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Negative effects of submandibular botulinum neurotoxin A injections on oral motor function in children with drooling due to central nervous system disorders

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This article is commented on by Reddihough on pages 460–461 of this issue.

AIM The aims of this study were: (1) to determine the incidence and nature of adverse effects on oral motor function after first injections of botulinum neurotoxin A (BoNT-A) in submandibular glands for excessive drooling in children with central nervous system disorders; and (2) to identify independent predictors of these adverse effects.

METHOD A cohort study involved 209 children (123 males, 86 females, aged 4–27y, median 8y 4mo), who received submandibular BoNT-A injections for drooling. Adverse effects were categorized into swallowing, eating, drinking, articulation, and other problems. Univariable logistic regression was used to study differences in patients with and without adverse effects. Possible predictors were identified using multivariable logistic regression.

RESULTS Transient adverse effects occurred in 33% of the 209 BoNT-A treatments. Almost 80% of these were mild, versus 8.7% severe. Approximately 54% of the adverse effects spontaneously resolved within 4 weeks; 3% still existed after 32 weeks. A diagnosis of cerebral palsy, higher range of BoNT-A dosage, and a pre-treatment drooling quotient <18% were found to be independent predictors of adverse effects.

INTERPRETATION Before using submandibular BoNT-A injections for drooling, potential adverse effects should be discussed. Oral motor function needs to be monitored, because existing dysphagia may be worsened. The identified clinical predictors could be helpful to optimize patient selection.

Treatment with botulinum neurotoxin (BoNT) in the salivary glands is a widely accepted effective intervention for drooling in children with central nervous system (CNS) disorders. When injected into the salivary glands, BoNT inhibits the acetylcholine release at the terminal nerve endings, decreasing the secretion of saliva and diminishes drooling in the majority of patients.¹ The main group of children with neurologial impairments treated with BoNT injections are children with cerebral palsy (CP), a vulnerable group with a spectrum of oral motor problems (estimated 40% drooling prevalence).²–⁴ Drooling has a serious impact on the children’s social interaction, self-esteem, and health.⁵ The effectiveness of salivary gland BoNT has been studied extensively, although the debate about which glands should be injected is still going on. Several studies demonstrated that, after BoNT, drooling is significantly reduced. In Scheffer’s study a clinically notable response was found in 46.6% of children.⁶ The duration of this effect was approximately 2 to 6 months (median 24wks).⁶–⁸ In most of these studies, botulinum neurotoxin A (BoNT-A) was used.⁹ Considering the increasing use of BoNT for drooling, studies to identify possible risk factors for adverse effects on oral motor functions before treatment are urgently needed.¹⁰ Post-intervention assessments showed that adverse effects on oral motor functions with a potential negative effect on swallowing occurred from 0%¹¹–¹³ up to 17.8%⁶ of the cases after submandibular gland injections, and up to 33%¹⁴ of the cases after combined submandibular/parotid gland injections. To date no major complications were identified after submandibular injections.

Knowledge of the incidence, nature, and risk factors of adverse effects will help to predict which children with
CNS disorders will positively qualify for BoNT to ameliorate excessive drooling.

The objectives of this study were: (1) to determine what adverse effects on oral motor function occur up to 8 months after the first BoNT-A injections in the submandibular glands; (2) to describe the incidence and course of these adverse effects; and (3) to identify independent predictors for adverse effects on oral motor function.

**METHOD**

**Design and patient selection**

In this cohort study, 209 children (123 males, 86 females; median age at inclusion 8y 3mo, aged 4–27y) participated. Inclusion criteria were: (1) first treatment with BoNT-A injections in the submandibular glands in the period between January 2002 and May 2013; (2) moderate to severe drooling with a score of three or higher on the Teachers Drooling Scale (occasional drooling, intermittent, all day); (3) minimum age of 4 years; (4) a minimum of two measurements representing baseline and at least one follow up; and (5) no previous surgical procedure for saliva control. Informed consent for BoNT-A treatment was obtained from the child’s legal representative(s). Parents or caregivers were informed about the consequences and the expectations of the treatment before the injections. All injections were administered as part of regular care.

