Implication of the intestinal microbiome as a potential surrogate marker of immune responsiveness to experimental therapies in autoimmune diabetes

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Abstract

Type 1 diabetes (T1D) is an autoimmune proinflammatory disease with no effective intervention. A major obstacle in developing new immunotherapies for T1D is the lack of means for monitoring immune responsiveness to experimental therapies. The LEW1.WR1 rat develops autoimmunity following infection with the parvovirus Kilham rat virus (KRV) via mechanisms linked with activation of proinflammatory pathways and alterations in the gut bacterial composition. We used this animal to test the hypothesis that intervention with agents that block innate immunity and diabetes is associated with a shift in the gut microbiota. We observed that infection with KRV results in the induction of proinflammatory gene activation in both the spleen and pancreatic lymph nodes. Furthermore, administering animals the histone deacetylase inhibitor ITF-2357 and IL-1 receptor antagonist (Anakinra) induced differential STAT-1 and the p40 unit of IL-12/IL-23 gene expression. Sequencing of bacterial 16S rRNA genes demonstrated that both ITF-2357 and Anakinra alter microbial diversity. ITF-2357 and Anakinra modulated the abundance of 23 and 8 bacterial taxa in KRV-infected animals, respectively, of which 5 overlapped between the two agents. Lastly, principal component analysis implied that ITF-2357 and Anakinra induce distinct gut microbiomes compared with those from untreated animals or rats provided KRV only. Together, the data suggest that ITF-2357 and Anakinra differentially influence the innate immune system and the intestinal microbiota and highlight the potential use of the gut microbiome as a surrogate means of assessing anti-inflammatory immune effects in type 1 diabetes.
Introduction

Type 1 diabetes (T1D) is a proinflammatory disorder that leads to the specific destruction of insulin producing beta cells. It is thought that a combination of both genetic and environmental factors play a key role in disease mechanisms [1]. The majority of the T1D patients develop beta-cell-specific autoantibodies before disease onset [1]. Moreover, in some patients, the appearance of autoantibodies precedes hyperglycemia by many years [1]. The period of time between seroconversion and diabetes provides an opportunity for disease prevention.

The intestinal microbiota plays an essential role in gut development, metabolism, and immunity (reviewed in refs. [2]). Emerging data from humans and animals have suggested that alterations in the gut microbiota are linked with a number of metabolic and immune disorders, including T1D [3–5]. Furthermore, humans with genetic susceptibility to islet autoimmunity have altered intestinal microbiomes [3, 5, 6], but the role of these alterations in disease pathogenesis remains to be determined [7].

One of the most critical problems that clinical trials in the field of T1D currently face is the lack of non-invasive methods for monitoring effects of experimental immunomodulatory agents [8]. Clinical trials have used a number of metabolic outcomes such as the C peptide response following a mixed-meal tolerance test, HbA1c levels, and insulin usage, to assess effects induced by experimental drugs on the disease status. However, in a significant portion of previous trials 1) clinical effects in the treatment versus the placebo groups were not detected [9, 10], 2) an effect was observed only in a subset of treated individuals [11], or 3) the effect was not durable despite continuous drug administration [12]. Therefore, there is a pressing need for new strategies, to better monitor potential responses to immunotherapies.

The LEW1.WR1 rat develops islet autoimmunity following infection with the parvovirus Kilham Rat Virus (KRV) (reviewed in ref. [13]). The diseases closely resembles the human disorder in terms of histopathology, pathogenesis, lack of sex bias, and MHC class II association [14]. Diabetes can be detected in the LEW1.WR1 rat beginning at 14 days following virus infection [15]. We recently demonstrated that the innate immune system plays a key role in the mechanism triggering beta cell autoimmunity in the BioBreeding Diabetes Resistant and LEW1.WR1 rats [4, 15–17]. Indeed, activation of the innate immune system with TLR agonists, such as the viral mimic polyinosinic: polycytidylic acid (poly I:C) or CpG DNA, followed by infection with KRV substantially exacerbates diabetes [18, 19]. Moreover, infection with KRV leads to a robust proinflammatory response linked with the up-regulation of a vast array of proinflammatory cytokines and chemokines in the spleen, pancreatic lymph nodes and Peyer’s patches via mechanisms that involve TLR9 pathways [4, 17, 18]. Lastly, modulation of virus-induced innate immunity with steroids [18], IL-1 receptor antagonist [16], histone deacetylase inhibitors [15], or antibiotics [4] ameliorates disease development.

