j))$ be a minimizing geodesic for $d_W(\bar{y}_j, u^-(\bar{y}_j), u^+(\bar{y}_j))$ such that $L(\gamma^j) < \bar{L}$, where \bar{L} is the constant given in (115). Then it is possible to find a sequence $\{\gamma_n^j\}_{n \in \mathbb{N}}$ of C^1 curves in $\mathcal{A}(u^-(\bar{y}_j), u^+(\bar{y}_j))$ with

$(\gamma_n^j)'(s) \neq 0$ for all $s \in (-1, 1)$ and such that

$$L(\gamma_n^j) < \bar{L}, \quad \lim_{n \rightarrow \infty} \int_{-1}^1 \sqrt{W(\bar{y}_j, \gamma_n^j(s))} |(\gamma_n^j)'(s)| ds = d_W(\bar{y}_j, u^+(\bar{y}_j), u^-(\bar{y}_j)). \tag{120}$$

Let $\tau_n^j \in (0, \ell_n)$ and $g_n^j \in C^1((0, \tau_n^j))$ be given by Lemma 4.5 corresponding to the choice of

$$\varepsilon = \varepsilon_n, \quad \lambda = \varepsilon_n^{\frac{3}{4}}, \quad \gamma = \gamma_n^j.$$

Extend g_n^j to the whole interval $(0, \infty)$ by setting $g_n^j(s) := 1$ for $s \geq \tau_n^j$. For each j , let $s_j, q_j \in \{1, \dots, k\}$ be such that $u^-(\bar{y}_j) = z_{s_j}(\bar{y}_j)$, and $u^+(\bar{y}_j) = z_{q_j}(\bar{y}_j)$. We then define the function u_n^j as follows:

(i) for $y \in U_n^j$ and $t \in (0, \ell_n)$ set

$$u_n^j(y, t) := \gamma_n^j(g_n^j(t));$$

(ii) for $y \in U_n^j$ and $t \in (\ell_n, \ell_n + \varepsilon_n)$ set

$$u_n^j(y, t) := z_{q_j}(\bar{y}_j) + \frac{t - \ell_n}{\varepsilon_n} [z_{q_j}(y, \ell_n + \varepsilon_n) - z_{q_j}(\bar{y}_j)];$$

(iii) for $y \in U_n^j$ and $t \in (-\varepsilon_n, 0)$ set

$$u_n^j(y, t) := z_{s_j}(\bar{y}_j) - \frac{t}{\varepsilon_n} [z_{s_j}(y, -\varepsilon_n) - z_{s_j}(\bar{y}_j)].$$

Then, for $(y, 0) \in \Sigma_m^1 \setminus S_n$ and $t \in (-\varepsilon_n, \varepsilon_n + \ell_n)$ define

$$u_n(y, t) := \sum_j \varphi_j(y) u_n^j(y, t). \tag{121}$$

Notice that, thanks to (118), $u_n(y, t) = u_n^j(y, t)$ for $y \in Q'(\bar{y}_j, e_N, r'_n)$, and the number of non-zero terms in the sum is bounded above by 3^N . We repeat the same construction for all $m \in \{1, \dots, p_1\}$, and notice that thanks to (116) the functions u_n are well defined, as the constructions in this step do not overlap.

Next, for

$$x \in \Omega^1 \setminus \left(S_n \cup \bigcup_{m=1}^{p_1} \left\{ z + t v_m^1 \in \mathbb{R}^N : z \in \Sigma_m^1 \setminus S_n, t \in (-\varepsilon_n, \varepsilon_n + \ell_n) \right\} \right),$$

define $u_n(x) := z_1(x)$.

Finally, we repeat the same argument for $i = 2, \dots, k$ in the sets

$$\Omega^i \setminus \left(S_n \cup \bigcup_{j < i} \bigcup_{m=1}^{p_j} \left\{ z + t v_m^j \in \mathbb{R}^N : z \in \Sigma_m^j \setminus S_n, t \in (-\varepsilon_n, \varepsilon_n + \ell_n) \right\} \right),$$

assuming that the normal v_m^j points outside the set Ω^j .

Step 3: (estimate of the Lipschitz constant of u_n close to Σ_m^i). Reasoning as in the previous step, we assume without loss of generality that $\Sigma_m^i \subset \{x_N = 0\}$. Using the fact that the

number of non-zero terms in the definition of u_n (see (121)) is bounded above by 3^N , we have that

$$\text{Lip}(u_n) \leq 3^N \sup_j \text{Lip}(\varphi_j u_n^j) \leq 3^N C \sup_j [\text{Lip}(\varphi_j) + \text{Lip}(u_n^j)] \tag{122}$$

where in the second step we used (118) together with the fact that, by construction, and using (115) it holds

$$\max_j \|u_n^j\|_{L^\infty(\Omega; \mathbb{R}^M)} \leq C \tag{123}$$

for some constant $C > 0$ independent of n . In order to estimate the second term in (122) we reason as follows. Let $y_1, y_2 \in U_n^j$ and $t_1, t_2 \in (0, \ell_n)$. Using (112), our choice of ε and λ , and the fact that minimizing geodesics are uniformly bounded, we get

$$\begin{aligned} |u_n^j(y_1, t_1) - u_n^j(y_2, t_2)| &= |\gamma_n^j(g_n^j(t_2)) - \gamma_n^j(g_n^j(t_1))| \\ &\leq \frac{(\varepsilon_n^{\frac{3}{4}} + C)^{\frac{1}{2}}}{\varepsilon_n} |t_1 - t_2|. \end{aligned} \tag{124}$$

