

- $\#_2 T_f^\infty = 12$ if they are not.

Because from the computation of the characteristic polynomial of T_f^∞ in Section 2 and the sequence in (4.4) one gets that

$$\dim H^2(X'_t)_1 = \sum_{\lambda^6=f} \dim H^2(X_t)_\lambda = 58,$$

(where X'_t is defined in the proof of (5.3)). On the other hand, from Theorem (5.5) one gets that:

$$\#(T^{-6})_1 = \dim H^2(X'_0) = 46 + \delta,$$

where $\delta = \dim H^3(X'_0)$, X'_0 being the hypersurface in \mathbf{P}^3 defined by $x_0^6 = f_6$, i.e., the 6-fold cyclic covering of \mathbf{P}^2 branched along the curve X^∞ . The possible values of δ are known to be 2 if the six cusps are on a conic or 0 if they are not (cf. [27, VIII, Sect. 3]), and then the result follows.

APPENDIX: On the local invariant cycle theorem

by R. García López and J.H.M. Steenbrink

In this note all cohomology groups will be assumed to have coefficients in the field \mathbf{Q} of rational numbers. We prove the following two theorems:

THEOREM 1. *Let X be a complex analytic space which can be embedded in a projective variety as an open analytic subset. Let $\pi: X \rightarrow D$ be a flat projective holomorphic map onto the unit disk D in the complex plane. Let Z be the singular locus of X , set $Y = \pi^{-1}(0)$ and assume that $Z \subset Y$. Let X_t be the generic fiber of π . Let $k \in \mathbf{N}$ and let $T \in \text{Aut}(H^k(X_t))$ be the monodromy transformation of π around the critical value 0. Then the sequence*

$$H^k(X - Z) \rightarrow H^k(X_t) \xrightarrow{T - \text{Id}} H^k(X_t)$$

is exact.

REMARKS. 1. The first map in the sequence above is the restriction map.

2. If $Z = \emptyset$, the theorem is due to Katz in the setting of l -adic cohomology and to Clemens and Schmid in the Kähler case ([3]).

3. The hypothesis $Z \subset \pi^{-1}(0)$ is equivalent to the generic fiber of π being smooth.

Proof. After possibly shrinking D , we may assume that the restriction of π over the punctured disk $D - \{0\}$ is a C^∞ -fiber bundle and that the inclusion $Y \hookrightarrow X$ is a homotopy equivalence. Let then \tilde{X} be the limit fiber of π , defined as $\tilde{X} = X \times_D \mathbf{H}$, where \mathbf{H} is the universal covering space of $D - \{0\}$. We recall that X_t and \tilde{X} are of the same homotopy type. In the sequence

$$H^k(X - Z) \xrightarrow{\alpha} H^k(X - Y) \xrightarrow{\beta} H^k(\tilde{X})$$

one has $\text{Im}(\beta) = \text{Ker}(T - \text{Id})$ by the Wang sequence. The terms in this sequence carry mixed Hodge structures (MHS) such that α and β become morphisms of MHS. We use Saito's formalism of mixed Hodge modules ([18]).

- For $H^k(\tilde{X})$ one has the limit MHS ([20], [23]) given by $H^k(\tilde{X}) \simeq \mathbf{H}^k(Y, \Psi_f \mathbf{Q}_X^H)$.
- Let $C \subset Y$ be any closed analytic subset, let $i: Y \hookrightarrow X$ and $j: X - C \hookrightarrow X$ be the inclusion maps. Then

$$H^k(X - C) \simeq \mathbf{H}^k(Y, i^* Rj_* j^* \mathbf{Q}_X^H)$$

gives $H^k(X - C)$ a MHS.

By [20], $\text{Ker}(T - \text{Id})$ has weight $\leq k$. Hence it suffices to show that $W_k H^k(X - Y) = \alpha(W_k H^k(X - Z))$, where W_\bullet denotes the corresponding weight filtration. One has the exact sequence of MHS

$$H^k(X - Z) \rightarrow H^k(X - Y) \rightarrow H^{k+1}(X - Z, X - Y).$$

Fix a projective variety W containing X as an open analytic subset. Without loss of generality we can assume that $W - Z$ is smooth. By excision we have an isomorphism of MHS $H^{k+1}(W - Z, W - Y) \simeq H^{k+1}(X - Z, X - Y)$. We also have the exact sequence of MHS

$$H^k(W - Z) \rightarrow H^k(W - Y) \rightarrow H^{k+1}(W - Z, W - Y) \rightarrow H^{k+1}(W - Z).$$

Now $W_k H^{k+1}(W - Z) = 0$ as $W - Z$ is smooth, moreover $W_k H^k(W - Z) = \text{Im}(H^k(W) \rightarrow H^k(W - Z))$ and similarly for $W_k H^k(W - Y)$, so $W_k H^k(W - Z) \rightarrow W_k H^k(W - Y)$ is surjective. We conclude that $W_k H^{k+1}(W - Z, W - Y) = 0$. Hence $\alpha: W_k H^k(X - Z) \rightarrow W_k H^k(X - Y)$ is surjective. \square

REMARK. M. Saito has informed us that the theorem above follows also from the results in [19]. Actually, if $IH^*(X)$ denotes the intersection cohomology of X then, with the notations above one has a factorization

$$IH^k(X) \rightarrow H^k(X - Z) \rightarrow H^k(X_t)$$

and Theorem 1 follows then from [19, (3.8)].

