One-year postoperative skeletal stability of 3D planned bimaxillary osteotomies: maxilla-first versus mandible-first surgery

Jeroen Liebregts1, Frank Baan2,3, Pieter van Lierop1, Martien de Koning3, Stefaan Bergé4, Thomas Maal1,3 & Tong Xi1

Orthognathic surgery is carried out to correct jaw deformities and to improve facial aesthetics. However, controversy surrounds whether the maxilla- or mandible-first surgery approach leads to better surgical outcomes. In our previous study, we have shown that in most instances, the maxilla-first surgical approach yielded closer concordance with the 3D virtual treatment plan than a mandibular-first procedure. However, the post-operative stability of each approach has not been investigated. Therefore, this one-year follow-up study was set-up and investigated the postoperative skeletal stability of the 3D planned translations and rotations after either the maxilla- or mandible-first surgery. In total, 106 patients who underwent bimaxillary surgery and had an individualized 3D virtual operation plans, received either maxilla-first (n = 53) or mandible-first (n = 53) surgery. 3D printed interocclusal splints were used during surgery to position the jaws. One year postoperatively a cone-beam computed tomography (CBCT) scan was made to assess the effects using the OrthognathicAnalyser. The mean sagittal, vertical and transverse relapse was less than 1.8 mm and no significant differences were found in relapse between the maxilla-first or the mandibular-first surgical procedure. Overall, this study shows that 3D virtual planning in combination with an optimised sequencing of osteotomies provides predictable long-term results in bimaxillary surgery.

In the past decade, significant controversy has surrounded the surgical approach taken during orthognathic surgery (corrective jaw surgery), in particular the sequencing of bimaxillary osteotomies. Traditionally, surgeons have opted to first operate on the maxilla, and secondly correct the osteotomies in the mandible. However, more recently several publications have highlighted the benefits of adopting the mandible first sequencing protocol, particularly in the downgrafting of the maxilla and a counterclockwise (CCW) rotation of the jaws1–5. Yet, there is little consensus on whether the maxilla-first or mandible-first surgical approach is more advantageous in terms of predictability and long-term stability of the postoperative results.

To obtain a harmonious facial profile and a stable dental occlusion, there has been an increase in using computer-assisted virtual surgical planning software in order to improve the predictability of the postoperative outcomes in orthognathic surgery6. An accurate transfer of the 3D planned jaw positions to the patient is required to achieve the virtually planned positions of the jaws at the end of the operation. Recently our group has demonstrated that using the maxilla-first surgical approach, the 3D planned translational and rotational movements of the maxilla and mandible can be accomplished more accurately, compared to the mandibular-first approach4. However, in cases of bimaxillary CCW pitch, the mandible-first surgical approach is preferred because this sequence results in more predictable displacements of the jaws3,4.

The postoperative skeletal stability is a major concern in obtaining satisfactory long-term results following bimaxillary osteotomies. Skeletal relapse is frequently reported, with an incidence varying between 2.0% and 50.3%7, and as a result the maxilla and mandible tend to return to their preoperative positions, leading to an...
enlarged overbite, malocclusion and deteriorating facial aesthetics. Relapse is associated with surgery related factors, such as the magnitude of the surgical displacement of jaws and the used surgical technique. However, there is no published evidence on the association between surgical approaches (maxilla-first or mandible-first) on the postoperative skeletal stability. Therefore, this study has evaluated the one-year postoperative skeletal stability of 3D planned bimaxillary osteotomies in patients who underwent either maxilla-first or mandible-first surgical protocols.

**Results**

The clinical cohort consisted of patients who underwent bimaxillary osteotomies at Radboud University Medical Centre between 2010 and 2014 (n = 116)\(^4\). In this one-year follow-up study, data from 106 patients (n = 73 female (69%); n = 33 male (31%); mean age 28 (range 16–57; Table 1)) were analysed to determine the level of skeletal relapse after undergoing either maxilla-first (n = 53) or mandible-first (n = 53) bi-maxillary surgery. In 57 patients an additional genioplasty was performed (maxilla-first n = 30; mandible-first n = 27). The patient cohort included 28 patients (26%), which had undergone a previous surgically assisted rapid maxillary expansion (SARME) prior to their bimaxillary surgery (maxilla-first n = 33; mandible-first n = 14). The post-operative CBCT-scan was acquired at 10.2 ± 3.0 months following surgery.