Let $y_1, y_2 \in U_n^j$ and $t_1, t_2 \in [\ell_n, \ell_n + \varepsilon_n)$. Then we can estimate

$$\begin{aligned} |u_n^j(y_1, t_1) - u_n^j(y_2, t_2)| &\leq \left| u_n^j(y_1, t_1) - \frac{t_1 - \ell_n}{\varepsilon_n} [z_{q_j}(y_2, \ell_n + \varepsilon_n) - z_{q_j}(\overline{y_j})] \right| \\ &\quad + \left| \frac{t_1 - \ell_n}{\varepsilon_n} [z_{q_j}(y_2, \ell_n + \varepsilon_n) - z_{q_j}(\overline{y_j})] - u_n^j(y_2, t_2) \right| \\ &\leq \frac{t_1 - \ell_n}{\varepsilon_n} |z_{q_j}(y_1, \ell_n + \varepsilon_n) - z_{q_j}(y_2, \ell_n + \varepsilon_n)| \\ &\quad + |t_2 - t_1| \left| \frac{z_{q_j}(y_1, \ell_n + \varepsilon_n) - z_{q_j}(\overline{y_j})}{\varepsilon_n} \right| \\ &\leq \text{Lip}(z_{q_j})|y_1 - y_2| + C|t_2 - t_1| \frac{(\varepsilon_n^{\frac{5}{4}} + r_n^2)^{\frac{1}{2}}}{\varepsilon_n}. \end{aligned} \tag{125}$$

Similar computations show that, if $y_1, y_2 \in U_n^j$ and $t_1, t_2 \in (-\varepsilon_n, 0)$, we get

$$|u_n^j(y_1, t_1) - u_n^j(y_2, t_2)| \leq \text{Lip}(z_{s_j})|y_1 - y_2| + C|t_2 - t_1| \frac{\sqrt{\varepsilon_n^2 + r_n^2}}{\varepsilon_n}. \tag{126}$$

Step 4: (definition of u_n in Ω). We are now in position to define u_n in the whole Ω . Using the estimates (122), (124), (125), and (126), Kirszbraun’s theorem ensures that it is possible to extend u_n to a Lipschitz function, still denoted by u_n , defined in the whole Ω in such a way that the Lipschitz constant of the extension is controlled by the Lipschitz constant of the original function u_n . It is immediate to verify that $u_n \in H^1(\Omega; \mathbb{R}^M)$ and that $u_n \rightarrow u$ in $L^1(\Omega; \mathbb{R}^M)$ as $n \rightarrow \infty$.

Step 5: (estimate of the energy). We claim that $\mathcal{F}_n(u_n) \rightarrow \mathcal{F}_0(u)$ as $n \rightarrow \infty$. To show this, we split Ω into several pieces and compute the asymptotic behavior of the energy \mathcal{F}_n in each of them. For $i \in \{1, \dots, k\}$, let $\tilde{\Omega}_n^i$ be the set where $u_n \equiv z_i$. Then

$$\lim_{n \rightarrow \infty} \int_{\tilde{\Omega}_n^i} \left[\frac{1}{\varepsilon_n} W(x, u_n(x)) + \varepsilon_n |\nabla u_n(x)|^2 \right] dx = 0, \tag{127}$$

since $W(x, u_n(x)) = 0$ for $x \in \tilde{\Omega}_n^i$, and u_n has uniformly bounded gradient in that region.

Fix one connected component Σ of $(J_u \cap \Omega) \setminus S_n$. Without loss of generality, we can assume $\Sigma \subset \{x_N = 0\}$. We first consider the transition region

$$I_n := \bigcup_j \left\{ (y, t) \in \mathbb{R}^N : (y, 0) \in \Sigma \cap (Q(\bar{y}_j, r_n) \setminus Q(\bar{y}_j, r'_n)), t \in (-\varepsilon_n, \ell_n + \varepsilon_n) \right\},$$

which we split in three parts. Let us start with

$$I_n^1 := \bigcup_j \left\{ (y, t) \in \mathbb{R}^N : (y, 0) \in \Sigma \cap (Q(\bar{y}_j, r_n) \setminus Q(\bar{y}_j, r'_n)), t \in (-\varepsilon_n, 0) \right\}.$$

Notice that

$$\mathcal{L}^N(I_n^1) \leq C \left(\frac{r_n - r'_n}{r_n} \right)^{N-1} \varepsilon_n. \tag{128}$$

Indeed, the number of $(N - 1)$ -dimensional cubes we consider is of the order of r_n^{1-N} , for each of which we are integrating over a volume of the order of $\varepsilon_n(r_n - r'_n)^{N-1}$. Since $\|u_n\|_{L^\infty(\Omega; \mathbb{R}^M)} \leq C$ we have

$$W(x, u_n(x)) \leq C, \tag{129}$$

for all $x \in I_n$. Using (122), (126), (128), and (129) we get

$$\begin{aligned} & \int_{I_n^1} \left[\frac{1}{\varepsilon_n} W(x, u_n(x)) + \varepsilon_n |\nabla u_n(x)|^2 \right] dx \\ & \leq \left[\frac{C}{\varepsilon_n} + \varepsilon_n \left(\frac{C}{r_n - r'_n} + \text{Lip}(u^+) + \frac{\sqrt{\varepsilon_n^2 + r_n^2}}{\varepsilon_n} \right)^2 \right] \mathcal{L}^N(I_n^1) \\ & \leq C \left[\frac{1}{\varepsilon_n} + \varepsilon_n \left(\frac{1}{(r_n - r'_n)^2} + (\text{Lip}(u^+))^2 + \frac{\varepsilon_n^2 + r_n^2}{\varepsilon_n^2} \right) \right] \left(\frac{r_n - r'_n}{r_n} \right)^{N-1} \varepsilon_n \\ & \leq C \left[1 + \left(\frac{\varepsilon_n}{r_n - r'_n} \right)^2 + \varepsilon_n^2 (\text{Lip}(u^+))^2 + \varepsilon_n^2 + r_n^2 \right] \left(\frac{r_n - r'_n}{r_n} \right)^{N-1} \\ & = C \left[1 + \left(\frac{\varepsilon_n}{\frac{1}{6} + \frac{2}{3}} \right)^2 + \varepsilon_n^2 (\text{Lip}(u^+))^2 + \varepsilon_n^2 + r_n^2 \right] \varepsilon_n^{\frac{2(N-1)}{3}} \\ & \rightarrow 0, \end{aligned} \tag{130}$$

as $n \rightarrow \infty$, where in the previous to last step we used (114).

