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# *Côte de Resyste*

## Automated Model Based Testing

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**Abstract**—Systematic testing is very important for assessing and improving the quality of embedded software. Yet, testing turns out to be expensive, laborious, time-consuming and error-prone. The project *Côte de Resyste* has been working since 1998 on methods, techniques and tools for automating specification based testing using formal methods. The main achievement of the project is a test tool, baptized TORX, which integrates automatic test generation, test execution, and test analysis in an on-the-fly manner. On the one hand, TORX is based on well-defined theory, viz. the ioco-test theory, which has its roots in the theory of testing- and refusal-equivalences for transition systems. On the other hand, the applicability of TORX has been demonstrated by testing several academic and industrial case studies. This paper summarizes the main results of the project.

**Keywords**—model-based testing, specification-based testing, formal methods, test automation, test generation.

### I. INTRODUCTION

#### A. Software Testing

Software quality is an issue that currently attracts a lot of attention. Software invades everywhere in our society and lives, and we are increasingly dependent on it. Moreover, the complexity of software is still growing. Consequently, the quality, functional correctness and reliability of software is an issue of increasing importance and growing concern. Systematic testing of software plays an important role in the quest for improved quality.

Despite its importance, testing is often an underexposed phase in the software development process. Moreover, testing has turned out to be expensive, difficult, and problematic. One source of problems is that specifications are usually imprecise, incomplete and ambiguous, so that a good basis for testing is lacking. Another source is that testing usually is a manual and laborious process without effective automation, so it is error-prone and consumes

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many resources. The testing phase often gets jammed between moving code delivery dates and fixed custom delivery dates. Besides, research and development in testing have been rather immature. Testing methodology is mostly ad hoc and governed by heuristics.

Fortunately, this situation is gradually improving. Triggered by the quest for improved quality and imposed by increased product liability, testing is considered increasingly important and treated more seriously. Research in software testing is growing, the testing phase is more seriously planned and managed, and being a software tester is starting to be a true profession.

#### B. Côte de Resyste

The project *Côte de Resyste*— *Conformance Testing of Reactive Systems* – is a research and development project aiming at improving the testing process by using formal methods.

*Côte de Resyste* is supported by the Dutch Technology Foundation STW in the context of the “Progress” programme. The project is a cooperation between Philips Research Laboratories Eindhoven, Lucent Technologies R&D Centre Twente, Eindhoven University of Technology, and the University of Twente. KPN Research participated for some time, while close relationships exist with CMG and Interpay. The 23 man-year project started in 1998 and ends in 2002.

#### C. Model Based Testing

The goal of *Côte de Resyste* has been to develop theory, methods and tools to enable *automatic testing* of software systems based on *formal models* of these systems. In doing so, *Côte de Resyste* has concentrated on *specification based, functional testing* of *reactive systems*.

Reactive systems are mostly technical, event-driven software systems in which stimulus/response behaviour is very important. Examples are embedded systems, communication protocols, and process control software. Admin-

istrative systems are typically not reactive systems.

Testing involves checking the correctness of a reactive system by performing experiments in a systematic and controlled way. Functional testing involves checking whether the system behaves correctly: does the system do what it should do, as opposed to, e.g., testing the performance, robustness, reliability, or user-friendliness. Specification-based refers to the existence of a specification which exactly prescribes what the system shall do and what not. This specification is the starting point for testing. The system under test, referred to as the SUT, is considered a black box about which no internal details are known.

With formal, model based testing the specification is given as a formal model in some formal language. This formal specification is the starting point for testing the SUT.

#### D. Automated Testing

Different phases can be distinguished in the testing process. During *test generation* a test suite is developed starting from a specification of the SUT. This test suite is usually expressed in an abstract way, thus it has to be rewritten or implemented so that it can be executed. This is referred to as *test implementation*. During *test execution* the implemented test suite is executed on the SUT. Finally, the test results should be analysed, and compared with expected results: *test analysis*.

Traditionally, *test automation* refers to automation of test execution, and sometimes to test analysis. A test must be devised by humans and written down in a usually low-level, test tool specific scripting language before automatic execution can start.

*Côte de Resyste* aims at automation of the whole testing process starting with test generation up to and including test analysis. This opens the way towards completely automatic testing, where the system under test and its formal specification are the only required prerequisites.

