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Prospective observational study in two Dutch hospitals to assess the performance of inflammatory plasma markers to determine disease severity of viral respiratory tract infections in children

Inge M L Ahout,1 Kim H Brand,2 Aldert Zomer,3 Wilhelma H van den Hurk,4 Geurt Schilders,4 Marianne L Brouwer,5 Chris Neeleman,6 Ronald de Groot,1 Gerben Ferwerda1

ABSTRACT

Introduction  Respiratory viruses causing lower respiratory tract infections (LRTIs) are a major cause of hospital admissions in children. Since the course of these infections is unpredictable with potential fast deterioration into respiratory failure, infants are easily admitted to the hospital for observation. The aim of this study was to examine whether systemic inflammatory markers can be used to predict severity of disease in children with respiratory viral infections.

Methods  Blood and nasopharyngeal washings from children <3 years of age with viral LRTI attending a hospital were collected within 24 hours (acute) and after 4–6 weeks (recovery). Patients were assigned to a mild (observation only), moderate (supplemental oxygen and/or nasogastric feeding) or severe (mechanical ventilation) group. Linear regression analysis was used to design a prediction rule using plasma levels of C reactive protein (CRP), serum amyloid A (SAA), pentraxin 3 (PTX3), serum amyloid P component and properdin. This rule was tested in a validation cohort.

Results  One hundred and four children (52% male) were included. A combination of CRP, SAA, PTX3 and properdin was a better indicator of severe disease compared with any of the individual makers and age (69% sensitivity [95% CI 50 to 83], 90% specificity [95% CI 80 to 96]). Validation in 141 patients resulted in 71% sensitivity (95% CI 53 to 85), 87% specificity (95% CI 79 to 92), negative predictive value of 64% (95% CI 47 to 78) and positive predictive value of 90% (95% CI 82 to 95). The prediction rule was not able to identify patients with a mild course of disease.

Conclusion  A combination of CRP, SAA, PTX3 and properdin was able to identify children with a severe course of viral LRTI disease, even in children under 2 months of age. To assess the true impact on clinical management, these results should be validated in a prospective randomised control study.

INTRODUCTION

Acute lower respiratory tract infections (LRTIs) annually result in hospitalisation of 0.9%–1.36% of a birth cohort.2 Eventually, 6% to 15% of these patients are admitted to the intensive care unit (ICU).1 3 Young age and prematurity are the most important risk factors for severe diseases. This is reflected by the fact that over 50% of the children admitted to the ICU have no other risk factors besides young age.4 5

Infants under 2 to 3 months of age are easily admitted to the hospital due to a potentially rapid progression of disease. More than one-third of all patients hospitalised with acute respiratory viral infections do not receive supportive care.6 However, 5% of the
patients discharged from the emergency room require readmission at a later stage of the infection. Therefore, there is a need for biomarkers that can predict the course of disease in infants with viral respiratory tract infections.

Currently, there are clinical prediction rules to predict safe discharge, length of hospitalisation and ICU admission for children with acute respiratory viral infections based on demographic criteria and clinical symptoms. Although these clinical prediction rules show the potential to improve clinical judgement, validation in other cohorts is often lacking or implementation in daily practice is difficult due to subjective criteria. Moreover, children under 2 months of age were either excluded or the studies were designed in such a way that all patients in this age group were automatically admitted to the hospital. A more objective, reproducible and sensitive prediction of disease severity for all age groups may be achieved by using biochemical and haematological markers.