Herein, we used the LEW1.WR1 rodent model to test the possibility that the intestinal microbiome may be used as a tool of monitoring effects induced by experimental immunotherapies. For this purpose, we analyzed the effect of histone deacetylase inhibitor ITF-2357 (reviewed in ref. [20]) and IL-1 receptor antagonist (Anakinra, reviewed in ref. [21]) on the innate immune system and intestinal microbiome. We have previously shown that treatment with Anakinra and ITF-2357 interferes with islet autoimmunity and prevents beta cell destruction [15, 16]. Our data indicate that ITF-2357 and Anakinra differentially modulate virus-induced innate immunity and the gut bacterial composition early in the disease course. The data underscore novel opportunities for using the gut microbiome as a potential tool to detect drug-induced immune effects in individuals undergoing experimental immunotherapies.
Materials and methods
Animals, viruses, and innate immunity blockade

LEW1.WR1 rats were bred and housed in our specific pathogen-free facility. This study was carried out in strict accordance with the recommendations in the Guide for Care and Use of Laboratory Animals of the National Institutes of Health. The protocol was approved by the Committee on the Ethics of Animal Experiments of the University of Colorado Denver (permit number: B-79715(10)1E). To test the hypothesis that therapy with Anakinra and ITF-2357 modulate the gut microbiota, rats at the age of 21–25 days were divided into 6 control and experimental groups. Group 1 was left untreated (control uninfected). Group 2 was injected i.p. with \(1 \times 10^7\) PFU of KRV only, whereas Group 3 and Group 4 were i.p. injected with Anakinra on 5 consecutive days (50 \(\mu\)g/g body weight, obtained from Amgen, Thousand Oaks, CA) and were uninfected or infected with KRV, respectively (Fig 1). Group 5 and Group 6 were gavaged with ITF-2357 on 5 consecutive days (30 \(\mu\)g/g body weight, obtained from Italfarmaco, Milano, Italy) and were uninfected or KRV infected, respectively. Fecal samples were collected on day 5 post-infection and frozen immediately at -80 degrees until use.

High-throughput DNA sequencing for microbiome analysis

Bacterial profiles were determined by broad-range analysis of 16S rRNA genes following our previously described methods [4]. In brief, DNA was extracted from fecal specimens using the QIAmp DNA stool mini kit (Qiagen, Valencia, CA). Broad-range PCR amplicons were

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**Fig 1. Treatment of LEW1.WR1 rats with Anakinra and ITF-2357.** LEW1.WR1 rats at the age of 21–25 days were left untreated or infected with KRV. They were left with no further treatment of administered Anakinra or ITF-2357 on 5 consecutive days as described in Materials and Methods. The gut microbiome and KRV-induced innate immunity were analyzed on day 5 post-infection.

https://doi.org/10.1371/journal.pone.0173968.g001
generated using barcoded primers that target the V4 variable region of the 16S rRNA gene: primers 534F (5’ GTGCCAGCMGCCGCGGTAA) and 806R (5’ GGACTACHVGGGTWTCTAAT). PCR products were normalized using a SequaPrep™ kit (Invitrogen, Carlsbad, CA), pooled, and quantified by Qubit Fluorometer 2.0 (Invitrogen, Carlsbad, CA). The pool was diluted to 4nM and denatured with 0.2 N NaOH at room temperature. The denatured DNA was diluted to 15pM and spiked with 25% of the Illumina PhiX control DNA prior to loading the sequencer. Illumina paired-end sequencing was performed on the Illumina MiSeq platform with version v2.3.0.8 of the MiSeq Control Software and version v2.3.32 of MiSeq Reporter, using a 600 cycle version 3 reagent kit. Illumina Miseq paired-end reads were aligned to Norwegian rat reference genome rn4 with bowtie2 and matching sequences discarded (ref. [22], and Homo Sapiens UCSC Hg19 Human Genome Sequence from iGenome [http://support.illumina.com/sequencing/sequencing_software/igenome.ilmn]). As previously described, the paired-end sequences were sorted by sample via barcodes in the paired reads with a python script. The sorted paired reads were assembled using phrap and pairs that did not assemble were discarded. Assembled sequence ends were trimmed over a moving window of 5 nucleotides until average quality met or exceeded 20. Trimmed sequences with more than 1 ambiguity or shorter than 150 nt were discarded. Potential chimeras identified with Uchime (usearch6.0.203_i86linux32) [23] using the Schloss [24] Silva reference sequences were removed from subsequent analyses. Assembled sequences were aligned and classified with SINA (1.3.0-r23838) [25] using the bacterial sequences in Silva 115NR99 [26] as reference configured to yield the Silva taxonomy. Operational taxonomic units (OTUs) were produced by clustering sequences with identical taxonomic assignments. Demultiplexed paired end sequence data were deposited in the NCBI Sequence Read Archive under project accession number SRP078351.