If the central fiber has only isolated complete intersection singularities (icis) then we have:

THEOREM 2. *In addition to the hypothesis of Theorem 1 and with the same notations, assume that $Y = \pi^{-1}(0)$ has only icis and set $\dim(X) = n + 1$. Then there is an isomorphism:*

$$\frac{\ker[T - \text{Id}: H^n(X_t) \rightarrow H^n(X_t)]}{\text{im}[sp^*: H^n(Y) \rightarrow H^n(X_t)]} \simeq H_Z^{n+1}(X),$$

where sp^* denotes the morphism induced in cohomology by the specialization map.

REMARKS. (1) The isomorphism above is also an isomorphism of mixed Hodge structures.

(2) In the applications in Section 5–6 of the paper above, X is a hypersurface with isolated singularities. Given $p \in Z$, let $g_p: (\mathbb{C}^{n+2}, 0) \rightarrow (\mathbb{C}, 0)$ be a map germ defining the germ (X, p) and let F_p, T_p be the corresponding Milnor fiber and local monodromy acting on $H^{n+1}(F_p)$. Then we recall that there is an isomorphism:

$$H_{\{p\}}^{n+1}(X) \simeq \text{coker}[T_p - \text{Id}: H^{n+1}(F_p) \rightarrow H^{n+1}(F_p)].$$

Proof. We claim first that there is an isomorphism $W_n H^n(X-Z) \simeq W_n H^n(X-Y)$. One can prove as in the proof of Theorem 1 that $W_n H^{n+1}(X-Z, X-Y) = 0$, so from the exact sequence of the pair $(X-Z, X-Y)$ it follows that in order to prove the claim it is enough to show that the map $H^{n-1}(X-Y) \rightarrow H^n(X-Z, X-Y)$ is surjective. Since the singularities of Y are icis, it follows from the long exact sequence of vanishing cycles that the monodromy acts as the identity on $H^k(\tilde{X})$ for $k \neq n$. Assume that $n \geq 2$. Then the map above fits in a commutative diagram with exact row:

$$\begin{array}{ccccc} & & H^{n-2}(\tilde{X})(-1) & & \\ & & \downarrow & \searrow \gamma & \\ H^{n-1}(X-Z) & \longrightarrow & H^{n-1}(X-Y) & \longrightarrow & H^n(X-Z, X-Y) \end{array}$$

and the MHS of $H^{n-2}(\tilde{X})(-1)$ is pure of weight n . Since the singularities of the total space X are also icis, we have that $H^{n-1}(X-Z) \simeq H^{n-1}(X) \simeq H^{n-1}(Y)$ and since Y is complete the weights of $H^{n-1}(Y)$ are $\leq n-1$. It follows then that the map γ above is injective. On the other hand, one has isomorphisms:

$$\begin{aligned} H^n(X-Z, X-Y) &\simeq H^{n-2}(Y-Z)(-1) \\ &\simeq H^{n-2}(Y)(-1) \simeq H^{n-2}(\tilde{X})(-1). \end{aligned}$$

The first is a Thom isomorphism, the second follows from the fact that the singularities of Y are icis (so $H_Z^{n-2}(Y) = H_Z^{n-1}(Y) = 0$) and the third is induced by the specialization map. So $\dim H^n(X-Z, X-Y) = \dim H^{n-2}(\tilde{X})$, thus γ is an isomorphism and the claim follows. The case $n = 1$ is similar and left to the reader.

Since $Y \hookrightarrow X$ is a homotopy equivalence, from the exact sequence of the couple $(X, X-Z)$ we get the exact sequence:

$$H^n(Y) \xrightarrow{\delta} W_n H^n(X-Z) \rightarrow W_n H_Z^{n+1}(X) \rightarrow W_n H^{n+1}(Y).$$

Since the singularities of Y and X are isolated, it follows from [24], [12] that $W_n H^{n+1}(Y) = 0$ and $W_n H_Z^{n+1}(X) \simeq H_Z^{n+1}(X)$. So we have:

$$\begin{array}{ccccccc}
 & & H^n(Y) & & & & \\
 & & \downarrow \delta & \searrow sp^* & & & \\
 0 & \longrightarrow & W_n H^n(X - Z) & \longrightarrow & H^n(\tilde{X}) & \xrightarrow{T - \text{Id}} & H^n(\tilde{X})
 \end{array}$$

with $\text{coker}(\delta) \simeq H_Z^{n+1}(X)$. The horizontal sequence comes from the Wang sequence and is exact by the claim above and the fact that the weights of $\ker(T - \text{Id})$ are $\leq n$. The theorem follows then from an easy diagram-chase. \square

Acknowledgements

This paper was written during a stay both authors made at the University of Nijmegen. We are grateful to the staff of the Mathematical Institute for their hospitality. We would like to thank especially to J. Steenbrink his continuous encouragement and useful conversations.

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