Next, we prove that the energy contribution in the region

$$I_n^2 := \bigcup_j \left\{ (y, t) \in \mathbb{R}^N : (y, 0) \in \Sigma \cap (Q(\bar{y}_j, r_n) \setminus Q(\bar{y}_j, r'_n)), t \in (0, \ell_n) \right\},$$

is asymptotically negligible. We do this by noticing that

$$\mathcal{L}^N(I_n^2) \leq C \left(\frac{r_n - r'_n}{r_n} \right)^{N-1} \varepsilon_n^{\frac{5}{8}},$$

and that, in view of (124) and (129), we have that

$$\int_{I_n^2} \left[\frac{1}{\varepsilon_n} W(x, u_n(x)) + \varepsilon_n |\nabla u_n|^2 \right] dx \leq \left[\frac{C}{\varepsilon_n} + \varepsilon_n \frac{\varepsilon_n^{\frac{3}{4}} + C}{\varepsilon_n^2} \right] \mathcal{L}^N(I_n^2)$$

$$\begin{aligned}
 &\leq C \left[\varepsilon_n^{-1} + \varepsilon_n^{-\frac{1}{4}} \right] \left(\frac{r_n - r'_n}{r_n} \right)^{N-1} \varepsilon_n^{\frac{5}{8}} \\
 &\leq C \varepsilon_n^{-\frac{3}{8} + \frac{2}{3}(N-1)} + C \varepsilon_n^{\frac{2}{3}(N-1) + \frac{3}{8}} \\
 &\rightarrow 0,
 \end{aligned} \tag{131}$$

as $n \rightarrow \infty$. Finally, we consider

$$I_n^3 := \bigcup_j \left\{ (y, t) \in \mathbb{R}^N : (y, 0) \in \Sigma \cap (Q(\bar{y}_j, r_n) \setminus Q(\bar{y}_j, r'_n)), t \in (\ell_n, \ell_n + \varepsilon_n) \right\},$$

and notice that

$$\mathcal{L}^N(I_n^3) \leq C \left(\frac{r_n - r'_n}{r_n} \right)^{N-1} \varepsilon_n.$$

In turn, by (125) and with similar computations to the ones in (130), we get

$$\lim_{n \rightarrow \infty} \int_{I_n^3} \left[\frac{1}{\varepsilon_n} W(x, u_n(x)) + \varepsilon_n |\nabla u_n(x)|^2 \right] dx = 0. \tag{132}$$

Therefore, from (130)–(132) we deduce that

$$\lim_{n \rightarrow \infty} \int_{I_n} \left[\frac{1}{\varepsilon_n} W(x, u_n(x)) + \varepsilon_n |\nabla u_n(x)|^2 \right] dx = 0. \tag{133}$$

We now consider the region

$$U_n := \bigcup_j (Q'(\bar{y}_j, e_N, r'_n) \times (\ell_n, \ell_n + \varepsilon_n))$$

and observe that

$$\mathcal{L}^N(U_n) \leq C \left(\frac{r'_n}{r_n} \right)^{N-1} \varepsilon_n. \tag{134}$$

Let $i \in \{1, \dots, k\}$ be such that $U_n \subset \Omega^i$. Then, for $x \in Q'(\bar{y}_j, e_N, r'_n) \times (\ell_n, \ell_n + \varepsilon_n)$ it holds

$$\begin{aligned}
 W(x, u_n(x)) &= W(x, u_n(x)) - W(x, z_i(x)) \\
 &\leq \text{Lip}(W; K) |u_n(x) - z_i(x)| \\
 &\leq \text{Lip}(W; K) |z_i(\bar{y}_j) - z_i(y, \ell_n + \varepsilon_n)| \\
 &\leq C \text{Lip}(W; K) \text{Lip}(z_i) (\varepsilon_n^{\frac{5}{4}} + r_n^2)^{\frac{1}{2}},
 \end{aligned} \tag{135}$$

where in the previous to last step we used the triangle inequality together with fact that u_n is a linear interpolation between $z_i(\bar{y}_j)$ and $z_i(y, \ell_n + \varepsilon_n)$. Here $K > 0$ is such that $u_n(x), z_i(x) \in B(0, K)$ for all $x \in Q'(\bar{y}_j, e_N, r'_n) \times (\ell_n, \ell_n + \varepsilon_n)$. Therefore, from (125) and (135) we get

$$\begin{aligned}
 &\int_{U_n} \left[\frac{1}{\varepsilon_n} W(x, u_n(x)) + \varepsilon_n |\nabla u_n|^2 \right] dx \\
 &\leq C \left[\sup_i \text{Lip}(z_i) \frac{(\varepsilon_n^{\frac{5}{4}} + r_n^2)^{\frac{1}{2}}}{\varepsilon_n} + \varepsilon_n \left(\frac{C}{r_n - r'_n} + \text{Lip}(u^+) + \frac{(\varepsilon_n^{\frac{5}{4}} + r_n^2)^{\frac{1}{2}}}{\varepsilon_n} \right)^2 \right] \mathcal{L}^N(U_n)
 \end{aligned}$$

$$\begin{aligned} &\leq C \left[\frac{(\varepsilon_n^{\frac{5}{4}} + r_n^2)^{\frac{1}{2}}}{\varepsilon_n} + \frac{\varepsilon_n}{(r_n - r'_n)^2} + \frac{\varepsilon_n^{\frac{5}{4}} + r_n^2}{\varepsilon_n} \right] \left(\frac{r'_n}{r_n} \right)^{N-1} \varepsilon_n \\ &\rightarrow 0 \end{aligned} \tag{136}$$

as $n \rightarrow \infty$, where the last step follows from (114) with analogous computations as those we used to deduce (130).