**Quantitative RT-PCR**

RNA extraction, cDNA synthesis, and quantitative RT-PCR were performed as previously described [17]. The standards used for the gene amplification were TOPO plasmid vectors (Invitrogen) expressing a ~500-bp DNA fragment derived from the mRNA sequence of the gene of interest that includes the ~100-bp sequence used for the PCR amplification. The primers were synthesized by Integrated DNA Technologies (Coralville, IA). Their sequences have been previously published [17]. Data for gene expression in the spleen and pancreatic lymph nodes were calculated as the ratio of gene expression to β-actin expression in the same sample of cDNA.

**Statistical analysis**

The Explicet software package was used for display and diversity analysis [27]. All other data analysis was performed using SAS (SAS Institute Inc., Cary, NC, USA) or R (www.cran.org) software. The relative abundance (RA) of each taxon was calculated as the number of high-quality sequences of a given taxon divided by the total number of high-quality 16S rRNA sequences in a sample. For principal component analysis (PCA) a small constant (1/total number of sequences) was added to the sequence counts and the centered log ratio transformation (CLR) was calculated. Shannon diversity, Shannon evenness, and richness (Sobs) were calculated using rarefaction and compared across groups using a Wilcoxon rank based test. To compare RA of taxa across groups, a two-stage testing process was implemented. In the first stage, an overall Wilcoxon rank based test was used to determine whether one or more groups differed significantly from the others, and therefore select taxa of interest for further comparisons. If this overall p-value was significant (p<0.05), the taxa under evaluation was included in
the second stage of testing. In this stage, pairwise differences between all groups were calculated. A false discovery rate (FDR) adjustment for multiple comparisons was applied to this second stage of testing; comparisons with $p<0.10$ after FDR were considered significant. Differences in microbiome composition (i.e., beta-diversity) between subsets were quantified by the Bray-Curtis index using the vegan R package, which performs a non-parametric multivariate analysis of variance (PERMANOVA with 10,000 replicate resamplings). Statistical comparisons for the qPCR data were made using ANOVA with a Bonferroni multiple-comparison test.

**Results**

**Anakinra and ITF-2357 modulate KRV-induced innate immunity in the spleen and pancreatic lymph nodes**

We sought to investigate whether the gut microbiome could be used as a sensor of drug-induced immune modulation. To this end, we first analyzed the effect of Anakinra and ITF-2357 on virus-induced innate immunity. Animals were infected with KRV and administered Anakinra and ITF-2357 as shown in Materials and Methods and in Fig 1 (n = 6 per group). Spleens and pancreatic lymph nodes were removed on day 5 post-infection at the time when inflammation is detectable in the spleen and pancreatic lymph nodes [18], and the expression level of transcripts for STAT-1, the p40 subunit of IL-12 and IL-23 and IFN-γ was assessed by quantitative RT-PCR. The data presented in Fig 2 indicate that infection with KRV leads to an increase in the expression level of STAT-1 and the p40 subunit of IL-12 and IL-23 but not IFN-γ in the spleen ($p < 0.001$ for both). In contrast, KRV upregulated the expression of all of these genes in pancreatic lymph nodes ($p < 0.001$ for all vs. uninfected). We further found that therapy with Anakinra but not ITF-2357 reduced STAT-1 and the p40 subunit transcript levels in the spleen ($p < 0.001$ compared with KRV only). However, both Anakinra and ITF-2357 induced the expression of STAT-1 transcript levels in pancreatic lymph nodes ($p < 0.01$).

