Direct brain recordings reveal hippocampal rhythm underpinnings of language processing

Vitória Piai1,4,9, Kristopher L. Anderson3, Jack J. Lin3, Callum Dewar3, Josef Parvizi2, Nina F. Dronkers1,4,9, and Robert T. Knighta

*Department of Psychology, Helen Wills Neuroscience Institute, University of California, Berkeley, CA 94720; 2Radboud University, Donders Institute for Brain, Cognition, and Behaviour, Donders Centre for Cognition, 6525HR, Nijmegen, The Netherlands; 3Radboud University Medical Center, Department of Medical Psychology, 6525EX, Nijmegen, The Netherlands; 4Comprehensive Epilepsy Center, Department of Neurology, University of California, Irvine, CA 92868; 5Laboratory of Behavioral and Cognitive Neurology, Department of Neurology and Neurological Sciences, Human Intracranial Cognitive Electrophysiology Program (SHICEP), Stanford University, Stanford, CA 94304; 6Center for Aphasia and Related Disorders, Veterans Affairs Northern California Health Care System, Martinez, CA 94553; 7Department of Neurology, University of California, Davis, CA 95817; and 8Neurolinguistics Laboratory, National Research University Higher School of Economics, Moscow, Russian Federation

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Language is classically thought to be supported by perisylvian cortical regions. Here we provide intracranial evidence linking the hippocampal complex to linguistic processing. We used direct recordings from the hippocampal structures to investigate whether theta oscillations, pivotal in memory function, track the amount of contextual linguistic information provided in sentences. Twelve participants heard sentences that were either constrained (“She locked the door with the”) or unconstrained (“She walked in here with the”) before presentation of the final word (“key”), shown as a picture that participants had to name. Hippocampal theta power increased for constrained relative to unconstrained contexts during sentence processing, preceding picture presentation. Our study implicates hippocampal theta oscillations in a language task using natural language associations that do not require memorization. These findings reveal that the hippocampal complex contributes to language in an active fashion, relating incoming words to stored semantic knowledge, a necessary process in the generation of sentence meaning.

Language is viewed as a predominantly perisylvian function typically studied in isolation from memory. The medial temporal lobe, and in particular the hippocampus, has not been considered as a key brain structure supporting language. For example, the hippocampal complex is still absent from prominent language models (1, 2).

Recently, studies examining patients with hippocampal lesions have found behavioral deficits in online language use (3; but see also refs. 4 and 5). From these findings, it has been proposed that the hippocampus is necessary for the integration and maintenance of memory representations required for the online use of language (3). However, the neurophysiological mechanism by which the hippocampus might support linguistic processing is unknown.

Oscillations play a key role in neuronal communication and provide optimal windows for neural excitability and network interactions (6). In the mammalian hippocampus, theta oscillations are ubiquitous and have been described as an index of neuronal computations by which different sources of information are integrated (7). The tight relationship between hippocampal theta oscillations and behavior is well studied. In particular, increases in oscillatory theta power are observed in relation to various memory processes in rodents (7, 8) and in humans (9, 10), but similar physiological data are lacking for language. We hypothesized that the same neurophysiological mechanism used by the hippocampus for memory function, as measured by theta oscillations, is also used for the online use of language.

One way to probe the integration and maintenance of memory representations for the online use of language is through context association. Context plays a fundamental role in language, facilitating word retrieval (11, 12) and enabling prediction during language comprehension (13). The activation of a concept invariably results in the coactivation of other associated features or concepts (14, 15). Given the critical role of the medial temporal lobe in retrieving learned associations (16), we examined activity recorded directly from the hippocampal complex of participants performing a linguistic-context task.

Twelve patients implanted stereotactically with depth electrodes for the localization of epileptic foci participated in the study. Table 1 provides additional information on their handedness, electrode coverage, and electrode analysis. The patients heard lead-in sentences missing the final word; then, they were shown a picture to name that completed the sentence. Half of the sentences had a linguistic context constraining the final word [e.g., “She locked the door with the” (picture: key)], and the other half had an unconstrained context [e.g., “She walked in here with the” (picture: key)] (Table S1). An example of the trial structure is shown in Fig. 1. All of our sentences were well-formed, acceptable English sentences (as in natural language use), with a duration of 2.6–3.1 s. Our task required online language comprehension and production in both conditions. Crucially, in the constrained sentences, the words are more strongly associated with a particular context/concept, constraining the sentence meaning and facilitating retrieval of the picture name (11, 12).

