Measurement of the forward-backward asymmetry of $\Lambda$ and $\bar{\Lambda}$ production in $p\bar{p}$ collisions


1 LAFEX, Centro Brasileiro de Pesquisas Físicas, Rio de Janeiro, Brazil
2 Universidade do Estado do Rio de Janeiro, Rio de Janeiro, Brazil
3 Universidad de Science and Technology of China, Hefei, People's Republic of China
4 Universidade Federal do ABC, Santo André, Brazil

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We study $p\bar{p}$ collisions at a total center-of-mass energy $\sqrt{s} = 1.96$ TeV. Among the particles produced in these collisions are $\Lambda$'s and $\bar{\Lambda}$'s. In this paper we examine the question of whether the $\Lambda$ and $\bar{\Lambda}$ retain some memory of the proton and antiproton beam directions. We consider the picture in which a strange quark produced directly in the hard scattering of point-like partons, or indirectly in the subsequent showering, can coalesce with a diquark remnant of the beam to produce a lambda particle, with the probability increasing with decreasing rapidity difference between the proton and the lambda.

I. INTRODUCTION

We study $p\bar{p}$ collisions at a total center-of-mass energy $\sqrt{s} = 1.96$ TeV. Among the particles produced in these collisions are $\Lambda$’s and $\bar{\Lambda}$’s. In this paper we examine the question of whether the $\Lambda$ and $\bar{\Lambda}$ retain some memory of the proton and antiproton beam directions. We consider the picture in which a strange quark produced directly in the hard scattering of point-like partons, or indirectly in the subsequent showering, can coalesce with a diquark remnant of the beam to produce a lambda particle, with the probability increasing with decreasing rapidity difference between the proton and the lambda [1–4].

The data were recorded in the D0 detector [5–9] at the Fermilab Tevatron collider. The full data set of 10.4 fb$^{-1}$, collected from 2002 to 2011, is analyzed. We choose a coordinate system in which the $z$ axis is aligned with the proton beam direction and define the rapidity $y \equiv \frac{1}{2} \ln \left[ \frac{(E + p_z)}{(E - p_z)} \right]$, where $p_z$ is the outgoing particle momentum component in the $z$ direction, and $E$ is its energy, both in the $p\bar{p}$ center-of-mass frame. We measure the “forward-backward asymmetry” $A_{FB}$, i.e., the relative excess of $\Lambda$’s ($\bar{\Lambda}$’s) with longitudinal momentum in the $p$ ($\bar{p}$) direction, as a function of $|y|$. The measurements include $\Lambda$’s and $\bar{\Lambda}$’s from all sources either directly produced or decay products of heavier hadrons.

The $\Lambda$’s ($\bar{\Lambda}$’s) are defined as “forward” if their longitudinal momentum is in the $p$ ($\bar{p}$) direction. The asymmetry $A_{FB}$ is defined as

$$A_{FB} \equiv \frac{\sigma_F(\Lambda) - \sigma_B(\Lambda) + \sigma_F(\bar{\Lambda}) - \sigma_B(\bar{\Lambda})}{\sigma_F(\Lambda) + \sigma_B(\Lambda) + \sigma_F(\bar{\Lambda}) + \sigma_B(\bar{\Lambda})}, \tag{1}$$

where $\sigma_F(\Lambda)$ and $\sigma_B(\Lambda)$ [$\sigma_F(\bar{\Lambda})$ and $\sigma_B(\bar{\Lambda})$] are the forward and backward cross sections of $\Lambda$ ($\bar{\Lambda}$) production.
II. DETECTOR AND DATA

The D0 detector is described in Refs. [5–9]. The collision region is surrounded by a central tracking system that comprises a silicon microstrip vertex detector and a central fiber tracker, both located within a 1.9 T superconducting solenoidal magnet [5], surrounded successively by the liquid argon-uranium calorimeters, layer A of the muon system [6] (with drift chambers and scintillation trigger counters), the 1.8 T magnetized iron toroids, and two similar muon detector layers B and C after the toroids. The designs are optimized for vertex finding, tracking, and muon triggering and identification at pseudorapidities $|\eta| < 2.5$, 3.0, and 2.0, respectively. Pseudorapidity is defined as $\eta = -\ln \tan (\theta/2)$, where $\theta$ is the polar angle with respect to the proton beam direction.