**Patient characteristics**

All children were assessed before treatment by members of the multidisciplinary saliva control team of the Radboud University Medical Centre. A medical assessment was performed by the pediatric neurologist and the ear, nose, and throat (ENT) specialist. Two specifically trained speech and language therapists (SLTs) carried out a social evaluation and an oromotor assessment. The quantity of drooling and the impact of the intervention on the severity of drooling were measured with objective and subjective scales at three different moments: before (t1), 8 weeks (t2), and 32 weeks after the injections (t3). The severity of drooling was quantified with the modified drooling quotient. A caretaker visual analogue scale score (range 0–10) reflected the severity of drooling, with 0 indicating ‘no drooling’ and 10 indicating very severe drooling. Based on direct SLT observations and parental reports, the viscosity of saliva was judged before and after BoNT-A (more serous/more mucus/unchanged).

**Treatment characteristics**

Intraglandular injections of BoNT-A (Botox; Allergan, Nieuwegein, the Netherlands) were performed by the team’s pediatric rehabilitation specialist under ultrasound guidance and general anesthesia. Treatment consisted of bilateral injections in the submandibular glands. Botox was diluted in saline 0.9% (25U/mL). Using a Spinocan needle, 1ml was divided over two or three sites throughout the gland. Occasionally, slightly more BoNT-A was injected to attain optimal spread, up to a maximum of 30U Botox per gland. For every child the applied dosage of BoNT-A per gland was noted in the medical records. The clinically relevant response at t2 to BoNT-A treatment was defined as ≥50% reduction in drooling quotient and/or a reduction of 2 standard deviations from the baseline visual analogue score to obtain a combined objective and subjective outcome.

**Adverse effects**

If the caregivers noticed any post-treatment change in oral motor function during the first 8 weeks, they were encouraged to contact the SLT for advice and, if needed, they were invited for an additional visit at the outpatient clinic. Adverse effects were elicited as a part of our usual care during each follow up moment at 8 weeks and 32 weeks through a semi-structured interview. During the SLT measurements at t2 and t3, we specifically asked for any probable adverse effect or change in health condition. Negative oral motor problems were recorded and categorized according to the International Classification of Functioning, Disability and Health, Children and Youth version (ICF-CY). Five subdomains remained: (1) saliva swallowing—reported changes in saliva viscosity, increased choking on saliva, and/or reported discomfort during swallowing saliva; (2) eating—reported discomfort during eating (coughing, gagging), deteriorated feeding pattern; (3) drinking—reported discomfort during drinking (coughing, choking, dyspnœa); (4) articulation—reported deteriorated speech; (5) other problem—reported other discomfort, as sore throat, dry mouth/lips, and teeth grinding. Adverse effects were subdivided into three categories: mild, moderate, and severe (definitions in Table II). The predefined outcome definition was dichotomous: adverse effects occurrence ‘yes’ versus ‘no’.

**Statistics**

Descriptive statistics were used to determine general characteristics of the children and allocated treatments, and the incidence and occurrence of the adverse effects. Medians and minimal/maximal values were calculated for continuous variables. The association between post BoNT-A saliva viscosity (more concentrated mucus saliva) and the appearance of adverse effects was calculated by a chi-squared test, as well as the relationship between the occurrence of adverse effects and the response to BoNT-A injections. Univariable logistic regression was used to study associations between patient characteristics, the BoNT-A dosage, and the occurrence of adverse effects. The adverse effects prevalence (α) and the crude odds ratio (OR) with 95% confidence interval were calculated.
confidence intervals (CI) are presented. Potential predictors of adverse effects incorporated in the model were based on biological plausibility and a previous publication of the drooling quotient.\textsuperscript{15} Model selection was done using backward stepwise elimination with \( p = 0.100 \) levels of removal. Results with two-tailed \( p \)-values <0.05 were considered significant. The adjusted ORs with 95% CI of the final model were calculated. The area under the curve (AUC) of the receiver operating characteristic (ROC) curve was used as a measure of predictive discrimination. Missing descriptive values were considered as missing completely at random (MCAR). To calculate treatment responses, missing values of drooling quotient and visual analogue scores were imputed according to the worst-case scenario: missing data were replaced with the last previous observation or replaced by baseline values. Statistical analyses were carried out using SPSS 20.0 for Windows (IBM Corp., Armonk, NY, USA).