Instead of comparing active versus rest time-periods, we directly recorded hippocampal theta power, as shown in Fig. 2. We observed a significant increase of theta power in the constrained condition before presentation of the final word, as well as increased theta power associated with online language processing (Table 1).

In conclusion, our findings provide support for the hippocampal complex contributing to linguistic processing in a manner consistent with its role in memory function. The hippocampus might support linguistic processing by enabling prediction, facilitating word retrieval, and providing optimal windows for neural excitability and network interactions. The role of the hippocampal complex in language supports the growing view that the hippocampus plays a critical role in multiple aspects of cognition (17–19). Understanding the details of the neurophysiological mechanisms underlying the hippocampal role in language is a topic of active research and has implications for the understanding of language disturbance in a variety of neurological conditions (19).

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1To whom correspondence should be addressed. Email: v.piai@donders.ru.nl.

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Significance

Language is viewed as a predominantly perisylvian function typically studied in isolation from memory. We demonstrate that the same neuronal computations used by the hippocampus for memory function also subserve online language usage. Our findings specify that the hippocampal complex contributes to language in an active fashion, relating incoming words to stored semantic knowledge, a necessary process in the generation of sentence meaning. These findings represent a major step in integrating the studies of language and memory, significantly expanding the role of hippocampal theta oscillations and adding hippocampal structures to the neural network supporting language.
sentence to a particular context. By asking participants to produce the last word of the sentence, we could assess whether they used the stronger associations between the words to enhance their behavior (11, 12).

**Results**

**Sentence Context Facilitates Word Retrieval.** Participants named pictures on average 174-ms faster in the constrained contexts, t(11) = 9.23, P < 0.001 (Fig. 2). These results confirm that participants used the sentence context to guide their picture-naming responses.

**Context Increases theta Power During Sentence Processing.** We assessed the neurophysiological mechanism of the context effect by recording from bipolar contacts in the hippocampal complex of the patients’ nonepileptic hemisphere (Table 1). For 10 of 12 patients, hippocampal-complex contacts showed sustained power increases during the lead-in sentences in constrained relative to unconstrained contexts (all cluster-based permutation tests Ps < 0.012, Bonferroni-corrected for the number of contacts tested per patient) (see Table 1 and Figs. S1 and S2 for all spectral data). Fig. 3 shows the time-resolved spectra of the context effect (constrained vs. unconstrained) in one bipolar contact of each patient time-locked to picture onset. On average, 1.6 contacts per patient were significant. The power increases occurred between 2 and 10 Hz (mean centered at 5 Hz) in the human hippocampal theta range (9).

Fig. 3 shows that the contextual theta-power increases were sustained consistently across patients and occurred before picture onset (starting on average around 2.5 s before picture presentation). No hippocampal-complex contact showed theta-power increases exclusively after picture onset, indicating that the theta effect is related to semantic associations present in the sentence context.

In the bipolar contacts examined for patients P1 and P11, the theta-context effect was evident relatively early. However, given that the words across the sentences differed in their timing (onset, offset, and duration), picture-locked analyses do not provide information about the theta effect relative to sentence onset. Moreover, time-resolved power estimations are inherently temporally smoothed, especially for low frequencies (in the order of hundreds of milliseconds), which could contribute to smearing effect onsets earlier in time. Fig. 4 shows the theta time-series for the constrained and unconstrained contexts and corresponding 95% confidence intervals (CI) for all 19 contacts showing a significant theta effect in the picture-locked analyses. On the group level, sustained power increases in hippocampal-complex contacts started around 1 s after sentence onset (cluster-based permutation P < 0.001), which is slightly later than the median onset of the third word of the sentence. For the two patients with apparent early effects (P1 and P11), sustained power increases in hippocampal-complex contacts started slightly earlier than the median onset of the third word of the sentence (Fig. S3) (cluster-based permutation tests Ps < 0.05, Bonferroni-corrected for number of contacts tested per patient). Thus, the theta-context effect does not start too early in the sentence, especially when the inherent temporal smoothing of spectral estimations is taken into account.