Therefore, plasma proteins that were not yet extensively studied in the context of viral LRTI were identified from the literature. Pentraxin 3 (PTX3) has been described as an early sensitive and specific marker for bacterial pneumonia in bronchoalveolar lavages of mechanically ventilated adults, and as a severity marker of meningococcal disease in children. In 2016, a study was published that showed that PTX3 levels are also a marker for LRTI in children and is more sensitive than C reactive protein (CRP), which is frequently used in clinical care. No correlation between CRP levels and severity of viral disease has been described. When CRP levels are very high, they indicate bacterial infection; however, often, CRP is moderately increased and then CRP is not able to differentiate between viral or bacterial aetiology of infection. Serum amyloid A (SAA) appears to be more sensitive than CRP for the detection of bacterial infections in neonates, although it is not used in daily practice. The SAA/CRP ratio can be used as a marker for severe disease in children with bacterial infections. Nakayama et al have shown that SAA levels increase in children with different viral infections, including viral LRTI. However, an association with disease severity was not established. Serum amyloid P component (SAP) can activate the classical complement pathway and interacts with mannann-binding lectin. SAP is part of a family of pattern recognition receptors, the pentraxin family. CRP and PTX3 belong to the same family. SAP is involved in inflammation and used as a marker for atherosclerosis and autoimmune disease. Skinner et al have shown that SAP levels were not increased during bacterial pneumonia, suggesting a limited potential as biomarker in infectious disease. No studies were found that assessed the role of SAP during viral infections; since its family members show potential as biomarker in viral disease, we decided to include SAP in this study.

Properdin (CFP) is a positive regulator of the alternative pathway of the complement system and it is a pattern recognition molecule that can bind to apoptotic/necrotic cells or microbial pathogens (including viruses) to facilitate phagocytosis and clearance. Recently, the immunological potential of CFP is gaining attention. In the context of kidney disease, the role of properdin is becoming more apparent; moreover it has been demonstrated that properdin can be activated via neutrophil extracellular traps. These traps are also present during viral LRTI and studied in the context of severe RSV infections. Familial deficiencies in CFP are known and are associated with susceptibility to meningococcal infections. However, an increased susceptibility to viral infections has never been published.

In this study, we tested the aforementioned plasma proteins for their correlation with severity of viral LRTIs in children.

MATERIALS AND METHODS

Study design

Children younger than 3 years of age were eligible for inclusion in this prospective cohort study if they attended one of the two hospitals in Nijmegen from September until May with symptoms of an acute viral LRTI. Symptoms included signs of increased respiratory effort (eg, tachypnoea and/or use of accessory respiratory muscles or retractions) and/or expiratory wheezing and/or crackles on auscultation and/or apnoea. Patients with congenital or acquired immune deficiencies, immunosuppressive medication (including >24 hours of corticosteroids) or severe psychomotor retardation were excluded. Eligible patients were identified by their physician who diagnosed a viral LRTI and notified the study team. In both hospitals, it was not common practice to perform laboratory, rontgen or viral diagnostics at time of presentation; therefore, inclusions were made based on clinical appearance. When informed consent of both parents was obtained within 24 hours of admission, patients were included in the study. Data on clinical parameters, course of disease, medical history and demographics were retrieved from medical records and questionnaires retrieved at inclusion and after 4–6 weeks. Participation in this study did not influence medical care, and study results from individual patients were not shared with the medical team. Retrospectively, after discharge patients were allocated into three groups: mild, send home or only clinical observation; moderate, patients with need for supplemental oxygen and/or nasogastric feeding; and severe, patients with mechanical ventilation. Supplemental oxygen was started according to the protocols from the clinical wards in patients with an oxygen saturation of ≤92% for at least 10 min after use of decongestives. Results were evaluated in a training cohort (n=104, 2010–2012) and subsequently tested in a larger historical validation cohort with the same inclusion and exclusion criteria (n=141, 2006–2009). The study was approved by the Committee on Research involving Human Subjects.
Material collection

After informed consent, blood and a nasopharyngeal wash were collected within 24 hours (acute), and after 4–6 weeks (recovery). A venous blood sample (3 mL) was collected in sodium heparin tubes and immediately transported to the laboratory. Plasma (and cell fractions) were obtained after density centrifugation (Lymphoprep, Axis Shield, Norway) and stored at −80°C. After instilling 0.5 mL of saline into one of the nostrils, a catheter was introduced into the nasopharynx to aspirate nasopharyngeal fluid. Samples were instantly put on ice, transported to the laboratory and stored at −80°C. Multiplex reverse transcription-PCR was performed on the nasal wash to confirm viral aetiology of disease, as previously described.35 This way, viral aetiology of disease was established for this study; however, this information was not available during treatment of the patient. The assay was designed to detect 15 viruses: influenza virus types A and B, coronavirus 229E and OC43, bocavirus, enterovirus, adenovirus, parechovirus, PIV types 1–4, metapneumovirus, rhinovirus and RSV.