### RESULTS

#### Patient and treatment characteristics

Our data set of 209 children contained 130 children with CP (62.2%), whereas 78 children (37.3%) were classified as non-CP (e.g. epileptic encephalopathy or neurogenetic syndromes) (Table I). The disease course was complicated by intractable seizures in 18 children (8.6%). All children had received bilateral submandibular BoNT-A injections, 182 (87.1%) with a dosage of 25U, 16 (7.7%) had received <25U per gland, and 8 (3.8%) had received more than 25U per gland. Most children \((n=136; 65\%)\) were classified as responders, whereas 73 (35%) were considered to be non-responders at t2.

#### Adverse effects

The incidence and characteristics of the adverse effects are listed in Table II together with the advices and interventions given post treatment. Adverse effects were recorded in 69 (33\%) of the children. Of the 69 children with adverse effects, 22 children (31.9\%) experienced saliva swallowing, 51 (73.9\%) eating, 22 (31.9\%) drinking, 4 (5.8\%) articulation, and 15 (21.7\%) other problems. In 37 children (53.6\%) with any type of adverse effects, an isolated oral motor problem occurred. Simultaneous problems co-occurred in two domains in 23 (33.3\%), in three domains in 5 (7.2\%), and in four domains in four children (5.8\%). Severe adverse effects appeared to be related to the occurrence of multiple problems in one individual at the same time. Of the six children with severe adverse effects, only one child reported one problem, whereas one child experienced two problems, two children three problems, and two children four problems at the same time. In 54 (78.3\%) the onset of the adverse effects occurred within 1 week after treatment, while complete disappearance occurred in 37 children (53.6\%) before the end of the fourth week post treatment. Two children experienced adverse effects longer than 8 months. The severity of the adverse effects was mild in 55 (79.7\%) and no post-treatment intervention was needed in 50 of these children.

### Table I: Characteristics of study population at baseline (t1) (n=209)

<table>
<thead>
<tr>
<th>Patient characteristics</th>
<th>n (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sex</td>
<td></td>
</tr>
<tr>
<td>Male</td>
<td>123 (58.9)</td>
</tr>
<tr>
<td>Female</td>
<td>86 (41.1)</td>
</tr>
<tr>
<td>Age at inclusion</td>
<td></td>
</tr>
<tr>
<td>Median</td>
<td>8.4 (4.1–27.8)</td>
</tr>
<tr>
<td>Diagnosis</td>
<td></td>
</tr>
<tr>
<td>CP</td>
<td>130 (62.2)</td>
</tr>
<tr>
<td>Non-CP\textsuperscript{b}</td>
<td>78 (37.3)</td>
</tr>
<tr>
<td>Unknown</td>
<td>1 (0.5)</td>
</tr>
<tr>
<td>Disease course\textsuperscript{c}</td>
<td></td>
</tr>
<tr>
<td>Complicated</td>
<td>18 (8.6)</td>
</tr>
<tr>
<td>Non-complicated</td>
<td>190 (90.9)</td>
</tr>
<tr>
<td>Unknown</td>
<td>1 (0.5)</td>
</tr>
<tr>
<td>Mental ability</td>
<td></td>
</tr>
<tr>
<td>Developmental age &lt;4y</td>
<td>131 (62.7)</td>
</tr>
<tr>
<td>Developmental age &gt;4y</td>
<td>78 (37.3)</td>
</tr>
<tr>
<td>Degree of mobility</td>
<td></td>
</tr>
<tr>
<td>Ambulant</td>
<td>104 (49.8)</td>
</tr>
<tr>
<td>Non-ambulant</td>
<td>105 (50.2)</td>
</tr>
<tr>
<td>Cause of drooling</td>
<td></td>
</tr>
<tr>
<td>Low cognitive awareness</td>
<td>25 (12)</td>
</tr>
<tr>
<td>Impaired oral phase of swallowing</td>
<td>126 (60.2)</td>
</tr>
<tr>
<td>Impaired oropharyngeal phase of swallowing</td>
<td>58 (27.8)</td>
</tr>
<tr>
<td>Nutrition intake</td>
<td></td>
</tr>
<tr>
<td>Tube/tube and oral</td>
<td>34 (16.3)</td>
</tr>
<tr>
<td>Oral</td>
<td>175 (83.7)</td>
</tr>
<tr>
<td>Choking on saliva</td>
<td></td>
</tr>
<tr>
<td>Yes</td>
<td>59 (28.2)</td>
</tr>
<tr>
<td>No</td>
<td>145 (69.4)</td>
</tr>
<tr>
<td>Unknown</td>
<td>5 (2.4)</td>
</tr>
<tr>
<td>Choking on food</td>
<td></td>
</tr>
<tr>
<td>Yes</td>
<td>96 (45.9)</td>
</tr>
<tr>
<td>No</td>
<td>109 (46.8)</td>
</tr>
<tr>
<td>Unknown</td>
<td>4 (1.9)</td>
</tr>
<tr>
<td>Choking on drinks</td>
<td></td>
</tr>
<tr>
<td>Yes</td>
<td>107 (51.2)</td>
</tr>
<tr>
<td>No</td>
<td>99 (47.4)</td>
</tr>
<tr>
<td>Unknown</td>
<td>3 (1.4)</td>
</tr>
<tr>
<td>DQ</td>
<td>Median</td>
</tr>
<tr>
<td></td>
<td>Unknown</td>
</tr>
<tr>
<td>VAS</td>
<td>Median</td>
</tr>
<tr>
<td></td>
<td>Unknown</td>
</tr>
<tr>
<td>Treatment characteristics:</td>
<td></td>
</tr>
<tr>
<td>Dosage of BoNT-A per gland, n (%)</td>
<td></td>
</tr>
<tr>
<td>Dosage=25U</td>
<td>16 (7.7)</td>
</tr>
<tr>
<td>Dosage&gt;25U</td>
<td>182 (87.1)</td>
</tr>
<tr>
<td>Dosage=25U</td>
<td>8 (3.8)</td>
</tr>
<tr>
<td>Dosage&gt;25U</td>
<td>3 (1.4)</td>
</tr>
<tr>
<td>Saliva viscosity at t2, n (%)</td>
<td></td>
</tr>
<tr>
<td>More serous</td>
<td>17 (8.1)</td>
</tr>
<tr>
<td>More mucus</td>
<td>76 (36.4)</td>
</tr>
<tr>
<td>Unchanged</td>
<td>76 (36.4)</td>
</tr>
<tr>
<td>Unknown</td>
<td>40 (19.1)</td>
</tr>
<tr>
<td>Treatment response\textsuperscript{d}</td>
<td>n (%)</td>
</tr>
<tr>
<td>Responder</td>
<td>136 (65.1)</td>
</tr>
<tr>
<td>Non-responder</td>
<td>73 (34.9)</td>
</tr>
</tbody>
</table>