Fig. 5 indicates the bipolar contacts showing significant theta-power increases (in left and right hippocampal complexes). We found the context theta effect not only in the hippocampus proper (74% of the significant contacts), but also in the hippocampal complex, including the parahippocampal gyrus (seven available, three with a theta effect), and entorhinal cortex (two available, two with an effect) (Table 1), with each region showing a similar spectro-temporal profile (Fig. S1).

**theta-Power Increases with Strong Semantic Associations.** When processing sentences, listeners use syntactic (i.e., grammatical; for example, a noun follows a determiner) and semantic information. To elucidate the functional role of the theta oscillations in our

![Fig. 1. Trial structure. An example of a trial with constrained (Upper) and unconstrained (Lower) sentence contexts with auditory sentences and visual pictures. Only one sentence was presented at each trial, but here both sentences are shown at the same time. Sentences lasted between 2.6 and 3.1 s. For picture-locked data, sentences started between 3.1 and 3.6 s before picture presentation.](Image)
study, we examined a syntactic measure (i.e., syntactic probability) (18) and a semantic measure (i.e., latent semantic analysis, LSA) (19) of our context manipulation. Syntactic probability of the words did not differ between the two conditions ($P = 0.343$). Thus, syntactic processing cannot explain the theta-context effect.

For the semantic-processing measure, we calculated the strength between each word in the sentence and the picture name using LSA. LSA provides a statistical measure of the semantic relationship between words. Associative strength was stronger for constrained than unconstrained contexts from the second word onward ($Ps < 0.034$) (see *Analysis of Semantic Associations*, below). The word with the largest semantic contribution to defining the sentence context was determined as the word that had the strongest LSA association with the picture name.

The theta power for the context-defining words are shown in Fig. 6A, as well as theta power to the preceding and following word. The average LSA association values are shown in the bottom part of Fig. 6A. The defining context theta-power increases were more robust in the constrained than in the unconstrained condition (group-level Wilcoxon signed-rank $z = 1.99$, $P = 0.047$). For the constrained condition, all patients showed increased theta power at the context-defining words relative to the preceding word, shown in Fig. 6B (group-level Wilcoxon signed-rank test $z = 2.803$, $P = 0.005$). Note that for all but one patient, theta power was already increased relative to baseline so the theta-power increases at the context-defining word consist of a further increase in the ongoing theta oscillations. For the unconstrained condition, this pattern was not observed (group-level Wilcoxon signed-rank $z = 0.153$, $P = 0.879$). There was no evidence for theta-power differences between the context-defining word and the following word (group-level Wilcoxon signed-rank $z = -1.27$, $P = 0.203$).

**Discussion**

Our study demonstrates that the same neuronal computations used by the hippocampus for memory function, as measured through theta-oscillatory activity, also subserve online language use. Specifically, theta power in the human hippocampal complex increased with the establishment of a meaningful context in a sentence. These theta effects were observed well before picture presentation, providing evidence that the hippocampal complex is actively involved in language processing.

We tested the relationship between theta power and semantic, association-governed processing. Specifically, association strength of the context-defining words differed between the two conditions and theta power increased in all patients at the context-defining words relative to the preceding word in the constrained condition. Regarding rule-governed processing, syntactic probabilities of the words were matched between the two conditions. Thus, rule-governed processing cannot explain the theta-context effect.

Our results are in line with the hippocampal literature related to retrieval of associative memories. When correctly recollecting the context in which an item has been learned (i.e., a specific association), hippocampal theta-power increases are observed (20). Recent human lesion evidence also supports a role for the hippocampal-complex structures in retrieving associations in the domain of semantic memory. Patients with bilateral hippocampal-complex damage showed impairment in semantic-association tasks testing words learned before the brain damage (21). These lesion findings implicate the hippocampal structures in maintaining and strengthening existing associations between words learned through life. Together with this neuropsychological evidence, our physiological findings of increased theta power for sentences with stronger semantic associations indicate that the hippocampal complex contributes to language in an active fashion, relating incoming words to stored semantic knowledge.

