Search for doubly charged scalar bosons decaying into same-sign \( W \) boson pairs with the ATLAS detector

ATLAS Collaboration

CERN, 1211 Geneva 23, Switzerland

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Abstract  A search for doubly charged scalar bosons decaying into \( W \) boson pairs is presented. It uses a data sample from proton–proton collisions corresponding to an integrated luminosity of 36.1 fb\(^{-1}\) collected by the ATLAS detector at the LHC at a centre-of-mass energy of 13 TeV in 2015 and 2016. This search is guided by a model that includes an extension of the Higgs sector through a scalar triplet, leading to a rich phenomenology that includes doubly charged scalar bosons \( H^{\pm\pm} \). Those bosons are produced in pairs in proton–proton collisions and decay predominantly into electroweak gauge bosons \( H^{\pm\pm} \rightarrow W^{\pm}W^{\pm} \). Experimental signatures with several leptons, missing transverse energy and jets are explored. No significant deviations from the Standard Model predictions are found. The parameter space of the benchmark model is excluded at 95\% confidence level for \( H^{\pm\pm} \) bosons with masses between 200 and 220 GeV.

1 Introduction

An extension of the scalar sector of the Standard Model (SM) is possible in the context of type II seesaw models [1], originally conceived to explain the smallness of the neutrino masses. In the model investigated in this paper, the scalar sector includes a hypercharge \( Y = 2 \) scalar triplet, \( \Delta \), in addition to the SM scalar doublet \( H \) [2, 3]. Electroweak symmetry breaking (EWSB) is achieved if the neutral components of \( H \) and \( \Delta \) acquire vacuum expectation values, \( v_d \) and \( v_t \) respectively. After the EWSB, the mixing between these fields results in seven scalar bosons: \( H^{\pm\pm}, H^\pm, A^0, H^0, h^0 \) (CP even). A small mixing between the CP-even scalars allows \( h^0 \) to have the expected properties of the SM Higgs boson. In addition, the triplet-neutrino Yukawa term provides non-zero neutrino masses proportional to the vacuum expectation value of the triplet \( v_t \). Constraints from electroweak precision measurements lead to an upper bound on \( v_t \) of around 1 GeV. This range is significantly lower than the electroweak scale and matches the need for small values suggested by the natural association of \( v_t \) with the neutrino masses.

The assumption of a non-zero \( v_t \), of the order of a hundred MeV, opens the possibility for the doubly charged boson to decay into a pair of same-sign \( W \) bosons, \( H^{\pm\pm} \rightarrow W^{\pm}W^{\pm} \), while the leptonic decays \( H^{\pm\pm} \rightarrow \ell^\pm \ell^\pm \) are suppressed with increasing \( v_t \) [4, 5]. Extensive searches for leptonic decays \( H^{\pm\pm} \rightarrow \ell^\pm \ell^\pm \) have been performed at various colliders [6–11], where \( H^{\pm\pm} \) bosons with masses up to about 800 GeV have been excluded. Moreover, searches for \( H^{\pm\pm} \rightarrow W^{\pm}W^{\pm} \) decays have been performed by the CMS Collaboration in the context of single \( H^{\pm\pm} \) production through vector-boson fusion at large \( v_t \) (of orders of tens of GeV) [12, 13] for a model with two Higgs triplets [14]. For that model, a custodial symmetry avoids large contributions to the electroweak precision observables [15]. In contrast, the \( H^{\pm\pm} \rightarrow W^{\pm}W^{\pm} \) decay mode has not been directly searched for so far for small values of \( v_t \), where the vector-boson fusion is suppressed.

The present paper focuses on the phenomenology of doubly charged scalar bosons \( H^{\pm\pm} \) that can be produced in pairs at the Large Hadron Collider (LHC) and decay into \( W \) bosons. The triplet vacuum expectation value is taken to be \( v_t = 0.1 \) GeV such that only the \( H^{\pm\pm} \rightarrow W^{\pm}W^{\pm} \) decays are relevant, leading to final states with four \( W \) bosons. The mixing between the CP-even scalars is taken to be \( 10^{-4} \) and the remaining five Yukawa parameters in the potential are adjusted to obtain a given \( H^{\pm\pm} \) mass hypothesis while requiring \( h^0 \) to have a mass of 125 GeV. The corresponding cross-section calculation is performed for on-shell \( W \) bosons, and therefore only the region \( m_{H^{\pm\pm}} > 200 \) GeV is considered in the present analysis.

The four-boson final states are identified by the presence of light charged leptons (electrons or muons), missing transverse momentum, and jets. The analysis uses three final states defined according to the number of light leptons: same-sign (SS) dilepton channel (2\( e^\pm \)), trilepton channel (3\( e^\pm \)) and four-lepton channel (4\( e^\pm \)). Similar final states were used for other searches for new phenomena in ATLAS [16–18]. However,
the previously searched signal topologies differ significantly from those targeted in the present analysis and a dedicated event selection optimisation is therefore applied.

This paper includes a description of the experimental set-up in Sect. 2, followed by a description of the simulation used in the analysis in Sect. 3. The event selection and background estimations for the three explored signatures are described in Sect. 4. The signal region optimisation is described in Sect. 5. The systematic uncertainties are presented in Sect. 6. The results are shown in Sect. 7, followed by the conclusions in Sect. 8.

2 ATLAS detector

The ATLAS experiment [19] at the LHC is a multipurpose particle detector with a forward–backward symmetric cylindrical geometry and a near 4π coverage in solid angle. It consists of an inner tracking detector surrounded by a superconducting solenoid providing a 2 T axial magnetic field, electromagnetic and hadronic calorimeters, and a muon spectrometer. The inner tracking detector, covering the pseudorapidity range |η| < 2.5, consists of silicon pixel and silicon microstrip tracking detectors inside a transition-radiation tracker that covers |η| < 2.0. It includes, for the √s = 13 TeV running period, a newly installed innermost pixel layer, the insertable B-layer [20]. Lead/liquid-argon (LAr) sampling calorimeters provide electromagnetic (EM) energy measurements for |η| < 2.5 with high granularity and longitudinal segmentation. A hadronic calorimeter consisting of steel and scintillator tiles covers the central pseudorapidity range (|η| < 1.7). The endcap and forward regions are instrumented with LAr calorimeters for EM and hadronic energy measurements up to |η| = 4.9. The muon spectrometer surrounds the calorimeters and is based on three large air-core toroid superconducting magnets with eight coils each. It includes a system of precision tracking chambers (|η| < 2.7) and fast detectors for triggering (|η| < 2.4). A two-level trigger system is used to select events [21]. The first-level trigger is implemented in hardware and uses a subset of the detector information to reduce the accepted rate to a design maximum of 100 kHz. This is followed by a software-based trigger with a sustained average accepted event rate of about 1 kHz.

3 Data and simulation

The data sample collected by the ATLAS Collaboration at √s = 13 TeV during 2015 and 2016 was used. After the application of beam and data quality requirements, the integrated luminosity is 36.1 fb⁻¹.

Monte Carlo (MC) simulation samples were produced for signal and background processes using the full ATLAS detector simulation [22] based on GEANT4 [23] or, for selected smaller backgrounds and some of the signal samples, a fast simulation using a parameterisation of the calorimeter response and GEANT4 for the tracking system [24]. To simulate the effects of additional pp collisions in the same and nearby bunch crossings (pile-up), additional interactions were generated using PYTHIA 8.186 [25,26] with a set of tuned parameters for the underlying event, referred to as the A2 tune [27], and the MSTW2008LO set of parton distribution functions (PDF) [28], and overlaid on the simulated hard-scatter event. The simulated events were reweighted to match the distribution of the number of interactions per bunch crossing observed in the data and were reconstructed using the same procedure as for the data.

The signal events containing H±± pairs were simulated with the CalcHEP generator version 3.4 [29], which is at leading order in QCD, using the Lagrangian described in Ref. [3] and the PDF set CTEQ6L1 [30,31]. The modelling of the parton showering and hadronisation of these events was performed using PYTHIA 8.186 [25,26] with the A14 tune [32]. Event samples for the process pp → H±± H±± → W±± W±± W±± W±± were simulated for m_H±± in the range from 200 to 700 GeV with steps of 100 GeV. The production cross-section decreases rapidly with m_H±± and is 80.7 fb for m_H±± = 200 GeV, 5.0 fb for m_H±± = 400 GeV, and 0.35 fb for m_H±± = 700 GeV. Next-to-leading order (NLO) corrections [33] in QCD were applied, which increase these cross-sections by a factor 1.25. The fast detector simulation was used for the samples corresponding to m_H±± > 500 GeV.

The SM background processes were simulated using the MC event generator programs and configurations shown in Table 1. The production of VV, VVqq, and VVV (where V denotes a vector boson W or Z and qq labels the vector-boson fusion production mechanism) was simulated with a NLO QCD matrix element computed by SHERPA and matched to the SHERPA parton shower. The main background contribution in the 2ℓ±± and 3ℓ± channels is from WZ production, for which the total cross-section prediction is 48.2 ± 1.1 pb [44]. The main contribution to the 4ℓ topology is from ZZ production with a total cross-section of 16.9 ± 0.6 pb [45,46], which is suppressed by requiring significant missing transverse momentum in these events. The MC samples used to simulate tH, tH, VV and tt are described in more detail in Refs. [47–49].
Table 1 Configurations used for event generation of background processes. If only one PDF is shown, the same is used for both the matrix element (ME) and parton shower generators; if two are shown, the first is used for the matrix element calculation and the second for the parton shower. V refers to the production of an electroweak boson (W or Z/γ∗). "Tune" refers to the underlying-event tune of the parton shower generator. "MG5_aMC" refers to MADGRAPH5_AMC@NLO 2.2.1; "Pythia 6" refers to version 6.427; "Pythia 8" refers to version 8.1; "Herwig++" refers to version 2.7. The samples have heavy flavour hadron decays modelled by EVTGEN1.2.0 [34], except for samples generated with SHERPA.