With a similar argument it is possible to show that

$$\lim_{n \rightarrow \infty} \int_{L_n} \left[\frac{1}{\varepsilon_n} W(x, u_n(x)) + \varepsilon_n |\nabla u_n(x)|^2 \right] dx = 0, \tag{137}$$

where

$$L_n := \bigcup_j (Q'(\bar{y}_j, e_N, r'_n) \times (-\varepsilon_n, 0)).$$

Moreover, using (117), (122), and (123) we also get

$$\lim_{n \rightarrow \infty} \int_{S_n} \left[\frac{1}{\varepsilon_n} W(x, u_n(x)) + \varepsilon_n |\nabla u_n(x)|^2 \right] dx = 0. \tag{138}$$

Finally, we prove that

$$\lim_{n \rightarrow \infty} \int_{G'_n} \left[\frac{1}{\varepsilon_n} W(x, u_n(x)) + \varepsilon_n |\nabla u_n(x)|^2 \right] dx = \mathcal{F}_0(u), \tag{139}$$

where

$$G'_n := \bigcup_j (Q'(\bar{y}_j, e_N, r'_n) \times (0, \ell_n)) \setminus S_n.$$

Thanks to (133), we can equivalently consider the region

$$G_n := \bigcup_j (U_n^j \times (0, \ell_n)).$$

Moreover, the Lipschitz continuity of W gives

$$\begin{aligned} &\lim_{n \rightarrow \infty} \frac{1}{\varepsilon_n} \int_{G_n} |W(x, u_n(x)) - W(\bar{y}_j, u_n(x))| dx \\ &\leq \lim_{n \rightarrow \infty} \frac{1}{\varepsilon_n} \sum_j \int_{U_n^j \times (0, \ell_n)} |x - \bar{y}_j| dx \\ &\leq \lim_{n \rightarrow \infty} \frac{1}{\varepsilon_n} \sum_j \int_{B(\bar{y}_j, \ell_n \sqrt{N})} |x - \bar{y}_j| dx \\ &= \lim_{n \rightarrow \infty} \frac{1}{\varepsilon_n} \sum_j \int_0^{\ell_n \sqrt{N}} t N \omega_N t^{N-1} dt \\ &\leq \lim_{n \rightarrow \infty} \frac{1}{\varepsilon_n} \frac{C}{(r_n)^{N-1}} \frac{N \omega_N}{N+1} (\ell_n \sqrt{N})^{N+1} \\ &\leq \lim_{n \rightarrow \infty} C \varepsilon_n^{\frac{11}{24} N - \frac{5}{24}} = 0, \end{aligned} \tag{140}$$

since $N \geq 2$. Therefore, using the fact that for every j and every n

$$\int_{\tau_n^j}^{\ell_n} \left[\frac{1}{\varepsilon_n} W(\bar{y}_j, \gamma_n^j(g_n^j(t))) + \varepsilon_n |(\gamma_n^j(g_n^j))'(t)|^2 \right] dt = 0,$$

we see that

$$\begin{aligned} & \lim_{n \rightarrow \infty} \int_{G_n^j} \left[\frac{1}{\varepsilon_n} W(x, u_n(x)) + \varepsilon_n |\nabla u_n(x)|^2 \right] dx \\ &= \lim_{n \rightarrow \infty} \int_{G_n} \left[\frac{1}{\varepsilon_n} W(x, u_n(x)) + \varepsilon_n |\nabla u_n(x)|^2 \right] dx \\ &= \lim_{n \rightarrow \infty} \sum_j \int_{U_n^j \times (0, \ell_n)} \left[\frac{1}{\varepsilon_n} W(\bar{y}_j, u_n(x)) + \varepsilon_n |\nabla u_n(x)|^2 \right] dx \\ &= \lim_{n \rightarrow \infty} \sum_j \mathcal{H}^{N-1}(U_n^j) \int_0^{\ell_n} \left[\frac{1}{\varepsilon_n} W(\bar{y}_j, \gamma_n^j(g_n^j(t))) + \varepsilon_n |(\gamma_n^j(g_n^j))'(t)|^2 \right] dt \\ &\leq \lim_{n \rightarrow \infty} \sum_j \mathcal{H}^{N-1}(U_n^j) d_W(\bar{y}_j, u^+(\bar{y}_j), u^-(\bar{y}_j)) \\ &= \int_{\Sigma} d_W(x, u^+(x), u^-(x)) d\mathcal{H}^{N-1}(x), \end{aligned} \tag{141}$$

where in the last step we used the fact that the function $x \mapsto d_W(x, u^+(x), u^-(x))$ is continuous on Σ (see Corollary 3.5), while the previous to last step follows from (120) together with the result of Lemma 4.5.

Finally, since the number of connected components of $(J_u \cap \Omega) \setminus S_n$ is finite, by (127), (133), (136)–(139) we conclude. \square

We are now in position to prove the limsup inequality.

Proof of Proposition 4.3 For $u \in BV(\Omega; z_1, \dots, z_k)$, let $\{v_n\}_{n \in \mathbb{N}}$ be the sequence of functions in $BV(\Omega; z_1, \dots, z_k)$ provided by Lemma 4.4. In particular, recall that each v_n can be written as

$$v_n = \sum_{i=1}^k z_i \mathbb{1}_{\Omega_n^i},$$

where each Ω_n^i is a polyhedral set, that $v_n \rightarrow u$ in $L^1(\Omega; \mathbb{R}^M)$, and that

$$\lim_{n \rightarrow \infty} \mathcal{F}_0(v_n) = \mathcal{F}_0(u).$$

By an application of Lemma 4.6, for each $n \in \mathbb{N}$ there exists a sequence $\{w_m^n\}_{m \in \mathbb{N}}$ of functions in $H^1(\Omega; \mathbb{R}^M)$ such that $w_m^n \rightarrow v_n$ in $L^1(\Omega; \mathbb{R}^M)$ and

$$\lim_{m \rightarrow \infty} \mathcal{F}_n(w_m^n) = \mathcal{F}_0(v_n).$$

Therefore, by using a diagonalization argument, it is possible to find a sequence $\{m_n\}_{n \in \mathbb{N}}$ such that the sequence $\{u_n\}_{n \in \mathbb{N}}$ of functions in $H^1(\Omega; \mathbb{R}^M)$ defined as $u_n := w_{m_n}^n$ is such that $u_n \rightarrow u$ in $L^1(\Omega; \mathbb{R}^M)$ and

$$\lim_{n \rightarrow \infty} \mathcal{F}_n(u_n) = \mathcal{F}_0(u).$$

This concludes the proof. \square

5 Proofs of the variants of the main results

In this section we discuss how to suitably modify our arguments to obtain the proofs for the several variants we consider. In the following, in order to keep the notation as simple as possible, the value of the constant $C > 0$ might change from one instance to another.