We study three data sets: (i) $p\bar{p} \rightarrow \Lambda(\bar{\Lambda})X$, (ii) $p\bar{p} \rightarrow J/\psi \Lambda(\bar{\Lambda})X$, and (iii) $p\bar{p} \rightarrow \mu^+\mu^-\Lambda(\bar{\Lambda})X$, and corresponding control samples with $K_S$ instead of $\Lambda$ or $\bar{\Lambda}$. Data set (i) is collected with a prescaled trigger on beam crossing (“zero bias events”) or with a prescaled trigger on energy deposited in forward luminosity counters (“minimum bias events”). Data set (ii) is selected with a suite of single muon, dimuon, and dedicated $J/\psi$ triggers, from which $J/\psi \rightarrow \mu^+\mu^-$ candidates in association with a $\Lambda$ or $\bar{\Lambda}$ are reconstructed. Data set (iii) is selected with a suite of single muon triggers, and a $\mu$ and $\Lambda$ are fully reconstructed off-line. Data set (i) is unbiased, while most events in data sets (ii) and (iii) contain heavy quarks $b$ or $c$ [10,11]. Data set (iii) has the same muon triggers and muon selections as in Refs. [10,11]. In particular, the muons are required to have a momentum transverse to the beams $p_T > 4.2$ GeV or $p_T > 5.4$ GeV in order to traverse the central or forward iron toroid magnets. The number of reconstructed $\Lambda$ plus $\Lambda$’s or $K_S$’s in each data sample is summarized in Table I. There is no strong physics reason to require a $J/\psi$ or $\mu$ in an event: data sets (ii) and (iii) are analyzed because they are collected with muon or $J/\psi$ triggers, and therefore are available and well understood, and data set (iii) is very large. The overlaps of the three data sets are negligible.

TABLE I. Number of reconstructed $\Lambda$ plus $\Lambda$’s or $K_S$’s with $p_T > 2.0$ GeV in each data set.

<table>
<thead>
<tr>
<th>Data set</th>
<th>Number of events</th>
</tr>
</thead>
<tbody>
<tr>
<td>(i) $p\bar{p} \rightarrow \Lambda(\bar{\Lambda})X$</td>
<td>$5.85 \times 10^5$</td>
</tr>
<tr>
<td>(ii) $p\bar{p} \rightarrow J/\psi \Lambda(\bar{\Lambda})X$</td>
<td>$2.50 \times 10^5$</td>
</tr>
<tr>
<td>(iii) $p\bar{p} \rightarrow \mu^+\mu^-\Lambda(\bar{\Lambda})X$</td>
<td>$1.15 \times 10^7$</td>
</tr>
<tr>
<td>(i) $p\bar{p} \rightarrow K_SX$</td>
<td>$2.33 \times 10^6$</td>
</tr>
<tr>
<td>(ii) $p\bar{p} \rightarrow J/\psi K_SX$</td>
<td>$6.55 \times 10^5$</td>
</tr>
<tr>
<td>(iii) $p\bar{p} \rightarrow \mu^+K_SX$</td>
<td>$5.34 \times 10^7$</td>
</tr>
</tbody>
</table>

The $\Lambda$’s, $\Lambda$’s, and $K_S$’s are reconstructed from pairs of oppositely charged tracks with a common vertex ($V^0$). Each track is required to have a nonzero impact parameter in the transverse plane (IP) with respect to the primary $p\bar{p}$ vertex with a significance of at least two standard deviations, and the $V^0$ projected to its point of closest approach is required to have an IP significance less than three standard deviations. The distance in the transverse plane from the primary $p\bar{p}$ vertex to the $V^0$ vertex is required to be greater than 4 mm. The $V^0$ is required to have $2.0 < p_T < 25$ GeV and $|\eta| < 2.2$. For $\Lambda$’s and $\Lambda$’s, the proton (pion) mass is assigned to the daughter track with larger (smaller) momentum. This assignment is nearly always correct because the decay $\Lambda \rightarrow p\pi^-$ is barely above threshold. We require that the $V^0$ daughter tracks not be identified as a muon. An example of an invariant mass distribution $M(\Lambda \rightarrow p\pi^-)$ is presented in Fig. 1. The D0 detector $|y|$ acceptance is narrower than the lambda production rapidity plateau, as shown in Fig. 2.