<table>
<thead>
<tr>
<th>Process</th>
<th>Event generator</th>
<th>ME order</th>
<th>Parton shower</th>
<th>PDF</th>
<th>Tune</th>
</tr>
</thead>
<tbody>
<tr>
<td>VV, qqVV, VVV</td>
<td>SHERPA 2.1.1 [35]</td>
<td>MEPS NLO</td>
<td>SHERPA 2.1.1</td>
<td>CT10 [36]</td>
<td>SHERPA 2.1.1 default</td>
</tr>
<tr>
<td>t\bar{t}H</td>
<td>MG5_AMC [37]</td>
<td>NLO</td>
<td>PYTHIA 8</td>
<td>NNPDF 3.0 NLO [38]</td>
<td>A14 [32]</td>
</tr>
<tr>
<td>VH</td>
<td>PYTHIA 8</td>
<td>LO</td>
<td>PYTHIA 8</td>
<td>NNPDF 2.3 LO</td>
<td>A14</td>
</tr>
<tr>
<td>tHqb</td>
<td>MG5_AMC</td>
<td>LO</td>
<td>PYTHIA 8</td>
<td>CT10</td>
<td>A14</td>
</tr>
<tr>
<td>t\bar{t}W, t\bar{t}(Z/γ∗)</td>
<td>MG5_AMC</td>
<td>NLO</td>
<td>Herwig++ [39]</td>
<td>CT10</td>
<td>UE-EE-5 [40]</td>
</tr>
<tr>
<td>t\bar{t}W, t\bar{t}(Z/γ∗)</td>
<td>MG5_AMC</td>
<td>NLO</td>
<td>PYTHIA 8</td>
<td>NNPDF 3.0 NLO</td>
<td>A14</td>
</tr>
<tr>
<td>tW(Z/γ∗)</td>
<td>MG5_AMC</td>
<td>NLO</td>
<td>PYTHIA 8</td>
<td>NNPDF 2.3 LO</td>
<td>A14</td>
</tr>
<tr>
<td>t\bar{t}t, t\bar{t}t</td>
<td>MG5_AMC</td>
<td>LO</td>
<td>PYTHIA 8</td>
<td>NNPDF 2.3 LO</td>
<td>A14</td>
</tr>
<tr>
<td>t\bar{t}W+t\bar{W}</td>
<td>MG5_AMC</td>
<td>LO</td>
<td>PYTHIA 8</td>
<td>NNPDF 2.3 LO</td>
<td>A14</td>
</tr>
<tr>
<td>Vγ</td>
<td>SHERPA 2.2</td>
<td>MEPS NLO</td>
<td>SHERPA 2.2</td>
<td>NNPDF 3.0 NLO</td>
<td>SHERPA 2.2 default</td>
</tr>
<tr>
<td>s_,t-channel, Wt single top</td>
<td>POWHEG-BOX v 2 [42,43]</td>
<td>NLO</td>
<td>PYTHIA 6</td>
<td>CT10/CTEQ6L1</td>
<td>Perugia2012</td>
</tr>
</tbody>
</table>
The simulated SM contributions in each of the channels considered are separated into prompt-lepton and fake-lepton contributions, depending on the source of the reconstructed leptons at generator level. The processes that contain only reconstructed charged leptons originating from prompt leptonic decays of W and Z bosons are classified as a prompt-lepton contribution, while processes with at least one of the reconstructed leptons being a misidentified hadron or photon, or a lepton from hadron decays constitute the fake-lepton contribution. The simulated events are not used to evaluate the background originating from charge-missidentified leptons for the $2\ell^{\pm}$ channel and fake leptons for the $2\ell^{\pm}$ and $3\ell$ channels. These are estimated in general using data-driven methods because they are not well modelled by simulations. This is the case in particular for $Z \rightarrow \ell^{+}\ell^{-}$, $W \rightarrow \ell\nu$ and $t\bar{t}$ processes. The background process $V\gamma$ can contribute if electrons originating from the photon conversion are selected. This contribution is found to be small and adequately modelled, so it is estimated using the MC simulation. For the $4\ell$ channel, the background from fake leptons is small and the data-driven methods are not applicable due to the low number of events available, so the MC simulation is used to estimate both the prompt-lepton and the fake-lepton contributions.

4 Event selection and background estimates

4.1 Event reconstruction

Interaction vertices originating from $pp$ collisions are reconstructed using at least two tracks with transverse momentum $p_T > 0.4$ GeV, and required to be consistent with the beamspot envelope. The primary vertex is identified as the vertex with the largest sum of squares of the transverse momenta from associated tracks [50].

Electrons are reconstructed as tracks in the inner detector matched to clusters in the electromagnetic calorimeter, within the region of pseudorapidity $|\eta| < 2.47$ [51]. The candidates in the transition region between the barrel and the endcap calorimeters ($1.37 < |\eta| < 1.52$) are removed. Only those electron candidates with transverse momentum greater than 10 GeV are considered. The electron identification is based on a multivariate likelihood-based discriminant that uses the shower shapes in the electromagnetic calorimeter and the associated track properties measured in the inner detector. In particular, the loose and tight identification working points, described in Ref. [51], are used, providing electron identification efficiencies of approximately 95% and 78–90% (depending on $p_T$ and $\eta$), respectively. In order to reduce contributions from converted photons and hadron decays, the longitudinal impact parameter of the electron track relative to the selected event primary vertex, multiplied by the sine of the polar angle, $|z_0 \sin \theta|$, is required to be less than 0.5 mm. The transverse impact parameter divided by its uncertainty, $|d_0|/\sigma(d_0)$, is required to be less than 5. The identification algorithm is complemented by an isolation requirement, based on the energy in a cone around the electron candidate calculated using either charged tracks or calorimetric deposits. The calorimeter- and track-based isolation criteria are applied jointly to suppress fake electrons.

Muon candidates are reconstructed by combining tracks formed in the inner detector and in the muon spectrometer, within the region of pseudorapidity $|\eta| < 2.5$ [52]. Only those muon candidates with transverse momentum greater than 10 GeV are considered. A muon candidate is required to satisfy loose or tight identification criteria which are defined in Ref. [52], and which have efficiencies of approximately 98% and 92%, respectively. Similarly to electrons, isolation criteria complement the identification requirements. The impact parameters must satisfy $|z_0 \sin \theta| < 0.5$ mm and $|d_0|/\sigma(d_0) < 3$ when selecting muons.

Combining the selection criteria mentioned above, two types of lepton requirements are used for both the electrons and muons: type $T$ (for tight) and $L$ (for loose). The type $T$ leptons are a subset of the type $L$.

Jets are reconstructed from topological clusters [53] of energy deposits in the calorimeters using the anti-$k_t$ algorithm [54,55] with a radius parameter of $R = 0.4$. Only jets with $p_T > 25$ GeV and $|\eta| < 2.5$ are considered. In order to suppress jets arising from pile-up collisions, jets with $p_T < 60$ GeV and $|\eta| < 2.4$ must have a sizeable fraction of their tracks matched to the selected primary vertex [56]. Jets containing $b$-hadrons are identified ($b$-tagged) via a multi-variate discriminant combining information from the impact parameters of displaced tracks with topological properties of secondary and tertiary decay vertices reconstructed within the jet [57]. The $b$-tagging algorithm used for this search has an average efficiency of 70% to identify $b$-jets with $p_T > 20$ GeV and $|\eta| < 2.5$ in simulated $t\bar{t}$ events.

To avoid object double counting, an overlap removal procedure is applied to resolve ambiguities among electrons, muons, and jets in the final state. Any electron candidate sharing an inner detector track with a muon candidate is removed. Jets within $\Delta R = 0.2$ of an electron, as well as jets with less than three tracks within $\Delta R = 0.2$ of a muon candidate are discarded. Any remaining electron candidate within $\Delta R = 0.4$ of a jet is discarded. Any remaining muon candidate within $\Delta R = 0.04 + 10/p_T^m$ (GeV) of a jet is discarded.

The missing transverse momentum, with magnitude $E_T^{miss}$, is defined as the negative vector sum of the transverse momenta of all identified leptons and jets and the remaining unclustered energy of the event, which is estimated from tracks associated with the primary vertex but not assigned to any physics object [58].
4.2 Event preselection

Candidate events are selected using triggers that require at least one electron or one muon to pass various thresholds of $p_T$ [21]. The higher thresholds are applied with looser lepton identification and/or isolation requirements in order to ensure efficiencies close to 100% for leptons with transverse momentum above 30 GeV.

The signal topologies studied in this search involve the presence of at least two leptons of the same charge and are classified as explained above in three mutually exclusive categories: $2\ell^{ss}$, $3\ell$ and $4\ell$ channels. The $2\ell^{ss}$ channel targets signal events where the two same-sign $W$ bosons from one of the doubly charged Higgs boson decays leptonically, while the other $W$ boson decays hadronically. In the $3\ell$ channel, one $W$ boson decays hadronically and the other two are leptonically. All channels present significant $E_T^{\text{miss}}$ corresponding to the neutrinos from leptonic $W$ boson decays. In the $2\ell^{ss}$ and $4\ell$ channels, jets from $W$ boson decays originate from the first- and second-generation quarks, and therefore lead to events without $b$-jets. The event selection is divided into two steps: the preselection and the signal region selection.

The preselection requirements are summarised in Table 2. The electrons (muons) are selected in the pseudorapidity range $|\eta| < 2.47$ (2.5) with a transverse momentum of at least 10 GeV, satisfying the type $L$ requirement. Events are selected only if the absolute value of the sum of charges of the leptons is two, one and zero for the $2\ell^{ss}$, $3\ell$ and $4\ell$ channels, respectively. At least one of the leptons is required to have $p_T > 30$ GeV to ensure a high trigger efficiency. To reduce the fake-lepton contamination in the $2\ell^{ss}$ channel, the second highest $p_T$ (subleading) lepton is required to have $p_T > 20$ GeV and both leptons are required to be of type $T$.

Similarly in the $3\ell$ channel, each lepton in the pair of leptons of the same sign, which is expected to suffer more from fake-lepton contamination, is required to have $p_T > 20$ GeV and to both be of type $T$. In the $2\ell^{ss}$ and $4\ell$ channels, the leptons are labelled by descending $p_T$, and are denoted by $\ell_1, \ell_2, \ldots$

The ranking follows a different logic for the $3\ell$ channel: the lepton that has a charge opposite to the total lepton charge is denoted as $\ell_0$, while the same-sign leptons are denoted by $\ell_1$ and $\ell_2$, ranked by increasing distance to $\ell_0$ in the $\eta$–$\phi$ plane.

Further preselection requirements are based on $E_T^{\text{miss}}$, the jet multiplicity $N_{\text{jets}}$ and the number of jets tagged as $b$-jets $N_{b\text{-jet}}$. Moreover, in order to reduce the background from $Z$ bosons and neutral mesons decaying into same-flavour opposite-sign leptons (SFOS), the invariant mass of such lepton pairs is required to be greater than 12 (15) GeV for the $3\ell$ and $4\ell$ channel and to have an invariant mass that is not compatible with the $Z$ boson. For the $2\ell^{ss}$ channel, the $Z$ boson invariant mass veto is also applied to $e^\pm e^\pm$ events, in order to reduce the contributions originating from electron charge misidentification.

After this preselection, 562 data events are selected in the $2\ell^{ss}$ channel, 392 events in the $3\ell$ channel, and 44 events in the $4\ell$ channel.