**Overall skeletal relapse.** The overall postoperative skeletal relapse of the maxilla and mandible in terms of translation and rotation are shown in Table 2. In patients who underwent the maxilla-first surgical approach, only the cranial/caudal translational movements showed a significant post-operative relapse (cranial: 0.7 ± 1.1 mm, p < 0.01; caudal: 0.7 ± 1.4 mm, p < 0.01). The remaining translational movements in the maxilla (left/right, anterior/posterior), were < 0.3 mm and did not reach statistical significance.

For the overall translational directions of the mandible, only the posterior (1.8 ± 1.2 mm, p < 0.01) and caudal (1.4 ± 2.0 mm, p < 0.01) translations displayed relapses greater than 1 mm. For the rotational movements of the maxilla the postoperative relapse was below 1°, except for the pitch which showed the largest skeletal relapse (CW (=clockwise) 1.0° ± 1.3°, p < 0.01; CCW (=counterclockwise) 0.9° ± 1.6°, p < 0.01). The same trend was seen in
the mandible, where the pitch was associated with the largest skeletal relapse (CW 0.8° ± 1.9°, p = 0.02; CCW 2.3° ± 2.6°, p < 0.01).

Relapse maxilla-first approach versus mandible-first approach. The postoperative skeletal relapse of the maxilla and mandible for both the maxilla-first and mandible-first group, in terms of pitch, roll and yaw, in terms of sagittal, vertical and transverse translations are shown in Figures 1 and 2. With regard to rotational movements, the pitch showed the largest rotational relapse in both the maxilla-first (CW 0.6° ± 1.4°, p = 0.04; CCW 0.6° ± 1.2°, p = 0.02) and mandible-first group (CW 1.4° ± 1.8°, p < 0.01; CCW 1.2° ± 1.2°, p < 0.01). For the translational directions of the maxilla, the median relapses of all directions are less than 1 mm except for the cranial/caudal displacement in the mandible-first group (median = 1.4 mm). As for the mandible, the largest relapse is seen in the front/back direction in both the maxilla-first (median = 1.1 mm) and mandible-first (median = 1.5 mm) groups.

No significant differences were found for the skeletal relapse of the maxilla between the maxilla-first and mandible-first groups (Table 3). As for the mandible, the maxilla-first group displayed significant less relapse concerning the CCW pitch compared to the mandible-first group, 1.6 ± 2.6° and 2.9 ± 2.5° (p = 0.04), respectively (Table 4). A statistical significant difference was also found in the mandibular relapse of the posterior displacement (p = 0.02), in favour of the maxilla-first group.

Prognostic factors for skeletal relapse. Univariate regression analysis was applied to explore the influence of different patient and surgery characteristics on skeletal relapse. The sequence of the surgery did not have an influence on skeletal relapse in both the maxilla and mandible. Among factors such as gender, age, magnitude of surgical advancement and the counterclockwise pitch movement of the maxilla and mandible, the magnitude of intraoperative displacement exhibited the highest explained variance (5.3–30.3%) for nearly all directions in both the maxilla and mandible. This indicated a larger amount of surgical jaw displacement which results in
Table 3. Surgical displacements directly after surgery, one year after surgery and the relapse between one week and one year after surgery in the maxilla for both the maxilla-first group and the mandible first group. Translations are given in millimeter, rotations are given in degrees. SD: Standard deviation, CBCT: Cone-Beam Computed Tomography, 1 wk: one week, 1 yr: one year, CW: Clockwise, CCW: Counterclockwise. *p-value between surgical displacements 1 week after surgery and 1 year after surgery, **p-value of the differences in relapse between the mandible-first and maxilla-first group.