![Fig 2. The effect of Anakinra and ITF-2357 on KRV-induced innate immunity in the spleen and pancreatic lymph nodes.](https://doi.org/10.1371/journal.pone.0173968.g002)
and p<0.001, respectively, versus KRV only) and moderately increased the level of the p40 subunit and IFN-γ transcripts in pancreatic lymph nodes (p>0.05). These findings suggest that Anakinra and ITF-2357 differentially modulate proinflammatory gene expression in the spleen.

**ITF-2357- and Anakinra treatment modulates the fecal microbiome**

We tested the hypothesis that treating LEW1.WR1 rats with the anti-inflammatory agents ITF-2357 and Anakinra would alter the composition of the intestinal microbiome. Intestinal microbiota were analyzed by bacterial 16S rRNA gene sequencing of fecal DNA on day 5 post-infection (Fig 1). A total of 130 genus-level taxa were identified within the six control and experimental rat groups (n = 6–7 rats per group). The high throughput sequencing generated 1,805,321 high-quality 16S rRNA sequences (mean sequence length: 297 nt; median sequences/sample: 48,804 [range: 23,360 to 67,825]; median Goods coverage: ≥ 99.9% at the rarefaction point of 15,841). Fig 3 indicates that the intestinal microbiota of LEW1.WR1 rats were dominated by the Bacteroidetes genera S24-7, Bacteroides, and Alistipes, along with the Firmicutes bacterial families Lachnospiraceae and Ruminococcaceae. Furthermore, the abundance of a number of bacterial genera such as Bacteroides, RC9-gut group, and Anaeroplasma appeared to be different among experimental groups. These data imply that administering LEW1.WR1 rats agents that block innate immune pathways can lead to alterations in the intestinal microbiome.

**ITF-2357- and Anakinra alter bacterial biodiversity**

We next sought to assess the effect of the anti-inflammatory therapy on the gut bacterial diversity (n = 6–7 per group). Administering ITF-2357 or Anakinra to LEW1.WR1 rats significantly altered the fecal microbiota of both KRV-infected and uninfected animals. Differences in both Shannon alpha diversity (p = 0.0569; Fig 4A) and Shannon evenness (p = 0.0097; Fig 4B) were observed across the six treatment groups; in contrast, richness (Sobs) was not affected by KRV or drug treatments. Furthermore, PERMANOVA tests indicated an overall significant differences (p<0.0001) in microbiota across the treatment groups. In this analysis, infection with KRV was not significantly associated with alterations in the fecal microbiome composition, (p = 0.18), whereas drug treatment (p = 0.003) and the KRV-drug interaction (p = 0.03) were significantly linked with the gut bacterial composition (Fig 4C). These data imply that treatments with HDACi and Anakinra substantially influence taxa number and abundance as well as species evenness.

**Principal component analysis reveals three distinct clusters of animals**

We used principal component analysis (PCA) to further corroborate and identify differences in the distributions of bacterial genera from treated and untreated animals (n = 6–7 per group). Using this approach, we detected clear separation of treatment groups along principal component axes PC1 and PC2 (Fig 5 and S1 Table). Animals that were not treated with ITF-2357 or Anakinra clustered away from treated animals, regardless of KRV infection. The animal group administered with KRV plus ITF-2357 clustered at a distinct location, whereas the remainder of the ITF-2357- or Anakinra-treated animals clustered together. Thus, similar to the PERMANOVA test, KRV infection was not associated with dramatic changes in the fecal microbiota compared with treatment with ITF-2357 or Anakinra. Biplot analysis indicated that separation along PC1 was driven by differences in the abundances of Lactococcus and Leuconostoc (enriched in animals administered or ITF-2357 or Anakinra) along with Paraprevotella and Arthromitus (enriched in animals administered ITF-2357 or Anakinra). Other
Fig 3. The gut microbiome in rats treated with Anakinra and ITF-2357. Stacked bar chart of median percent counts of Operational Taxonomic Units (OTU) representing bacterial genera with a frequency of ≥1% of total counts in the stool from subjects with and without islet autoimmunity as indicated in the figure. The relative abundances are inferred from 16S rRNA sequence counts in datasets. The X and Y axes represent the sample name and percentages of bacterial taxa, respectively.

https://doi.org/10.1371/journal.pone.0173968.g003
organisms listed in Fig 5 were more influential in determining separation along PC2. Together, the data provide further evidence that Anakinra and ITF-2357 induce substantial shifts in the intestinal microbiome.