4.3 Background estimate

The background processes containing only prompt selected leptons are estimated with MC simulations normalised to the most precise cross-section calculation (see Sect. 3). Further contributions originate from non-prompt and mismeasured leptons. The procedures used to estimate those contributions are described in the following.

| Table 2 | The preselection criteria for the three analysis channels. The leptons are ordered by decreasing $p_T$ ($\ell_1, \ell_2, \ldots$) in the $2\ell^{ss}$ and $4\ell$ channels, while for the $3\ell$ channel $\ell_1, \ell_2$ denote the same-sign leptons and $\ell_0$ the lepton with a charge opposite to the total lepton charge. $Q_\ell$ denotes the charge of each lepton |
| Selection criteria | $2\ell^{ss}$ | $3\ell$ | $4\ell$ |
| Trigger | At least one lepton with $p_T^{\ell} > 30$ GeV that fulfils the requirements of single-lepton triggers | 2 | 3 | 4 |
| $N(L\text{-type}, p_T > 10$ GeV, $|\eta| < 2.47)$ | 2 | 2 ($\ell_1, \ell_2$) | 1 | 0 |
| $N(T\text{-type}, p_T > 10$ GeV, $|\eta| < 2.47)$ | 2 | 1 | 0 |
| $|\sum Q_\ell|$ | 2 | 1 | 0 |
| Lepton $p_T$ threshold | $p_T^{\ell_1, \ell_2} > 30, 20$ GeV | $p_T^{\ell_0, \ell_1, \ell_2 > 10, 20, 20$ GeV | $p_T^{\ell_1, \ell_2, \ell_3, \ell_4 > 10$ GeV |
| $E_T^{\text{miss}}$ | $> 70$ GeV | $> 30$ GeV | $> 30$ GeV |
| $N_{\text{jets}}$ | $\ge 3$ | $\ge 2$ | 0 |
| $b$-jet veto | $N_{b\text{-jet}} = 0$ | $N_{b\text{-jet}} = 0$ | $N_{b\text{-jet}} = 0$ |
| Low SFOS $m_{\ell\ell}$ veto | $m_{e^\pm e^\pm} > 15$ GeV | $m_{e^\pm e^\pm} > 12$ GeV | |
| $Z$ boson decays veto | $|m_{e^\pm e^\pm} - m_Z| > 10$ GeV | $|m_{e^\pm e^\pm} - m_Z| > 10$ GeV | |
4.3.1 Charge misidentification

In the $2\ell^{ss}$ channel, a background contribution is expected from events with opposite-sign lepton pairs when the charge of one of the leptons is misidentified, while the background contribution from charge misidentification is negligible for $3\ell$ and $4\ell$ channels. In the transverse momentum domain relevant for this analysis, charge misidentification is only significant for electrons and is due mainly to bremsstrahlung interactions with the inner detector material. The radiated photon produces an $e^+e^-$ pair near the original electron trajectory leading to a charge identification confusion.

The misidentification rate is measured using a large data sample of dilepton events originating mainly from $Z \rightarrow e^+e^-$ decays selected by two type $T$ electrons with an invariant mass between 80 and 100 GeV. The sample contains mostly opposite-sign dileptons, with a small fraction of same-sign dileptons. The fraction of same-sign dilepton events is used to extract the charge-misidentification rate as a function of electron $p_T$ and $\eta$. This rate is found to range between 0.02% and 10%, where large values are obtained at large rapidities where the amount of material is higher. The statistical error of this estimate is taken as systematic uncertainty of the charge misidentification rate. The background from fake leptons in both the opposite-sign and same-sign samples is estimated using sidebands around the peak. Its impact on the charge misidentification rate is about 2%. and is included in the systematic uncertainty.

The background from charge misidentification in a given region is estimated using a data control sample selected with the same criteria as the nominal sample but with opposite-sign dilepton pairs, where at least one lepton is an electron, weighted by the probability that the charge of the electron(s) is misidentified.

<table>
<thead>
<tr>
<th>Sample</th>
<th>$2\ell^{ss}$</th>
<th>$3\ell$</th>
<th>$4\ell$-Z</th>
<th>$4\ell$-T</th>
</tr>
</thead>
<tbody>
<tr>
<td>$N_{\ell}$ (type $L$)</td>
<td>2</td>
<td>3</td>
<td>3</td>
<td>3</td>
</tr>
<tr>
<td>$</td>
<td>\Sigma Q_{\ell}</td>
<td>$</td>
<td>2</td>
<td>1</td>
</tr>
<tr>
<td>$p_T^{\ell}$</td>
<td>$&gt;$ 30, 20 GeV</td>
<td>$&gt;$ 10, 20, 20 GeV</td>
<td>$&gt;$ 10, 10, 10 GeV</td>
<td>$&gt;$ 10, 10, 10 GeV</td>
</tr>
<tr>
<td>$N_{\text{jets}}$</td>
<td>$\geq$ 3</td>
<td>1</td>
<td>1 or 2</td>
<td>1 or 2</td>
</tr>
<tr>
<td>$N_{\text{jett}}$</td>
<td>0</td>
<td></td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>$Z$-window</td>
<td>$</td>
<td>m_{ee} - m_Z</td>
<td>&gt;$ 10 GeV</td>
<td>$</td>
</tr>
<tr>
<td>$m_{ee}$</td>
<td>$&gt;$ 15 GeV</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>$E_T^{\text{miss}}$</td>
<td>$&lt;$ 70 GeV</td>
<td>-</td>
<td>$&lt;$ 50 GeV</td>
<td>-</td>
</tr>
<tr>
<td>$m_T$</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
</tbody>
</table>

4.3.2 Fake-lepton contributions

The composition of the fake-lepton background varies considerably among the analysis channels. Therefore, the methods to estimate the fake-lepton contributions are different for the $2\ell^{ss}$, $3\ell$ and $4\ell$ channels. The contribution from fake leptons for the $2\ell^{ss}$ and $3\ell$ channels are estimated using the fake-factor method, while the simulation prediction corrected with data-driven scale factors is used for the $4\ell$ channels. Those methods involve various fake-enriched control samples that are summarised in Table 3 and described below.

**Fake-lepton contribution estimate for the $2\ell^{ss}$ channel** The fake-factor method assumes that the fake-lepton contribution in a nominal region, which can be the preselection or the signal region, can be computed using an extrapolation factor that is referred to as a fake factor, and is denoted as $\theta$ in the following. The fake factor is multiplied by the number of events containing fake leptons in a region with the same selection criteria as the nominal region, except that at least one of the leptons is required to satisfy the type $L$ but not the type $T$ identification criteria. That lepton is denoted by $\ell$, or collectively $\ell$ in the following.

The fake factors are calculated in fake-enriched control regions with kinematic selections designed to enhance their content in fake leptons. In the case of the $2\ell^{ss}$ channel ($2\ell^{ss}$ column in Table 3), this is achieved by requiring low $E_T^{\text{miss}}$. The fake factor is defined as the number of fake-lepton events in the fake-enriched region where all selected leptons pass the type $T$ identification, divided by the number of fake-lepton events in the same region but where one of the selected leptons is of type $\ell$.

The muon fake factor is thus computed in the fake-enriched region, where a pair of same-sign muons was selected, as follows:

<table>
<thead>
<tr>
<th>$m_1^{\ell}$</th>
<th>$m_2^{\ell}$</th>
<th>$m^{\text{miss}}_T$</th>
<th>$m_T$</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\ell$</td>
<td>$\ell$</td>
<td>$&gt;$ 70 GeV</td>
<td>$&lt;$ 50 GeV</td>
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</tbody>
</table>
Fig. 1 Distribution of variables used for the signal region optimisation of the 2\ell ss final state. The events are selected with the preselection requirements listed in Table 2. The data (dots) are compared with the predictions (histograms) that include the contributions from the dominant prompt-lepton background (WZ), other prompt-lepton backgrounds, processes where a fake lepton is reconstructed, and electrons with misidentified charge (QMislID). The expected signal distributions corresponding to two $H^{\pm\pm}$ masses are also shown, scaled up for visibility. The last bin includes overflows. In each figure the bottom panel shows the ratio of data to the prediction, where the band around unity represents the total uncertainty of the SM prediction.

$$\theta_{2\ell}^{\text{miss}} = \frac{(N_{\text{Data}} - N_{\text{Prompt}})_{\ell\ell}}{(N_{\text{Data}} - N_{\text{Prompt}})_{\ell\ell}}.$$  

where $N_{\text{Data,\ell\ell}}$ and $N_{\text{Prompt,\ell\ell}}$ are the number of events where both muons are of type $\ell$, and where one is of type $\ell$ and the other of type $\ell'$, respectively. The prompt-lepton contributions $N_{\text{Prompt}}$, which are estimated using MC simulation, are subtracted from data event yields to obtain a pure estimate of the fake-lepton contributions in the $\mu\mu$ and $\mu\mu'$ regions. The superscript $C$ indicates the fake-enriched control region.

The electron fake factor is computed using the fake-enriched region where a same-sign $e\mu$ pair was selected:

$$\theta_{e\mu}^{2\ell_{\text{miss}}} = \frac{(N_{\text{Data}} - N_{\text{Prompt}} - N_{\text{Q}} - N_{\text{fake-}\mu})_{e\mu}}{(N_{\text{Data}} - N_{\text{Q}} - N_{\text{Prompt}})_{e\mu}}.$$  

In addition to the prompt-lepton contribution, the electron charge-misidentification contribution, denoted by $N_{\ell\ell}$, needs to be subtracted. It is computed using the method described in Sect. 4.3.1. Furthermore, the fake-muon contribution in the $e\mu$ sample is subtracted from the numerator. It is computed as:

$$N_{e\mu}^{\text{fake-}\mu, C} = \theta_{e\mu}^{2\ell_{\text{miss}}} (N_{\text{Data}} - N_{\text{Prompt}})^{C}_{e\mu}.$$  

The fake-muon contribution is not considered in the denominator of the electron fake factor, in Eq. (2), because it is negligible.