Control samples with $K_S$ are analyzed in the same manner as the corresponding sets with $\Lambda$ or $\bar{\Lambda}$, except that the track with larger momentum is assigned the pion mass instead of the proton mass. Note that we do not distinguish the $p$ and $\bar{p}$ collisions produce $K^0$’s and $\bar{K}^0$’s that we observe as resonances in invariant mass distributions of $K_S \rightarrow \pi^+\pi^-$ decays. Since this final state does not distinguish the parent $K^0$ from $\bar{K}^0$ (neglecting CP violation), $K_S$ decays do not distinguish the $p$ and $\bar{p}$ directions, have no physics asymmetries, and so constitute a control sample to study detector effects.
MEASUREMENT OF THE FORWARD-BACKWARD ASYMMETRY …

\[ A_{FB} = \frac{N_F(\Lambda) - N_B(\Lambda)}{N_F(\Lambda) + N_B(\Lambda)} + A'_{NS}\Lambda\Lambda, \]

\[ A'_{NS} = \frac{-N_F(\Lambda) + N_B(\Lambda) + N_F(\bar{\Lambda}) - N_B(\bar{\Lambda})}{N_F(\bar{\Lambda}) + N_B(\bar{\Lambda})} + A_{FB}'\Lambda\Lambda, \]

\[ A'_{\Lambda\Lambda} = \frac{N_F(\Lambda) + N_B(\bar{\Lambda}) - N_F(\bar{\Lambda}) - N_B(\Lambda)}{N_F(\bar{\Lambda}) + N_B(\bar{\Lambda}) + N_F(\Lambda) + N_B(\Lambda)} \]

\[ \times \frac{1}{A'_{NS}}. \tag{3} \]

The initial \( p\bar{p} \) state is invariant with respect to \( CP \) conjugation. Note that \( CP \) conjugation changes the signs of \( A_{NS} \) and \( A'_{\Lambda\Lambda} \), while \( A_{FB} \) is left unchanged. A nonzero \( A_{NS} \) or \( A'_{\Lambda\Lambda} \) would indicate \( CP \) violation.

The raw asymmetry \( A'_{NS} \) is different from zero if the north half of the D0 detector has a different acceptance times efficiency than the south half of the detector. This detector asymmetry does not modify \( A_{FB} \) or \( A'_{\Lambda\Lambda} \) as defined in Eq. (2).

Antiprotons have a larger inelastic cross section with the detector material than protons. This difference results in a higher detection efficiency for \( \Lambda \)'s than \( \bar{\Lambda} \)'s. This difference in efficiencies modifies \( A'_{\Lambda\Lambda} \) but does not modify \( A_{FB} \) or \( A'_{NS} \) as defined in Eq. (2).

The solenoid and toroid magnet polarities are reversed approximately every two weeks during data taking so that at each of the four solenoid-toroid polarity combinations approximately the same number of events are collected. The raw asymmetries obtained with each magnet polarity show variations of up to \( \pm 0.004 \) for \( A'_{FB} \), \( \pm 0.008 \) for \( A'_{NS} \), and \( \pm 0.003 \) for \( A_{\Lambda\Lambda} \). Consider an event with \( \Lambda \rightarrow p\pi^- \), and the charge-conjugate (C) event with \( \bar{\Lambda} \rightarrow \bar{p}\pi^+ \), with the same momenta for all corresponding tracks. Assume that, due to some detector geometric effect, the former event has a larger acceptance times efficiency than the latter event for a given solenoid and toroid polarity. Now reverse these polarities. The tracks of the event \( \Lambda \rightarrow p\pi^- \) with one solenoid and toroid polarity coincide with the tracks of the event \( \bar{\Lambda} \rightarrow \bar{p}\pi^+ \) with the opposite polarities. So with reversed polarities it is now the event with \( \bar{\Lambda} \rightarrow \bar{p}\pi^+ \) that has the larger acceptance times efficiency. The conjugation \( \Lambda \leftrightarrow \bar{\Lambda} \) reverses the signs of \( A'_{FB} \) and \( A'_{\Lambda\Lambda} \), and leaves \( A'_{NS} \) unchanged. We conclude that by collecting equal numbers of \( \Lambda \) plus \( \bar{\Lambda} \) for each solenoid and toroid polarity combination, geometrical detector effects are canceled for \( A'_{FB} \) and \( A'_{\Lambda\Lambda} \), but not for \( A'_{NS} \) (if \( C \) symmetry holds). We weight events for each polarity combination to achieve these cancellations.

