



Brief communication

BDNF polymorphism associates with decline in set shifting in Parkinson's disease



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ABSTRACT

Parkinson's disease (PD) is a neurodegenerative disorder caused by nigrostriatal dopaminergic degeneration. Brain-derived neurotrophic factor (BDNF) is a key protein in brain plasticity and is particularly important for survival of dopaminergic neurons. The Val66Met polymorphism of *BDNF* (rs6265) has been associated with functional differences (mainly cognitive) between healthy adults and also with differences in the clinical expression of several other neuropsychiatric illnesses including PD. However, these studies used different outcome measures, have not been replicated, and were cross sectional, making it difficult to establish the role of *BDNF* in the clinical variability of PD. Here, a large cohort of 384 PD patients were followed up for 2 years, and associations between *BDNF* genotype and various clinical characteristics were examined. The *BDNF* Met-allele carriers showed a significantly smaller decline in set shifting during follow-up compared with the homozygous *BDNF* Val-allele carriers. Contrary to previous assumptions, these results indicate that mental flexibility is one of the cognitive processes that may benefit from the *BDNF* Met allele in PD patients.

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1. Introduction

Parkinson's disease (PD) is a progressive neurodegenerative disorder characterized by disabling motor (tremor, bradykinesia, rigidity, and postural instability) and nonmotor (e.g., sleep, mood, and cognitive disturbances) symptoms. Degeneration of nigrostriatal dopaminergic neurons is the pathologic hallmark of the disease. Although the direct cause of this degeneration is unknown, it is believed that it results from the interaction between certain environmental and genetic factors. Such factors probably also contribute to the heterogeneity seen in the clinical presentation and progression of the disease. The gene encoding brain-derived neurotrophic factor (*BDNF*) is interesting in this respect, as its product is widely

distributed throughout the brain and is especially important for survival and differentiation of dopaminergic neurons in the basal ganglia (Baydyuk et al., 2011b; Hyman et al., 1991). *BDNF* directs growth and differentiation of the developing nervous system, but it has also a modulating role in synaptic plasticity after the nervous system has matured (McAllister et al., 1999). Not only does it stimulate neuritic outgrowth and synaptic transmission after injury (McAllister et al., 1999; Rothman and Mattson, 2013) but it also prevents dopaminergic cell loss in an experimental animal model of PD (Levivier et al., 1995). Moreover, *BDNF* signaling, via its Tropomyosin related kinase B receptor tyrosine kinase, is important for the survival of nigrostriatal dopaminergic neurons in the aging brain (Baquet et al., 2004; Baydyuk et al., 2011a). In fact, postmortem studies revealed a reduced expression of *BDNF* messenger RNA in the surviving neurons of the substantia nigra pars compacta of PD patients compared with controls (Howells et al., 2000). Also, serum levels of *BDNF* are directly correlated with the amount of striatal dopamine transporter binding (Ziebell et al., 2012) and the severity of motor symptoms in PD (Scalzo et al., 2010).

Val66Met (rs6265) is a common single-nucleotide polymorphism causing a valine (Val) to methionine (Met) substitution

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at amino acid position 66 in the prodomain of the BDNF protein. Approximately 20%–30% of the human population is heterozygous for the Met allele. The presence of the minor allele (Met) of this polymorphism causes an altered intracellular distribution and a reduced activity-induced secretion of the BDNF protein in neurons (Chen et al., 2004). Compared with Val homozygotes, subjects with the Met allele show a worse performance in motor-based learning tasks, compatible with a diminished capacity for motor cortex plasticity (Kleim et al., 2006; McHughen et al., 2010). In addition, BDNF genes have been shown to modulate dopamine-dependent executive functions, specifically set shifting and spatial working memory (SWM), effects that may even be enhanced in older adults (Nagel et al., 2008). Furthermore, hippocampal-dependent episodic memory function is affected by the BDNF Val66met polymorphism (Egan et al., 2003).