#### E. Overview

This paper outlines the main results of the *Côte de Resyste* project. The main challenge of the project was to develop a *test tool*, which has, on the one hand, a well-defined and sound *theoretical basis*, and, on the other hand, high practical *applicability*. The theoretical basis is outlined in Section II. The test tool baptized TORX, which is the main achievement of the project, is described in Section III. The applicability has been evaluated by performing different case studies supplied by the companies Philips, Lucent, CMG and Interpay. They are further discussed in Section IV. Section V gives the main conclusions, the open issues, and hints for further research.

## II. THEORY

### A. Formal Methods

Currently, most system specifications are written in natural languages, such as English or Dutch. Although such informal specifications are easily accessible, they are often incomplete and liable to different and possibly inconsistent interpretations. Such ambiguities are not a good basis for testing: if it is not clear what a system shall do, it is difficult to test whether it does what it should do.

With formal methods, systems are specified and modelled by applying techniques from mathematics and logic. Such formal specifications and models have precise, unambiguous semantics, which enables the analysis of systems and the reasoning about them with mathematical precision and rigour. Moreover, formal languages are more easily amenable to automatic processing by means of tools. For example, verification tools exist that are able to verify fully automatically the absence of deadlock based on a formal description of the design. Until recently, formal methods were merely an academic topic, but now their use in industrial software development is increasing, in particular for safety critical systems and for telecommunication software.

In *Côte de Resyste* we have been using *labelled transition systems* as the underlying formal basis. A labelled transition system consists of states, representing the states of a system, with labelled transitions between the states. The labels on the transitions represent the observable actions of a system, such as inputs and outputs. Many formal specification languages can semantically be expressed in terms of transition systems. Of these, we use LOTOS [18], PROMELA [17], SDL [5], and LTSA [19].

### B. Testing with formal methods

A formal specification is a precise, consistent and unambiguous basis for software design and code development as well as for testing. To define which implementations are correct with respect to a transition system specification and which are not, an *implementation relation* (or satisfaction relation) is defined. In this way it is also determined which implementations should *pass* a generated test suite, and which implementations should *fail*.

In *Côte de Resyste* we use the *ioco*-test theory to define correctness [22], [23]. The implementation relation *ioco* has its roots in the theory of testing equivalences and preorders for transition systems [8], [4].

Formally, the definition of *ioco* is

$$i \text{ ioco } s \stackrel{\text{def}}{=} \forall \sigma \in \text{Traces}(s) : \text{out}(i \text{ after } \sigma) \subseteq \text{out}(s \text{ after } \sigma)$$

Informally, this means that an implementation  $i$  (which is seen as a transition system) is **io**co-correct with respect to a specification  $s$  (which is also a transition system), if, and only if, after all possible behaviours of the specification ( $\forall \sigma \in \text{Straces}(s)$ ), any output action  $x$  produced by the implementation ( $x \in \text{out}(i \text{ after } \sigma)$ ) can also occur as an output of the specification ( $x \in \text{out}(s \text{ after } \sigma)$ ). In particular, this should also hold for the special action *quiescence*, which models the absence of outputs [25].

This formal notion of correctness is the starting point for a test generation algorithm which derives a test suite from a transition system specification to test for **io**co-correctness. A test suite generated with this algorithm has two important properties:

*soundness*: if a test *fails* with an implementation, then this implementation is *not* **io**co-correct;

*exhaustiveness*: if an implementation is *not* **io**co-correct, then there is a test in the test suite which *fails*.

Formal methods provide a rigorous and sound basis for algorithmic and automatic generation of tests. Having a precise and unambiguous specification together with a clear notion of what a correct implementation is, is a big advantage in contrast with traditional testing processes, where such a formal test basis is usually lacking.

### C. Test Selection

There is, however, also a disadvantage of the **io**co-test derivation algorithm: for almost any realistic system an exhaustive test suite will contain infinitely many test cases, so that such a test suite can never be executed. Therefore a finite selection from the infinite exhaustive test suite is necessary. By making such a selection exhaustiveness is lost, but soundness is preserved.