Hippocampal theta power also increases during episodic memory retrieval (22). For our sentences, the constrained contexts allow the picture name to be retrieved in an anticipatory
Response times were $P = 0.445, 0.386$. Thus, the theta-power increases are best explained by the active, ongoing relational processing of the incoming words to stored semantic knowledge.

Hippocampal theta power also increases with working-memory demands (23). Working-memory demands are lower when items maintained in working memory have a stronger associative relation (24, 25). Following this reasoning, working-memory demands were likely lower in the constrained contexts because of the strong associations between the words in the sentence. This fact would predict theta-power decreases for constrained relative to unconstrained contexts, contrary to what we observed. Thus, it is unlikely that the theta-power increases reflect increased working-memory load.

To date, no study has related hippocampal theta oscillations to language function. Previous studies have found a negative event-related potential (ERP), the N400 (26), in the medial temporal lobe for semantically and syntactically anomalous sentences (27, 28). However, these ERP findings came from an aggregate signal based permutation tests on the group-level, $P < 0.001$ at an $\alpha$-level of 0.05. Trial events are shown at the bottom right. The timing of each word (w) position is indicated by the continuous lines. The left end of each line indicates the earliest possible word onset. The right end indicates the latest possible word onset (and next word onset). Median word onset (and previous word offset) is indicated by the dark red vertical bars. Dashed lines for word3 and word4 indicate that the words lasted beyond the time point shown in the figure. Constr, constrained; unconstr, unconstrained.

Previous studies have demonstrated hippocampal theta involvement in the predictive processing of sequences using mismatch paradigms (29, 30). Importantly, the contextual information in our study was not experimentally induced, but rather derived from knowledge acquired through life. Moreover, in contrast to previous studies of hippocampal function using violation paradigms (29, 31), our hippocampal theta was modulated by the processing of naturalistic sentences. The theta effect was observed during active processing, rather than reactive to an unexpected stimulus.

Previous theta-oscillatory effects related to language have been found over cortical areas (32) and are argued to support lexical-semantic retrieval processes (33). We cannot directly relate our hippocampal findings to the cortical theta oscillations with the present study. In the episodic memory literature, theta oscillations are thought to dynamically connect the hippocampus with neocortical regions, binding memory representations that are distributed over the cortex. For language, we can speculate that the hippocampus provides the associative links between different lexical-semantic representations in cortical areas, such as the left lateral temporal lobe (1, 2).

In support of accumulating lesion evidence on the role of the hippocampus in language (3–5, 21), our findings point to a neuronal mechanism that is used by the brain in the service of memory and language. This finding constitutes an important step in bridging the gap between the two fields. On the one hand, language researchers could use memory models to constrain theorizing and guide experimentation in language research. On the other hand, memory researchers could benefit from the experimental materials that language has to offer. As an example, in the present study the associative information was not created within an experimental setting, but rather existed already in the participants’ memory system.

Conclusions
This study reports neurophysiological evidence for the theory that the hippocampus plays an important role in language (3). We demonstrate that the same neuronal computations used by the hippocampus for memory function, as measured through theta oscillations, also subserve online language use. Our findings specify that the hippocampal complex contributes to language in an
The study protocol was approved by the Office for the Protection of Human Subjects of the University of California, Berkeley. All patients gave written informed consent before participating.

Methods

Approval. The study protocol was approved by the Office for the Protection of Human Subjects of the University of California, Berkeley. All patients gave written informed consent before participating.

Participations. Twelve participations (six female, mean age = 39 y, SD = 12, range = 25–69 y) were implanted stereotactically with depth electrodes to localize the seizure onset zone for subsequent surgical resection. The electrodes were placed at the University of California, Irvine Medical Center (11 pa-
tients) or at Stanford University, Medical Center (1 patient) with 5-mm in-
terelectrode spacing. All participations had normal hearing and normal vision. Only two participations had an IQ lower than 80 (P5 and P6) but they performed the task without any difficulty and within the range of the other participations. No seizures occurred during task administration. Only contacts in the hippo-
campal complex of the non-epileptic hemisphere were included for analysis. Furthermore, these contacts were inspected by two independent neurologists to confirm that none presented with interictal epileptiform activity.