The muon fake factor is measured to be $0.14 \pm 0.03$, while the electron fake factor is $0.48 \pm 0.07$, where the uncertainties are statistical only. A systematic uncertainty of 35% (56%) in the electron (muon) fake factor is estimated from complementary control samples with low jet multiplicity or by applying a different selection to vary the fraction of jets containing heavy-flavour hadrons. The uncertainty in the muon fake factor is larger than in the electron fake factor due lower number of data events available for those checks. The fake-lepton contributions in the nominal region (signal or preselection, denoted collectively by the superscript $R$) are obtained by multiplying the fake factors by the number of events in a region with the same selection as the nominal region, but where at least one lepton is of type $\ell$.

\[ \text{Springer} \]
Fig. 2 Distribution of variables used for the signal region optimisation of the 3ℓ channel (a detailed description can be found in the caption of Fig. 1)

\[
N_{\text{fake}, R}^{e, \ell_0} = \theta_{e, \ell_0}^{2\ell_0} \times (N_{\text{Data}} - N_{\text{Prompt}} - N_{\ell_0}^{R} R_{\ell_0}) + \theta_{\mu, \ell_0}^{2\ell_0} \times (N_{\text{Data}} - N_{\text{Prompt}} - N_{\ell_0}^{R} R_{\ell_0}),
\]

\[
N_{\text{fake}, \ell_0}^{\ell_0, \mu} = \theta_{\ell_0, \mu}^{2\ell_0} \times (N_{\text{Data}} - N_{\text{Prompt}} - N_{\ell_0}^{R} R_{\ell_0}),
\]

where the prompt-lepton and the charge misidentifications contributions are subtracted as explained above.

Fake-lepton contribution estimate for the 3ℓ channel

A method similar to that employed for the 2ℓ ss channel is applied for the 3ℓ channel. Here the opposite-sign lepton \( \ell_0 \) is assumed to be prompt, an assumption that was found to be valid in MC simulation. The fake-enriched region used to calculate the fake factors for the 3ℓ channel, which is described in Table 3, follows the 3ℓ preselection conditions except that the jet multiplicity is required to be exactly one. The fake factors for electrons and muons are both calculated by applying a formula analogous to Eq. (1) to the \( \ell_0 ee/\ell_0 e\ell_0 \) and \( \ell_0 \mu\mu/\ell_0 \mu\ell_0 \) regions, respectively. The muon fake factor is found to be 0.17 ± 0.06 and the electron fake factor is found to be 0.39 ± 0.07, where the errors are statistical only. The values are compatible with those obtained for the 2ℓ ss channel. Additional control samples, defined such that the content is enriched in either \( Z \)+jets or \( t\bar{t} \) events, are used to test the method and to estimate systematic uncertainties of 55% and 81% for the electron and muon fake factors, respectively. The fake-lepton contributions to the nominal regions are then calculated using relations analogous to Eq. (3).

Fake-lepton contribution estimate for the 4ℓ channel

There are too few data events to apply the fake-factor method in the 4ℓ channel. Instead, the fake-lepton contribution is estimated from the yields predicted by the MC simulation but corrected using process-dependent scale factors that are extracted in two fake-enriched control regions. The fake-lepton contribution in this channel comes mainly from \( t\bar{t}V \) processes, where the fake lepton originates from a \( b \)-jet. A small component from light quarks is also present. Two data samples designed to contain fake leptons originating from \( Z \)+jets and \( t\bar{t} \) events are used to study the capability of the simulation to describe fake leptons originating from light- and heavy-flavour jets, respectively. The two control samples are labelled Z and T and are defined in Table 3. The samples are required to have three identified leptons. For the Z region, the fake-lepton candidate is assumed not to be part of the lepton pair forming the \( Z \) boson candidate. For the T region, the fake lepton is assumed to be the lepton with the lower \( p_T \) in the same-sign lepton pair. The scale fac-
Fig. 3 Distribution of variables used for the signal region optimisation of the 4ℓ channel (a detailed description can be found in the caption of Fig. 1).

tors are derived independently for fake electrons and fake muons. Four scale factors $\lambda_X^\ell$ (with $\ell = e, \mu$ and $X = Z, T$) are obtained by solving the system of equations

$$N^{\ell}_{\text{Data}}|X - N^{\ell}_{\text{Prompt}}|X = \lambda_T^\ell N^{\ell}_{t\bar{t}}|X + \lambda_Z^\ell N^{\ell}_{Z+\text{jets}}|X,$$

where the event yields $N^{\ell}_{\text{Data}}$ are labelled by the nature of the contribution, data (Data) or simulation (Prompt, $t\bar{t}$ and $Z+\text{jets}$), and the equations are derived in each of the respective control region $X$ ($Z$ or $T$). The obtained scale factors are $\lambda_T^e = 1.12 \pm 0.05$, $\lambda_T^\mu = 1.02 \pm 0.07$, $\lambda_Z^e = 1.11 \pm 0.05$ and $\lambda_Z^\mu = 0.94 \pm 0.07$, where the errors are statistical only.

Alternative tripleton control samples, where the jet multiplicity and the lepton $p_T$ threshold are varied, are used to estimate a systematic uncertainty of 50% in these scale factors. The scale factors are used as weights to the simulated events that contain a fake lepton according to the fake-lepton flavour and the presence of heavy-flavour jets in the event.

### 5 Signal region optimisation

The hypothetical signal produces four $W^{\pm}$ bosons in each event. Since at least two leptonically decaying $W$ bosons are needed to lead to the multi-lepton topologies considered in this analysis, all signal events are expected to feature significant $E_T^{\text{miss}}$, while jets are expected from hadronic $W$ boson decays for 2ℓss and 3ℓ channels. Moreover, when the mass of the doubly charged Higgs boson is in the range of 200–300 GeV, each $H^{\pm\pm}$ is produced with a significant momentum and the two subsequent $W$ bosons are emitted close to each other in the laboratory frame. Consequently, the two same-sign leptons from the decays of the two $W$ bosons tend to be close in the $\eta-\phi$ plane. The decay products of the other doubly charged Higgs boson are generally well-separated from the two same-sign leptons.

The analysis channels face different background contributions from the SM. The 2ℓss category is populated with events containing one prompt lepton from a $W$ boson, or to a lesser extent from a $Z$ boson, and one fake lepton from the hadronic final state produced. The 2ℓss events with two same-sign electrons can also originate from Drell–Yan and $t\bar{t}$ production, where the charge of one of the electrons is misidentified, as explained above. In the 2ℓss and 3ℓ channels, most of the expected prompt-lepton contribution is due to the production of $WZ$ associated with jets, with both bosons subsequently decaying into leptons. This process also produces other features of the signal, such as significant $E_T^{\text{miss}}$ and the absence
Table 4  The selection criteria used to define the signal regions. The variables are described in Sect. 5

<table>
<thead>
<tr>
<th>Selection criteria</th>
<th>$2\ell^{\pm\ell^{\pm}}$</th>
<th>$e^\pm e^\pm$</th>
<th>$e^\pm \mu^\pm$</th>
<th>$\mu^\pm \mu^\pm$</th>
<th>$3\ell$</th>
<th>$4\ell$</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>SFOS 0</td>
<td>SFOS 1.2</td>
</tr>
<tr>
<td>$m_{H^{\pm\pm}} = 200$ GeV</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$E_T^{\text{miss}}$ [GeV]</td>
<td>$&gt; 100$</td>
<td>$&gt; 100$</td>
<td>$&gt; 100$</td>
<td></td>
<td>$&gt; 45$</td>
<td>$&gt; 45$</td>
</tr>
<tr>
<td>$m_{\ell\ell}$ [GeV]</td>
<td>[25, 130]</td>
<td>[15, 150]</td>
<td>[35, 150]</td>
<td></td>
<td>$&gt; 160$</td>
<td>$&gt; 170$</td>
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<td>$\Delta R_{\ell\ell}$ [rad.]</td>
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<td>$&lt; 1.8$</td>
<td>$&lt; 0.9$</td>
<td></td>
<td>[0.15, 1.57]</td>
<td>[0.00, 1.52]</td>
</tr>
<tr>
<td>$\Delta \phi(\ell\ell, E_T^{\text{miss}})$ [rad.]</td>
<td>$&lt; 1.1$</td>
<td>$&lt; 1.3$</td>
<td>$&lt; 1.3$</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>$S$ [rad.]</td>
<td>$&lt; 0.3$</td>
<td>$&lt; 0.3$</td>
<td>$&lt; 0.2$</td>
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<tr>
<td>$m_{\text{jets}}$ [GeV]</td>
<td>[140, 770]</td>
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<td>[95, 640]</td>
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<td>[0.08, 1.88]</td>
<td>[0.07, 1.31]</td>
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<td></td>
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<td>$&gt; 80$</td>
<td>$&gt; 55$</td>
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<tr>
<td>$m_{H^{\pm\pm}} = 300$ GeV</td>
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<td></td>
</tr>
<tr>
<td>$E_T^{\text{miss}}$ [GeV]</td>
<td>$&gt; 200$</td>
<td>$&gt; 200$</td>
<td>$&gt; 200$</td>
<td></td>
<td>$&gt; 65$</td>
<td>$&gt; 55$</td>
</tr>
<tr>
<td>$m_{\ell\ell}$ [GeV]</td>
<td>[105, 340]</td>
<td>[80, 320]</td>
<td>[80, 320]</td>
<td></td>
<td>$&gt; 170$</td>
<td>$&gt; 210$</td>
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<td>$&lt; 1.8$</td>
<td>$&lt; 1.8$</td>
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<td>[0.18, 2.23]</td>
<td>[0.08, 2.23]</td>
</tr>
<tr>
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<td>$&lt; 2.4$</td>
<td>$&lt; 2.4$</td>
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<td></td>
<td></td>
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<tr>
<td>$S$ [rad.]</td>
<td>$&lt; 0.4$</td>
<td>$&lt; 0.4$</td>
<td>$&lt; 0.4$</td>
<td></td>
<td></td>
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<tr>
<td>$m_{\text{jets}}$ [GeV]</td>
<td>[180, 770]</td>
<td>[130, 640]</td>
<td>[130, 640]</td>
<td></td>
<td>[0.27, 2.37]</td>
<td>[0.21, 2.08]</td>
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<tr>
<td></td>
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<td>$&gt; 95$</td>
<td>$&gt; 80$</td>
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<tr>
<td>$m_{H^{\pm\pm}} = 400$ GeV</td>
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</tr>
<tr>
<td>$E_T^{\text{miss}}$ [GeV]</td>
<td>$&gt; 200$</td>
<td>$&gt; 200$</td>
<td>$&gt; 200$</td>
<td></td>
<td>$&gt; 65$</td>
<td>$&gt; 85$</td>
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<tr>
<td>$m_{\ell\ell}$ [GeV]</td>
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<td>[80, 350]</td>
<td>[80, 350]</td>
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<td>$&gt; 230$</td>
<td>$&gt; 250$</td>
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<tr>
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<td>$&lt; 1.8$</td>
<td>$&lt; 1.8$</td>
<td></td>
<td>[0.22, 2.39]</td>
<td>[0.29, 2.69]</td>
</tr>
<tr>
<td>$\Delta \phi(\ell\ell, E_T^{\text{miss}})$ [rad.]</td>
<td>$&lt; 2.4$</td>
<td>$&lt; 2.4$</td>
<td>$&lt; 2.4$</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>$S$ [rad.]</td>
<td>$&lt; 0.6$</td>
<td>$&lt; 0.6$</td>
<td>$&lt; 0.5$</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$m_{\text{jets}}$ [GeV]</td>
<td>[280, 1200]</td>
<td>[220, 1200]</td>
<td>[220, 1200]</td>
<td></td>
<td>[0.30, 2.59]</td>
<td>[0.31, 2.30]</td>
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<tr>
<td></td>
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<td></td>
<td></td>
<td>$&gt; 120$</td>
<td>$&gt; 100$</td>
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</table>
of $b$-jets for most of the production cross-section. For the $WZ$ events, the mass of the same-flavour opposite-sign lepton pair is close to the $Z$ boson mass, while no such resonant distribution is expected for the signal. In the $4\ell$ channel, the dominant background originates from $t\bar{t}V$ and $ZZ$ production. Processes containing top quarks ($t\bar{t}$, $t\bar{t}V$) can lead to events with multiple leptons in the final state. A noticeable feature of those processes is the presence of $b$-jets.