Test selection is a difficult task. A simple solution is to make a random selection, and although our experiments show that this can be quite satisfactory, it is better to adopt some selection strategy or to apply selection criteria. A selection strategy should aim at detecting as many erroneous implementations as possible within a restricted period of time: it should maximize the chance of detecting an error while minimizing the cost of executing the test suite.

A considerable part of *Côte de Resyste*'s theoretical research has been devoted to test selection. Two approaches have been pursued, referred to as “test purposes approach” and “heuristics approach”.

It is important to note that for test selection additional information in the test derivation process is necessary. The formal specification prescribes which behaviour is allowed and which not. It does not give information about which behaviour is more important, or which behaviours are more likely to contain errors. Such information is im-

portant for test selection, but it cannot be found in the formal specification, so it must come from elsewhere.

### D. Test Purposes

In the “test purposes approach” it is the user (person performing the tests) who supplies information about which behaviours are important or are likely to contain errors. The user does this by specifying *test purposes*: behaviours which (s)he wants to observe and test to be sure that they are correctly implemented. This approach is also referred to as “user guided” test selection.

The “test purposes” approach has been formally elaborated [29]. A framework has been developed in which test purposes are formalized as *observation objectives*, which can be *hit* or *missed* when executing a test. An observation objective is orthogonal to correctness, and it can be very specific, e.g., one specific trace, or it can be very general, e.g., all behaviours in which inputs are only supplied when the SUT is quiescent.

This approach has been elaborated for the **io**co-test derivation algorithm: an observation objective gives the extra information to guide the test derivation in the direction of a test case which can *hit* the observation objective. This new algorithm was proved to be *e-exhaustive* and *e-sound*; for details see [29].

Languages to represent observation objectives have been studied, resulting in a language based on regular expressions, and a tool baptized JARARACA. Alternative representations such as transition systems, automata, or LOTOS expressions have also been considered. Comparisons with existing methods to define and represent test purposes have been made.

### E. Heuristics

An alternative approach is to provide the extra information for test selection in the form of predefined strategies based on heuristics [12]. These heuristics are based on assumptions about the behaviour of the system under test. Three heuristic principles have been elaborated referred to as “length heuristic” (testing a finite prefix of an infinite trace is assumed to be sufficient), “cycling heuristic” (testing a finite number of iterations of a transition-system cycle is assumed to be sufficient), and “reduction heuristic” (if a state has infinitely many outgoing transitions of the same shape then testing a finite number of them is sufficient).

Another way of looking at heuristics is by assuming that a trace can be tested by another trace which is sufficiently “close”. This notion of traces being close to each other has been formalized by defining *trace distance functions*. The maximum distance between traces in a test suite and

those not in that suite then leads to a definition of test suite *coverage*; for details see [12].

### III. TOOLS

#### A. TORX: A Tool for Formal Testing

One of the main achievements of *Côte de Resyste* is the prototype test tool TORX. TORX provides automatic test generation, test implementation, test execution and analysis in an *on-the-fly* manner [2], [26]. TORX implements the *ioco*-test derivation algorithm to derive tests from formal, transition system-based specifications. This includes test selection by means of test purposes, see Section II. The specifications can be expressed in the formal languages LOTOS, PROMELA or LTSA, or directly as a transition system in the ALDEBARAN-format [13]. The first two languages were mainly used in the case studies (see Section IV); the latter two are very useful for educational purposes.

In TORX, automatic test generation and test execution are not done in separate phases but they are integrated, i.e. there is no complete test suite generated that is subsequently executed. During test execution, tests are derived on-the-fly (or lazily, cf. lazy evaluation of functional programming languages). For each test step, TORX computes only the *test primitives* from the formal specification which are needed in that step: the stimuli that can be given, and the observations that are expected. It then performs the test step: it decides between stimulating and observing, and then either chooses a stimulus and sends it to the implementation, or it acquires an observation from the implementation, and checks whether it was expected (and reports an error if not). After sending the stimulus or checking the observation (and finding no error in it), it computes the test primitives for the next test step, performs the next test step, etc.

This repeated derivation and execution of test steps can be done fully automatically without any user intervention, as described above (this is very useful for case studies), but also semi-automatically under control of the user (this is useful for demonstrations, and for studying particular scenarios in detail). For fully automatic derivation and execution, the user only has to provide the maximum number of test steps that should be performed. During user-controlled derivation and execution, the test primitives that have been computed are presented to the user, who can decide between stimulating and observing, and, if stimulating, can choose the particular stimulus that is to be sent to the implementation.