<table>
<thead>
<tr>
<th>Translation</th>
<th>Maxilla first</th>
<th>HipLI</th>
<th>Maxilla first</th>
<th>CBCT 1 wk Mean ± SD</th>
<th>CBCT 1 yr Mean ± SD</th>
<th>Relapse Mean ± SD</th>
<th>p-value*</th>
<th>p-value**</th>
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<td>X</td>
<td>Left</td>
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<td>1.1 ± 1.3</td>
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<td>0.85</td>
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<tr>
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Table 4. Surgical displacements directly after surgery, one year after surgery and the relapse between one week and one year after surgery in the mandible for both the maxilla-first group and the mandible first group. Translations are given in millimeter, rotations are given in degrees. SD: Standard deviation, CW: Clockwise, CCW: Counterclockwise. *p-value between surgical displacements 1 week after surgery and 1 year after surgery, **p-value of the differences in relapse between the mandible-first and maxilla-first group.

<table>
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<th>CBCT 1 wk Mean ± SD</th>
<th>CBCT 1 yr Mean ± SD</th>
<th>Relapse Mean ± SD</th>
<th>p-value*</th>
<th>p-value**</th>
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Discussion

Bimaxillary surgery is used to correct misaligned jawbones (osteotomies), resulting in both a balanced and a stable dental occlusion as well as a harmonious facial profile. Bimaxillary surgery with either early, or late onset postoperative instability (relapse) has been shown to obtaining satisfactory long-term results. This unintended surgical outcome may lead to postoperative changes both in terms of function and aesthetics and may significantly affect the patient’s overall quality of life. Early postoperative skeletal relapse occurs shortly (<6 months) after the initial surgery, due to suboptimal condylar seating or slippage at the osteotomy sites8–10. Late relapse, on the other hand, tends to occur from six to twelve months after surgery. The pathophysiology of delayed skeletal
operative skeletal relapse. This differs from majority of previous research31,32, in which linear and angular measurements on (2D) lateral cephalograms were used to assess the postoperative skeletal relapse. In contrast to all conventional 2D and 3D cephalometric analyses, the OGA eliminates the necessity of identifying anatomical landmarks multiple times15. By overcoming the landmark identification error, the OGA is an observer independent, semi-automatic tool, which is able to analyse the accuracy of the 3D planning and surgical outcome in an objective, reproducible and clinically relevant way. In a recently published systematic review, this tool was reported as currently the best method for assessing planning accuracy33. The drawback of the OGA was that it was software dependent and could only used with Maxilim® planning software. In the past year, the 3D lab has made progress in updating the OGA software. At this moment OGA is no longer software or platform dependent and can operate on any computer anywhere in the world.

A limitation of this study is the clinical study design. The ideal study design to evaluate the influence of sequencing bimaxillary osteotomies and the stability of 3D planning is a randomized controlled trial, having patients who are randomly assigned to the maxilla-first and mandible-first groups, while controlling all possible covariates. However, in clinical practice, this ideal study design may encounter grave ethical issues. Therefore, this retrospective cohort study has been set up. The clinical protocol and principles of 3D planning were identical in both groups.

With respect to our previous study4, which investigated the effects of sequencing bimaxillary osteotomies (maxilla-first versus mandible-first) on the achievability of the 3D virtually planned bimaxillary surgeries, it can be concluded that the sequence of surgery is more of clinical importance to the achievability of the 3D virtually planned repositioning of the jaws, rather than the stability of the achieved postoperative results. It is the surgeon’s choice to choose the most suitable sequence of bimaxillary osteotomies in each individual case. Taking the results of both studies into account, the maxilla-first approach remains to be a reliable and predictable surgical approach for the correction of bimaxillary anomalies. In certain circumstances, such as a planned CCW rotation of both jaws, the mandible-first sequence tends to result in more predictable displacement of the jaws. Overall, this study has shown that 3D virtual planning in combination with an optimised sequencing of osteotomies provides long-term predictable results in bimaxillary surgery.