ELISA

Levels of SAA, SAP, PTX3, CFP and CRP were determined in twofold diluted plasma by ELISA following the manufacturer’s instructions (Hycult Biotech, Uden, The Netherlands, catalogue numbers HK333, HK331, HK347, HK334 and HK358, respectively).

Statistics

Data are expressed as percentages for categorical variables and as mean and SEM or median and IQR for the non-parametric continuous variables. Kruskal-Wallis tests were performed on continuous variables, followed by Mann-Whitney U test to further analyse differences. For categorical data, χ² testing was used. Paired data were tested with the Wilcoxon signed-rank test. Correlations were calculated using Spearman’s rho. The optimal predictive combination of proteins was selected using the M5 method linear regression, which removes classifiers with the smallest standardised coefficient one-by-one until no decrease in error estimate is observed. The outcome of the obtained rule was analysed for its receiver operating characteristics (ROCs). Cut-off values were determined for both mild and severe disease. These cut-offs were subsequently validated in a second cohort. A two-sided p value of <0.05 was considered statistically significant. A subanalysis was performed in children under 2 months of age, since this group is often excluded in other studies that describe prediction rules and age is an important factor in disease severity. Statistical tests were performed by SPSS for Windows (Release 19, SPSS) and Waikato Environment for Knowledge Analysis was used to perform linear regression.36

RESULTS

Patient characteristics

The training cohort consisted of 104 children (overall inclusion percentage 58%, off all patients/asked who were contacted informed consent was given in 77%, see supplemental flowchart) (table 1). Children with severe disease were significantly younger and had more often siblings than patients in the mild and moderate groups. The amount of children below 2 months of age was 5, 12 and 21 in the mild, moderate and severe group, respectively. Duration of hospitalisation significantly increased towards more severe disease. Two per cent of the patients were not hospitalised (2/104), whereas 17% of the hospitalised patients had only mild disease. RSV was detected in the majority of patients (65%), in 43% viral coinfections were present. The highest proportion of RSV mono-infections was seen in children with a severe course of disease (p<0.001), as was previously published by our group.35

Markers for infection and disease severity

Plasma levels of CRP, SAP, SAA and PTX3 increased significantly during disease compared with levels in recovery samples, whereas CFP levels did not differ between these two time points (figure 1A–E). When the acute disease group was subdivided into three severity classes, plasma levels of CRP during severe disease were significantly

<table>
<thead>
<tr>
<th>Table 1 Patient characteristics</th>
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<tr>
<td><strong>Total</strong></td>
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<tr>
<td>n=104 (%)</td>
</tr>
<tr>
<td>Gender (male)</td>
</tr>
<tr>
<td>Age (days)</td>
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<tr>
<td>Prematurity (&lt;35 weeks)</td>
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<tr>
<td>Onset symptoms (days)</td>
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<tr>
<td>Duration admission (days)</td>
</tr>
<tr>
<td>RSV infection</td>
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<td>% mono-infection RSV</td>
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Values are given in numbers (percentages) or medians (25th–75th percentiles). NS not significant.

* Mild versus severe p=0.001, moderate versus severe p=0.0001.
** Mild versus moderate/severe p<0.0001, moderate versus severe p<0.0001
*** Mild versus moderate/severe (p<0.05).
higher compared with patients with mild and moderate disease (figure 2A). Although there was a stepwise incline in plasma levels of PTX3, there were no significant differences (figure 2B). Plasma levels of SAP were also equal between the different disease severities (figure 2C). SAA plasma levels, however, were significantly higher during severe disease compared with mild disease (figure 2D). CFP plasma levels did not differ between mild and moderate disease. In contrast to the other markers, the CFP plasma levels dropped significantly in patients with severe disease (figure 2E).