Given the role as a trophic factor for dopaminergic neurons and the diminished plasticity capacity of Met-allele carriers, a possible role for this BDNF polymorphism in the pathogenesis of PD has been previously examined. The prevalence of this polymorphism in PD, however, appears to be similar to that of the healthy population (Zintzaras and Hadjigeorgiou, 2005). In recent years, attention therefore, shifted toward the possibility of a modifiable role of the BDNF polymorphism on the clinical expression of PD. However, these studies are sparse and have provided conflicting results. The BDNF Met/Met genotype was, for instance, associated with a higher risk of developing dyskinesias earlier in the course of treatment with dopaminergic agents (Foltynie et al., 2009) and an older age of onset (Karamohamed et al., 2005), whereas other studies were unable to find any association with clinical characteristics, including dyskinesias or age at onset (Gao et al., 2010; Karakasis et al., 2011). As these studies used different outcome measures, have individually not been replicated, and were all cross sectional, it is difficult to establish the exact role, if any, of BDNF in explaining the clinical variability of PD.

We performed a study to explore the associations between BDNF genotype and various clinical parameters in a large cohort of PD patients who have participated in a 2-year follow-up study (the ParkFit study) (van Nimwegen et al., 2010, 2012) allowing both cross-sectional and longitudinal analyses.

2. Participants and methods

Of the 586 patients who participated in the ParkFit study (van Nimwegen et al., 2010, 2012), 392 consented to blood sampling. The following clinical variables, gathered in the original ParkFit study, were included in the present study: IQ (Dutch version of the National Adult Reading Test), gender, age at PD onset, age at baseline, disease duration, Unified Parkinson's Disease Rating Scale (UPDRS) motor score, Hoehn and Yahr stage, Levodopa Equivalent Dose (LED, Tomlinson et al., 2010) at baseline, 6-Minute Walking Test, Nine-Hole Peg Test (NHPT), the Hospital Anxiety and Depression Scale (HADS), the time spent on outdoor and sport activities (measured with the Longitudinal Aging Study Amsterdam Physical Activity Questionnaire [LAPAQ], Stel et al., 2004), and a neuropsychological test battery. The neuropsychological test battery consisted of 3 computerized tests of the Cambridge Neuropsychological Automated Testing Battery (CANTAB), Rey Complex Figure Test (copy trial), and semantic and phonemic verbal fluency (number of words within 1 minute). The following cognitive domains were tested using the CANTAB—(1) executive function (Intra-Extra Dimensional Set Shifting [IED]: total errors adjusted for stages not completed and number of patients passing the Extra-dimensional Set Shifting [EDS] stage); (2) learning and episodic memory (Paired Associate Learning [PAL] Test: total errors adjusted for stages not completed); and (3) working memory (SWM Test:

within- and between-search errors). The cognitive tests were selected based on the involvement of their cognitive domains in PD (Aarsland et al., 2011). Specifically, SWM, IED, and PAL can be hypothesized to be sensitive to BDNF genotype, although the selection of tests for the original trial was not guided by such an a priori hypothesis. All patients were tested in their “on” phase of dopaminergic medication. Full ethical approval has been granted for the study (CMO Regio Arnhem, Nijmegen). Written informed consent was given by all patients before enrollment in the trial.

2.1. Genotyping

Blood sampling was performed in 392 participants. For BDNF genotyping (Val66Met, G196A, and rs6265), peripheral venous blood was drawn from the study subjects. Genomic DNA was extracted using standard protocols. Genetic analyses were carried out at the Department of Human Genetics of the Radboud University Medical Center using Taqman genotyping as described previously (Gerritsen et al., 2012). Genotyping was performed blinded to the clinical status.

2.2. Statistics

Given the small number of patients in the Met/Met group, the Met allele-containing groups were combined, resulting in 2 groups: the homozygous valine (Val/Val) and the Met-containing (Val/Met and Met/Met) groups. Only when significant differences between the 2 groups were found, an additional analysis of covariance (ANCOVA) was performed separating the genotype into 3 groups (Val/Val, Val/Met, and Met/Met) to determine whether the effect size differed between the 3 genotypes. As the data of the LAPAQ outdoor, the CANTAB Tests, Rey Complex Figure Test, HADS, LED, and NHPT were positively skewed, logarithmic transformations were performed for these variables. Baseline characteristics were compared between the homozygote BDNF Val allele carriers versus the Met-allele carriers: continuous variables by means of *t* tests (if necessary after log transformation) and dichotomous variables with chi-square tests.