\textsuperscript{a}Data of age, DQ and VAS are presented as median (min/max).
\textsuperscript{b}Non CP: children with developmental disability mainly as part of a syndrome, genetic, metabolic or neurodegenerative disorder.
\textsuperscript{c}Complicated: in case of a progressive disease or if something unexpected happened (e.g. uncontrolled epilepsy), Non-complicated: when the course did not deviate from expected.
\textsuperscript{d}Treatment response: treatment with BoNT-A was defined as effective and clinically useful if \( \geq 50\% \) reduction in DQ was found and/or if a reduction of \( \geq 3.86 \) (2SD) of the VAS score (0–10) occurred at t2 compared to t1. CP, cerebral palsy; y, year; BoNT-A, Botulinum neurotoxin A; t1, baseline; U, unit; DQ, drooling quotient; VAS, visual analogue scale.
The occurrence of an adverse effect ($X^2 [1, n=209]=11.5, p=0.001$). No relation was found between the occurrence of adverse effects and being a responder or non-responder to BoNT-A injections at t2 ($X^2 [1, n=209]=0.42, p=0.521$).

**Prediction model**

In Table III, crude ORs and adjusted ORs are given for the biologically plausible risk factors for any adverse effect. The ordinal category with the suspected lowest adverse effect chance was chosen as a reference. Statistically significant predictors of adverse effects were having a diagnosis of CP, higher dosage of BoNT-A, and a pre-treatment drooling quotient below 18%. When all other variables remained stable, children with CP were 3 times more likely to experience an adverse effect (OR: 3.08; 95% CI: 1.53–6.19) than other children. Compared to children injected with <25U Botox, treatment with a dosage of 25U Botox increased the odds of experiencing an adverse effect by a factor 5 (OR: 5.06; 95% CI: 1.07–23.84), whereas children injected with more than 25U Botox were eight times more likely to have an adverse effect (OR: 8.13; 95% CI: 1.02–64.96). Lastly, a pre-treatment drooling quotient <18% increased the odds (OR: 2.40; 95% CI: 1.18–4.88) of developing adverse effects compared with a pre-treatment drooling quotient ≥18%. The AUC of the ROC curve for the multivariable regression analysis was 67% (95% CI: 60–75%).