Since disease severity can also be reflected by the duration of hospitalisation and the need for supplemental oxygen (as substitute for the need for hospitalisation), we tested whether they correlated with the plasma proteins. Both CRP and CFP correlated significantly with duration of hospitalisation ($\rho=0.361$, $p \leq 0.001$ and $\rho=-0.22$, $p<0.05$, respectively). However, PTX3, SAP and SAA levels did not. The need for supplemental oxygen was associated with higher levels of CRP and PTX3 (figure 2A–B), whereas the other markers showed no significant difference.

**The influence of age and viral aetiology on protein levels**

Children with severe disease are significantly younger (table 1); therefore, we checked whether age is a confounder for our protein levels. After stratification of the cohort per severity and age group (under and above 2 months of age), protein levels were compared. After Bonferroni correction, no significant differences were found in the group under 2 months of age. Thus, although age is an important confounder for disease severity, the levels of the plasma markers are not only dependent on age and reflect disease severity.

RSV mono-infections are more frequently seen in the severe disease group (table 1). We tested whether patients with RSV mono-infections also had higher plasma levels compared with patients with the same disease severity without RSV mono-infections. We found that patients with RSV mono-infections had significantly higher SAA levels during severe disease ($p<0.05$). Levels of all other inflammatory markers were equal.

**Accuracy of the inflammatory markers to predict disease severity**

After finding differences in plasma levels in patients with different disease severities, the diagnostic performance of the proteins was tested. First, the performance of the markers to indicate the need for hospitalisation (supplemental oxygen and/or nasogastric feeding) was assessed. Of 104 patients included for analysis, 27 did not receive supplemental oxygen: six of these patients belonged to the moderate group (table 1). The area under the curve (AUC) for the markers CRP and PTX3 was 0.63 and 0.64, respectively. The AUC for the other markers was 0.59 for SAA, 0.57 for SAP and 0.51 for CFP.

Second, the performance of markers to indicate the need for mechanical ventilation (severe disease) was assessed. Of 104 patients included for analysis, 32 were
Comparison of protein levels between patients with mild (n=21), moderate (n=51) and severe (n=32) disease and for patients with (n=77) and without (n=27) the need for supplemental oxygen are shown for C reactive protein (CRP) (A), PTX3 (B), SAP (C), SAA (D) and CFP (E) (Mann-Whitney U test). CRP, C reactive protein; PTX3, pentraxin 3; SAA, serum amyloid A; SAP, serum amyloid P component.

mechanically ventilated (table 1). The AUC of the markers that were associated with severe disease, CRP, SAA and CFP, was 0.73, 0.57 and 0.76, respectively. The AUC for the other markers was 0.56 for PTX3 and 0.53 for SAP.

**Combining inflammatory markers increases performance**

As reflected by the AUC, none of the single markers was considered sufficient to identify mild disease and thus aid in the decision to discharge a patient. Whereas the AUC of some markers for severe disease were slightly higher, none of them exceeded 0.8.

Therefore, linear regression modelling was used to analyse whether a combination of markers could increase the individual performance. The assumption was that distances between the three groups were equal. The linear regression resulted in the following model: 

\[(5.8x\text{PTX-3})+(1.15x\text{CRP})-(4.1x\text{CFP})-(0.93x\text{SAA})+106.25\]

The AUC for this rule is 0.65 for mild disease (no need for supplemental oxygen) and 0.89 for severe disease (mechanical ventilation).

