Collateral Circulation and Outcome in Atherosclerotic Versus Cardioembolic Cerebral Large Vessel Occlusion

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Background and Purpose—Due to chronic hypoperfusion, cervical atherosclerosis may promote cerebral collateral circulation. We hypothesized that patients with ischemic stroke due to cervical carotid atherosclerosis have a more extensive collateral circulation and better outcomes than patients with cardioembolism. We tested this hypothesis in a population of patients who underwent endovascular treatment for large vessel occlusion.

Methods—From the MR-CLEAN Registry (Multicenter Randomized Controlled Trial of Endovascular Treatment for Acute Ischemic Stroke in the Netherlands), we selected consecutive adult endovascular treatment patients (March 2014 to June 2016) with acute ischemic stroke due to anterior circulation large vessel occlusion and compared patients with cervical carotid artery stenosis >50% to those with cardioembolic etiology. The primary outcome was collateral score, graded on a 4-point scale. Secondary outcomes included the modified Rankin Scale (mRS) score and mortality at 90 days. We performed multivariable regression analyses and adjusted for potential confounders.

Results—Of 1627 patients in the Registry, 190 patients with cervical carotid atherosclerosis and 476 with cardioembolism were included. Patients with cervical carotid atherosclerosis were younger (median 69 versus 76 years, \(P<0.001\)), more often male (67% versus 47%, \(P<0.001\)), more often had an internal carotid artery terminus occlusion (33% versus 18%, \(P<0.001\)), and a lower prestroke mRS (mRS score, 0–2; 96% versus 85%, \(P<0.001\)), than patients with cardioembolism. Stroke due to cervical carotid atherosclerosis was associated with higher collateral score (adjusted common odds ratio, \(1.67 \times 95\% CI, 1.17–2.39\)) and lower median mRS at 90 days (adjusted common odds ratio, \(1.45 \times 95\% CI, 1.03–2.05\)) compared with cardioembolic stroke. There was no statistically significant difference in proportion of mRS 0–2 (aOR, 1.36 [95% CI, 0.90–2.07]) or mortality at 90 days (aOR, 0.80 [95% CI, 0.48–1.34]).

Conclusions—Patients with stroke due to cervical carotid atherosclerosis had a more extensive cerebral collateral circulation and a slightly better median mRS at 90 days than patients with cardioembolic stroke. (Stroke. 2019;50:3360-3368. DOI: 10.1161/STROKEAHA.119.026299.)

Key Words: atherothrombotic stroke • cardiac emboli • collateral circulation • endovascular treatment • ischemic stroke

Underlying etiology contributes to the outcome of patients after ischemic stroke. In general, patients with ischemic stroke of cardioembolic origin have worse functional outcomes, higher recurrence rates, and a higher risk of death than patients with ischemic stroke of other origin. However, little is known on the impact of stroke etiology on functional outcome of patients with stroke who underwent endovascular treatment (EVT).
In patients with ischemic stroke caused by an occlusion of a proximal intracranial artery treated with EVT, higher collateral scores are associated with a greater chance of a better functional outcome, presumably because intracranial (leptomeningeal and pial) collateral arteries contribute to prolonged preservation of ischemic brain tissue at risk of infarction. Experimental studies in an animal model of bilateral common carotid artery occlusion have found that chronic cerebral hypoperfusion promotes formation of new and recruitment of existing intracranial collateral arteries. Cervical carotid atherosclerosis in humans develops over decades and is often accompanied by arterial stenosis. Theoretically, this might promote the cerebral collateral circulation. In contrast, since cardioembolic stroke is not accompanied by chronic cerebral hypoperfusion, collateral artery formation and recruitment are less likely in these patients.

We hypothesized that patients with ischemic stroke due to cervical stenotic carotid atherosclerosis have a more extensive collateral circulation than patients with stroke due to cardioembolism. We explored this hypothesis in a large sample of patients who underwent EVT for acute ischemic stroke with large vessel occlusion (LVO). We further assessed whether the presumed cause of stroke was associated with clinical, radiological, and procedural outcomes after EVT.

**Methods**

Data will not be made available to other researchers, as no patient approval was obtained for sharing coded data. However, syntax and output files of statistical analyses may be made available on request.