We correct \( A'_{NS} \) using the measurements with \( K_S \) by setting \( A_{NS} = A'_{NS} - A'_{NS}(K_S) \). None of the detector effects discussed above affect \( A_{FB} \) as defined in Eq. (2), so we set \( A_{FB} = A_{FB} \) [as a cross-check we verify this equality with \( K_S \), i.e., \( A_{FB}(K_S) = 0 \) within statistical uncertainties]. We do not measure \( A_{\Lambda\Lambda} \) as we are not able to separate the effect.
FIG. 3. Distributions of (a) $p_T$, (b) $p_z$, and (c) $y$ of reconstructed $\Lambda$'s (blue circles) and $\bar{\Lambda}$'s (red triangles) with $p_T > 2.0$ GeV, for the minimum bias data sample $p\bar{p} \rightarrow \Lambda(\bar{\Lambda})X$.

FIG. 4. Asymmetries (a) $A_{FB} = A_{FB}'$, (b) $A_{KS}$, and (c) $A_{\Lambda\bar{\Lambda}}'$ of reconstructed $\Lambda$ and $\bar{\Lambda}$ (blue circles) and $K_S$ (red triangles) with $p_T > 2.0$ GeV, as functions of $|y|$, for the minimum bias data samples $p\bar{p} \rightarrow \Lambda(\bar{\Lambda})X$ and $p\bar{p} \rightarrow K_SX$, respectively. Uncertainties are statistical.

IV. RESULTS FOR MINIMUM BIAS EVENTS

We now consider minimum bias events $p\bar{p} \rightarrow \Lambda(\bar{\Lambda})X$ and the control sample $p\bar{p} \rightarrow K_SX$. Distributions of $p_T$, $p_z$, and $y$ of reconstructed $\Lambda$'s and $\bar{\Lambda}$'s are shown in Fig. 3. The raw asymmetries of $\Lambda$, $\bar{\Lambda}$, and $K_S$ for $p_T > 2.0$ GeV are presented in Fig. 4. We expect the asymmetries $A_{FB}'(K_S)$ and $A_{\Lambda\bar{\Lambda}}'(K_S)$ to be zero, while $A_{NS}(K_S)$ is not necessarily zero. These expectations are satisfied within the statistical uncertainties. From Fig. 4(c) we obtain $A_{\Lambda\bar{\Lambda}}' \approx 0.022$. This asymmetry is different from zero as expected from the different inelastic cross sections of $p$ and $\bar{p}$, and of $\Lambda$ and $\bar{\Lambda}$, with the detector material. The asymmetries in Fig. 4 were obtained from Eq. (3) but neglecting the quadratic terms. Therefore the forward-backward asymmetries shown in Fig. 4 need corrections $A_{NS}A_{\Lambda\bar{\Lambda}}'$ due to detector effects. These corrections, obtained bin by bin from Figs. 4(b) and 4(c), are measured to be consistent with zero within their statistical uncertainties. As they are small, they are not applied as corrections, but are treated as systematic uncertainties. They vary from $\pm0.0001$ for the first bin of $|y|$ to $\pm0.0004$ for the $1.5 < |y| < 1.75$ bin. The results for $A_{FB}$ are presented in Fig. 4 and Table II. The corrected asymmetry $A_{NS} = A_{NS}' - A_{NS}(K_S)$ is consistent with zero.