5.1 Proof of Theorem 1.5

To prove the compactness result, we notice that

$$\mathcal{F}_n(u) \leq \mathcal{F}_n^{\mathcal{M}}(u)$$

for all $u \in L^1(\Omega; \mathbb{R}^M)$. Therefore, for any sequence $\{u_n\}_{n \in \mathbb{N}} \subset L^1(\Omega; \mathbb{R}^M)$, we have that

$$\sup \{ \mathcal{F}_n^{\mathcal{M}}(u_n) : n \in \mathbb{N} \} < \infty \implies \sup \{ \mathcal{F}_n(u_n) : n \in \mathbb{N} \} < \infty.$$

By an application of Proposition 4.1, we get that up to the extraction of a subsequence (which we do not relabel) $u_n \rightarrow u$ in $L^1(\Omega; \mathbb{R}^M)$, for some $u \in BV(\Omega; z_1, \dots, z_k)$ with $\mathcal{F}_0(u) < \infty$. Since

$$\mathcal{M} = \lim_{n \rightarrow \infty} \int_{\Omega} u_n(x) dx = \int_{\Omega} u(x) dx,$$

we also deduce that $\mathcal{F}_0^{\mathcal{M}}(u) < \infty$.

Since the proof of the liminf inequality remains unchanged, we omit the details. Next, we discuss how to construct the recovery sequence. Our approach is inspired by that of [39]. To be precise, let $u \in BV(\Omega; z_1, \dots, z_k)$ with

$$\int_{\Omega} u(x) dx = \mathcal{M},$$

and let $\{w_n\}_{n \in \mathbb{N}} \subset BV(\Omega; z_1, \dots, z_k)$ be the sequence provided by Lemma 4.4. Without loss of generality, we can assume that

$$\int_{\Omega} |u(x) - w_n(x)| dx \leq \varepsilon_n. \tag{142}$$

For each $n \in \mathbb{N}$, by applying Lemma 4.6 to the function w_n , it is possible to find $v_n \in H^1(\Omega; \mathbb{R}^M)$ such that

$$|\mathcal{F}_n(v_n) - \mathcal{F}_0(w_n)| \leq \varepsilon_n, \tag{143}$$

and such that (see Step 1 and 2 in the proof of Lemma 4.6)

$$\mathcal{L}^N(E_n) \leq C\varepsilon_n^{\frac{5}{8}}, \tag{144}$$

for some constant $C > 0$ independent of n , where $E_n := \{x \in \Omega : v_n(x) \neq w_n(x)\}$. Moreover, for $n \in \mathbb{N}$, let

$$\eta_n := \int_{\Omega} u(x) dx - \int_{\Omega} v_n(x) dx,$$

and define

$$\tilde{v}_n(x) := v_n(x) + \frac{\eta_n}{\mathcal{L}^N(\Omega)}.$$

Notice that \tilde{v}_n satisfies the mass constraint, i.e.,

$$\int_{\Omega} \tilde{v}_n(x) dx = \mathcal{M}.$$

Notice also that in view of (142) and (144) we get

$$|\eta_n| \leq C \varepsilon_n^{\frac{5}{8}} \tag{145}$$

and so $\|\tilde{v}_n - w_n\|_{L^1(\Omega; \mathbb{R}^M)} \leq C \varepsilon_n^{\frac{5}{8}}$. Moreover, recalling that $p \mapsto W(x, p)$ is smooth for $p \in B(z_i(x), r)$ for all $i \in \{1, \dots, k\}$, that $\nabla_p W(x, z_i(x)) = 0$ for all $x \in \Omega$, and since $\|v_n\|_{L^\infty(\Omega; \mathbb{R}^M)} \leq C$ for some constant $C > 0$ independent of n , an application of Taylor’s formula yields

$$\begin{aligned} \mathcal{F}_n^{\mathcal{M}}(\tilde{v}_n) &= \int_{\Omega} \left[\frac{1}{\varepsilon_n} W(x, \tilde{v}_n(x)) + \varepsilon_n |\nabla \tilde{v}_n(x)|^2 \right] dx \\ &= \frac{1}{\varepsilon_n} \int_{\Omega \setminus E_n} \left[W(x, v_n(x)) + \nabla_p W(x, v_n(x)) \cdot \eta_n + \frac{1}{2} \nabla_{pp}^2 W(x, \xi_n(x)) [\eta_n, \eta_n] \right] dx \\ &\quad + \frac{1}{\varepsilon_n} \int_{E_n} W(x, \tilde{v}_n(x)) dx + \int_{\Omega} \varepsilon_n |\nabla v_n(x)|^2 dx \\ &= \frac{1}{\varepsilon_n} \int_{\Omega \setminus E_n} \left[W(x, v_n(x)) + \nabla_p W(x, v_n(x)) \cdot \eta_n + \frac{1}{2} \nabla_{pp}^2 W(x, \xi_n(x)) [\eta_n, \eta_n] \right] dx \\ &\quad + \frac{1}{\varepsilon_n} \int_{E_n} W(x, v_n(x)) dx + \frac{1}{\varepsilon_n} \int_{E_n} [W(x, \tilde{v}_n(x)) - W(x, v_n(x))] dx \\ &\quad + \int_{\Omega} \varepsilon_n |\nabla v_n(x)|^2 dx \\ &\leq \mathcal{F}_n(v_n) + \frac{|\eta_n|^2}{\varepsilon_n} \int_{\Omega \setminus E_n} |\nabla_{pp}^2 W(x, \xi_n(x))| dx + \text{Lip}(W; C) \frac{|\eta_n| \mathcal{L}^N(E_n)}{\varepsilon_n} \\ &\leq \mathcal{F}_n(v_n) + C \frac{|\eta_n|^2}{\varepsilon_n} + \text{Lip}(W; C) \frac{|\eta_n| \mathcal{L}^N(E_n)}{\varepsilon_n}. \end{aligned}$$

Here $|\xi_n(x) - v_n(x)| \leq |\eta_n|$ for all $x \in \Omega$. In view of the result of Lemma 4.4 together with (143)–(145) we get

$$\lim_{n \rightarrow \infty} \mathcal{F}_n^{\mathcal{M}}(\tilde{v}_n) = \mathcal{F}_0^{\mathcal{M}}(u).$$

This concludes the proof. □

Remark 5.1 It would be interesting to understand if the mass constraint can play a role in weakening the assumptions on W . In this case, one might conjecture that the strategy implemented in [43] would allow one to drop the assumption (H.4). It is not immediately clear whether this is possible since (H.4) plays a crucial role in obtaining the pivotal estimate (70).