Materials. Fifty-one words were chosen along with color images depicting each word. Each word was paired with two sentences for which the target word was the last word of the sentence, presented as a picture. Following the sentence, either no specific word was expected as the final word of the sentence (unconstrained condition) or the target word was highly expected (constrained condition). All 102 sentences had six syllables and were between four and six words. For each target picture, the associated sentences had the same two last words (e.g., “She locked the door with the,” “She came in here with the”). More information on the materials is reported in SI Methods. A pretest confirmed that the sentences in the two conditions differed in the degree of expectancy for the final word, P = 0.001 (reported in SI Methods).

Procedure. Stimulus presentation was controlled by Presentation (Neurobehavioral Systems).

Participants were instructed to listen to the sentences attentively and name the pictures as soon as they appeared on the screen. The sentences were presented via stereo loudspeakers, after participants confirmed that the volume was optimal for comprehension. A trial began with a white fixation cross on a black background, displayed continuously during sentence pre-
sentation. After 1 s, the sentence was presented auditorily. After sentence offset, the fixation cross remained on the screen for another 0.5 s before the picture was displayed for 1.5 s. A black screen was then presented for an interval varying between 0.8 and 1.5 s. One unique randomized list of materials was used for each participant.

Behavioral Analysis. The experimenter monitored naming responses online. Trials with disfluent responses, omissions, or unrelated responses were ex-
cluded from analysis. A microphone connected to the computer recorded the vocal responses, which were manually analyzed using Praat (34) for the detection of speech onset before trials were split into two conditions. Thus, when determining speech onset, the experimenter was blind to the condi-
tion label of each trial. Response times were mean-averaged for each par-
ticipant and condition, and tested on the group-level for the effect of context using a paired-sample t test at an α level of 0.05.

EEG Data Collection, Preprocessing, and Statistical Testing. Intracranial EEG data were acquired using the Nihon Khoden recording system, analog-filtered above 0.01 Hz, and digitally sampled at 5 or 10 KHz, depending on the patient. The loudspeakers and a photodiode were recorded as analog channels to mark the beginning of the sentences and the presentation of the picture, respectively. A neurologist selected the contacts that were in the hippo-
campal complex for analysis: that is, hippocampus proper, parahippocampal gyrus, subiculum, entorhinal and perirhinal cortex.

All EEG analyses were run in Matlab 2014a using in-house scripts, EGGLab (35), and Fieldtrip (36). Offline, the EEG of these contacts was detrended, high-pass-filtered at 0.5 Hz using a zero-phase delay finite impulse response filter of order 10,000 with Hamming window (fir1 in Matlab), and then down-sampled to 1 KHz using Matlab’s resample() function. All contacts were then rereferenced to their adjacent contact, yielding bipolar contacts of the hippocampal complex. A multiplexer regression method (37) was used to filter 60-Hz line power noise and harmonics.

Artifact rejection was performed over raw data segments in the following way. A baseline segment was created from the 500-ms preceding sentence onset. Picture-locked segments of 500 ms each were created between 4 s preceding picture presentation to 1 s following picture onset. Artifact rejection was then performed for each of the 12 segments of each trial. First, using the raw signal, any trial segment with a data point exceeding 5.8 SDs from the mean of all other trial segments at the same time point was excluded. Next, to look for fast changes in the signal, adjacent time points were subtracted in a sequential fashion, and trial segments with any points exceeding 8 SD were excluded. These thresholds were chosen to remove segments with outlier data points while keeping as many trials as possible (38). Trials with incorrect re-
seices or picture-locked segments were also removed. Artifact- and error-free picture-locked segments were summed to an average of 49 trials per condition per participant. Spectral decomposition was performed over the entire recording between 2 and 20 Hz with center frequencies logarithmically spaced and a fractional bandwidth of 25% of the center frequency. The band-passed signal was then transformed using the Hilbert transform to obtain the power envelope. The artifact-free picture-locked time-frequency segments were normalized against their baseline (−0.5 s presentence onset to sentence onset) by subtracting the baseline from the data and then dividing it by the sum of the data and baseline at each time point. This way of normalizing the data is less sensitive to dif-
f erences in noise between baseline and the other segments.