Based on the ROCs, a cut-off value for the identification of mild disease was set at 93.50, which resulted in an AUC of 0.65 and sensitivity of 56% (95% CI 36 to 74), a specificity of 70% (95% CI 58 to 80), a positive predictive value (PPV) of 82% (95% CI 70 to 90) and a negative predictive value (NPV) of 39% (95% CI 24 to 56). In <2 months old children, the AUC is 0.88 (sensitivity 87% (95% CI 69 to 96), specificity 71% (95% CI 30 to 95), PPV 93% (95% CI 76 to 99) and NPV 56% (95% CI 23 to 85)), whereas in >2 months old patients, the AUC is 0.50 (sensitivity 57% (95% CI 42 to 71), specificity 47% (95% CI 25 to 71), PPV 72% (95% CI 56 to 96) and NPV 31% (95% CI 16 to 51)).

Validation of prediction rules

A new cohort of 141 children was tested. Patient characteristics of the original and validation cohort were similar with respect to their distribution of age, gender and...
The number of prematurely born infants (less than 35 weeks).

Interestingly, most of the inflammatory markers investigated in this study have not been described in the context of viral LRTI or disease severity. The increase of CRP and SAA levels during viral infection was known and this was also the reason to include the proteins in our study. We were able to confirm the work of Huttunen et al that the ratio SAA/CRP is significantly decreased in patients with severe disease compared with patients with mild and moderate disease (data not shown). Moreover, CRP and SAA levels both correlated with severity of viral LRTIs and CRP was also correlated with length of hospitalisation. To the best of our knowledge, these findings have not been described before. The increase in PTX3 levels during more severe disease and a correlation with need for supplemental oxygen show the potential of PTX3 as biomarker for viral LRTI in children. This was already proposed by others, who saw a correlation with peak temperature, duration of fever and presence of pneumonia in mechanically ventilated patients. Although SAP levels significantly increase during infection, no correlation could be observed with disease severity in children with viral LRTI. Therefore, it appears that SAP could not be used as a biomarker for disease severity in viral or bacterial infections. This study is the first to describe the remarkable kinetics of CFP (properdin) levels, which decrease during severe viral infection in children. We were unable to find an explanation in the literature. However, since CFP levels restored in the available recovery samples, a deficiency is highly unlikely. Other possible explanations for the decrease might be consumption, as occurs with other complement factors, or an unrecognised bacterial coinfection. Although cultures were routinely taken at the intensive care unit before the start of selective digestive decontamination (SDD) antibiotics, bacterial infections cannot be ruled out completely. A subanalysis of patients with (n=10) and without (n=15) positive bacterial cultures on the ICU showed no difference in protein levels (data not shown). During the study period, SDD was standard practice in the ICU and, therefore, all severe patients would have had at least one gift of prophylactic antibiotics before the study samples were taken.

The prediction rule for the identification of children with a mild course of disease appeared to be insufficient for clinical use (AUC 0.65). However, the performance in children less than 2 months of age (AUC 0.88) is promising and might provide additive value in clinical decision-making. Currently, there are no comparable laboratory-based studies assessing the identification of mild disease. Clinical rules for safe discharge based on age and findings at physical examination have been developed. Unfortunately, in these studies, children below 2 months of age were excluded or automatically admitted regardless of the necessity of treatment.

The identification of patients with severe disease was accurate with the chosen inflammatory markers (AUC 0.89). The prediction performed better than age on itself (AUC 0.77). A prediction rule based on interleukin-8 and
regulated on activation, normal T Cell expressed and secreted (RANTES) in plasma and CD4+ T cell counts accurately identifies severe disease but needs to be validated in an independent cohort to establish clinical potential.\textsuperscript{10} A combination of biochemical, haematological and clinical data might further improve accuracy. A drawback of introducing clinical variables is that most of the published risk factors in clinical prediction are not objective or may change rapidly in time. Moreover, signs and symptoms of children can be ambiguous at the moment of presentation and only become clear after prolonged observation. Prediction rules using clinical data often show a lower AUC and validation studies of these rules are lacking or have a smaller sample size.\textsuperscript{4,39}

Limitations of our study are the limited number of patients in the mild age group, especially patients younger than 2 months of age. This influenced our analyses and hindered the logistic regression analysis in the prediction of mild disease. Since venapuncture is not always part of routine care, especially not in this patient group, parents often refuse participation or the venapuncture specific. Therefore, the performance of our rule in the mild patient group under 2 months of age needs further testing. Moreover, a prospective study in which results are available for the clinicians is needed to assess the clinical implications of our rule.