**Patient Selection**

We used data of the MR-CLEAN Registry (Multicenter Randomized Controlled Trial of Endovascular Treatment for Acute Ischemic Stroke in the Netherlands), a prospective, nationwide registry of consecutive stroke patients treated with EVT in the Netherlands. For the current study, data of patients who underwent EVT between March 16, 2014, and June 15, 2016, were used. We included adult patients with an LVO of the anterior circulation (internal carotid artery/innominate artery terminus [ICA/ICA-T], middle cerebral artery [M1/M2], anterior cerebral artery [A1/A2]), confirmed by computed tomography angiography (CTA), who were treated in a MR-CLEAN trial hospital, and had a cervical carotid stenosis greater than 50% due to atherosclerosis, or a cardiac source of stroke. The study protocol has been evaluated by the medical ethics committee of the Erasmus University Medical Center in Rotterdam, and permission to carry out the study as a registry was granted. All imaging was assessed by an imaging core laboratory, whose members were blinded to clinical findings, except for side of symptoms. Detailed methods of the MR-CLEAN Registry have been reported previously.

**Stroke Etiology Assessment**

All patients underwent CTA of the cervical arteries and 12-lead electrocardiography. Additional etiologic work-up was performed according to local protocols. Stroke etiology was determined from information in discharge letters and from reports of the imaging core laboratory. We used a modification of the TOAST (Trial of ORG 10172 in Acute Stroke Treatment) criteria to categorize etiology into cervical large-artery atherosclerosis, cardioembolism, stroke of other determined cause, or undetermined cause (2 or more causes identified, negative, or incomplete evaluation). A patient was considered to have stroke due to cervical carotid atherosclerosis if there was >50% atherosclerotic stenosis or occlusion at the bifurcation of the carotid artery on the symptomatic side, as confirmed by core lab adjudication. Patients with high- or medium-risk cardioembolic stroke sources were classified as having cardioembolic stroke.

**Assessment of Collateral Circulation, Outcomes, and Thrombus Perviousness**

Our primary outcome was collateral score, graded on baseline CTA by the imaging core laboratory on a 4-point scale, with 0 for absent collaterals (0% filling of the occluded vascular territory), 1 for poor (>50% and ≤50% filling), 2 for moderate (>50% and <100% filling), and 3 for good collaterals (100% filling), as used previously. We also dichotomized the collateral scores into poor (grade 0–1) versus good (grade 2–3).

Clinical outcomes were the difference between National Institutes of Health Stroke Scale (NIHSS) at baseline and at 24 to 48 hours (ΔNIHSS); modified Rankin Scale (mRS) score at 90 days; functional independence at 90 days (defined as an mRS score of 0–2); mortality at 90 days; and symptomatic intracranial hemorrhage. Intracranial hemorrhage was considered symptomatic if patients died or deteriorated neurologically (a decline of at least 4 points on the NIHSS), and the hemorrhage was related to the clinical deterioration (according to the Heidelberg criteria). Radiological outcomes were the proportion of patients with an extended Thrombolyysis in Cerebral Infarction (eTICI) score of ≥2B and ≥2C. Procedural outcomes were the number of passes with a stent retriever; first-pass effect, defined as single pass/use of the device as first line of EVT, resulting in complete reperfusion (eTICI 3) of the LVO and its downstream territory and no use of rescue therapy after use of the device; and EVT procedure duration from groin puncture to successful reperfusion (eTICI ≥2B) or last contrast bolus (when successful reperfusion was not achieved or no target occlusion was observed during the intervention).

To explore differences in thrombus imaging characteristics between cervical carotid atherosclerosis patients and patients with cardioembolism, we compared thrombus perviousness on baseline CTA. Thrombus perviousness is an imaging biomarker that estimates the extent to which a thrombus allows flow through the thrombus. This is measured as the thrombus attenuation increase (TAI or Δ) in Hounsfield units in the thrombus on CTA compared to noncontrast CT (Δ = \( \rho_{\text{thrombus}} - \rho_{\text{CT}} \)).