**DISCUSSION**

To our knowledge this series represents the largest cohort of children (n=209) who received BoNT-A injections exclusively in the submandibular glands. Moreover, our registration of adverse effects was based on a standardized face-to-face contact 8 weeks and 32 weeks post injections. From this study it can be concluded that adverse effects on oral motor functions occur in 33% of the children but, at the same time, that almost 80% of the adverse effects were ‘mild’ and 54% disappeared within 4 weeks after the injections. By categorizing the oral motor problems after BoNT-A in different domains, we found that eating problems were reported the most, followed by (saliva) swallowing and drinking problems. Only the group of children with moderate and severe adverse effects (n=13) needed advice and supervision of the SLT or physician. Considering the pharmacology of BoNT-A, two individuals exhibited unexplained adverse effects lasting longer than 32 weeks, as the normal (median) duration of BoNT efficacy for drooling is 22 weeks.

Of the children who experienced severe adverse effects, 4 (5.8%) needed hospital admission or required a substantial change in feeding or a nasogastric tube feed for a few weeks (2.9%). Concerning the phone calls by SLTs and additional outpatient visits, advice involved medication, the adaptation of the food consistency, supportive care, and explanation of the problem (21.7% of the cases).

In 76 of the 209 cases (36.4%) saliva became more mucus at t2. Increased viscosity of saliva was positively related to the occurrence of an adverse effect ($X^2 [1, n=209]=11.5, p=0.001$). No relation was found between the occurrence of adverse effects and being a responder or non-responder to BoNT-A injections at t2 ($X^2 [1, n=209]=0.42, p=0.521$).

### Table II: Incidence and characteristics of adverse effects of first botulinum neurotoxin A (BoNT-A) injections in the submandibular glands as well as advices/interventions given post treatment (n=209)

<table>
<thead>
<tr>
<th>A. Incidence of adverse effects</th>
<th>n (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Adverse effects</td>
<td></td>
</tr>
<tr>
<td>Yes</td>
<td>69 (33.0)</td>
</tr>
<tr>
<td>No</td>
<td>140 (67.0)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>B. Characteristics of adverse effects (n=69)</th>
<th>Severity of problem</th>
<th>n (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mild</td>
<td>55 (79.7)</td>
<td></td>
</tr>
<tr>
<td>Moderate</td>
<td>7 (10.1)</td>
<td></td>
</tr>
<tr>
<td>Severe</td>
<td>6 (8.7)</td>
<td></td>
</tr>
<tr>
<td>Not specified</td>
<td>1 (1.5)</td>
<td></td>
</tr>
<tr>
<td>Oral motor problem</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Saliva swallowing problems</td>
<td>22 (31.9)</td>
<td></td>
</tr>
<tr>
<td>Eating problems</td>
<td>51 (73.9)</td>
<td></td>
</tr>
<tr>
<td>Drinking problems</td>
<td>22 (31.9)</td>
<td></td>
</tr>
<tr>
<td>Articulation problems</td>
<td>4 (5.8)</td>
<td></td>
</tr>
<tr>
<td>Other</td>
<td>15 (21.7)</td>
<td></td>
</tr>
</tbody>
</table>

| Number of co-occurring oral motor problems  | 1 | 37 (53.6) |
|                                            | 2 | 23 (11.0) |
|                                            | 3 | 5 (2.4)   |
|                                            | 4 | 4 (1.9)   |

| Time of problem onset                      | <1wk | 54 (78.3) |
|                                            | 1–8wks | 5 (7.2) |
|                                            | Unknown | 10 (14.5) |

| Duration of problem                        | <1wk | 12 (17.4) |
|                                            | 1–4wks | 25 (36.2) |
|                                            | 4–8wks | 6 (8.7)   |
|                                            | 8–32wks | 7 (10.2) |
|                                            | >32wks | 2 (2.9)   |
|                                            | Unknown | 17 (24.6) |

| C. Post treatment advices/interventions    | None | 50 (72.5) |
|                                            | Phone consultancy | 11 (15.9) |
|                                            | Additional outpatient visit | 3 (4.3) |
|                                            | Hospital admission | 4 (5.8) |
|                                            | Other              | 1 (1.5) |

| Advices                                    | Start (or increase) of tube feeding | 2 (2.9) |
|                                            | Adapt feeding/consistency | 9 (13.0) |
|                                            | Medication | 1 (1.5) |
|                                            | Other | 5 (7.2) |
|                                            | None | 50 (72.5) |
|                                            | Unknown | 2 (2.9) |