Specific intestinal bacterial taxa are affected by therapy with Anakinra and ITF-2357

To identify individual taxa affected by either Anakinra or ITF-2357 therapy, relative abundances were compared across groups (n = 6–7 per group) using a Wilcoxon rank based test. A subsequent pairwise testing was conducted if a significant difference was found (p < 0.05). In the overall tests, significant differences were observed in the relative abundances of 44 of the 130 total taxa (Table 1, S2 Table). Infection with KRV resulted in alterations in the abundances of 4 Firmicutes and 3 Proteobacteria taxa compared with naïve rats. ITF-2357 and Anakinra also modulated the abundances of 23 and 8 intestinal taxa in infected rats, respectively, while 5 of these taxa were altered by both drugs. Among the most notable alterations induced by Anakinra and ITF-2357 were 27-fold and 52-fold increases in the median abundance of the bacterial genus *Akkermansia*, respectively (adjusted p = 0.07 and p < 0.005 vs. KRV only, respectively). ITF-2357 modulated the abundances of bacterial groups from the phyla...
Bacteroidetes, Firmicutes, Proteobacteria, and Actinobacteria, with the most substantial alterations observed in the levels of *Turicibacter* and *Candidatus-Arthromitus* (i.e., segmented filamentous bacteria). Similar to our previously published PCR findings [26, 27], the high-throughput data from the current study indicate that KRV increases the abundances of *Bifidobacterium* and *Clostridium* compared with the uninfected control animals, and therapies with ITF-2357 and Anakinra reduce the level of these genera compared with rats administered KRV only (data not shown); however the differences seen using the present high-throughput approach were not statistically significant probably due to the considerable differences in the size of the data sets associated with these surveys as well as the different statistical approaches used for data analysis.

Finally, ITF-2357 and Anakinra modulated the abundances of more than 20 taxa in the intestine of uninfected animals, of which 11 overlapped between the two agents. Collectively, the data suggest that therapies with either Anakinra or ITF-2357 differentially modulate the abundances of individual bacterial taxa.
<table>
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*The highlights in the KRV column represent significant differences compared with uninfected rats; the highlights in the Anakinra and ITF-2357 columns represent significant differences versus the uninfected control; the highlights in the KRV plus Anakinra or KRV plus ITF-2357 represent significant differences compared with KRV.

*The levels of significance for these comparisons are indicated in S2 Table.

*Entry represents a higher-order group of taxa that could not be assigned to finer levels of taxonomic resolution. All other taxa represent genus-level classifications.

https://doi.org/10.1371/journal.pone.0173968.t001
Discussion

Numerous clinical trials have been conducted in the last twenty years to treat T1D, however the disease remains without known etiology or effective immunotherapeutic interventions [28]. One of the major reasons for this failure is the lack of suitable means to monitor effects of experimental immunotherapies on the immune system. Indeed, there is a consensus that new non-invasive clinical means are urgently needed to promote the discovery of new interventions for T1D [28]. Importantly, data indicating whether a potential immunotherapy can modulate immunity are crucial even if the trial itself fails to show clinical efficacy [28]. Herein, we used the LEW1.WR1 rat model of virus-induced inflammation and diabetes to test the effect of anti-inflammatory therapies with Anakinra and ITF-2357 on innate immunity and the intestinal microbiome shortly after commencing the therapy. We present data indicating that in addition to altering the innate immune system, intervention with Anakinra and ITF-2357 differentially modulates the gut bacterial structure as reflected by shifts in the overall gut bacterial microbiomes and bacterial diversity and alterations in the abundance of individual bacterial taxa, most notably those of the Bacteroidetes phylum. Based on these findings, we propose that alterations in the intestinal microbiota could potentially be used as a surrogate tool to detect immune responsiveness to experimental immunotherapies.