Given these properties of the signal and of the expected background, the following discriminating variables, in addition to $E_{T}^{miss}$, are considered:

- $m_{x\ell}$, the invariant mass of the system composed of all selected leptons in the event, where $x$ can be 2, 3 or 4.
- $\Delta R_{E_{T}^{miss}}$, the distance in $\eta$-$\phi$ between two same-sign leptons. This variable is used for the $2\ell^{ss}$ and $3\ell$ channels. In the $4\ell$ channel, two such variables can be calculated per event, $\Delta R_{E_{T}^{miss}}^{\text{min}}$ and $\Delta R_{E_{T}^{miss}}^{\text{max}}$, denoting the minimum and maximum values, respectively.
- $m_{\text{jets}}$, the invariant mass of the system composed of all jets in the event. When there are more than four jets in the event, only the leading four jets are used. This variable is used only for the $2\ell^{ss}$ channel.
- $p_{T}^{\text{leading jet}}$, the transverse momentum of the highest-$p_{T}$ jet.
- $\Delta \phi(\ell\ell, E_{T}^{miss})$, the difference in azimuth between the dilepton system and $E_{T}^{miss}$. This variable is used in the $2\ell^{ss}$ channel.
- $\Delta R_{\ell-jet}$, the minimal distance in $\eta$-$\phi$ between any lepton and its closest jet. This variable is used in the $3\ell$ channel.
- $S$, a variable used for the $2\ell^{ss}$ channel to describe the event topology in the transverse plane, and defined using the spread of the $\phi$ angles of the leptons, $E_{T}^{miss}$, and jets as follows:

$$S = \frac{\mathcal{R}(\phi_{1}, \phi_{2}, \phi_{E_{T}^{miss}}) \cdot \mathcal{R}(\phi_{1}, \phi_{2}, \phi_{j_{1}}, \phi_{j_{2}}, \ldots)}{\mathcal{R}(\phi_{1}, \phi_{2}, \phi_{E_{T}^{miss}}, \phi_{j_{1}}, \phi_{j_{2}}, \ldots)}$$

where the $\mathcal{R}$ is the root mean square that quantifies the spread, $\mathcal{R}(\phi_{1}, \ldots, \phi_{n}) = \sqrt{\frac{1}{n} \sum_{i=1}^{n} (\phi_{i} - \bar{\phi})^{2}}$. The azimuthal angles $\phi$ are bounded in $(-\pi, \pi]$, and the bound is considered in the calculation. The $S$ variable is expected to be on average smaller for the signal than for the background for low $H^{\pm\pm}$ mass values.

The distributions of the selected variables for the $2\ell^{ss}$, $3\ell$ and $4\ell$ channels are shown at preselection level in Figs. 1, 2 and 3, respectively. The data are compared with the sum of the prompt lepton, fake lepton and charge-misidentified lepton background predictions. The prompt-lepton backgrounds are estimated with simulations while the background from fake leptons and charge-flipped leptons are measured with the methods described in the previous section. Good agreement is observed in both normalisation and shape, demonstrating that the background contributions are well modelled. The expected signal distributions for various $H^{\pm\pm}$ masses are also shown to illustrate the discriminating power of the selected variables.

The strategy used to extract the signal is based on rectangular cut optimisation using the TMVA package [59]. For each $m_{H^{\pm\pm}}$ hypothesis, six signal regions are defined using the following lepton flavour content: in the $2\ell^{ss}$ channel, three signal regions are optimised separately for $ee$, $e\mu$ and $\mu\mu$ channels; in the $3\ell$ channel, the signal regions are optimised

<table>
<thead>
<tr>
<th>Table 4 continued</th>
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</thead>
<tbody>
<tr>
<td>Selection criteria</td>
</tr>
<tr>
<td>$m_{H^{\pm\pm}} = 500$–700 GeV</td>
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<tr>
<td>$E_{T}^{miss}$ [GeV]</td>
</tr>
<tr>
<td>$m_{x\ell}$ [GeV]</td>
</tr>
<tr>
<td>$\Delta R_{E_{T}^{miss}}$ [rad.]</td>
</tr>
<tr>
<td>$\Delta \phi(\ell\ell, E_{T}^{miss})$ [rad.]</td>
</tr>
<tr>
<td>$S$ [rad.]</td>
</tr>
<tr>
<td>$m_{\text{jets}}$ [GeV]</td>
</tr>
<tr>
<td>$p_{T}^{\text{leading jet}}$ [GeV]</td>
</tr>
</tbody>
</table>
Fig. 4 Event yields in the signal regions optimised for the $m_{H^{\pm\pm}} = 200$, 300, 400 and 500 GeV searches. The bottom panel shows the ratio of the data to the total background prediction, where the band illustrates the total uncertainty of the SM background. The error bars attributed to data are estimated assuming a Poisson distribution with the average equal to the respective yields. The signal prediction is represented as a dotted histogram, stacked on the SM background separately for events with no same-flavour opposite-sign lepton pairs (SFOS 0, for which the SM background is small) and for events with one or two such pairs (SFOS 1,2); the $4\ell$ channel is treated globally, with no further lepton flavour distinction. The selection criteria used to define the signal regions are shown in Table 4. The optimisation is performed as a function of the $H^{\pm\pm}$ mass for $m_{H^{\pm\pm}} = 200$, 300, 400 and 500 GeV, and seeks the best expected signal significance. The last optimisation point is applied to $m_{H^{\pm\pm}} \geq 600$ GeV as well, since the signal discrimination power does not vary significantly in this regime.

In order to verify the background estimate reliability for the signal region, three further checks were performed: the optimised cuts were applied individually, the cuts were applied successively, or each cut was inverted while the other cuts were applied. The agreement between data and prediction remains adequate for all those cases.

6 Systematic uncertainties

The theoretical uncertainties associated with the signal prediction originate from the PDFs, the matrix element calculation and the parton shower simulation. The uncertainties related to PDFs are evaluated using the Hessian method provided in LHAPDF6 [60] and are found to be in the range
Table 5 Event yields in the signal regions of corresponding targeted masses of $H^\pm \pm$. The signal yield is for the corresponding mass point and is normalised to the luminosity of 36.1 fb$^{-1}$. The dominant background from prompt-lepton sources is from the $WZ$ process in the 2$\ell^a$s channel. For the 3$\ell$ and 4$\ell$ channels, the dominant background from prompt-lepton sources is from $WZ$ and $t\bar{t}V$ processes. The overall signal acceptance $A$ and the upper limit of extra contribution to each signal region at 95% confidence level $n_{95}$ are also presented. The data and SM prediction yields obtained for $m_{H^\pm \pm} = 500$ GeV are also valid for $m_{H^\pm \pm} = 600$ and 700 GeV.

<table>
<thead>
<tr>
<th>Subchannel</th>
<th>2$\ell^a$s</th>
<th>3$\ell$</th>
<th>4$\ell$</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$e^\pm e^\pm$</td>
<td>$e^\pm \mu^\pm$</td>
<td>$\mu^\pm \mu^\pm$</td>
</tr>
</tbody>
</table>

$m_{H^\pm \pm} = 200$ GeV

| Prompt lepton | 0.5 ± 0.2 | 0.3 ± 0.2 | 1.3 ± 0.6 | 0.3 ± 0.1 | 1.4 ± 0.5 | 0.07 ± 0.03 |
| QMisID | 0.6 ± 0.2 | 0.4 ± 0.1 | – | – | – | – |
| Fake lepton | 1 ± 1 | < 0.4 | 0.4 ± 0.3 | 0.2 ± 0.1 | 0.2 ± 0.1 | 0.03 ± 0.02 |
| Total background | 2 ± 1 | 0.6 ± 0.3 | 1.7 ± 0.7 | 0.5 ± 0.1 | 1.7 ± 0.6 | 0.11 ± 0.05 |
| Signal | 1.1 ± 0.2 | 2.3 ± 0.4 | 2.4 ± 0.4 | 1.8 ± 0.3 | 5.0 ± 0.9 | 1.1 ± 0.2 |
| $A$ [%] | 0.037 | 0.080 | 0.082 | 0.061 | 0.17 | 0.038 |
| $n_{95}$ | 12.3 | 7.1 | 7.5 | 4.1 | 7.7 | 3.8 |
| Data | 3 | 2 | 2 | 1 | 2 | 0 |

$m_{H^\pm \pm} = 300$ GeV

| Prompt lepton | 0.1 ± 0.1 | 0.9 ± 0.4 | 0.02 ± 0.02 | 0.4 ± 0.1 | 4 ± 1 | 0.3 ± 0.1 |
| QMisID | 0.1 ± 0.1 | 0.07 ± 0.04 | – | – | – | – |
| Fake lepton | 0.4 ± 0.5 | < 0.2 | < 0.4 | 0.3 ± 0.2 | 0.8 ± 0.4 | 0.2 ± 0.2 |
| Total background | 0.7 ± 0.5 | 1.0 ± 0.5 | 0.02 ± 0.02 | 0.8 ± 0.2 | 5 ± 2 | 0.5 ± 0.2 |
| Signal | 0.16 ± 0.03 | 0.6 ± 0.1 | 0.29 ± 0.05 | 0.6 ± 0.1 | 1.8 ± 0.3 | 0.43 ± 0.08 |
| $A$ [%] | 0.027 | 0.10 | 0.049 | 0.11 | 0.30 | 0.071 |
| $n_{95}$ | 4.0 | 9.6 | 3.0 | 3.1 | 22.7 | 3.8 |
| Data | 0 | 3 | 0 | 0 | 11 | 0 |