**Patients and Methods**

Patients who underwent bimaxillary osteotomies in the period from 2010 to 2014 at the Department of Oral and Maxillofacial Surgery in Radboud University Nijmegen Medical Centre were included in this study. The inclusion criteria were a non-syndromic dysgnathia requiring bimaxillary osteotomies with or without genioplasty and the availability of a CBCT scan before and one year after surgery. All patients received preoperative orthodontic treatment to align their teeth and had a minimum of 24 teeth. The exclusion criteria were previous history of facial trauma with fractures of facial bones, or a history of orthognathic surgery, except a SARME (Surgically Assisted Rapid Maxillary Expansion) procedure.
This study was conducted in compliance with the World Medical Association Declaration of Helsinki on medical research ethics. The approval of the institutional review board (CMO Arnhem-Nijmegen, #181/2005) and informed consent were obtained for this study. All patient data were anonymized and de-identified prior to analysis.

**Data acquisition.** CBCT scans were acquired four weeks prior to surgery and within one year after bimaxillary surgery using a standard CBCT scanning protocol (i-CAT, 3D Imaging System, Imaging Sciences International Inc, Hatfield, PA, USA) in “Extended Field” modus (FOV: 16 cm diameter/22 cm height; scan time: 2 × 20 s; voxel size: 0.4 mm). Patients were scanned while seated in natural head position. They were asked to swallow, to relax their lips and facial muscles and to keep their eyes open. The acquired CBCT data were exported in DICOM format and imported into Maxilim® software (Medicim NV, Mechelen, Belgium).

**Surgical planning.** In Maxilim®, a 3D virtual augmented head model was rendered and positioned in a reference frame as described by Swennen et al. Subsequently, virtual osteotomies were performed to simulate the Le Fort I and BSSO osteotomies. The maxillary and mandibular segments were positioned into the desired positions in order to create a harmonious 3D soft tissue facial profile, as simulated in real-time by the Maxilim® software using the mass tensor model based soft tissue simulation. If the facial profile required, an additional virtual chin osteotomy was simulated. Based on the 3D virtual planning, one intermediate and one final interocclusal splint were milled to transfer the virtual planning to the patient in the operating theatre.

Between 2010–2012 the clinical protocol for bimaxillary osteotomies was to start with the BSSO that was followed by the Le Fort I (mandible-first). After 2012 this protocol was changed and the Le Fort I was performed prior to the BSSO (maxilla-first).

**Surgical procedure.** All bimaxillary osteotomies were performed or supervised by one experienced surgeon (MdK). After nasotracheal intubation, the mucobuccal fold of the maxilla and the mandibular ramus regions were infiltrated with local anaesthetic (Ultracain Ds-Forte). In cases of mandible-first procedure, a BSSO was performed according to the Hunsuck modification (Hunsuck, 1968). After the completion of the osteotomies using osteotomes, the distal segment of the mandible was placed in the planned position using the prefabricated interocclusal intermediate splint and stabilized with intermaxillary fixation (IMF). The proximal segments were gently pushed backward and upward to seat the condyles. The mandibular segments were fixed with two titanium miniplates (one on each side) and monocortical screws (Champy 2.0 mm, KLS Martin, Tuttlingen, Germany). Following the BSSO, a Le Fort I procedure was performed. After an incision in the gingivobuccal sulcus and elevation of mucoperiosteum and nasal mucosa, the osteotomies were made with a reciprocaw saw at the Le Fort I level. The lateral nasal walls and nasal septum were osteotomized with a nasal osteotome. The piriform aperture and nasal spine were rounded. After mobilization of the maxilla, it was positioned in the planned position using a prefabricated final interocclusal splint. Fixation was performed with four 1.5 mm miniplates (KLS Martin, Tuttlingen, Germany) and 4 mm screws, one paranasal and one on the maxillary buttress on each side. Alar cinch suture and VY sutures were used accordingly. The mucosa was closed with a 3–0 Vicryl suture (Ethicon, Johnson and Johnson Medical, Norderstedt, Germany). In cases of mandible first procedure, the Le Fort I osteotomy was carried out first, after which the BSSO was performed. The surgical protocol and method of fixation were identical as described in the mandible-first procedure.