Changes between baseline and the mean score during the follow-up period were calculated. For UPDRS, 6-Minute Walking Test, NHPT, LAPAQ, IED, PAL, SWM, Rey Complex Figure drawing, semantic and phonemic fluency, and HADS (if necessary after log transformation), the relation between these changes and the genotype was evaluated by means of ANCOVA, with adjustment for the corresponding baseline value and the preselected variables age at baseline, IQ at baseline, disease duration, gender, and treatment arm. In addition, clinical variables that showed an uncorrected statistically significant difference ($p < 0.05$) between the genotype groups at baseline were used as a covariate. Results of log-transformed variables were back transformed. For the IED stages, the number of patients who reached the EDS at baseline and during either one of the follow-up assessments were calculated, resulting in a new variable (EDS change) showing whether a patient was improved, equal, or worse with respect to EDS stage compared with baseline. The association between the EDS change and the genotype was evaluated by ordinal logistic regression analysis, with adjustment for the same variables as used in the ANCOVA analysis.

To correct for inflated type I errors because of multiple testing, a Bonferroni adjustment was applied, resulting in a significance level of 0.0033. All *p* values are 2 sided.

3. Results

Genotyping was successfully performed in 384 participants. As expected, the Val/Val genotype was more prevalent (59.1%) than the

Val/Met (38.3%) and Met/Met (2.6%) variants. The LED at baseline was significantly lower in the Met allele-containing group compared with the Val/Val group. None of the variables including demographic characteristics and motor and nonmotor symptoms showed a significant difference between *BDNF* genotypes at baseline (Table 1).

Changes from baseline to follow-up are displayed in Table 2. Increases in the total number of errors made on the IED task were significantly different between the genotypes. Those carrying at least 1 Met allele showed a better preserved performance on this test compared with those with the homozygous Val genotype. When analyzing the 3 genotype groups separately, the number of errors on the IED task showed significantly less increase over time in the groups with Met alleles (Val/Val 47%, Val/Met 12%, and Met/Met 3% increases in errors during the IED at follow-up, p value = 0.003). Correcting for the UPDRS motor score at baseline did not alter the results.

4. Discussion

The present study is the first to show a significant association between the Val66Met *BDNF* polymorphism and the course of several cognitive functions in PD patients over time. Specifically, the *BDNF* Met allele appeared to be associated with less decline in executive functioning.

At first glance, our results might seem contradictory to the overall believe that the Met allele is associated with a decreased

neuroplastic capacity and therefore decreased cerebral functioning. However, the effects of the polymorphism appear to be highly complex. For instance, it is suggested that at younger age, the Val/Val genotype provides some neuronal and cognitive benefits (better episodic memory and task switching) (Egan et al., 2003; Erickson et al., 2008). With increasing age, those with this genotype, however, show a faster decay in task-switching performance (Erickson et al., 2008). Several studies in the elderly indicate that at an older age the Val/Met genotype provides some protection against cognitive decline (Erickson et al., 2008; Gajewski et al., 2011; Harris et al., 2006), whereas others observed an association with an increased incidence of dementia for this genotype, especially in patients with a sedentary lifestyle (Kim et al., 2011). The latter indicates that even more factors play a role in the downstream “phenotypic” effects of this polymorphism. The different tests used in these studies also suggest that the effect might be highly specific to certain cognitive processes; this could explain why conflicting results are found when a more global cognitive function test like the Mini-Mental State Examination (Kim et al., 2011) is used. Relevant to the results of our study is, for example, the fact that the Met allele has been shown to be associated with superior memory-based task switching, interference control, and response inhibition in healthy elderly (Beste et al., 2010a; Gajewski et al., 2011, 2012). Moreover, a lower age-related increase in switching costs in healthy older adults was found over a 10-year follow-up period (Erickson et al., 2008), and a specific advantage for the tower of London task was observed in