**Statistical Analysis**

For the main analysis, we compared patients with cervical carotid atherosclerosis to patients with cardioembolic stroke. In line with an analysis previously performed in the NASCET (North American Symptomatic Carotid Endarterectomy Trial) in a nonacute ischemic stroke population with carotid artery stenosis, in a sensitivity analysis we compared collateral status and clinical outcomes of patients with moderate (51%–70%) to those with severe (71%–99%) stenosis within the sample of patients with cervical carotid atherosclerosis.

Last, we analyzed clinical outcome between patients with cervical carotid atherosclerosis and cardioembolic stroke patients, within the sample of patients with incomplete reperfusion (eTICI 0–2A), since these patients would theoretically be most reliant on their collateral flow for preserving penumbral tissue.

Baseline characteristics were described using standard statistics. The shift on the full mRS, measured with a common odds ratio (eOR), was estimated with ordinal logistic regression. We performed binary logistic regression for dichotomous outcome measures and linear regression for continuous outcome measures. Variables for adjustment were chosen based on theoretical identification using directed acyclic graphs. For associations with collateral status, we adjusted for age, history of stroke, and occlusion location. For clinical outcomes (ΔNIHSS, mRS, functional independence, and mortality), we adjusted for age, history of peripheral artery disease, history of myocardial infarction, prior use of anticoagulant medication (vitamin K antagonists or direct oral anticoagulants), occlusion location, onset-to-groin-puncture time and hyperdense artery sign. For symptomatic intracranial hemorrhage, we adjusted for history of myocardial infarction. For successful reperfusion and procedural outcomes, we adjusted for age and occlusion location.

Missing data were imputed using multiple imputation based on relevant covariates and outcome. Adjusted (a)ORs and betas (β) are reported with 95% CI, and all P values are 2-sided.
analyses were performed using IBM SPSS Statistics for Windows, version 24.0.

**Results**

Of the 1627 patients in the MR-CLEAN Registry, 198 were excluded because of age under 18 years, posterior circulation occlusion, treatment in a non–MR-CLEAN trial hospital or because their discharge letter was not available to determine stroke etiology (Figure 1). Of the remaining 1429 patients, 190 (13%) had cervical carotid atherosclerosis, and 476 (33%) had cardioembolism. Among the patients with cardioembolism, 362 (76%) had atrial fibrillation (newly diagnosed in 111). Other causes of cardioembolic stroke are listed in Table I in the online-only Data Supplement. Stroke of other determined etiology occurred in 67 (5%) patients, of whom 44 had carotid artery dissection. In 696 (49%) patients, the cause was undetermined; 78 had more than one potential cause and in 618 the assessment was negative or incomplete.

Patients with cervical carotid atherosclerosis were younger (median 69 versus 76 years, \(P<0.001\)) and more often male (127/190 [67%] versus 223/476 [47%], \(P<0.001\)) than patients with cardioembolic stroke. We found no statistically significant difference in functional independence (mRS score 0–2) or mortality between the groups.

Patients with cervical carotid atherosclerosis had a lower median mRS at 90 days than cardioembolic stroke patients (median mRS score of 0–2, 180/187 [96%] versus 399/471 [85%], \(P<0.001\)), and more often had an ICA/ICA-T occlusion (93/190 [49%] versus 87/450 [19%], \(P<0.001\)) and more often had a good (grade 2–3) collateral status compared with those with a moderate (51%–70%) stenosis (75% versus 67%, \(P=0.04\)). Finally, in 299 patients with incomplete reperfusion, functional outcome at 90 days was better for patients with cervical carotid atherosclerosis than for cardioembolic stroke patients (median mRS score of 4 versus 5, adjusted common odds ratio, 0.93 [95% CI, 0.75–1.17], \(P=0.004\)), than patients with cardioembolic stroke; Table 1.

We found a significant shift towards better collateral scores in favor of stroke due to cervical carotid atherosclerosis (adjusted common odds ratio, 1.67 [95% CI, 1.17–2.39]; Figure 2). Also when scores were dichotomized into good (grade 2–3) and poor (grade 0–1), patients with cervical carotid atherosclerosis had significantly more often good collateral scores than those with cardioembolic stroke (130/184 [71%] versus 266/441 [60%], aOR, 1.84 [95% CI, 1.15–2.94]).