Differences in power for the picture-locked segments as a function of sentence context were evaluated using a cluster-based permutation approach for each patient and each patient separately. The largest cluster of adjacent time points and frequencies exhibiting a similar differ-
ence between the two experimental conditions was identified by means of dependent-samples t tests thresholded at an α level of 0.025 (two-tailed) for each patient and contact separately. A null distribution was estimated by randomly shuffling trials 1,000 times between conditions before averaging, followed by the same clustering procedure. Using a Monte Carlo method with 1,000 random permutations, P values of the observed clusters were calculated as the proportion of random partitions that yielded a larger effect than the observed experimental effect. Those P values were then Bonferroni-
corrected for the number of hippocampal-complex contacts in each patient (see Table 1 for the number of contacts). We note that no reliable effects were found in higher frequencies and we did not observe any clear cross-

frequency coupling effects between the theta- and high-gamma bands.

Sentence-Locked Analyses. From the significant theta range identified in the picture-locked analysis for each patient, a band-pass filter was created to filter the data, followed by the Hilbert transform, yielding single-trial theta-power time series. Segments were created between −0.5 and 2 s relative to sentence onset. The temporal smearing around the center time point was on average around 255 ms. Baseline correction, artifact rejection, and statistical analyses were performed as reported for the picture-locked segments.

Analysis of Semantic Associations. We investigated how the context manip-
ulation related to a syntactic and a semantic measure. For the syntactic

Fig. 6. Semantic-association theta power. (A) theta-Power change from baseline for one bipolar contact per patient at the context-defining word (i.e., highest semantic association, high), the preceding word (pre), and the following word (post). The LSA strength for each word with the target picture name is shown below. (B) Participants’ t-values for the comparison between theta power at the context-defining word (“high” in A) and the previous word (“pre” in A) for one bipolar contact per patient. Positive values indicate that theta power increases at the context-defining word. An asterisk indicates that the power changes were significant at P = 0.005.
measure, we calculated the syntactic probability of each word in the sentence (18). There was no difference in syntactic probability of the words in the two conditions (R2(42) = 0.059, P = 0.343), thus the context effect is not linked to syntactic probability of the words. For the semantic measure, we calculated the strength between each word in the sentence (first to fourth word because all sentences had at least four words and some sentences had only four words) and the picture name using latent semantic analysis (19) (lsa.colorado.edu). The first word of the sentences did not differ in strength with the picture name as a function of context [mean constrained = 0.236, mean unconstrained = 0.227, t(50) = 0.421, P = 0.675]. In contrast, the second, third, and fourth words of the sentences were more strongly related to the picture name in the constrained than in the unconstrained condition [second: mean constrained = 0.317, mean unconstrained = 0.178, t(50) = 4.768, P < 0.001; third: mean constrained = 0.288, mean unconstrained = 0.193, t(50) = 3.041, P = 0.004; fourth: mean constrained = 0.298, mean unconstrained = 0.237, t(50) = 2.178, P = 0.034]. For each sentence, the context-defining word was determined as the word that had the strongest LSA association with the picture name. Then, for each patient, one bipolar contact was chosen as the contact with the strongest theta effect (i.e., largest t-values and widest frequency band) in the picture-locked analysis. From the significant theta range identified in the picture-locked analysis for each patient, a band-pass filter was created to filter the data of the bipolar contact(s) locked to sentence onset, followed by the Hilbert transform, yielding single-trial theta-power time series. Baseline correction, artifact rejection, and statistical analyses were performed as reported above for the picture-locked segments. We then averaged the theta-power time series for each word of the sentences for the bipolar contact of each patient. On the participant level, theta power for the context-defining word was compared with theta power for the preceding word by means of a t test over trials. The resulting t value of each participant was taken as a measure of the direction and robustness of the theta-power changes. This is a more robust measure than using average theta power. To test whether theta power remained sustained after increasing, we compared theta power for the context-defining word of the sentence in the same way as in the rest of the experiment.

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