Remark 5.2 For the proof of Theorem 1.5, we opted to use a different approach than the one proposed in [11]. The reason for this is that, to the best of our understanding, the argument in [11] has a flaw that we were not able to correct.

5.2 Proof of Theorem 1.7

In the proof of the compactness result we only need to modify the definition of R' in (62) as follows:

$$R' := R_g + \Lambda_W(R_g), \quad \text{where} \quad R_g := \max\{R, \|g\|_{L^\infty(\Omega; \mathbb{R}^M)}\}.$$

This is done in order to get (67) and

$$d_{W_1}(x, z_i(x), g(x)) = d_W(x, z_i(x), g(x))$$

for all $i \in \{1, \dots, k\}$ and $x \in \partial\Omega$.

The liminf inequality is obtained as follows. Let $u \in BV(\Omega; z_1, \dots, z_k)$. Let $\tilde{\Omega} \supset \bar{\Omega}$ be an open bounded set with Lipschitz continuous boundary and define the function $\tilde{u} \in BV(\tilde{\Omega}; \mathbb{R}^M)$ as

$$\tilde{u} := \begin{cases} u & \text{in } \Omega \\ \tilde{g} & \text{in } \tilde{\Omega} \setminus \Omega \end{cases} \tag{146}$$

where $\tilde{g} \in \text{Lip}(\tilde{\Omega} \setminus \Omega; \mathbb{R}^M)$ is such that $\tilde{g} = g$ on $\partial\Omega$. We then conclude by repeating the argument in the proof of Proposition 4.2 to the function \tilde{u} .

The only change we need to make to the construction of the recovery sequence is in Lemma 4.6. Let $u \in BV(\Omega; z_1, \dots, z_k)$ be as in the statement of Lemma 4.6, and define $\tilde{u} \in BV(\tilde{\Omega}; \mathbb{R}^M)$ as in (146). Extend W and z_1, \dots, z_k to locally Lipschitz functions defined in $\tilde{\Omega} \times \mathbb{R}^M \times \mathbb{R}^M$ and $\tilde{\Omega}$ respectively. Set $z_{k+1} := \tilde{g}$. By applying the construction in the proof of Lemma 4.6 to \tilde{u} in $\tilde{\Omega}$, we obtain a sequence $\{\tilde{u}_n\}_{n \in \mathbb{N}} \subset H^1(\tilde{\Omega}; \mathbb{R}^M)$ such that

$$\lim_{n \rightarrow \infty} \int_{\Omega} |\tilde{u}_n(x) - u(x)| dx = 0, \quad \text{Tr}(\tilde{u}_n) = g \text{ on } \partial\Omega.$$

Moreover, by looking at the energy estimates (127), (133), (136)–(139), we get

$$\lim_{n \rightarrow \infty} \mathcal{F}_n^D(u_n) = \mathcal{F}_0(u) + \int_{\partial\Omega} d_W(x, \text{Tr } u(x), g(x)) d\mathcal{H}^{N-1}(x).$$

This concludes the proof. □

5.3 Proof of Theorem 1.9

Since the proof of the compactness result remains essentially unchanged, we omit the details. We only notice that since in (86) one can have $\omega = 0$, we do not recover (87), and thus we cannot in general conclude that $u \in BV(\Omega; z_1, \dots, z_k)$.

Recall that in view of Remark 1.8, if $u \in L^1(\Omega, \mathbb{R}^M)$ is such that $u(x) \in \{z_1(x), \dots, z_k(x)\}$ for \mathcal{L}^N -a.e. $x \in \Omega$, the collection of sets $\{\{x \in \Omega : u(x) = z_i(x)\}\}_{i=1}^k$ does not necessarily give a partition of Ω . On the other hand, as one can readily check, it is possible to find sets $\Omega_1, \dots, \Omega_k$ which are measurable and pairwise disjoint and with the property that $u(x) = z_i(x)$ for \mathcal{L}^N -a.e. $x \in \Omega^i$. In particular, this ensures that it is possible to write

$$u = \sum_{i=1}^k z_i \mathbb{1}_{\Omega^i} \tag{147}$$

almost everywhere in Ω , where $\{\Omega^i\}_{i=1}^k$ is a partition of Ω in sets that are not necessarily of finite perimeter.

The proof of the liminf inequality follows closely that of Proposition 4.2; the only changes required are described here in detail for the reader’s convenience. For $x_0 \in J_u$ as in (89), let $i_1, i_2 \in \{1, \dots, k\}$ be such that for every $\varepsilon > 0$ there exists $\bar{\rho}$ with the property that (91) holds for every $\rho \leq \bar{\rho}$. Set

$$\begin{aligned} \mathcal{J}_1 &:= \{j \in \{1, \dots, k\} : z_j(x_0) = z_{i_1}(x_0)\}, \\ \mathcal{J}_2 &:= \{j \in \{1, \dots, k\} : z_j(x_0) = z_{i_2}(x_0)\}. \end{aligned}$$