Table 1
Baseline characteristics according to the genotype

| | Val/Val ($n = 227$) | Val/Met and Met/Met ($n = 157$) | p Value |
|--|-----------------------|-----------------------------------|--------------|
| Demographics | | | |
| Age at baseline (y) ^a | 65.2 (7.8) | 65.9 (7.6) | 0.367 |
| Gender (no. of men) ^b | 147 (64.3%) | 104 (66.2%) | 0.663 |
| Intervention (no. of ParkFit program) ^b | 110 (48.5%) | 85 (54.1%) | 0.300 |
| IQ at baseline ^a | 102.5 (19.4) | 102.7 (17.6) | 0.916 |
| MMSE at baseline ^a | 28 (1.6) | 28 (1.6) | 0.356 |
| Disease characteristics | | | |
| Disease duration (y) ^a | 5.4 (4.2) | 5.1 (5.0) | 0.629 |
| Age at onset (y) ^a | 59.8 (8.7) | 60.8 (9.1) | 0.300 |
| LED at baseline ^a | 480 (300–670) | 363.9 (160–608.9) | 0.004 |
| Motor symptoms | | | |
| UPDRS III motor part ^a | 31.7 (9.7) | 32.1 (11.0) | 0.687 |
| Modified H&Y stage ^b | | | 0.325 |
| 1 | 4 (1.8%) | 4 (2.5%) | |
| 1.5 | 6 (2.6%) | 5 (3.2%) | |
| 2 | 171 (75.3%) | 124 (79%) | |
| 2.5 | 36 (15.9%) | 14 (8.9%) | |
| 3 | 10 (4.4%) | 10 (6.4%) | |
| 6MWT (m) ^a | 390.8 (87.5) | 397.7 (82.4) | 0.435 |
| NHPT (s) ^a | 30.0 (26.3–36) | 30.2 (26.3–36.5) | 0.937 |
| LAPAQ outdoor (min) ^a | 330 (177–555) | 340 (192–560) | 0.578 |
| Nonmotor symptoms | | | |
| HADS total ^a | 9 (5–14) | 9 (5–13) | 0.693 |
| HADS, depression ^a | 4 (2–7) | 4 (2–7) | 0.725 |
| HADS, anxiety ^a | 5 (3–7) | 5 (3–7) | 0.710 |
| IED (total errors, adjusted) ^a | 38 (18–60) | 47.5 (19–61) | 0.320 |
| IED (no. of patients who reached the EDS stage) ^b | 129 (57.8%) | 84 (53.8%) | 0.463 |
| PAL Test (total errors, 6 shapes, adjusted) ^a | 8 (4–24) | 10 (4–24.8) | 0.312 |
| SWM (between errors) ^a | 40 (25–60.3) | 41 (27–55) | 0.889 |
| SWM (within errors) ^a | 2 (0–4) | 2 (0–4) | 0.889 |
| Complex figure drawing (total errors) ^a | 29 (24–32) | 28 (24.5–33) | 0.602 |
| Semantic fluency (no. of words) ^a | 18.8 (5.6) | 18.6 (5.5) | 0.659 |
| Phonemic fluency (no. of words) ^a | 11.1 (4.7) | 11.7 (4.1) | 0.193 |

Data reflect mean (standard deviation), median (interquartile range), or n (%).

p -values stated in bold are considered significant with a cut-off value of $p < 0.05$.

Key: EDS, Extradimensional Set Shifting; HADS, Hospital Anxiety and Depression Scale; H&Y, Hoehn and Yahr stages; IED, Intra-Extra Dimensional Set Shifting; LAPAQ, LASA Physical Activity Questionnaire; LED, Levodopa Equivalent Dose; Met, methionine; MMSE, Mini-Mental State Examination; NHPT, Nine-Hole Peg Test; PAL, Paired Associate Learning; SWM, Spatial Working Memory; UPDRS, Unified Parkinson's Disease Rating Scale; Val, valine; 6MWT, 6-Minute Walking Test.

^a Analyses were performed (if necessary after logarithmic transformation) using a t test.

^b Analyses were performed (if necessary after logarithmic transformation) using a chi-square test.