A test run is collected in a log, containing all the test steps executed, (both in abstract form, as they appear in the

specification, and in concrete form, as the bits and bytes communicated with the SUT). The test log is visualized on-the-fly as a *message sequence chart*. A recorded test log can be re-executed, or it can not be re-executed, i.e., any test is derived except the one already recorded in the test log.

#### B. Architecture of TORX

The main characteristics of TORX are its flexibility and openness. Flexibility is obtained by requiring a modular architecture with well-defined interfaces between the components – this allows easy replacement of a component by an improved or modified version (e.g., one that supports another specification language or test generation algorithm). Openness is achieved by using standard interfaces to link the components of the tool environment – this enables integration of third-party components that implement these interfaces.

The TORX architecture, see Figure 1, consists of the following basic components that are mandatory in any use of TORX: EXPLORER, PRIMER, DRIVER, and ADAPTER. The following components are optional and can be “plugged-in” when a particular feature is needed: COMBINATOR, PARTITIONER, IOCHOOSER, and INSTANTIATOR. The well-defined interfaces allow this “plugging in”. Figure 1 depicts how the components can be linked for on-the-fly derivation and execution. The SUT is the system under test. This role can also be played by a simulated specification.

The EXPLORER is a specification language specific component that offers functions (to the PRIMER) to explore the state-transition graph of a specification. TORX contains EXPLORERS for LOTOS (using the CÆSAR/ALDEBARAN DEVELOPMENT PACKAGE [14].), PROMELA (based on SPIN [28]), FSP (using the LTSA analyser [19]), automata (using ALDEBARAN), and any other specification language for which an OPEN/CÆSAR interface exists [14].

The PRIMER uses the functions of the EXPLORER to implement the test derivation algorithm that generates the test primitives from the state-transition graph.

The DRIVER is the central component of the tool architecture. It controls the testing process by deciding whether to stimulate, or to observe and check an observation from the SUT.

The DRIVER can be run in two modes (see above): a manual mode, in which the user is in full control, and an automatic mode, in which the DRIVER makes all necessary choices randomly (or guided by probabilities; see below).

The ADAPTER is the test application specific component that provides the connection with the SUT. It is responsible

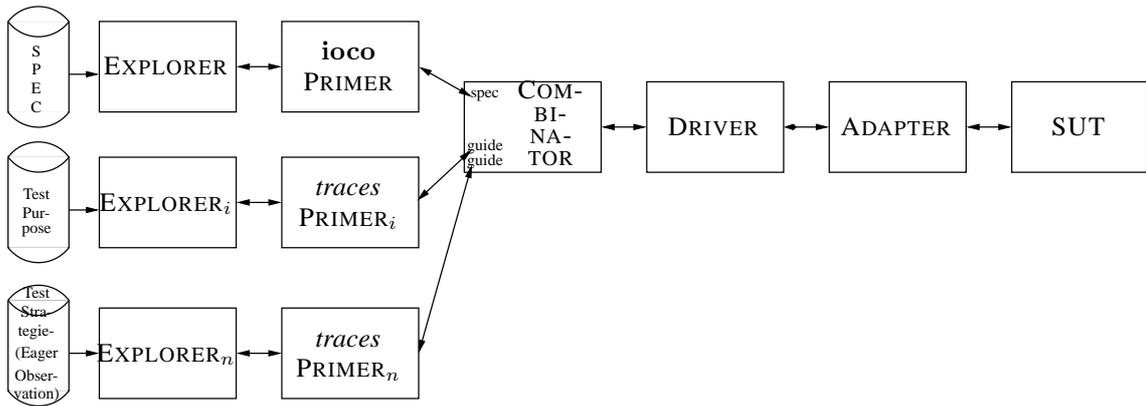


Fig. 1. On-the-fly test generation and execution with test purposes.

for sending inputs to, and receiving outputs from the SUT on request of the DRIVER, and for encoding and decoding of abstract actions from the DRIVER into the concrete bits and bytes for the SUT, and vice versa. This includes the mapping of time-outs onto quiescence actions. This clearly makes the ADAPTER dependent on both the specification (version, language), and the SUT.