With these notations at hand, reasoning as in (92) we arrive at

$$\varepsilon \geq \sum_{j \notin \mathcal{J}_1} \left(\frac{\delta_1(x_0)}{\rho^N} \int_{Q^+(x_0, \nu, \rho)} \mathbb{1}_{\Omega^j}(x) \, dx - \text{Lip}(z_j)c(N)\rho \right), \tag{148}$$

where the sets Ω^j are defined as in (147) and $\delta_1(x_0) := \min \{|z_{i_1}(x_0) - z_j(x_0)| : j \notin \mathcal{J}_1\}$. Arguing as in the proof of Proposition 4.2 with (148) in place of (92) yields the following analogue to (106):

$$\begin{aligned} \liminf_{n \rightarrow \infty} \mu_n(R_m(x_0, \nu)) &\geq \sum_{i,j=1}^k \int_{Q'_m(i,j)} d_{F_m}(z_i(x', r_m^+), z_j(x', r_m^-)) \, dx' \\ &\geq \sum_{i \in \mathcal{J}_1} \sum_{j \in \mathcal{J}_2} \int_{Q_m(i,j)} d_{F_m}(z_i(x', r_m^+), z_j(x', r_m^-)) \, dx' - \frac{C\rho_m^{N-1}}{m}. \end{aligned}$$

Notice that in view of (147) the sets $\{Q'_m(i, j)\}_{i,j=1}^k$ are pairwise disjoint. Moreover, since for every $i \in \mathcal{J}_1$ and every $j \in \mathcal{J}_2$ it holds

$$d_{F_m}(z_i(x', r_m^+), z_j(x', r_m^-)) \geq d_{F_m}(z_{i_1}(x_0), z_{i_2}(x_0)) + \mathcal{O}(1), \tag{149}$$

the rest of the proof follows without changes (see (107)).

In the proof of the limsup inequality we need an additional approximation before applying Lemma 4.4 since, as previously discussed in Remark 1.8, functions $u \in L^1(\Omega; \mathbb{R}^M)$ with $\widetilde{\mathcal{F}}_0(u) < \infty$ can be such that $\mathcal{H}^{N-1}(J_u) = \infty$. The following result is adapted from [14, Lemma 4.3].

Lemma 5.3 *Let $u \in L^1(\Omega; \mathbb{R}^M)$ with $\widetilde{\mathcal{F}}_0(u) < \infty$ and $u(x) \in \{z_1(x), \dots, z_k(x)\}$ for \mathcal{L}^N -a.e. $x \in \Omega$. Then there exists a sequence $\{u_n\}_{n \in \mathbb{N}} \subset BV(\Omega; z_1, \dots, z_k)$ with*

$$u_n = \sum_{i=1}^k z_i \mathbb{1}_{\Omega_n^i},$$

where $\{\Omega_n^i\}_{i=1}^k$ is Caccioppoli partition of Ω , such that $u_n \rightarrow u$ in $L^1(\Omega; \mathbb{R}^M)$ and

$$\lim_{n \rightarrow \infty} \widetilde{\mathcal{F}}_0(u_n) = \lim_{n \rightarrow \infty} \sum_{i=1}^{k-1} \sum_{j=i+1}^k \int_{\partial^* \Omega_n^i \cap \partial^* \Omega_n^j} d_W(x, z_i(x), z_j(x)) \, d\mathcal{H}^{N-1}(x) \leq \widetilde{\mathcal{F}}_0(u).$$

Proof We divide the proof into two steps.

Step 1: Let $\{\Omega_i\}_{i=1}^k$ be a partition of Ω such that (147) holds, and notice that we can assume without loss of generality that for every $i \in \{1, \dots, k\}$

$$\mathcal{L}^N \left(\Omega^i \cap \{x \in \Omega : u(x) = z_j(x)\} \right) > 0 \implies j \leq i.$$

For $i = 1, \dots, k - 1$ and $j = i + 1, \dots, k$ consider the Lipschitz function $\gamma_{ij} : \Omega \rightarrow [0, \infty)$ given by $\gamma_{ij}(x) := |z_i(x) - z_j(x)|$ and, for $t > 0$, set

$$E'_{ij} := \{x \in \Omega : 0 < \gamma_{ij}(x) \leq t\}.$$

The co-area formula (see [6, Theorem 2.93]) gives us

$$\int_0^\infty \mathcal{H}^{N-1}(\partial^* E'_{ij}) dt = \int_\Omega |\nabla \gamma_{ij}(x)| dx < \infty,$$

from which we infer that the function $t \mapsto \mathcal{H}^{N-1}(\partial^* E'_{ij})$ belongs to the space $L^1((0, \infty))$. In turn, it is possible to find a sequence $\{t_n\}_{n \in \mathbb{N}} \subset (0, \infty)$ with $t_n \searrow 0$ such that, for all $i = 1, \dots, k - 1$ and $j = i + 1, \dots, k$, we have

$$\partial^* E_{ij}^{t_n} = \{x \in \Omega : \gamma_{ij}(x) = t_n\}, \tag{150}$$

and

$$\lim_{n \rightarrow \infty} t_n \mathcal{H}^{N-1}(\partial^* E_{ij}^{t_n}) = 0. \tag{151}$$

For $i \in \{1, \dots, k\}$ and $n \in \mathbb{N}$ set $E_{ii}^{t_n} := \emptyset$. For $n \in \mathbb{N}$ define

$$\Omega_n^1 := \Omega^1 \cup \bigcup_{j=2}^k \left[E_{1j}^{t_n} \cap \left((\Omega^1 \cup \Omega^j) \setminus \bigcup_{r \neq 1, j} (E_{1r}^{t_n} \cup E_{jr}^{t_n}) \right) \right]$$

and, for $i = 2, \dots, k$,

$$\Omega_n^i := \Omega^i \cup \bigcup_{j=i+1}^k \left[E_{ij}^{t_n} \cap \left((\Omega^i \cup \Omega^j) \setminus \bigcup_{r>i, r \neq j} (E_{ir}^{t_n} \cup E_{jr}^{t_n}) \right) \right] \setminus \bigcup_{j=1}^{i-1} \Omega_n^j.$$