Age is always a confounder in studies on disease severity in infants with RSV. We could not find correlations in the acute samples after correcting for age and multiple testing; however, we did observe a positive correlation between age and SAA and SAP in the recovery samples (data not shown). This is opposite to our findings during the acute phase of disease (higher levels in younger patients). Bruun et al studied plasma levels of CRP and SAA in children and neonates, including preterms in healthy and diseased state. They demonstrated that with increasing age (preterm up to child), higher CRP and SAA levels are being produced during disease.\textsuperscript{40} Although when patients were healthy, the differences resolve. It is likely that the higher levels of SAA in our youngest (severely ill) patients is a result of disease severity. This has already been demonstrated for bacterial infections.\textsuperscript{24,25} In our linear regression rule, SAA could be replaced with age of the patients which gave similar results.

It would be interesting to perform a study that assesses the plasma levels of our markers at different time points during viral infections to increase our insight in the kinetics. It is assumed that some biomarkers precede clinical symptoms and could therefore be used early in the course of disease to predict severe outcomes. The advantage of measuring markers in plasma is the possibility for easy implementation in current diagnostic laboratory settings, relative short time to measure, reproducibility of the assays and available options for standardisation. Moreover, with the use of tools for linear regression modelling, it is easy to add new markers to further optimise the predictive rule. In general, our results indicate that combining markers, in our case inflammatory proteins, could increase the performance of a test. This indicates that this method might also be useful in other settings. More specifically, due to the validation in an independent cohort, we can assume that our decision rule will perform as well in other hospital settings. Whether the combination of these plasma markers could also be used to predict severe bacterial infections need to be assessed in other studies.

Currently, there is no targeted therapy for most respiratory viruses and, therefore, there are no consequences to the early identification of patients with a severe course of disease besides optimisation of supportive care. The identification of children with a mild course of disease has more visible consequences, as can be measured in reduced hospitalisation rates or length of stay in the hospital. To truly estimate the value of the markers, a prospective study is needed. However, even before the markers are implemented in the clinical practice, biomarkers could be used to stratify patients in clinical studies and as secondary end-point in intervention studies. With the current development of point-of-care tests, plasma protein levels can be available within a relevant time frame and could be beneficial for care in emergency rooms and by the general practitioner.

### Author affiliations

1. Laboratory of Pediatric Infectious Diseases, Department of Pediatrics, Radboud Center for Infectious Diseases, Radboud university medical center, Nijmegen, The Netherlands
2. Department of Pediatrics, Erasmus MC Sophia, Rotterdam, The Netherlands
3. Department of Infectious Diseases and Immunology, Utrecht University, Utrecht, The Netherlands
4. Department Research and Development, Hycult Biotech, Uden, The Netherlands
5. Department of Pediatrics, Canisius Wilhelmina Hospital, Nijmegen, The Netherlands
6. Department of Intensive Care Medicine, Radboud university medical center, Nijmegen, The Netherlands

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### Contributors

IA and KB were involved in the design of the study, the inclusion of the cohorts. IA and AZ performed the analysis for this manuscript, WH and GS performed analysis, corrected and approved the manuscript. KB approved the manuscript. MB and CN were involved in the inclusion of the patients, corrected and approved the manuscript. RG and GF designed the whole project and helped in the design of the study, analysis and writing of the study. They approved the manuscript.

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### Competing interests

None declared.

### Patient consent

Informed consent was obtained from both parents / caregivers.

### Ethics approval

The Committee on Research Involving Human Subjects Nijmegen.

### Provenance and peer review

Not commissioned; externally peer reviewed.

### Data sharing statement

Data are available on request via the corresponding author.

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