*Mild: short transient changes in saliva swallowing, eating, drinking, or articulation, not leading to changes in lifestyle or doctor visits. Moderate: transient changes in oral motor functions or losing weight, nearly always requiring consultation by a general practitioner. Severe: change in oral motor function requiring one or more days of hospitalization or substantial changes in feeding (e.g. tube feeding). *Multiple problems per child are possible. Other: combination of advice or interventions.

(72.5%). Of the children who experienced severe adverse effects, 4 (5.8%) needed hospital admission or required a substantial change in feeding or a nasogastric tube feed for a few weeks (2.9%). Concerning the phone calls by SLTs and additional outpatient visits, advice involved medication, the adaptation of the food consistency, supportive care, and explanation of the problem (21.7% of the cases).

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In previous studies, the incidence of mild or moderate complications of submandibular BoNT injections in children ranged from 0% to 17.8%. In the present study we found such complications in 29.7% of the cases, whereas severe adverse effects occurred in 2.9%. Because protocols differed between studies with respect to intervention and follow-up, we estimated the percentages of patients with complications per treatment. Most studies reported complications after combined BoNT injections into the parotid and submandibular glands, or after repeated salivary gland
injections in the same individual. 14,16–18 Chan et al. 19 found 15.8% complications after combined injections with major complications in 4% of the cases. In a study by Khan et al., 15 of the 45 patients (33.3%) experienced at least one problem after combined injections. Major problems requiring intensive therapy and prolonged hospitalization occurred in 11.1% of the cases.14 The adverse effect definition and strict follow-up protocol in our study may be the reason for the relatively high adverse effect percentages. However, it should be noted that some adverse effects in Chan et al.’s19 study may not have been recognized because of possible recall bias (telephone survey response rate 51%). In conclusion we see fewer severe adverse effects (2.9%) after the two-gland method with isolated submandibular injections than after the four-gland method with combined parotid and submandibular gland injections (4–11.1% complications); this, as also indicated by Gok et al.,13 would be the first choice in saliva control treatment when BoNT-A injections are considered in children.

Children with CNS disorders who are treated for drooling are vulnerable with regard to their oral motor abilities. In present study, 28.2 to 51.2% already showed dysphagia at baseline. After BoNT-A, the oral motor problems increased in 69 children (33%). As expected, a higher frequency of adverse effects occurred in children with oral feeding skills (87%). Our findings underline the recommendation by Reddihough et al.1 to regularly contact the patient’s caregivers in the weeks after BoNT injections to evaluate oral motor problems.

Authors mentioned two main causes for the deterioration of swallowing and/or speech. Higher salivary viscosity after BoNT-A injections may result in problems with intraoral processing of (solid) food.20,21 Indeed, we found a significant association between increased salivary viscosity and the occurrence of adverse effects. Concerning oral motor function, the second potential cause of BoNT-related problems is the diffusion of the toxin outside the salivary gland leading to muscle weakness.6,20

Table III: Number of patients with and without adverse effects (AE+/−) and ORs and adjusted ORs with 95% confidence interval based on univariable and multivariable logistic regression analysis with AUCa respectively