The optional COMBINATOR is used to combine test primitives from multiple sources (like PRIMERS or COMBINATORS themselves – they can be cascaded). In particular, it is used to combine the test primitives of a specification with those derived from a test purpose. Test purposes can be represented in the same languages as the specification and then the same EXPLORERS are used. Alternatively, they can be expressed and processed using JARARACA; see Section II.

The optional PARTITIONER is used to steer the on-the-fly derivation process. Normally, when we want to stimulate the SUT, we choose randomly with equal distribution from the set of possible inputs. With the PARTITIONER we guide this selection by dividing the possible input test primitives into partitions to which weights (probabilities) are assigned. These weights are taken into account when an input is chosen. PARTITIONERS can be cascaded to partition input actions according to multiple criteria.

Also the optional IOCHOOSER is used to steer the on-the-fly derivation process. Normally, we choose randomly with an equal distribution between stimulating and observing. With the IOCHOOSER we guide this choice by attaching weights (probabilities) to stimulating and observing. These weights are taken into account when a choice between stimulating and observing is made. IOCHOOSER and PARTITIONER implement the ideas presented [11].

The optional INSTANTIATOR is used to instantiate free variables in the test primitives (stimuli) computed by the PRIMER. This is necessary because the ADAPTER is not able to encode stimuli that contain free variables.

### C. Interfaces of TORX

To support the openness of the TORX architecture, standard interfaces are used, like the OPEN/CÆSAR interface [14] and the GCI interface [3]. When no standard interface is available we connect components by pipes over which textual commands and responses are exchanged – these textual interfaces make it simple to debug and test individual components, to experiment using (Unix-style) filters to massage the information exchanged, and even to split the tool over several machines. The textual interfaces used between the TORX components all have the form of a remote procedure call: a component issues a request to another component after which this component replies. In the TORX architecture the components are connected pairwise; a TORX configuration forms a tree of components with the DRIVER as root. For each pair the component closest to the root of the tree (the parent) will take the initiative to issue requests, and the other component (the child) will only reply to them (but, in order to do so, it may issue request(s) to its own child(ren), and use their responses to compute its own response to its parent).

### D. Test Campaigns

During several case studies it turned out desirable to have different test runs executed after each other without user interaction. To make this possible, *test campaigns* were developed. Test campaigns make it possible specify, schedule and manage several test runs, all with different TORX configurations, different parameters, different input distributions, and even different specifications or implementations. Moreover, the results of all these test runs are systematically archived. The implementation of test campaigns consists of a layer on top of TORX,

## IV. APPLICATIONS

### A. The Conference Protocol

The first case study within *Côte de Resyste* was the *Conference Protocol*. It is a simple, yet realistic chatbox protocol that runs on top of the internet protocol UDP. Conference Protocol Entities (CPE's) were tested with TORX based on specifications in the formal languages PROMELA and LOTOS. As implementations we used a set of 28 different CPE's, implemented in C, of which one was (assumed-to-be) correct, 25 were erroneous mutants obtained by introducing single errors in the correct one, and 2 were modified but *ioco*-correct implementations.

From this set of 28 implementations all erroneous ones could successfully be detected. At most 500 test events were needed to detect the errors using random test selection. With the correct implementations, long test runs consisting of more than 450,000 test events were generated and executed completely automatically without detecting any error [2], [24].

Apart from evaluating TORX, the Conference Protocol has been used as a bench-marking experiment to compare TORX with some other specification-based test generation tools. An SDL specification of the Conference Protocol was developed from which 13 test cases were generated using the SDL test tool TAU. These 13 test case were executed on the CPE's, but they were not able to detect 6 erroneous mutants [2]. For the FSM-based test generation tool PHACT/*Conformance Kit*, an EFSM (Extended Finite State Machine) specification was developed, from which 82 test cases were derived. Three erroneous implementations passed this test suite [16]. This confirmed our hypothesis that FSM-based software testing is inferior to transition system-based testing. For the test tool TGV, the LOTOS specification was used again. TGV is also based on the *ioco*-theory, and like TORX, was able to detect all erroneous implementations [9]. Beside these experimental comparisons, a theoretical comparison was made in [15].