$m_{H^\pm \pm} = 400$ GeV

| Prompt lepton | 0.7 ± 0.3 | 1.0 ± 0.4 | 0.2 ± 0.1 | 0.3 ± 0.1 | 4 ± 1 | 0.3 ± 0.1 |
| QMisID | 0.3 ± 0.1 | 0.2 ± 0.1 | – | – | – | – |
| Fake lepton | 0.4 ± 0.5 | < 0.3 | < 0.4 | 0.3 ± 0.2 | 0.2 ± 0.1 | 0.05 ± 0.04 |
| Total background | 1.4 ± 0.6 | 1.2 ± 0.5 | 0.3 ± 0.1 | 0.6 ± 0.2 | 4 ± 1 | 0.4 ± 0.1 |
| Signal | 0.20 ± 0.04 | 0.38 ± 0.07 | 0.19 ± 0.03 | 0.23 ± 0.04 | 0.6 ± 0.1 | 0.17 ± 0.03 |
| $A$ [%] | 0.11 | 0.21 | 0.11 | 0.13 | 0.36 | 0.092 |
| $n_{95}$ | 10.4 | 18.3 | 6.4 | 3.1 | 10.4 | 4.3 |
| Data | 2 | 6 | 1 | 0 | 4 | 1 |

$m_{H^\pm \pm} = 500$ GeV

| Prompt lepton | 1.0 ± 0.4 | 0.7 ± 0.3 | 0.3 ± 0.2 | 0.4 ± 0.1 | 3 ± 1 | 0.2 ± 0.1 |
| QMisID | 0.3 ± 0.1 | 0.2 ± 0.1 | – | – | – | – |
| Fake lepton | 0.2 ± 0.5 | 0.3 ± 0.5 | < 0.4 | 0.11 ± 0.06 | 0.10 ± 0.05 | 0.2 ± 0.2 |
| Total background | 1.6 ± 0.6 | 1.2 ± 0.6 | 0.3 ± 0.2 | 0.5 ± 0.1 | 3.0 ± 0.8 | 0.4 ± 0.2 |
| Signal | 0.10 ± 0.02 | 0.16 ± 0.03 | 0.07 ± 0.01 | 0.09 ± 0.02 | 0.24 ± 0.04 | 0.06 ± 0.01 |
| $A$ [%] | 0.16 | 0.25 | 0.11 | 0.14 | 0.37 | 0.098 |
| $A$ [%] $m_{H^\pm \pm} = 600$ GeV | 0.22 | 0.36 | 0.16 | 0.17 | 0.44 | 0.11 |
| $A$ [%] $m_{H^\pm \pm} = 700$ GeV | 0.26 | 0.38 | 0.17 | 0.19 | 0.48 | 0.12 |
| $n_{95}$ | 8.6 | 12.7 | 3.8 | 3.0 | 7.9 | 4.9 |
| Data | 4 | 3 | 0 | 0 | 2 | 3 |
from 2.5% to 4.5%. The uncertainty of the parton shower simulation is assessed by comparing PYTHIA (with A14 tune) and Herwig++ (with UEEE5 tune [61]), and is found to be 2.4%, 1.7%, and 3.8% for the $2\ell^\pm$, $3\ell$, and $4\ell$ channels, respectively. The higher-order corrections are assumed to induce an additional 15% uncertainty in the cross-section calculations [33]. Combining those uncertainties in quadrature, an overall uncertainty of 17% is obtained for the signal normalisation.

The theoretical uncertainties associated with the largest SM backgrounds, $VV$ [48] (including same-sign $WWqq$ and $WZ$ processes) and $t\bar{t}V$ [62], are estimated using dedicated MC samples, where the factorisation and renormalisation scales are varied independently by factors of 2 and 0.5 and the parton shower parameters are varied within the given model uncertainties. The theoretical uncertainties obtained are 24% for $VV$ and 17% for $t\bar{t}V$. The uncertainties related to PDFs are found to be negligible. The uncertainty associated with the $V\gamma$ contributions is taken to be 25%, as indicated by dedicated studies using converted photons. The uncertainties related to the $VVV$ and $t\bar{t}Z$ process predictions are taken from the respective inclusive cross-section measurements [63,64]. For other rare backgrounds which have no dedicated measurements yet ($t\bar{t}f$, $t\bar{t}W^+W^-$), uncertainties of 50% are assumed and are found to have a negligible impact on the sensitivity.

The experimental uncertainties arise from the accuracy of the detector simulation and from the uncertainties associated with the data-driven methods that are used to estimate the instrumental backgrounds. These uncertainties originate from the following sources:

- The uncertainties related to event reconstruction include the lepton [52,65] and the jet [66] energy scales and resolutions and the uncertainties in the reconstruction of $E_T^{\text{miss}}$ [58]. The impact of this type of uncertainty on the signal and background yields is in the range 3–8% and 10–30%, respectively.
- The uncertainties related to the efficiencies of electron [51] and muon [52] reconstruction and identification, including the uncertainties in the trigger efficiency are estimated in dedicated studies. The impact of this type of uncertainty on the signal and background yields is found to be in the range 4–6% and 2–5%, respectively. The uncertainties related to the $b$-jet identification algorithms, used in the analysis to veto events containing $b$-jets, are found to be negligible.
- The uncertainties originating from data-taking conditions include the luminosity measurement and the pile-up simulation procedure. The uncertainty of the integrated luminosity is 2.1%, determined using a methodology similar to that detailed in Ref. [67]. The uncertainty from the pile-up simulation is about 5%.

The uncertainties related to the background contributions from electron charge misidentification are 22–28% for the $2\ell^\pm$ ee and $e\mu$ channels. The uncertainties of the fake-lepton contributions range from 50 to 250%, and mainly originate from the fake factors ($2\ell^\pm$ and $3\ell$ channels) and the scale factors for MC simulation ($4\ell$ channel) described in Sect. 4.3.2 and the statistics of the control samples. The uncertainties exceed 100% in some cases due to the subtraction of the prompt-lepton contributions in the fake-lepton control regions.

The theoretical and experimental systematic uncertainties described above are assumed to be correlated amongst the various signal regions in the interpretation of the final results. Overall, the sensitivity of the search is dominated by the statistical uncertainty of the event yield in the signal regions.

### 7 Results

The expected and observed event yields in the signal regions are shown in Fig. 4 and Table 5. For a $H^{\pm\pm}$ mass of 200 GeV, substantial signal yield is expected in all channels, and the analysis sensitivity is found to be comparable across the $2\ell^\pm$, $3\ell$ and $4\ell$ channels. No significant excess has been observed. Table 5 also includes the overall signal acceptance $A$, defined as the number of selected events divided by the total number of $pp \rightarrow H^{\pm\pm}H^{\mp\mp} \rightarrow W^\pm W^\mp W^\pm W^\mp$ events and representing the signal reduction due to phase space acceptance, branching ratio and detector efficiency.
The statistical analysis of the results is based on a likelihood ratio test [68] using the CLs method [69]. The parameter of interest is the signal strength, defined as the cross-section of the hypothetical contribution from physics beyond the SM in units of the cross-section of the benchmark model. The likelihood function is constructed from Poisson probability distributions of counting experiments for each of the six channels in each signal region. The systematic uncertainties are treated as nuisance parameters implemented in the likelihood functions with Gaussian constraints.

The expected and observed upper limits of the $H^{\pm\pm} \rightarrow W^{\pm}W^{\pm}$ cross-section at 95% confidence level (CL), obtained from the combination of $2\ell^{ss}$, $3\ell$ and $4\ell$ channels for the six $H^{\pm\pm}$ mass hypotheses are shown in Fig. 5. Assuming a linear interpolation of the sensitivity between neighbouring mass hypotheses, and the cross-section of the benchmark model, the observed (expected) lower limit on the mass of the $H^{\pm\pm}$ boson is 220 GeV (250 GeV) at 95% CL.

8 Conclusion

A search for the pair production of doubly charged Higgs scalar bosons with subsequent decays into $W$ bosons is performed in proton–proton collisions at a centre-of-mass energy of 13 TeV. The data sample was collected by the ATLAS experiment at the LHC and corresponds to an integrated luminosity of 36.1 fb$^{-1}$. The search for the $H^{\pm\pm} \rightarrow W^{\pm}W^{\pm}$ decay mode, not considered in previous analyses at colliders, is motivated by a model with an extended scalar sector that includes a triplet in addition to the Standard Model scalar doublet. The analysis proceeds through the selection of multi-lepton events in three channels (a pair of same-sign leptons, three leptons and four leptons) with missing transverse momentum and jets. The signal region is optimised as a function of the $H^{\pm\pm}$ mass. The data are found to be in good agreement with the Standard Model predictions for all channels investigated. Combining those channels, the model considered is excluded at 95% confidence level for $H^{\pm\pm}$ boson masses between 200 and 220 GeV.