<table>
<thead>
<tr>
<th>Characteristics</th>
<th>AE− (%) (n=140)</th>
<th>AE+/ (%) (n=69)</th>
<th>OR (95% CI)</th>
<th>Adjusted OR (95% CI)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sex</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Male</td>
<td>81 (57.9)</td>
<td>42 (60.9)</td>
<td>1.00 (reference)</td>
<td>—</td>
</tr>
<tr>
<td>Female</td>
<td>59 (42.1)</td>
<td>27 (39.1)</td>
<td>0.88 (0.49–1.59)</td>
<td>—</td>
</tr>
<tr>
<td>Developmental age</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>&lt;4y</td>
<td>91 (65.0)</td>
<td>40 (58.0)</td>
<td>1.35 (0.75–2.43)</td>
<td>—</td>
</tr>
<tr>
<td>≥4y</td>
<td>49 (35.0)</td>
<td>29 (42.0)</td>
<td>1.00 (reference)</td>
<td>—</td>
</tr>
<tr>
<td>Diagnosis</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Non CP</td>
<td>61 (43.9)</td>
<td>17 (24.6)</td>
<td>1.00 (reference)</td>
<td>1.00 (reference)</td>
</tr>
<tr>
<td>CP</td>
<td>78 (56.1)</td>
<td>52 (75.4)</td>
<td>2.39 (1.26–4.54)</td>
<td>3.08 (1.53–6.19)b</td>
</tr>
<tr>
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<td>1</td>
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<td></td>
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<tr>
<td>Degree of mobility</td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Ambulant</td>
<td>75 (53.6)</td>
<td>29 (42.0)</td>
<td>1.00 (reference)</td>
<td>—</td>
</tr>
<tr>
<td>Non-ambulant</td>
<td>65 (46.4)</td>
<td>40 (58.0)</td>
<td>1.59 (0.89–2.85)</td>
<td>—</td>
</tr>
<tr>
<td>Injected dosage BoNT per gland</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>&lt;25U</td>
<td>14 (10.2)</td>
<td>2 (2.9)</td>
<td>1.00 (reference)</td>
<td>1.00 (reference)</td>
</tr>
<tr>
<td>25U</td>
<td>119 (86.9)</td>
<td>63 (91.3)</td>
<td>3.71 (0.82–16.82)</td>
<td>5.06 (1.07–23.84)b</td>
</tr>
<tr>
<td>&gt;25U</td>
<td>4 (2.9)</td>
<td>4 (5.8)</td>
<td>7.00 (0.92–53.23)</td>
<td>8.13 (1.02–64.96)b</td>
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<tr>
<td>Unknown</td>
<td>3</td>
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<tr>
<td>Nutrition intake</td>
<td></td>
<td></td>
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<tr>
<td>Tube/tube and oral</td>
<td>25 (17.9)</td>
<td>9 (13.0)</td>
<td>1.00 (reference)</td>
<td>—</td>
</tr>
<tr>
<td>Oral</td>
<td>115 (82.1)</td>
<td>60 (87.0)</td>
<td>1.45 (0.64–3.30)</td>
<td>—</td>
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<tr>
<td>Choking on saliva (t1)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>No</td>
<td>98 (70.5)</td>
<td>47 (72.3)</td>
<td>1.00 (reference)</td>
<td>—</td>
</tr>
<tr>
<td>Yes</td>
<td>41 (29.5)</td>
<td>18 (27.7)</td>
<td>0.92 (0.48–1.76)</td>
<td>—</td>
</tr>
<tr>
<td>Unknown</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>Choking on food (t1)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>No</td>
<td>77 (55.8)</td>
<td>32 (47.8)</td>
<td>1.00 (reference)</td>
<td>—</td>
</tr>
<tr>
<td>Yes</td>
<td>61 (44.2)</td>
<td>35 (52.2)</td>
<td>1.38 (0.77–2.48)</td>
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<tr>
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<td></td>
<td></td>
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<tr>
<td>Choking on drinks (t1)</td>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>No</td>
<td>67 (48.6)</td>
<td>32 (47.1)</td>
<td>1.00 (reference)</td>
<td>—</td>
</tr>
<tr>
<td>Yes</td>
<td>71 (51.4)</td>
<td>36 (52.9)</td>
<td>1.06 (0.59–1.90)</td>
<td>—</td>
</tr>
<tr>
<td>Unknown</td>
<td>2</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>DQ (t1)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>DQ&lt;18</td>
<td>87</td>
<td>52</td>
<td>1.94 (1.01–3.75)</td>
<td>2.40 (1.18–4.88)b</td>
</tr>
<tr>
<td>DQ≥18</td>
<td>52</td>
<td>16</td>
<td>1.00 (reference)</td>
<td>1.00 (reference)</td>
</tr>
<tr>
<td>Unknown</td>
<td>1</td>
<td>1</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

aThe area under the ROC curve for multivariable logistic regression analysis was 67% (95% CI: 60–75%). bSignificant predictors of adverse effects based on multivariable logistic regression analysis. AE+, patients with adverse effects; AE−, patients without adverse effects; OR, odds ratio; −, variables not selected in the multivariable logistic regression analysis.
submental muscle group (SMG) plays an important part in normal swallowing. After submandibular BoNT diffusion into the SMG most likely results in muscle weakness and, as a consequence, the child may not properly control the swallowing process leading to oral dysfunction.