The Conference Protocol has also constantly been used as bench-mark to evaluate new versions of TORX, and to experiment with new functionalities, such as the recent addition of on-the-fly code-coverage determination [10]. It is expected that such usage will continue in the future.

The Conference Protocol, being small yet realistic, turned out to be a very suitable case study for TORX. It provided valuable feedback for improving TORX, and it was useful for bench-marking, for doing experiments with new extensions, for demonstration purposes, and for use in courses. To allow others to use the Conference Protocol as a bench-mark for their testing tools, a website has been constructed containing documentation, all formal speci-

cations, and our implementations [20].

### B. "Rekeningrijden"

For Interpay B.V. *Côte de Resyste* performed a case study to evaluate the applicability of formal testing techniques. The study consisted of testing a part of the Payment Box, which is part of the once advocated Highway Tolling System – in Dutch "Rekeningrijden". This system automatically charges fees from vehicle drivers who pass a toll gate on a highway. The fee is paid electronically by means of exchanging digital certificates between the Payment Box in the toll gate and an electronic purse on a smart card in the passing vehicle. When a vehicle passes the toll gate, the system should debit the purse and register a balance increment at the Payment Box. Because many vehicles can pass a toll gate simultaneously and since the vehicles travel at high and different speeds, the number of parallel transactions in progress can be large. Furthermore, for security reasons, the messages exchanged for an electronic payment transaction are encrypted. These issues – speed, parallelism and encryption – contribute to the complexity of testing. The object of testing was the Payment Box side of the protocol between Payment Box and smart card [27].

The Payment Box had been tested by Interpay in a traditional way. Tests had been manually developed and automatically executed using a dedicated test execution environment. The latter was necessary to meet the speed and encryption requirements.

Before starting, we developed a generic step-wise approach in which all the activities for formal testing are embedded [27]. Subsequently, the case study was carried out following this approach.

First, we studied the IUT (Implementation Under test) and wrote formal specifications in LOTOS and PROMELA starting from the informal documents. While writing and validating this formal specification (by model checking with SPIN [17]) we detected an important design error. Before continuing this error was repaired.

In the second step, we studied the test tools with respect to their ability to test the IUT and their means to interface with the SUT. We reused part of the existing test environment for traditional testing.

Third, the results of the first and second step were combined, as basis for the development of the test environment containing both the test tools and the IUT. Most time was spent in this phase. It turned out that we were not able to interact directly with the Payment Box, due to the encryption involved in electronic transactions. Furthermore, we had to deal with the (real-) time requirements during testing. This led to significant effort in implementing the

application specific tool component – the ADAPTER; see Section III – and in extension of the IUT specification to contain the test context.

In the fourth step, several test runs, with length up to 50,000 test events, were automatically generated and executed. These runs were specified and scheduled using test campaigns; see Section III. During test execution, one error was detected, which is still under study by Interpay.

The main result with respect to the Payment Box is that two defects were found. The most important one was a design error which was not detected during testing but during formal specification and subsequent validation.

With respect to TORX and the *Côte de Resyste* methodology we have the following conclusions:

- There is insufficient support, both in theory and in tools, for testing applications with real-time behaviour. In particular, the difference between quiescence (see Section II) and time-out is confusing and not well-understood.
- The performance of TORX' test derivation needs to be improved: TORX was not always able to calculate the next test primitives before the Payment Box gave a time-out. The PROMELA specification performed much better in this respect than the LOTOS one.
- Our hypothesis that TORX can easily deal with parallelism was confirmed. Having many cars in parallel was conceptually no problem, although it sometimes gave problems with respect to performance; see above.
- Implementing a test execution environment is a laborious process, although not harder than for manual testing. More generic approaches for implementation for test environments (i.e., ADAPTERS) are needed.
- Detecting an error is one thing; analysing and repairing it is another: more tool support for test result analysis is needed.
- TORX is easily distributed over multiple platforms: the Payment Box was running on VXWORKS, the ADAPTER on WINDOWS-NT, and the rest of TORX on LINUX.
- The concept of *test campaigns* was mainly developed for, and during this case study. It proved to be very valuable.