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References


ATLAS Collaboration

1 Department of Physics, University of Adelaide, Adelaide, Australia
2 Physics Department, SUNY Albany, Albany, NY, USA
3 Department of Physics, University of Alberta, Edmonton, AB, Canada
4 (a)Department of Physics, Ankara University, Ankara, Turkey; (b)Istanbul Aydin University, Istanbul, Turkey; (c)Division of Physics, TOBB University of Economics and Technology, Ankara, Turkey
5 LAPP, Université Grenoble Alpes, Université Savoie Mont Blanc, CNRS/IN2P3, Annecy, France
6 High Energy Physics Division, Argonne National Laboratory, Argonne, IL, USA
7 Department of Physics, University of Arizona, Tucson, AZ, USA
8 Department of Physics, University of Texas at Arlington, Arlington, TX, USA
9 Physics Department, National and Kapodistrian University of Athens, Athens, Greece
10 Physics Department, National Technical University of Athens, Zografou, Greece
11 Department of Physics, University of Texas at Austin, Austin, TX, USA
12 (a)Faculty of Engineering and Natural Sciences, Bahcesehir University, Istanbul, Turkey; (b)Faculty of Engineering and Natural Sciences, Istanbul Bilgi University, Istanbul, Turkey; (c)Department of Physics, Bogazici University, Istanbul, Turkey; (d)Department of Physics Engineering, Gaziantep University, Gaziantep, Turkey
13 Institute of Physics, Azerbaijan Academy of Sciences, Baku, Azerbaijan
14 Institut de Física d’Altes Energies (IFAE), Barcelona Institute of Science and Technology, Barcelona, Spain
15 (a)Institute of High Energy Physics, Chinese Academy of Sciences, Beijing, China; (b)Physics Department, Tsinghua University, Beijing, China; (c)Department of Physics, Nanjing University, Nanjing, China; (d)University of Chinese Academy of Science (UCAS), Beijing, China
16 Institute of Physics, University of Belgrade, Belgrade, Serbia
17 Department for Physics and Technology, University of Bergen, Bergen, Norway
18 Physics Division, Lawrence Berkeley National Laboratory and University of California, Berkeley, CA, USA
19 Institut für Physik, Humboldt Universität zu Berlin, Berlin, Germany
20 Albert Einstein Center for Fundamental Physics and Laboratory for High Energy Physics, University of Bern, Bern, Switzerland
21 School of Physics and Astronomy, University of Birmingham, Birmingham, UK
22 Centro de Investigaciones, Universidad Antonio Nariño, Bogotá, Colombia
23 (a)Dipartimento di Fisica e Astronomia, Università di Bologna, Bologna, Italy; (b)INFN Sezione di Bologna, Bologna, Italy
24 Physikalisches Institut, Universität Bonn, Bonn, Germany
25 Department of Physics, Boston University, Boston, MA, USA
26 Department of Physics, Brandeis University, Waltham, MA, USA
27 (a)Transilvania University of Brasov, Brasov, Romania; (b)Horia Hulubei National Institute of Physics and Nuclear Engineering, Bucharest, Romania; (c)Department of Physics, Alexandru Ioan Cuza University of Iasi, Iasi, Romania; (d)Physics Department, National Institute for Research and Development of Isotopic and Molecular Technologies, Cluj-Napoca, Romania; (e)University Politehnica Bucharest, Bucharest, Romania; (f)West University in Timisoara, Timisoara, Romania
28 (a)Faculty of Mathematics, Physics and Informatics, Comenius University, Bratislava, Slovakia; (b)Department of Subnuclear Physics, Institute of Experimental Physics of the Slovak Academy of Sciences, Kosice, Slovak Republic
29 Physics Department, Brookhaven National Laboratory, Upton, NY, USA
30 Departamento de Física, Universidad de Buenos Aires, Buenos Aires, Argentina
31 Cavendish Laboratory, University of Cambridge, Cambridge, UK
32 (a)Department of Physics, University of Cape Town, Cape Town, South Africa; (b)Department of Mechanical Engineering Science, University of Johannesburg, Johannesburg, South Africa; (c)School of Physics, University of the Witwatersrand, Johannesburg, South Africa
33 Department of Physics, Carleton University, Ottawa, ON, Canada
34 (a)Faculté des Sciences Ain Chock, Réseau Universitaire de Physique des Hautes Energies-Université Hassan II, Casablanca, Morocco; (b)Centre National de l’Energie des Sciences Techniques Nucleaires (CNEN), Rabat, Morocco; (c)Faculté des Sciences Semlalia, Université Cadi Ayyad, LPHEA-Marrakech, Marrakech, Morocco; (d)Faculté des Sciences, Université Mohamed Premier et LPPTM, Oujda, Morocco; (e)Faculté des sciences, Université Mohammed V, Rabat, Morocco
35 CERN, Geneva, Switzerland
36 Enrico Fermi Institute, University of Chicago, Chicago, IL, USA
37 LPC, Université Clermont Auvergne, CNRS/IN2P3, Clermont-Ferrand, France
38 Nevis Laboratory, Columbia University, Irvington, NY, USA
39 Niels Bohr Institute, University of Copenhagen, Copenhagen, Denmark
40 (a) Dipartimento di Fisica, Università della Calabria, Rende, Italy; (b) INFN Gruppo Collegato di Cosenza, Laboratori Nazionali di Frascati, Frascati, Italy
41 Physics Department, Southern Methodist University, Dallas, TX, USA
42 Physics Department, University of Texas at Dallas, Richardson, TX, USA
43 (a) Department of Physics, Stockholm University, Stockholm, Sweden; (b) Oskar Klein Centre, Stockholm, Sweden
44 Deutsches Elektronen-Synchrotron DESY, Hamburg and Zeuthen, Germany
45 Lehrstuhl für Experimentelle Physik IV, Technische Universität Dortmund, Dortmund, Germany
46 Institut für Kern- und Teilchenphysik, Technische Universität Dresden, Dresden, Germany
47 Department of Physics, Duke University, Durham, NC, USA
48 SUPA-School of Physics and Astronomy, University of Edinburgh, Edinburgh, UK
49 INFN e Laboratori Nazionali di Frascati, Frascati, Italy
50 Physikalisches Institut, Albert-Ludwigs-Universität Freiburg, Freiburg, Germany
51 II. Physikalisches Institut, Georg-August-Universität Göttingen, Göttingen, Germany
52 Département de Physique Nucléaire et Corpusculaire, Université de Genève, Geneva, Switzerland
53 (a) Dipartimento di Fisica, Università di Genova, Genoa, Italy; (b) INFN Sezione di Genova, Genoa, Italy
54 II. Physikalisches Institut, Justus-Liebig-Universität Giessen, Giessen, Germany
55 SUPA-School of Physics and Astronomy, University of Glasgow, Glasgow, UK
56 LPSC, Université Grenoble Alpes, CNRS/IN2P3, Grenoble INP, Grenoble, France
57 Laboratory for Particle Physics and Cosmology, Harvard University, Cambridge, MA, USA
58 (a) Department of Modern Physics and State Key Laboratory of Particle Detection and Electronics, University of Science and Technology of China, Hefei, China; (b) Institute of Frontier and Interdisciplinary Science and Key Laboratory of Particle Physics and Particle Irradiation (MOE), Shandong University, Qingdao, China; (c) School of Physics and Astronomy, Shanghai Jiao Tong University, KLPPAC-MoE, SKLPPC, Shanghai, China; (d) Tsung-Dao Lee Institute, Shanghai, China
59 (a) Kirchhoff-Institut für Physik, Ruprecht-Karls-Universität Heidelberg, Heidelberg, Germany; (b) Physikalisches Institut, Ruprecht-Karls-Universität Heidelberg, Heidelberg, Germany
60 Faculty of Applied Information Science, Hiroshima Institute of Technology, Hiroshima, Japan
61 (a) Department of Physics, Chinese University of Hong Kong, Shatin, NT, Hong Kong; (b) Department of Physics, University of Hong Kong, Hong Kong, China; (c) Department of Physics and Institute for Advanced Study, Hong Kong University of Science and Technology, Clear Water Bay, Kowloon, Hong Kong, China
62 Department of Physics, National Tsing Hua University, Hsinchu, Taiwan
63 Department of Physics, Indiana University, Bloomington, IN, USA
64 (a) INFN Gruppo Collegato di Udine, Sezione di Trieste, Udine, Italy; (b) ICTP, Trieste, Italy; (c) Dipartimento di Chimica, Fisica e Ambienti, Università di Udine, Udine, Italy
65 (a) INFN Sezione di Lecce, Lecce, Italy; (b) Dipartimento di Matematica e Fisica, Università del Salento, Lecce, Italy
66 (a) INFN Sezione di Milano, Milan, Italy; (b) Dipartimento di Fisica, Università di Milano, Milan, Italy
67 (a) INFN Sezione di Napoli, Naples, Italy; (b) Dipartimento di Fisica, Università di Napoli, Naples, Italy
68 (a) INFN Sezione di Pavia, Pavia, Italy; (b) Dipartimento di Fisica, Università di Pavia, Pavia, Italy
69 (a) INFN Sezione di Pisa, Pisa, Italy; (b) Dipartimento di Fisica E. Fermi, Università di Pisa, Pisa, Italy
70 (a) INFN Sezione di Roma, Rome, Italy; (b) Dipartimento di Fisica, Sapienza Università di Roma, Rome, Italy
71 (a) INFN Sezione di Roma Tor Vergata, Rome, Italy; (b) Dipartimento di Fisica, Università di Roma Tor Vergata, Rome, Italy
72 (a) INFN Sezione di Roma Tre, Rome, Italy; (b) Dipartimento di Matematica e Fisica, Università Roma Tre, Rome, Italy
73 (a) INFN-TIFPA, Povo, Italy; (b) Università degli Studi di Trento, Trento, Italy
74 Institut für Astro- und Teilchenphysik, Leopold-Franzens-Universität, Innsbruck, Austria
75 University of Iowa, Iowa City, IA, USA
76 Department of Physics and Astronomy, Iowa State University, Ames, IA, USA
77 Joint Institute for Nuclear Research, Dubna, Russia
78 (a) Departamento de Engenharia Elétrica, Universidade Federal de Juiz de Fora (UFJF), Juiz de Fora, Brazil
Brazil; (b) Universidade Federal do Rio De Janeiro COPPE/EE/IF, Rio de Janeiro, Brazil; (c) Universidade Federal de São João del Rei (UFSJ), São João del Rei, Brazil; (d) Instituto de Física, Universidade de São Paulo, São Paulo, Brazil

KEK, High Energy Accelerator Research Organization, Tsukuba, Japan

Graduate School of Science, Kobe University, Kobe, Japan

(a) Faculty of Physics and Applied Computer Science, AGH University of Science and Technology, Kraków, Poland; (b) Marian Smoluchowski Institute of Physics, Jagiellonian University, Kraków, Poland

Institute of Nuclear Physics Polish Academy of Sciences, Kraków, Poland

Faculty of Science, Kyoto University, Kyoto, Japan

Kyoto University of Education, Kyoto, Japan

Research Center for Advanced Particle Physics and Department of Physics, Kyushu University, Fukuoka, Japan

Instituto de Física La Plata, Universidad Nacional de La Plata and CONICET, La Plata, Argentina

Physics Department, Lancaster University, Lancaster, UK

Oliver Lodge Laboratory, University of Liverpool, Liverpool, UK

Department of Experimental Particle Physics, Jožef Stefan Institute and Department of Physics, University of Ljubljana, Ljubljana, Slovenia

School of Physics and Astronomy, Queen Mary University of London, London, UK

Department of Physics, Royal Holloway University of London, Egham, UK

Department of Physics and Astronomy, University College London, London, UK

Louisiana Tech University, Ruston, LA, USA

Fysiska institutionen, Lunds universitet, Lund, Sweden

Centre de Calcul de l’Institut National de Physique Nucléaire et de Physique des Particules (IN2P3), Villeurbanne, France

Departamento de Física Teorica C-15 and CIAFF, Universidad Autónoma de Madrid, Madrid, Spain

Institut für Physik, Universität Mainz, Mainz, Germany

School of Physics and Astronomy, University of Manchester, Manchester, UK

CPPM, Aix-Marseille Université, CNRS/IN2P3, Marseille, France

Department of Physics, University of Massachusetts, Amherst, MA, USA

Department of Physics, McGill University, Montreal, QC, Canada

School of Physics, University of Melbourne, Parkville, VIC, Australia

Department of Physics, University of Michigan, Ann Arbor, MI, USA

Department of Physics and Astronomy, Michigan State University, East Lansing, MI, USA

B.I. Stepanov Institute of Physics, National Academy of Sciences of Belarus, Minsk, Belarus

Research Institute for Nuclear Problems of Byelorussian State University, Minsk, Belarus

