Progress with *Plasmodium falciparum* sporozoite (PfSPZ)-based malaria vaccines

Thomas L. Richie\(^a\)*, Peter F. Billingsley\(^b\), B. Kim Lee Sim\(^a\), Eric R. James\(^a\), Sumana Chakravarty\(^a\), Judith E. Epstein\(^b\), Kirsten E. Lyke\(^c\), Benjamin Mordmüller\(^d\), Pedro Alonso\(^e\), Patrick E. Duffy\(^f\), Ogobara K. Doumbo\(^g\), Robert W. Sauerwein\(^h\), Marcel Tanner\(^i\), Salim Abdulla\(^j\), Peter G. Kremsner\(^d\), Robert A. Seder\(^k\), Stephen L. Hoffman\(^a\)

\(^a\) Sanaria Inc., Rockville, MD, United States
\(^b\) Naval Medical Research Center, Silver Spring, MD, United States
\(^c\) Center for Malaria Research, Institute for Global Health, University of Maryland School of Medicine, Baltimore, MD, United States
\(^d\) Institute of Tropical Medicine, University of Tübingen, Tübingen, Germany
\(^e\) Barcelona Institute for Global Health (ISGlobal), Barcelona, Spain
\(^f\) Laboratory of Malaria Immunology and Vaccinology, National Institute of Allergy and Infectious Diseases, NIH, Rockville, MD, United States
\(^g\) Malaria Research and Training Center, University of Bamako, Bamako, Mali
\(^h\) Radboud University Medical Center, Nijmegen, The Netherlands
\(^i\) Swiss Tropical and Public Health Institute, Basel, Switzerland
\(^j\) Ifakara Health Institute, Bagamoyo, United Republic of Tanzania
\(^k\) Vaccine Research Center, National Institute of Allergy and Infectious Diseases, NIH, Bethesda, MD, United States

**Abstract**

Sanaria Inc. has developed methods to manufacture, purify and cryopreserve aseptic *Plasmodium falciparum* (Pf) sporozoites (SPZ), and is using this platform technology to develop an injectable PfSPZ-based vaccine that provides high-grade, durable protection against infection with Pf malaria. Several candidate vaccines are being developed and tested, including PfSPZ Vaccine, in which the PfSPZ are attenuated by irradiation, PfSPZ-CVac, in which fully infectious PfSPZ are attenuated in vivo by concomitant administration of an anti-malarial drug, and PfSPZ-GA1, in which the PfSPZ are attenuated by gene knockout. Forty-three research groups in 15 countries, organized as the International PfSPZ Consortium (I-PfSPZ-C), are collaborating to advance this program by providing intellectual, clinical, and financial support. Fourteen clinical trials of these products have been completed in the USA, Europe and Africa, two are underway and at least 12 more are planned for 2015–2016 in the US (four trials), Germany (two trials), Tanzania, Kenya, Mali, Burkina Faso, Ghana and Equatorial Guinea. Sanaria anticipates application to license a first generation product as early as late 2017, initially to protect adults, and a year later to protect all persons >6 months of age for at least six months. Improved vaccine candidates will be advanced as needed until the following requirements have been met: long-term protection against natural transmission, excellent safety and tolerability, and operational feasibility for population-wide administration. Here we describe the three most developed whole PfSPZ vaccine candidates, associated clinical trials, initial plans for licensure and deployment, and long-term objectives for a final product suitable for mass administration to achieve regional malaria elimination and eventual global eradication.

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1. Background

The *Plasmodium* sporozoite (SPZ), transmitted to the human host by female *Anopheles* mosquitoes, is an attractive vaccine candidate. If immune responses induced by such a vaccine could kill the SPZ during its journey from the mosquito proboscis to the liver or during development in the liver (pre-erythrocytic stages of the parasite life cycle), there would be no blood stage infection and no production of gametocytes. This would address an urgent public health priority, namely protecting people in endemic areas from clinical malaria, especially those susceptible to severe disease such as infants and young children. A pre-erythrocytic stage
vaccine would also benefit travelers, obviating the difficulties of prophylactic drug compliance and side effects. Ultimately, a highly effective pre-erythrocytic stage vaccine, serving as a vaccine to interrupt malaria transmission (VIMT), would be the ideal tool to eliminate malaria and contain the spread of malaria parasites from defined geographic regions, leading to global eradication [1].

1.1. Targeting the sporozoite

Several approaches have been taken to induce protective immunity against SPZ. Forty years ago injection of attenuated whole SPZ was shown to protect rodents against malaria infection, followed quickly by proof-of-concept that the same approach works in humans (Box 1). However, at that time SPZ had to be administered to humans by mosquito bite, limiting feasibility as a vaccine approach. The alternative, producing large numbers of infected mosquitoes, harvesting the SPZ, and purifying them from contaminating salivary gland antigens, also appeared to be difficult if not impossible, especially as the purified sporozoites would have to be aseptic for injection. All in all, immunization with whole sporozoites was felt to be “too crude and impractical to produce a vaccine for wide application” [27].