Altogether, we conclude that the *Côte de Resyste* approach is not yet mature enough to cope with applications like the Payment Box, which is mainly due to timing – real-time and performance requirements. But the automated test approach turned out to be very flexible, reliable, and fast: large numbers of long tests were easily derived and executed. Certainly, formal specification and validation should be used for the type of protocols as used in the Payment Box. From a research point of view, the case study is considered successful, and a step ahead in formal testing of realistic systems. Many new ideas and research items

were identified and TORX was improved and extended.

### C. The EasyLink Protocol

Philips' *EasyLink* Protocol concerns the communication between a video recorder and a television set. The TV-side of the preset-download feature of this protocol was tested with TORX based on a PROMELA model. Functions like initiating a preset-download, stopping downloading at the end or somewhere in the middle, and shuffling the presets with the TV remote control while downloading, were tested; see [1] for the details of this test effort.

For the test environment, the messages between VCR and TV were caught using a specialized probe, which also allowed to insert messages. This probe communicated with a PC, which then communicated with an HP-workstation on which the main parts of TORX were running.

The results of this study were promising: some (non-fatal) faults were detected which had slipped through the conventional testing procedures. Moreover, we learnt the following:

- The test environment (test context) strongly influences what can be tested.
- If the initial state of the TV (the initially installed presets) is unknown, this leads to almost unbounded nondeterminism, which TORX could not handle. Some (ad-hoc) improvements of TORX were needed in order to decrease the state space. This problem could have been solved by having *symbolic data* to represent and manipulate the presets.
- The tool architecture was easily extended to cope with the user-executed actions of the TV remote control.
- The en- and decoding in the *Adapter* is not always a bijection. Sometimes there are message on the line that should be discarded.
- The performance of TORX is not always sufficient to generate the stimuli fast enough (the TV may enter a time-out mode). The performance is strongly influenced by the specification style used in the formal model.
- PROMELA is not an ideal specification language for this kind of systems.
- Automatic specification-based testing of this kind of product is feasible and beneficial.

### D. An Access Network Protocol

Lucent R&D Centre Twente tested the implementation of an access network protocol. It concerned Lucent's implementation the ETSI standard for the V5.1 Access Network Protocol. This product has been operating for many years during which many (undocumented) modifications have been made. This meant that there was no consistent

and up to date (informal) specification available, which made it difficult to develop a formal one. Consequently, the formal model in LOTOS which was developed, had to be based on reverse engineering of the existing implementation code, which turned out to be a laborious process. Apparently the reverse engineering was performed correctly, because after extensive testing with TORX no discrepancies were found between the formal model and the implementation.

The conclusions were that, in principle, testing of such protocols is possible with TORX, but that some form of specification is required as the basis for testing. Testing against a formal model which is derived from the implementation does not make sense. In general, this means that it is not useful to apply TORX for testing legacy software for which there is no specification available.

#### E. A Cell-Broadcast-Centre Component

A component-based testing effort was conducted together with CMG Wireless Data Solutions B.V. CMG develops a *Cell-Broadcast-Centre* which can be seen as a switch for broadcasting SMS-messages. This system consists of a number of components communicating via a middleware layer. The aim was to test one of these components [7].

A specification in LOTOS (28 pp.) was developed based on the existing, natural language specification. The test environment was built by reusing large parts of the existing test environment which had been used for conventional testing. The ADAPTER was largely automatically generated based on an IDL specification of the interfaces of the component. Test execution with TORX did not reveal any errors which had not been detected with conventional testing.

To compare TORX with conventional testing, 10 mutants of the component were developed, of which 7 were detected by TORX, and 5 by the conventional test suite. A comparison of code coverage (statement coverage) resulted in 82% for the conventional test suite and 83% for TORX.

The main conclusions were that (i) in principle, it is possible to considerably reduce the effort of implementing a test environment by having a tool that generates large parts of the ADAPTER from an interface specification, e.g., in IDL; (ii) TORX performs at least as good as conventional testing in detecting errors; (iii) LOTOS is not a suitable specification language to specify this kind of systems, in particular not, if the goal is to transfer this kind of testing technology to industry.