Patients with cervical carotid atherosclerotic stroke had a lower median mRS at 90 days than cardioembolic stroke patients (3 versus 4, adjusted common odds ratio, 1.45 [95% CI, 1.03–2.05]; Table 2). There were no statistically significant differences in the proportions of patients with mRS score of 0–2 (46% versus 35%, aOR, 1.36 [95% CI, 0.90–2.07]) or mortality (23% versus 33%, aOR, 0.80 [95% CI, 0.48–1.34]) at 90 days between cervical carotid atherosclerotic and cardioembolic stroke. In patients with cervical carotid atherosclerosis a first-pass effect was achieved less frequently (10% versus 21%, aOR, 0.43 [95% CI, 0.23–0.80]), and median procedure duration was longer (73 versus 60 minutes, adjusted \(\beta=10.08 [95\% \text{ CI}, 4.64–16.96]\) compared to patients with stroke because of cardioembolism. There were no significant differences in any of the other clinical or radiological outcomes. Among the 82 patients with cervical carotid atherosclerosis who had a 51% to 99% stenosis, a slightly larger proportion of patients with a severe (71%–99%) stenosis had a good (grade 2–3) collateral status compared with those with a moderate (51%–70%) stenosis (75% versus 67%, \(P=0.42\)), but this difference disappeared after adjustment for confounders (aOR, 1.06 [95% CI, 0.39–2.90]). A larger proportion of patients with a severe stenosis had mRS score of 0–2 at 90 days, although this difference was not statistically significant (62% versus 41%, aOR, 1.66 [95% CI, 0.49–5.57]).

In line with our hypothesis, we found that patients who underwent EVT for anterior circulation LVO caused by cervical large-artery atherosclerosis had a more extensive cerebral collateral circulation and a better functional outcome at 90 days than those with cardioembolic stroke. We found no statistically significant difference in functional independence (mRS score of 0–2) or mortality between the groups.

The association between cervical large-artery atherosclerosis and better collateral circulation compared with cardioembolic stroke has been suggested previously in 2 small cohort studies (N=15819 and 12220, respectively). However, both studies did not provide analyses adjusted for confounders for this association, which limits the interpretation of the results. In addition, one of these studies20 only examined patients with atrial fibrillation and did not include other cardioembolic sources of stroke. Furthermore, our

![Figure 1. Flowchart of patient selection. Patients with cervical carotid atherosclerotic and cardioembolic stroke cause were included in the study. EVT indicates endovascular treatment; and MR CLEAN Registry, Multicenter Randomized Controlled Trial of Endovascular Treatment for Acute Ischemic Stroke in the Netherlands.](image-url)
study differs from these studies in terms of patient population (proportion of patients with LVO) and use of a different collateral grading scale.

In our study, patients with cervical carotid atherosclerotic stroke were younger and more often male than patients with cardioembolic stroke, which is consistent with previous
Prestroke mRS scores were lower in cervical carotid atherosclerosis patients, possibly, in part, due to younger age and less comorbidity. Patients with cervical carotid atherosclerotic stroke received IV r-tPA (intravenous recombinant tissue-type plasminogen activator) more frequently than patients with cardioembolic stroke, which is explained by oral anticoagulation use in the latter group. Notably, there was no difference in baseline NIHSS score between cervical carotid atherosclerotic and cardioembolic stroke patients. In studies using data of non-EVT populations, cardioembolic stroke is generally reported to present with more severe deficits than stroke of other origins. This is explained by the fact that cardioembolic stroke is usually associated with relatively large thrombi resulting more often in LVO compared with stroke of other etiology. As our study population consisted solely of patients with a LVO, this likely explains why we did not observe a difference in severity of deficits between cervical large-artery atherosclerosis and cardioembolic stroke. In fact, in our study, we found a higher occurrence of intracranial internal carotid artery and terminal internal carotid artery occlusions in patients with cervical large-artery atherosclerosis, similar to a distribution previously found in a study comparing these 2 groups who underwent EVT.20