Table 2
Course of clinical parameters during follow-up

| | Within-group comparison | Between-group comparison | <i>p</i> Value |
|---|--|---|----------------|
| | Mean estimated change from baseline (95% CI) | Mean estimated difference between genotypes during follow-up (95% CI) | |
| Nonmotor symptoms | | | |
| IED (total errors, adjusted) ^a | | | |
| Val/Val | 47.0% (33, 6%) | 32.1% (12.9, 54.7%) | 0.001 |
| Val/Met and Met/Met | 11.0% (–2, 3%) | | |
| EDS change (increase in the number of patients who reached the EDS stage of the IED) ^{b,c} | | | |
| Val/Val | 17.9% | OR 0.6 (0.4–0.9) | 0.026 |
| Val/Met | 29.5% | OR 1 (reference) | |
| PAL Test (total errors, adjusted) ^a | | | |
| Val/Val | –4.0% (–15.0, 8.0%) | –11.4% (–26.2, 6.4%) | 0.194 |
| Val/Met and Met/Met | 8.0% (–6.0, 25.0%) | | |
| SWM (within errors) ^a | | | |
| Val/Val | –3.0% (–15%, 11%) | –14.5% (–30.3, 4.9%) | 0.132 |
| Val/Met and Met/Met | 14.0% (–3%, 34%) | | |
| SWM (between errors) ^a | | | |
| Val/Val | 6.0% (–0.4, 13%) | –2.3% (–11.5, 118.2%) | 0.656 |
| Val/Met and Met/Met | 9.0% (0.4, 18%) | | |
| Phonemic Fluency (no. of words) ^d | | | |
| Val/Val | –0.2 (–0.3, 0.3) | 0.1 (–0.6, 0.9) | 0.718 |
| Val/Met and Met/Met | –0.3 (–0.3, 0.4) | | |
| Semantic fluency (no. of words) ^d | | | |
| Val/Val | –6.9 (–7.3, –6.4) | –1.0 (–1.8, –0.3) | 0.006 |
| Val/Met and Met/Met | –5.8 (–6.4, –5.3) | | |
| Complex Figure Rey (total errors) ^a | | | |
| Val/Val | 2.0% (–0.2, 5.0%) | –0.7% (–4.5, 3.3%) | 0.744 |
| Val/Met and Met/Met | 3.0% (–0.01, 6.0%) | | |
| HADS total score ^a | | | |
| Val/Val | 12.0% (5.0, 21.0%) | 3.3% (–7.5, 15.3%) | 0.573 |
| Val/Met and Met/Met | 9.0% (–0.4, 19.0%) | | |
| HADS, anxiety ^a | | | |
| Val/Val | 10.0% (3.0, 18.0%) | 0% (–10.7, 12.0%) | 0.997 |
| Val/Met and Met/Met | 8.0% (–0.2, 17.0%) | | |
| HADS, depression ^a | | | |
| Val/Val | 15.0% (6, 25.0%) | 1.4% (–10.6, 14.9%) | 0.831 |
| Val/Met and Met/Met | 14.0% (3, 26.0%) | | |
| Motor functions | | | |
| 6MWT (m) ^d | | | |
| Val/Val | 0.4 (–7.8, 8.6) | 4.2 (–8.4, 16.8) | 0.511 |
| Val/Met and Met/Met | –3.8 (–13.8, 6.2) | | |
| NHPT (s) ^a | | | |
| Val/Val | –2.0% (–4.0%, 0.8) | –1.0% (–3.1, 5.1%) | 0.637 |
| Val/Met and Met/Met | –3.0% (–5.0, 0.5%) | | |
| UPDRS ^d | | | |
| Val/Val | 5.1 (3.9, 6.2) | 0.4 (–1.3, 2.2) | 0.637 |
| Val/Met and Met/Met | 4.7 (3.3, 6.0) | | |
| LAPAQ outdoor (min) ^a | | | |
| Val/Val | –2% (–13.0, 11%) | 13.0% (–6.5, 36.3%) | 0.206 |
| Val/Met and Met/Met | –13% (–25.0, 1%) | | |

Values are corrected for the corresponding baseline value, age at baseline, IQ at baseline, disease duration, gender, treatment arm, and LED at baseline. Analyses were performed using an analysis of covariance or ordinal regression analysis (EDS change).

p-values stated in bold are considered significant with a cut-off value of $p < 0.0033$.