#### F. And Further

Currently we are investigating, together with CMG, the use of TORX for testing the control software of the *Stormvloedkering Oosterschelde*, and we are studying the combination with their TESTFRAME method [21]. Another project studies testing of internet-based protocols, in particular testing of FTP-clients. Moreover, the design of TORX inspired Philips in their development of a new hardware-design tester.

#### G. Conclusions

Taken together, the main outcomes of the case studies are:

- Formal models serve as a precise arbiter for testing, so that only valid tests are generated, i.e., tests that test what should be tested.
- Very long tests, depending on the case study from 50,000 up to 500,000 test events, were automatically generated and executed.
- In some of the case studies faults were detected which had slipped through the conventional testing procedures. Strong points of TORX are that it can easily cope with a high degree of parallelism and that it can detect errors which only occur after long sequences of events.
- In cases where a comparison with traditional test methods has been made, TORX performed “at least as good as” traditional testing.
- Building a test environment for executing the generated tests is laborious, but does not differ from traditional test execution automation. Traditional test environments can be reused for formal testing.
- The most important errors are usually not found by testing, but during development of the formal model for testing, e.g., when this model is analysed using model checking.

## V. CONCLUDING REMARKS

### A. Conclusion

The goal of *Côte de Resyste* was to develop theory, tools and applications for automatic specification based testing using formal methods. To a large extent this goal has been achieved. The *ioco*-test theory provides a well-defined and rigorous basis for formal testing with proved test derivation algorithms. The prototype test tool TORX can completely automatically derive tests from formal specifications, execute them, and analyse the results. The successful application of TORX to different case studies showed the feasibility of the methodology, and the improvements of the testing process which were gained in terms of more, longer and provably correct tests.

Altogether, these results lead us to believe that it is advantageous to perform automatic testing based on a formal model of the system under test. The extra effort required for developing the necessary formal model is more than compensated by faster, cheaper, more effective, and more flexible testing.

The use of formal methods can improve the testing process, and formal testing can improve software development. An important benefit is not in testing itself, but in the formalization and validation process preceding the formal testing process. Then the most important errors, such as design errors, are detected. In the other direction, formal testing can stimulate the use of formal methods, by exploiting the perceived benefits during testing.

### B. The Future

TORX is only a prototype, and the case studies have clearly shown that it cannot cope with all kinds of testing in all circumstances. Moreover, there are still a number of important open testing problems. We mention some of them:

- Although important improvements have been made in test selection, it is still one of the most important research questions: how can the completeness and coverage of an automatically generated test suite be expressed, measured, computed, and, ultimately, controlled. Even more intriguing is the question how test suite coverage can be related to a measure of product quality. After all, product quality is the only actual reason to perform testing.
- Testing real-time requirements is an important issue, in particular in embedded systems. Neither the theory nor TORX can currently deal with them.
- Large data domains lead to state-explosion. Symbolic ways of representing and manipulating data are required.
- Systematic test data selection is currently not done, but is needed.
- Sometimes an abstract action in the specification is implemented as a sequence of less abstract actions in the implementation. This is called *action refinement*. Both theoretical and tool support are needed for this.
- Several case studies have shown that the performance of TORX should be improved, in particular with respect to the on-the-fly calculation of test primitives.
- Implementing a test environment, in particular the ADAPTER, is laborious. More support is needed, and the case studies showed that this is feasible, e.g., by generating the ADAPTER from an interface description in IDL. Alternatives may be ASN.1 or XML.
- Support for test result analysis can be improved, in particular, localization of an error in the implementation is not at all supported.

- Several cases concluded that the formal languages that we currently use are not satisfactory. A language that combines specification of behaviour and of data both with formal semantics, that is user-friendly not only for formalists, for which there is sufficient tool support including seamless integration with verification tools, is desirable.

- TORX tests functional properties. Extension with non-functional quality characteristic as robustness, performance, usability, reliability, ..., can be considered in the future.

- TORX was developed for reactive systems. A possible extension is to make TORX test other kind of software systems, e.g., administrative systems.

Some these open problems have already led to new research projects. Action refinement is investigated in *Atomyste* which is an STW PROGRESS project. In the NWO project STRESS real-time and data extensions are studied.

### C. Availability

TORX is freely available for research purposes. Information about obtaining it, as well as documentation and research papers can be found on the *Côte de Resyste*-webpage [6].

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