The association between collateral status and 90-day mRS scores and mortality is well established in EVT patients.4,5 In line with these observations, we found a small statistically significant difference in median mRS in favor of patients with cervical carotid atherosclerosis. However, this result should be interpreted with caution because there was no statistically significant difference in functional independence nor in mortality and the difference in mRS only just reached statistical significance. Similarly, a MR-CLEAN subgroup analysis comparing EVT patients with and without atrial fibrillation found no significant differences in outcome. In further support of our hypothesis, when only selecting those patients with incomplete reperfusion (eTICI 0–2A), patients with carotid atherosclerosis did have a better functional outcome than patients with cardioembolism. This may suggest that in patients who are truly dependent on their collaterals, patients with cervical carotid atherosclerosis have a small benefit. However, despite adjusting for potential confounders, several baseline imbalances remained in this subgroup analysis (ie, eTICI 0–2A patients with cardioembolism more often had a worse pre-stroke mRS, a medical history of ischemic stroke and hypertension, and less often received IV r-tPA) and we, therefore, cannot rule out residual confounding. We must also emphasize

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### Table 2. Clinical, Radiological, and Procedural Outcomes

<table>
<thead>
<tr>
<th></th>
<th>Cervical Carotid Atherosclerosis (N=190)</th>
<th>Cardioembolism (N=476)</th>
<th>Adjusted (Common) OR/β (95% CI)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Clinical outcomes</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Median ΔNIHSS (IQR)*</td>
<td>4 (0–9)</td>
<td>3 (0–9)</td>
<td>0.51 (−0.99–2.00)</td>
</tr>
<tr>
<td>Median mRS at 90 d (IQR)†</td>
<td>3 (1–5)</td>
<td>4 (2–6)</td>
<td>1.45 (1.03–2.05)</td>
</tr>
<tr>
<td>mRS score of 0–2 at 90 d, n/N (%)</td>
<td>80/175 (46)</td>
<td>150/431 (35)</td>
<td>1.36 (0.90–2.07)</td>
</tr>
<tr>
<td>Mortality, n/N (%)</td>
<td>40/175 (23)</td>
<td>142/431 (33)</td>
<td>0.80 (0.48–1.34)</td>
</tr>
<tr>
<td>Symptomatic intracranial hemorrhage, n/N (%)‡</td>
<td>13/190 (7)</td>
<td>22/476 (5)</td>
<td>1.42 (0.70–2.85)</td>
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<tr>
<td><strong>Radiological outcomes</strong></td>
<td></td>
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<tr>
<td>Post-EVT eTICI score ≥2B</td>
<td>96/186 (52)</td>
<td>261/470 (56)</td>
<td>0.85 (0.59–1.22)</td>
</tr>
<tr>
<td>Post-EVT eTICI score ≥2C</td>
<td>66/186 (36)</td>
<td>188/470 (40)</td>
<td>0.77 (0.51–1.14)</td>
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<tr>
<td><strong>Procedural outcomes</strong></td>
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<tr>
<td>First-pass effect,§ n/N (%)</td>
<td>14/135 (10)</td>
<td>76/367 (21)</td>
<td>0.43 (0.23–0.80)</td>
</tr>
<tr>
<td>Median number of passes with stent retriever (IQR),‖</td>
<td>2 (1–3)</td>
<td>2 (1–3)</td>
<td>0.21 (0.62–1.03)</td>
</tr>
<tr>
<td>Median procedure duration in minutes (IQR)¶</td>
<td>73 (50–102)</td>
<td>60 (40–90)</td>
<td>10.08 (4.64–16.96)</td>
</tr>
</tbody>
</table>

- eTICI indicates extended Treatment in Cerebral Ischemia; EVT, endovascular treatment; IQR, interquartile range; mRS, modified Rankin Scale score; NIHSS, National Institutes of Health Stroke Scale; and OR, odds ratio.
- Number of patients with missing data for cervical carotid atherosclerosis and cardioembolism group, respectively: *23,51; †15,45; ‡Heidelberg criteria, von Kummer et al; §Heidelberg criteria, van Khoum et al; ¶Femoral artery puncture to successful recanalization (eTICI ≥2B) or last contrast bolus (when successful recanalization was not achieved or no target occlusion was observed during the intervention).
the explorative nature of this analysis. Finally, our study and its subgroup analyses may be underpowered to detect a true difference.