Group of Particle Physics, University of Montreal, Montreal, QC, Canada

P.N. Lebedev Physical Institute of the Russian Academy of Sciences, Moscow, Russia

Institute for Theoretical and Experimental Physics (ITEP), Moscow, Russia

National Research Nuclear University MEPhI, Moscow, Russia

D.V. Skobeltsyn Institute of Nuclear Physics, M.V. Lomonosov Moscow State University, Moscow, Russia

Fakultät für Physik, Ludwig-Maximilians-Universität München, Munich, Germany

Max-Planck-Institut für Physik (Werner-Heisenberg-Institut), Munich, Germany

Nagasaki Institute of Applied Science, Nagasaki, Japan

Graduate School of Science and Kobayashi-Maskawa Institute, Nagoya University, Nagoya, Japan

Department of Physics and Astronomy, University of New Mexico, Albuquerque, NM, USA

Institute for Mathematics, Astrophysics and Particle Physics, Radboud University Nijmegen/Nikhef, Nijmegen, The Netherlands

Nikhef National Institute for Subatomic Physics, University of Amsterdam, Amsterdam, The Netherlands

Department of Physics, Northern Illinois University, De Kalb, IL, USA

(a) Budker Institute of Nuclear Physics, SB RAS, Novosibirsk, Russia; (b) Novosibirsk State University, Novosibirsk, Russia

Department of Physics, New York University, New York, NY, USA

Ohio State University, Columbus, OH, USA

Faculty of Science, Okayama University, Okayama, Japan

Homer L. Dodge Department of Physics and Astronomy, University of Oklahoma, Norman, OK, USA
Department of Physics, Oklahoma State University, Stillwater, OK, USA
Palacký University, RCP TM, Joint Laboratory of Optics, Olomouc, Czech Republic
Center for High Energy Physics, University of Oregon, Eugene, OR, USA
LAL, Université Paris-Sud, CNRS/IN2P3, Université Paris-Saclay, Orsay, France
Graduate School of Science, Osaka University, Osaka, Japan
Department of Physics, University of Oslo, Oslo, Norway
Department of Physics, Oxford University, Oxford, UK
LPNHE, Sorbonne Université, Paris Diderot Sorbonne Paris Cité, CNRS/IN2P3, Paris, France
Konstantinov Nuclear Physics Institute of National Research Centre “Kurchatov Institute”, PNPI, St. Petersburg, Russia
Department of Physics and Astronomy, University of Pittsburgh, Pittsburgh, PA, USA
(a) Departamento de Instrumentação e Física Experimental de Partículas-LIP, Lisbon, Portugal; (b) Departamento de Física, Faculdade de Ciências, Universidade de Lisboa, Lisbon, Portugal; (c) Departamento de Física, Universidade de Coimbra, Coimbra, Portugal; (d) Centro de Física Nuclear da Universidade de Lisboa, Lisbon, Portugal; (e) Departamento de Física, Universidade do Minho, Braga, Portugal; (f) Departamento de Física Teórica y del Cosmos, Universidad de Granada, Granada, Spain; (g) Dep Física and CEFITEC of Faculdade de Ciências e Tecnologia, Universidade Nova de Lisboa, Caparica, Portugal
Institute of Physics, Academy of Sciences of the Czech Republic, Prague, Czech Republic
Czech Technical University in Prague, Prague, Czech Republic
Faculty of Mathematics and Physics, Charles University, Prague, Czech Republic
State Research Center Institute for High Energy Physics, NRC KI, Protvino, Russia
Particle Physics Department, Rutherford Appleton Laboratory, Didcot, UK
IRFU, CEA, Université Paris-Saclay, Gif-sur-Yvette, France
Santa Cruz Institute for Particle Physics, University of California Santa Cruz, Santa Cruz, CA, USA
(a) Departamento de Física, Pontificia Universidad Católica de Chile, Santiago, Chile; (b) Departamento de Física, Universidad Técnica Federico Santa María, Valparaíso, Chile
Department of Physics, University of Washington, Seattle, WA, USA
Department of Physics and Astronomy, University of Sheffield, Sheffield, UK
Department of Physics, Shinshu University, Nagano, Japan
Department Physik, Universität Siegen, Siegen, Germany
Department of Physics, Simon Fraser University, Burnaby, BC, Canada
SLAC National Accelerator Laboratory, Stanford, CA, USA
Physics Department, Royal Institute of Technology, Stockholm, Sweden
Departments of Physics and Astronomy, Stony Brook University, Stony Brook, NY, USA
Department of Physics and Astronomy, University of Sussex, Brighton, UK
School of Physics, University of Sydney, Sydney, Australia
Institute of Physics, Academia Sinica, Taipei, Taiwan
(a) E. Andronikashvili Institute of Physics, Iv. Javakhishvili Tbilisi State University, Tbilisi, Georgia; (b) High Energy Physics Institute, Tbilisi State University, Tbilisi, Georgia
Department of Physics, Technion, Israel Institute of Technology, Haifa, Israel
Raymond and Beverly Sackler School of Physics and Astronomy, Tel Aviv University, Tel Aviv, Israel
Department of Physics, Aristotle University of Thessaloniki, Thessaloniki, Greece
International Center for Elementary Particle Physics and Department of Physics, University of Tokyo, Tokyo, Japan
Graduate School of Science and Technology, Tokyo Metropolitan University, Tokyo, Japan
Department of Physics, Tokyo Institute of Technology, Tokyo, Japan
Tomsk State University, Tomsk, Russia
Department of Physics, University of Toronto, Toronto, ON, Canada
(a) TRIUMF, Vancouver, BC, Canada; (b) Department of Physics and Astronomy, York University, Toronto, ON, Canada
Division of Physics and Tomonaga Center for the History of the Universe, Faculty of Pure and Applied Sciences, University of Tsukuba, Tsukuba, Japan
Department of Physics and Astronomy, Tufts University, Medford, MA, USA
Department of Physics and Astronomy, University of California Irvine, Irvine, CA, USA
Department of Physics and Astronomy, University of Uppsala, Uppsala, Sweden
Department of Physics, University of Illinois, Urbana, IL, USA
Instituto de Física Corpuscular (IFIC), Centro Mixto Universidad de Valencia - CSIC, Valencia, Spain
Department of Physics, University of British Columbia, Vancouver, BC, Canada
Department of Physics and Astronomy, University of Victoria, Victoria, BC, Canada
Fakultät für Physik und Astronomie, Julius-Maximilians-Universität Würzburg, Würzburg, Germany
Department of Physics, University of Warwick, Coventry, UK
Waseda University, Tokyo, Japan
Department of Particle Physics, Weizmann Institute of Science, Rehovot, Israel
Department of Physics, University of Wisconsin, Madison, WI, USA
Fakultät für Mathematik und Naturwissenschaften, Fachgruppe Physik, Bergische Universität Wuppertal, Wuppertal, Germany
Department of Physics, Yale University, New Haven, CT, USA
Yerevan Physics Institute, Yerevan, Armenia

a Also at Borough of Manhattan Community College, City University of New York, NY, USA
b Also at Centre for High Performance Computing, CSIR Campus, Rosebank, Cape Town, South Africa
c Also at CERN, Geneva, Switzerland
d Also at CPPM, Aix-Marseille Université, CNRS/IN2P3, Marseille, France
e Also at Département de Physique Nucléaire et Corpusculaire, Université de Genève, Genève, Switzerland
f Also at Departament de Física de la Universitat Autònoma de Barcelona, Barcelona, Spain
g Also at Departamento de Física Teorica y del Cosmos, Universidad de Granada, Granada (Spain), Spain
h Also at Department of Applied Physics and Astronomy, University of Sharjah, Sharjah, United Arab Emirates
i Also at Department of Financial and Management Engineering, University of the Aegean, Chios, Greece
j Also at Department of Physics and Astronomy, University of Louisville, Louisville, KY, USA
k Also at Department of Physics and Astronomy, University of Sheffield, Sheffield, UK
l Also at Department of Physics, California State University, Fresno, CA, USA
m Also at Department of Physics, California State University, Sacramento, CA, USA
n Also at Department of Physics, King’s College London, London, UK
o Also at Department of Physics, St. Petersburg State Polytechnical University, St. Petersburg, Russia
p Also at Department of Physics, Stanford University, USA
q Also at Department of Physics, University of Fribourg, Fribourg, Switzerland
r Also at Department of Physics, University of Michigan, Ann Arbor, MI, USA
s Also at Dipartimento di Fisica E. Fermi, Università di Pisa, Pisa, Italy
t Also at Giresun University, Faculty of Engineering, Giresun, Turkey
u Also at Graduate School of Science, Osaka University, Osaka, Japan
v Also at Hellenic Open University, Patras, Greece
w Also at Horia Hulubei National Institute of Physics and Nuclear Engineering, Bucharest, Romania
x Also at II Physikalisches Institut, Georg-August-Universität Göttingen, Göttingen, Germany
y Also at Institucio Catalana de Recerca i Estudis Avancats, ICREA, Barcelona, Spain
z Also at Institut für Experimentalphysik, Universität Hamburg, Hamburg, Germany
aa Also at Institute for Mathematics, Astrophysics and Particle Physics, Radboud University Nijmegen/Nikhef, Nijmegen, The Netherlands
ab Also at Institute for Particle and Nuclear Physics, Wigner Research Centre for Physics, Budapest, Hungary
ac Also at Institute of Particle Physics (IPP), Canada
ad Also at Institute of Physics, Academia Sinica, Taipei, Taiwan
ae Also at Institute of Physics, Azerbaijan Academy of Sciences, Baku, Azerbaijan
af Also at Institute of Theoretical Physics, Ilia State University, Tbilisi, Georgia
ag Also at Istanbul University, Department of Physics, Istanbul, Turkey
ah Also at LAL, Université Paris-Sud, CNRS/IN2P3, Université Paris-Saclay, Orsay, France
ai Also at Louisiana Tech University, Ruston, LA, USA
aj Also at Manhattan College, New York, NY, USA
ak Also at Moscow Institute of Physics and Technology State University, Dolgoprudny, Russia
al Also at National Research Nuclear University MEPhI, Moscow, Russia
am Also at Near East University, Nicosia, North Cyprus, Mersin, Turkey
an Also at Physikalisches Institut, Albert-Ludwigs-Universität Freiburg, Freiburg, Germany
ao Also at School of Physics, Sun Yat-sen University, Guangzhou, China
ap Also at The City College of New York, New York, NY, USA
aq Also at The Collaborative Innovation Center of Quantum Matter (CICQM), Beijing, China
ar Also at Tomsk State University, Tomsk, and Moscow Institute of Physics and Technology State University, Dolgoprudny, Russia
as Also at TRIUMF, Vancouver, BC, Canada
at Also at Universita di Napoli Parthenope, Naples, Italy
* Deceased