Up to now, it has been unknown which children with CNS disorders will experience adverse effects after BoNT treatment. We tried to discover potential risk factors and identified three clinically significant predictors of the occurrence of adverse effects: diagnosis of CP, higher BoNT-A dosage, and pre-treatment drooling quotient of <18%.

Children with CP were three times more likely to experience an adverse effect than other children. This finding may be attributed to the fact that, in children with CP, drooling is generally caused by an impaired oropharyngeal swallowing caused by poor oral muscle control. In other children with CNS disorders drooling is usually less associated with motor control, but more commonly caused by less awareness and inability to recognize salivary spill. We hypothesize that, in some children with CP, changes in the viscoelastic properties and the decreased salivary amount, or induced muscle weakness, cannot adequately be compensated by the oral motor system.

In the present study we had the opportunity to compare different dosages of BoNT per submandibular gland. Children treated with 25U and >25U Botox per gland were more at risk of an adverse effect (5 and 8 times higher risk respectively). Recently, Moller et al. found no relationship between adverse effects and the administered dose or injection method of BoNT-A. Some authors have speculated whether a high volume of liquid or a slower speed of delivery may affect the likelihood of dispersal into surrounding tissues. Currently, the most effective dilution of BoNT and the number of injection sites within the gland are still under debate. Normally, the amount of fluid injected raises the intraglandular pressure and, theoretically, leakage of the drug might occur. Tighe et al. also recently reported dysphagia after BoNT-A extravasation from the glandular puncture site, possibly depending on the injected volume.

At baseline, children who had a drooling quotient <18 (i.e. mild drooling) were 2.4 times more likely to develop an adverse effect. The drooling quotient is a reliable objective measure of unintentional loss of saliva from the mouth. In a previous study by our team, we concluded that children with a drooling quotient <18 may be eligible for a more conservative intervention, such as oral sensorimotor training. In cases when those children receive BoNT, because of a failure of the oral motor training and the high impact of the drooling, the mouth could possibly become dry, interfering with mastication. On the other hand, the drooling quotient is a measurement for anterior (visible) drooling and children with a low drooling quotient might be sensitive to posterior drooling, making them more vulnerable to adverse effects – i.e. those children lack the strength to process the thickened saliva making them prone to saliva swallowing problems. We argue that this cut-off threshold should not be applied as the only variable to indicate an invasive treatment. The use of subjective measurements of the severity and impact of drooling on the child and parents should also be encouraged.

Interestingly, we could not find a relation between the occurrence of an adverse effect and being a responder or not. Thus, we are convinced that it is justified to treat children with CNS disorders for chronic drooling because the majority of the adverse effects are mild and will improve within a few weeks in most of the cases.

A limitation of our study is that we focused on a limited set of factors that might influence the occurrence of adverse effects. There may be other risk factors of importance such as the use and/or change of oral medication during/after BoNT treatment. In addition, we did not document any concurrent BoNT injections into the skeletal muscles to treat spasticity. Indeed, disturbances of swallowing and speech have been reported after multilevel intramuscular injections because the total amount of BoNT in the body is substantially increased after combined intraglandular and intramuscular injections. Thus, clinicians should be aware of the increased risk of oral motor dysfunction if they treat both.

In conclusion, BoNT-A injections can reduce saliva production and constitute one of the treatment options for children with CNS disorders and excessive drooling. However, in one-third of the treatments, mild and transient oral motor problems can be expected. A diagnosis of CP, higher BoNT-A dosage, and mild visible drooling at baseline are associated with an increased risk of oral motor problems. However, more scientific research at both the neurophysiological level (i.e. determinants of the entire pharyngeal swallowing process) and the pharmaceutical level (dose and concentration-finding) is needed. Moreover, such treatment and subsequent follow-up should preferably take place under the responsibility of a multidisciplinary saliva control team that is capable of anticipation and immediate management of adverse effects.

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REFERENCES