How therapy with Anakinra or ITF-2357 modulates the level of commensal bacteria is unclear. In addition, it remains to be identified whether the affected gut microbes are directly linked with mechanisms of autoimmunity. Owing to the interdependence between the immune system and the gut microbiota [29, 30], we postulate that the differential effect exerted by Anakinra and ITF-2357 on the gut microbiome might be associated at least in part with different effects they have on the immune system. Indeed, data from the present and our earlier studies [15, 16] are in accordance with the possibility that Anakinra and ITF-2357 induce a differential effect on the KRV-induced innate immunity. This difference could be linked with the fact that Anakinra inhibits the IL-1 signaling pathway by binding to the IL-1R1 [31], whereas ITF-2357 suppresses class I and class II histone deacetylases and may therefore induce broader effects on immunity [32]. In any case, both Anakinra and ITF-2357 were observed to alter cytokine expression in various experimental systems [33, 34]. Additional studies are necessary to establish whether a cause-and-effect relationship exists between ITF-2357- and Anakinra-induced alterations in innate immunity and the gut bacterial composition.

One of the most dramatic alterations induced by therapies with ITF-2357 and Anakinra was a 30-50-fold increase in the median abundance of the Verrucomicrobia genus Akkermansia that was modulated by both drugs. It may be hypothesized that this increase is associated with potential anti-inflammatory effects induced by ITF-2357 and Anakinra. Indeed, the level of the Akkermansia species A. muciniphila, a mucin-degrading bacteria residing in the mucus layer was reported to induce a beneficial effect on diabetes in NOD mice [35]. Another interesting result was the ITF-2357-induced increase in the abundance of the Firmicutes taxon Candidatus-Arthromitus. Candidatus-Arthromitus is the segmented filamentous bacteria (SFB) that has been associated with both diabetes induction and prevention (reviewed in ref. [36]). Finally, we observed that therapy with ITF-2357 led to an increase in the abundance of a number of Bacteroidetes taxa including the Bacteroides genus. This shift could promote an anti-inflammatory environment, since Bacteroides can induce Treg cells and anti-inflammatory cytokine expression and inhibit NF-κB signaling pathways [37] although some members of the Bacteroides genus were also shown to promote pro-inflammatory pathways in humans and mice [38]. Whether alterations in the abundances of the above taxa could be useful in assessing drug-induced immune modulation in subjects undergoing anti-inflammatory therapies remains to be determined in future clinical trials. In any case, this possibility is consistent with data from...
other disorders. For example, a previous report demonstrated a correlation between a shift in the gut microbiota and the response to steroid treatments in individuals with ulcerative colitis [39]. Another study provided evidence for alterations in the gut microbiome from subjects using metformin and statins [40]. Indeed, subjects who used metformin had an increased abundance of *Escherichia coli* and a positively correlated with pathways associated with the degradation and utilization of D-glucarate and D-galactarate and pyruvate fermentation [40].

Why Anakinra and ITF-2357 induce a differential effect on the spleen versus pancreatic lymph nodes is unclear but could be linked with differences in the composition and function that exist between these lymphoid organs [41]. It remains to be determined whether and how innate pathways affected by Anakinra- and ITF-2357 are directly linked to mechanisms of islet autoimmunity. Likewise, whether Anakinra- and ITF-2357-induced alterations in the abundance of gut bacteria can be used as a biomarker of therapy outcome awaits further investigation.

In conclusion, we show that two different anti-inflammatory drugs that modulate innate immunity and the gut microbiome and innate immunity in diabetes-susceptible animals. These observations have important clinical implication as they point to the possibility that the gut microbiome could potentially be harnessed as a novel means to facilitate drug discovery in T1D and potentially other autoimmune disorders.

**Supporting information**

S1 Table. The contribution of taxa to the components of the PCA.

(DOCX)

S2 Table. Results from Wilcoxon tests indicating the pairwise comparisons for taxa with a statistically significant difference across groups (overall p-value).

(DOCX)

**Author Contributions**

Conceptualization: DZ.

Data curation: JN DI.

Formal analysis: MK SR CR.

Funding acquisition: DZ.

Investigation: JN DI.

Methodology: DZ DF.

Project administration: DZ.

Resources: CD.

Software: CR.

Supervision: DZ.

Validation: DZ DF.

Visualization: DZ DF MK SR.

Writing – original draft: DZ.

Writing – review & editing: DF MK.
References