Key: CI, confidence interval; EDS, Extradimensional Set Shifting; HADS, Hospital Anxiety and Depression Scale; H&Y, Hoehn and Yahr stages; IED, Intra-Extra Dimensional Set Shifting; LAPAQ, LASA Physical Activity Questionnaire; LED, Levodopa Equivalent Dose; Met, methionine; MMSE, Mini-Mental State Examination; NHPT, Nine-Hole Peg Test; OR, odds ratio; PAL, Paired Associate Learning; SWM, Spatial Working Memory; UPDRS, Unified Parkinson's Disease Rating Scale; Val, valine; 6MWT, 6-Minute Walking Test.

^a Mean estimated change and difference data reflect percentages.

^b Mean estimated change and difference data reflect OR.

^c The EDS occurs at IED stage 8. For the within-group comparison, the percentage of patients who were able to reach this stage at follow-up, but not at baseline (i.e., they improved), is shown. The between-group comparison depicts the likelihood that patients reached the EDS stage during follow-up if they did not reach the EDS stage at baseline ("improved") compared with the likelihood that patients performed similar to baseline or decreased in performance during follow-up.

^d Mean estimated change and difference data reflect absolute numbers.

PD patients carrying the Met allele (Foltnie et al., 2005). Therefore, these previous data, together with the present study, suggest that some executive functions that are disrupted in normal aging (Wecker et al., 2000, 2005) and early-stage PD (Cools et al., 2001) seem to benefit from the Met allele at an older age in both healthy adults and early-stage PD patients.

The explanation for the better preservation of this part of executive functioning in the Met-allele carriers is merely speculative. A change in the balance between the direct and indirect

nigrostriatal pathways seems to be involved, as decreases in nigrostriatal activity are related to increases in response inhibitory performance, which might result in better set shifting (Beste et al., 2010a, 2010b). Correspondingly, high midbrain D3 receptor availability (the expression of which is controlled by BDNF, Guillin et al., 2001) is associated with reduced functional connectivity between the orbitofrontal cortex and frontoparietal networks (Cole et al., 2012), which are implicated in executive function. Inhibition control plays a part in the CANTAB IED, but not in the CANTAB SWM,

offering a possible explanation for the lack of effect on the SWM Test. Interestingly, the dopamine D1, but not the D3 receptor, was found to play a role in SWM in mice (Xing et al., 2012). Consistent with our observation, previous studies in healthy adults did not find a difference on working memory between the *BDNF* genotypes either (Hansell et al., 2007). Although there is evidence that hippocampal-dependent episodic memory function (as measured with the PAL substest) is also modulated by the *BDNF* genotype, episodic memory deficits are not the most prominent cognitive impairments in PD patients (Hildebrandt et al., 2013). This may explain why we did not observe a relation in our patient group. Possibly, long-term follow-up assessments are required to reveal such an association, as a significant proportion of patients will develop episodic memory function or even dementia.

As activity-dependent secretion of BDNF is attenuated by both the *BDNF* Met allele and aging (Adlard et al., 2005; Hayashi et al., 2001), it is likely that a crucial threshold for BDNF secretion exists that allows the balance to shift. This could offer a possible explanation for why the observed difference in executive function is found in elderly and not in young adult Met-allele carriers. The fact that these results are found both in PD patients and the healthy elderly indicates that it is not disease specific but rather polymorphism and age specific. The balance between the amount of activity-dependent BDNF secretion in a specific area of the brain and performance on a specific cognitive function test apparently differs between the cognitive domains. Because of the ongoing dopamine depletion in PD, it is conceivable that the observed benefit might fade in more advanced stages.

Despite the large number of patients included in the present study, the Met/Met genotype group was small, which has hampered reliable explorations of dose-response relations. Moreover, the intervention that patients received could potentially have interfered with the BDNF effect as the goal of the intervention was to increase physical activity. However, the result for the primary outcome for physical activity of the ParkFit trail was negative, and we also corrected for the intervention in the analyses.

Our results need to be confirmed in other PD cohorts, and similar studies that explore the effect of other genetic variants on the phenotypic variability of PD would be of high interest.

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