Note that $\{\Omega_n^i\}_{i=1}^k$ is a partition of Ω . We claim that the sets Ω_n^i are of finite perimeter in Ω . To prove this, for each $n \in \mathbb{N}$, let $\omega_n > 0$ be such that

$$\inf \left\{ d_W(x, z_i(x), z_j(x)) : x \in \Omega \setminus E_{ij}^{t_n} \right\} \geq \omega_n \tag{152}$$

for all $i \neq j$. For $i \in \{1, \dots, k\}$ set $J_u^i := \{x \in J_u : u^-(x) = z_i(x)\}$ and note that

$$\begin{aligned} \widetilde{\mathcal{F}}_0(u) &= \int_{J_u} d_W(x, u^+(x), u^-(x)) d\mathcal{H}^{N-1}(x) \\ &\geq \int_{J_u^i} d_W(x, u^+(x), u^-(x)) d\mathcal{H}^{N-1}(x) \\ &\geq \int_{J_u^i \setminus \bigcup_{j \neq 1} E_{ij}^{t_n}} d_W(x, u^+(x), u^-(x)) d\mathcal{H}^{N-1}(x) \\ &\geq \omega_n \mathcal{H}^{N-1} \left(J_u^i \setminus \bigcup_{j \neq 1} E_{ij}^{t_n} \right) \\ &= \omega_n \sum_{r \neq i} \mathcal{H}^{N-1} \left(\partial^{1/2} \Omega_n^i \cap \partial^{1/2} \Omega_n^r \setminus \bigcup_{j \neq 1} E_{ij}^{t_n} \right) \\ &= 2\omega_n \mathcal{H}^{N-1} \left(\partial^{1/2} \Omega_n^i \setminus \bigcup_{j \neq 1} E_{ij}^{t_n} \right), \end{aligned}$$

where in the last step we used the fact that $u(x) \in \{z_1(x), \dots, z_k(x)\}$ for \mathcal{L}^N -a.e. $x \in \Omega$. Using the fact that each of the sets $E_{ij}^{t_n}$ has finite perimeter, and recalling that by assumption $\widetilde{\mathcal{F}}_0(u) < \infty$, we conclude.

Step 2: Define

$$u_n := \sum_{i=1}^k z_i \mathbb{1}_{\Omega_n^i}.$$

Since the sets Ω_n^i are of finite perimeter in Ω , it follows from [6, Theorem 3.84] that $u_n \in BV(\Omega; z_1, \dots, z_k)$, and that it is possible to write

$$\widetilde{\mathcal{F}}_0(u_n) = \sum_{i=1}^{k-1} \sum_{j=i+1}^k \int_{\partial^* \Omega_n^i \cap \partial^* \Omega_n^j} d_W(x, z_i(x), z_j(x)) d\mathcal{H}^{N-1}(x). \tag{153}$$

Using the fact that $\|z_i\|_{L^\infty(\Omega; \mathbb{R}^M)} \leq C < \infty$, we deduce that

$$\lim_{n \rightarrow \infty} \|u_n - u\|_{L^1(\Omega; \mathbb{R}^M)} \leq \lim_{n \rightarrow \infty} C \sum_{i=1}^{k-1} \sum_{j=i+1}^k \mathcal{L}^N(E_{ij}^{t_n}) = 0.$$

Moreover, by (57) we infer that there exists $C < \infty$ such that

$$d_W(x, z_i(x), z_j(x)) \leq C|z_i(x) - z_j(x)|, \tag{154}$$

for all $x \in \Omega$ and all $i \neq j$. Using (151), (153), and (154), we obtain

$$\begin{aligned} \lim_{n \rightarrow \infty} \widetilde{\mathcal{F}}_0(u_n) &\leq \lim_{n \rightarrow \infty} \left[C \sum_{i=1}^{k-1} \sum_{j=i+1}^k t_n \mathcal{H}^{N-1}(\partial^* E_{ij}^{t_n}) + \int_{J_u \setminus E^t} d_W(x, u^+(x), u^-(x)) d\mathcal{H}^{N-1}(x) \right] \\ &\leq \lim_{n \rightarrow \infty} \int_{J_u \setminus E^t} d_W(x, u^+(x), u^-(x)) d\mathcal{H}^{N-1}(x) \\ &= \widetilde{\mathcal{F}}_0(u), \end{aligned}$$

where $E^t := \bigcup_{i \neq j} E_{ij}^{t_n}$. This concludes the proof. □

The rest of the proof of Theorem 1.9 follows by a diagonal argument without additional changes; therefore we omit the details. □

5.4 Final remarks

We conclude this section by remarking that Proposition 4.2 and Proposition 4.3 can be proved independently of Proposition 4.1. Indeed, we only invoke our compactness result in Proposition 4.2 to deduce that for a given $u \in L^1(\Omega; \mathbb{R}^M)$ the following hold:

- (i) the jump set J_u is countably \mathcal{H}^{N-1} -rectifiable;
- (ii) if $\{u_n\}_{n \in \mathbb{N}} \subset H^1(\Omega; \mathbb{R}^M)$ with $\sup_{n \in \mathbb{N}} \mathcal{F}_n(u_n) < \infty$ is such that $u_n \rightarrow u$ in $L^1(\Omega; \mathbb{R}^M)$, then $u(x) \in \{z_1(x), \dots, z_k(x)\}$ for \mathcal{L}^N -a.e. $x \in \Omega$.

Notice that, in view of the recent result [26], property (i) holds for all $u \in L^1_{loc}(\Omega; \mathbb{R}^M)$, while (ii) is readily derived reasoning as follows: without loss of generality, up to the extraction of a subsequence, we can assume that $u_n(x) \rightarrow u(x)$ for \mathcal{L}^N -a.e. $x \in \Omega$. Let

$$A := \{x \in \Omega : u(x) \notin \{z_1(x), \dots, z_k(x)\}\},$$

and, arguing by contradiction, suppose that $\mathcal{L}^N(A) > 0$. Then Fatou's lemma gives

$$0 < \int_A W(x, u(x)) dx \leq \liminf_{n \rightarrow \infty} \int_A W(x, u_n(x)) dx \leq \liminf_{n \rightarrow \infty} C \varepsilon_n = 0,$$

where $C > 0$ is independent of n . Consequently, we see that assumption (H.4) is used only to deduce (25), which, together with (H.1)–(H.3), is needed to ensure that we are in a position to apply Proposition 3.2 and Corollary 3.5. In conclusion, the Γ -convergence results of Sect. 1.2 hold for a potential W satisfying (H.1)–(H.3) and (25) in place of (H.4).

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