| $|y|$ | $A_{FB} \times 100$ (min. bias) | $A_{FB} \times 100$ (with $J/\psi$) | $A_{FB} \times 100$ (with $\mu$) |
|-----|-------------------------------|-------------------------------|-------------------------------|
| 0.00 to 0.25 | $-0.12 \pm 0.37 \pm 0.01$ | $-0.21 \pm 0.58 \pm 0.01$ | $0.16 \pm 0.09 \pm 0.02$ |
| 0.25 to 0.50 | $0.33 \pm 0.36 \pm 0.01$ | $0.10 \pm 0.57 \pm 0.02$ | $0.24 \pm 0.09 \pm 0.02$ |
| 0.50 to 0.75 | $0.45 \pm 0.35 \pm 0.01$ | $0.69 \pm 0.56 \pm 0.02$ | $0.67 \pm 0.08 \pm 0.02$ |
| 0.75 to 1.00 | $0.79 \pm 0.35 \pm 0.02$ | $0.55 \pm 0.56 \pm 0.02$ | $0.85 \pm 0.08 \pm 0.02$ |
| 1.00 to 1.25 | $1.99 \pm 0.37 \pm 0.02$ | $0.69 \pm 0.59 \pm 0.03$ | $1.57 \pm 0.09 \pm 0.02$ |
| 1.25 to 1.50 | $2.20 \pm 0.45 \pm 0.02$ | $1.72 \pm 0.72 \pm 0.03$ | $1.98 \pm 0.10 \pm 0.04$ |
| 1.50 to 1.75 | $3.75 \pm 0.68 \pm 0.03$ | $3.24 \pm 1.12 \pm 0.06$ | $2.53 \pm 0.16 \pm 0.06$ |
| 1.75 to 2.00 | $2.37 \pm 1.18 \pm 0.04$ | $2.64 \pm 2.06 \pm 0.06$ | $3.11 \pm 0.30 \pm 0.06$ |
For the D0 minimum bias data in Figs. 6 and 7, we plot data sample $p\bar{p} \rightarrow \Lambda(\bar{\Lambda})X$. Uncertainties are statistical.

within the statistical uncertainties, so we observe no significant $CP$ violation in $A_{NS}$, as shown in Fig. 5.

In Figs. 6 and 7, the asymmetry $A_{FB}$ shown in Fig. 4 is compared with other experiments that study collisions $pZ \rightarrow \Lambda(\bar{\Lambda})X$ for several targets, $Z = p, \bar{p}, \text{Be, and Pb}$. For the D0 minimum bias data in Figs. 6 and 7, we plot $|\sigma_b(\Lambda)/\sigma_F(\Lambda)| = 1 - A_{FB}/(1 + A_{FB})$. We should note that the point $y = 0$ in the center of mass for $p\bar{p}$ collisions has a $\Lambda/\bar{\Lambda}$ production ratio equal to 1 if $CP$ is conserved, which is not necessarily the case for $pp$ collisions, so this D0 point at large rapidity loss should be excluded from the comparison with $pp$ data. From Figs. 6 and 7 we conclude that the $\bar{\Lambda}/\Lambda$ production ratio is

FIG. 5. Corrected asymmetry $A_{NS} = A_{NS}^{D0} - A_{NS}^{\text{data}}$ (Fermilab E8, pp Pb, ISR R-607 [17], ISR R-603 [18], and the fixed target experiment Fermilab E8 studying $p\text{Be}$ and $p\text{Pb}$ collisions at a beam energy of 300 GeV [19]).

FIG. 6. $\bar{\Lambda}/\Lambda$ production ratio as a function of the rapidity loss $\Delta y = y_p - y$ for several experiments that study reactions $pZ \rightarrow \Lambda(\bar{\Lambda})X$ for targets $Z = p, \bar{p}, \text{Be, and Pb}$. The experiments are ALICE [13], ATLAS [14], D0 (this analysis), STAR [15], LHCb [16], ISR R-607 [17], ISR R-603 [18], and the fixed target experiment Fermilab E8 studying $p\text{Be}$ and $p\text{Pb}$ collisions at a beam energy of 300 GeV [19].

FIG. 7. Same as Fig. 6 with logarithmic scale.

FIG. 8. Asymmetries (a) $A_{FB} = A_{FB}^{D0}$ and (b) $A_{NS} = A_{NS}^{D0} - A_{NS}^{\text{data}}$ of $\Lambda$ and $\bar{\Lambda}$ with $p_T > 2.0$ GeV, as functions of $|y|$, for the data sample $p\bar{p} \rightarrow J/\psi \Lambda(\bar{\Lambda})X$. Uncertainties are statistical.