However, collateral status may not be the main deciding factor when studying the association between stroke etiology and outcome. For one, the procedural outcomes in both groups, which were in favor of cardioembolic stroke patients, may in part explain the lack of significant differences in clinical and radiological outcomes. Patients with cervical carotid atherosclerotic stroke had longer procedure duration than patients with cardioembolic stroke, which could reflect difficulties in gaining intracranial access (eg, due to cervical stenosis) or performance of percutaneous transluminal angioplasty. Also, in patients with cervical carotid atherosclerosis, eTICI 3 on first pass was achieved less often. Perhaps this is due to differences in thrombus length. Patients with cervical carotid atherosclerosis more often had ICA/ICA-T occlusions, and longer/larger thrombi are more difficult to remove in one attempt.\(^\text{15}\) Thrombus composition may also be a factor in achieving first-pass effect.\(^\text{24,25}\) Although we do not have histological data on thrombus composition, in our study we found that patients with cervical carotid atherosclerosis more often had a hyper-dense artery sign, but there was no statistically significant difference in thrombus perviousness between the 2 groups.

Our study has several limitations. First, a large group of patients had an undetermined stroke etiology (49\% compared with ≈25\% in most studies).\(^\text{26}\) The higher proportion of patients with stroke of undetermined etiology is partially explained by the absence of patients with small vessel disease in a cohort of patients treated with EVT. Undetermined cause (excluding those with one possible cause) can be the result of negative evaluation or of incomplete evaluation. The majority of the patients with cardioembolic stroke etiology in our study had atrial fibrillation. Atrial fibrillation generally only accounts for about half of all cardioembolic causes.\(^\text{27}\) Atrial fibrillation may be relatively more prevalent than other cardioembolic sources in patients with LVO. Alternatively, the work-up for other cardioembolic sources may have been incomplete,\(^\text{28}\) and a proportion of patients with undetermined etiology may have had a cardioembolic source.\(^\text{29}\) Unfortunately, detailed data on electrocardiography, rhythm monitoring, and echocardiography were unavailable for some patients, which is a result of a registry of daily clinical practice.

A second limitation is that all patients underwent single-phase CTA instead of multiphase CTA, which could have led to underestimation of collateral status in the case of delayed filling in combination with an early acquisition phase.\(^\text{29,30}\) This underestimation may disproportionately affect patients with occlusion due to cervical large-artery atherosclerosis, who more often had ICA-T occlusions than patients with cardioembolism, which may lead to slower or less contrast flow in anterior and middle cerebral artery territories. Still, if this were the case, the true difference in collateral status between patients with cervical large-artery atherosclerosis and cardioembolic stroke would be even more pronounced. Furthermore, current methods for collateral circulation assessment on CTA are rather coarse. Conventional digital subtraction angiography is generally considered the gold standard.\(^\text{31}\) More quantitative CTA scores have the potential to be more discriminative.\(^\text{32}\)

Third, important considerations when studying stroke etiology, collateral circulation and outcomes, are thrombus size and thrombus composition.\(^\text{33}\) Smaller thrombi may allow for increased pial collateral flow, increasing collateral score.\(^\text{33}\) In patients with larger clots, this might have led to underestimation of collateral circulation. Although we did not analyze thrombus histopathology, we did have thrombus perviousness at our disposal. If cervical large-artery atherosclerotic thrombi are more pervious than cardioembolic thrombi, this would allow for better vessel opacification in stroke due to cervical large-artery atherosclerosis, leading to an overestimation of the difference in collateral score between the 2 groups. In our study, we did not find a statistical difference in TAI between cervical large-artery atherosclerotic and cardioembolic stroke.

**Conclusions**

In patients who underwent EVT because of LVO of the anterior circulation, stroke due to cervical carotid atherosclerosis was associated with better collateral status and a slightly better functional outcome at 90 days compared to cardioembolic stroke. However, there was no statistically significant difference in functional independence nor in mortality between patients with cervical carotid atherosclerotic stroke and those with cardioembolic stroke. This discrepancy may be partially explained by better procedural outcomes in cardioembolic stroke patients.

**Appendix**

**Rotterdam, July 19, 2018**

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**Disclosures**
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