**Postsurgical protocol.** Depending on the stability of the occlusion, the final interocclusal splint was removed or left in place. Tight elastics were applied during the first postoperative week to keep a proper occlusion. After the first week, these elastics were replaced by guiding elastics, and were maintained for approximately two weeks. Postoperative orthodontic treatment occurred between three to four weeks after surgery.

**3D analysis of 3D planned and 1-year postoperative positioning of jaws.** The accuracy of the one-year postoperative surgical result was compared to the postoperative result and evaluated using the following steps.

Step 1: The 3D rendered pre- and postoperative 3D virtual head models were aligned by using voxel-based registration upon the anterior cranial base.

Step 2: Virtual triangles were constructed on the maxilla and distal mandibular segment by using previously validated cephalometric landmarks.

Step 3: The preoperative virtually osteotomized maxilla and distal mandibular segment were translated to the 3D planned position in Maxilim® by voxel-based registration. The landmarks placed on the preoperative maxilla and mandible, and thus the previously constructed triangles were translated along with the maxilla and mandible to the 3D planned position. The coordinates of the triangles were imported into the OGA to compute the 3D planned sagittal, vertical and transverse translations as well as rotations (pitch, roll and yaw) of the maxilla and distal mandibular segment.

Step 4: The maxilla and mandibular segments were again translated from the 3D planned position to the postoperative position through voxel-based registration, which resulted in a displacement of the virtual triangle. The coordinates of the landmarks (virtual triangle) in the postoperative position were imported into the OGA. The translational and rotational differences of the maxilla and distal mandibular segment between the actual postoperative surgical results and the one-year postoperative surgical results were calculated (Fig. 3).

**Statistical analysis.** Statistical data analyses were performed with SPSS 23 for Windows (IBM Corp., Armonk, NY, USA). Mean relapse (difference) was calculated for both the mandible and maxilla in six different planes: translation – horizontal (anterior/posterior), lateral (left/right), vertical (up/down); rotation – pitch (CW/
CCW), roll (CW/CCW) and yaw (CW/CCW) (Figs 1 and 2). All rotations were measured in degrees (°) and all translations in millimetres (mm). A one-way ANOVA and paired t-tests were used to assess the postoperative relapse between the directly postoperative and 1-year postoperative CBCT scans, based on the 5% level of significance (p ≤ 0.05). To evaluate the influence of the different directions on relapse, differences between the mean relapse of opposite directions (CW/CCW, anterior/posterior, left/right and up/down) were compared by using one-way ANOVA and were shown with a 5% level of significance. Univariate regression analyses were performed to identify the influence of different patient variables and operation variables on relapse. These results were shown as partial eta squared (partial $\eta^2$), which is the proportion of variance accounted for by individual variables.

**Figure 3.** Example of a patient who underwent bimaxillary surgery. (A) Preoperative CBCT scan of the patient. (B) Virtual 3D planning of the bimaxillary complex in the planned position. (C) One-week postoperative CBCT after bimaxillary surgery. (D) One-year postoperative CBCT scan. (E) The one-week postoperative CBCT is superimposed on the planning using voxel-based registration of the head models on the anterior cranial base. (F) The one week postoperative CBCT is superimposed on one-year CBCT using voxel-based registration of the head models on the anterior cranial base. (G) Differences between the post surgical movement and one-year position of the maxilla, distal and proximal mandibular segments were calculated and displayed by the OrthoGnathicAnalyser.
Data Availability
The datasets generated during and/or analysed during the current study are available from the corresponding author on reasonable request.

References

Acknowledgements
We would like to thank Elizabeth Campbell for her thorough review of our paper. Her review greatly improved the manuscript.

Author Contributions
Jeroen Liebregts: Study design, data collection, statistical analysis, and writing article. Frank Baan: Study design, data collection, statistical analysis, and writing article. Pieter van Lierop: Study design, data collection. Martien de Koning: Making 3D virtual plans and operating patients. Stefaan Bergé: Supervision, article review. Thomas Maal: Study design, article review, supervision. Tong Xi: Study design, statistical analysis, article review, supervision.

Additional Information
Competing Interests: The authors declare no competing interests.

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