FIG. 9. Distributions of rapidity $y$ of reconstructed $\Lambda$’s (blue circles) and $\bar{\Lambda}$’s (red triangles) for events with (a) $\mu^+$ or (b) $\mu^-$, for $p_T > 2.0$ GeV, for events $p\bar{p} \rightarrow \mu^\pm \Lambda(\bar{\Lambda})X$. Uncertainties are statistical.
approximately a universal function of the “rapidity loss” \( \Delta y \equiv y_p - y \), independent of \( \sqrt{s} \) or target Z. Here \( y_p \) is the rapidity of the proton beam, and \( y \) is the rapidity of the \( \Lambda \) or \( \bar{\Lambda} \).

V. RESULTS FOR EVENTS WITH A \( J/\psi \) OR A MUON

The results of the measurements with the data set \( p\bar{p} \to J/\psi \Lambda(\bar{\Lambda})X \) are presented in Fig. 8 and Table II. We note that \( A_{NS} \) is consistent with zero, whereas \( A_{FB} \) is significantly nonzero at large \( |y| \).

We now consider the large data sample \( p\bar{p} \to \mu^+\mu^-\Lambda(\bar{\Lambda})X \). Rapidity distributions for reconstructed \( \Lambda \)'s and \( \bar{\Lambda} \)'s are presented in Fig. 9. After accounting for the different efficiencies to detect \( \Lambda \) and \( \bar{\Lambda} \), we find that there are more events \( \Delta \mu^+ \) and \( \Delta \mu^- \) than events \( \Lambda \mu^- \) and \( \bar{\Lambda} \mu^+ \). Examples of decays with a \( \Delta \mu^+ \) correlation are \( \Lambda_c^+ \to \Lambda \mu^+\nu_\mu \) and \( p\bar{p} \to \Lambda K^+X \) followed by \( K^+ \to \mu^+\nu_\mu \) (note that the \( \Lambda \) and \( K^+ \) share an \( s\bar{s} \) pair). The reverse \( \Delta \mu^- \) correlation occurs for \( \Lambda_b \to \mu^-\Lambda_c^-\bar{\nu}_\mu X \) with \( \Lambda_c^- \to \Lambda X \). Measurements of \( A_{FB}(|y|) \) for events with \( \mu^+ \) and \( \mu^- \) are found to be consistent within statistical uncertainties, so we combine events with \( \mu^+ \) and \( \mu^- \) and obtain the results presented in Fig. 10. We assign to \( A_{FB} \) a systematic uncertainty equal to the entire detector effect, \( A_{NS}^\Lambda A_{\Lambda\bar{\Lambda}} \). Numerical results are presented in Table II. The forward-backward asymmetry \( A_{FB} \) as a function of \( |y| \) for different lambda transverse momentum bins is shown in Fig. 11 and Table III. Note that \( A_{FB} \) is only weakly dependent on \( p_T(\Lambda) \).

The final results of this analysis are summarized in Tables II and III, and Figs. 11 and 12.
We have measured the forward-backward asymmetry of $\Lambda$ and $\bar{\Lambda}$ production $A_{FB}$ as a function of rapidity $|y|$ for three data sets: $p\bar{p} \rightarrow \Lambda(\bar{\Lambda})X$, $p\bar{p} \rightarrow J/\psi\Lambda(\bar{\Lambda})X$, and $p\bar{p} \rightarrow \mu^\pm \Lambda(\bar{\Lambda})X$. The asymmetry $A_{FB}$ is a function of $|y|$ that does not depend significantly on the data set or data composition (see Fig. 12), and is weakly dependent on $p_T$ (see Fig. 11). The measurement of $A_{FB}$ in $p\bar{p}$ collisions can be compared with the $\bar{\Lambda}/\Lambda$ production ratio measured by a wide range of proton scattering experiments. This production ratio is confirmed to be approximately a universal function of the rapidity loss $y_p - y$, that does not depend significantly (or depends only weakly) on the total center-of-mass energy $\sqrt{s}$ or target (see Figs. 6 and 7). This result supports the view that a strange quark produced directly in the hard scattering of pointlike partons, or indirectly in the subsequent showering, can coalesce with a diquark remnant of the beam particle to produce a lambda with a probability that increases as the rapidity difference between the proton and the lambda decreases.

VI. CONCLUSIONS

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