1.2. Whole sporozoites for parenteral injection

Sanaria Inc., a biotechnology company in Rockville, Maryland, spent the last 10 years addressing the challenges of production, purification and cryopreservation, and now routinely manufactures vials of highly purified, aseptic P. falciparum (PF) SPZ, that are in compliance with regulatory standards for purity, potency, safety and consistency [28]. 2,155 doses of PISPZ (doses as high as 2.2 million PISPZ) have now been administered to 824 adults by the intradermal (ID), subcutaneous (SC), intramuscular (IM), intravenous (IV) or direct venous inoculation (DVI) routes, and have shown excellent safety and tolerability. In blinded, randomized, placebo-controlled trials, the PISPZ have not caused any detectable systemic reactogenicity (Sissko et al., unpublished; Mordmüller et al., unpublished) [29] or allergic responses, indicating that PISPZ may be suitably safe for mass administration campaigns for malaria elimination.

Because Plasmodium is a eukaryotic organism, the PISPZ need to be cryopreserved and stored in liquid nitrogen vapor phase (LNVP) to maintain viability, like other cellular therapies or products (mammalian sperm, eggs, embryos, cellular cancer vaccines), as well as eleven veterinary vaccines including the Theileria parva vaccine used in Africa. Indeed, LNVP storage may prove to be advantageous, enhancing delivery to remote areas since no electricity is needed to maintain the cold chain (compared to refrigerated vaccines), and the vaccine can remain stable for weeks to months in a free-standing container. LN is widely available across Africa and other tropical areas, with infrastructure in place to support veterinary vaccine applications, artificial insemination of cattle, oil and mining applications, and the brewing industry. Distribution of the vaccine in LNVP is feasible in malaria endemic countries using a hub and spoke model, and projected costs are roughly equivalent to those required for adding a new vaccine to current distribution networks for refrigerated (2–8 °C) vaccines [30].

PISPZ, the core Sanaria product, are manufactured in accordance with Title 21 of the Code of Federal Regulations (21 CFR) and in accordance with International Conference on Harmonization (ICH) guidelines. Manufacturing of PISPZ products is performed following current Good Manufacturing Practice (cGMP) guidelines where processes are defined and controlled to ensure consistency and compliance with specifications. PISPZ products have excellent stability profiles even when stored for more than 4 years. Sanaria maintains Biologics Master Files with the US FDA, and all clinical

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**Box 1: Targeting the SPZ.** Whole SPZ were one of the first malaria immunogens tested as a malaria vaccine, described by Sergent and Sergent in a report published in 1910 by the Academy of Sciences, Paris, on the avian parasite Plasmodium relictum [2]. In the early 1940s, Mulligan, Russell and Mohan at the Pasteur Institute in Coonoor, India partially protected fowl against another bird parasite, *P. gallinaceum*, by administering five intravenous injections of a crude preparation of dried, ground thoraces from infected mosquitoes (220 thoraces per fowl) [3,4]. Vaccinated animals and controls were challenged by the bites of two infected *Aëdes albopictus* mosquitoes, and while all immunized fowl developed asexual blood stage infections (thus protection against infection was nil), prior SPZ vaccination halved the parasite density in the blood, and reduced mortality from 55% to 30% or less. Similar results were obtained with SPZ dissected from salivary glands and inactivated by a 30-min exposure to ultraviolet light. The partial protection by killed SPZ in the avian model was confirmed in subsequent studies [5]. It was shown that protected fowl were still susceptible to blood stage challenge, indicating that the partially effective immune response induced by whole killed SPZ vaccination targeted the pre-erythrocytic stages.

A significant improvement in protection was reported by Nussenzweig and colleagues in 1967 by using attenuated, rather than killed SPZ, this time in a rodent model. X-irradiation was used to damage *P. berghei* SPZ dissected from infected *Anopheles stephensi* mosquitoes [6]. SPZ receiving this sublethal irradiation remained metabolically active and motile, could invade hepatocytes and round up (a morphological change signifying the earliest stage of development in the hepatocyte), but could not develop into schizonts or schizonts or schizonts or schizonts or schizonts. When mice immunized by IV administration of 75,000 SPZ irradiated with 80–100 gray (Gy) were challenged by IV injection of non-irradiated SPZ two weeks after immunization, infection rates were 37% compared to 90% in controls, indicating that more than half had sterile immunity against infection. Those animals becoming infected despite vaccination showed delays in the prepatent period. Eleven protected mice were re-challenged at 36 days, and most were again protected, indicating a degree of immune durability and also ruling out non-specific protection mediated by the innate immune system. The murine model thus established that attenuated, metabolically active parasites could induce sterile immunity to malaria.

The radiation-attenuated SPZ approach was rapidly translated to humans in a series of studies in the early 1970s carried out by Clyde and colleagues [7–11], and Rieckmann and colleagues [12–14] and to non-human primates [15]. A review of these studies and subsequent work by the US military [16,17] and the University of Maryland [18,19] by Hoffman et al. [16] concluded that administration of more than 1000 bites from irradiated, infected mosquitoes consistently protected >90% (13/14) of recipients undergoing CHMI by mosquito bite within 10 weeks of immunization, and 5/6 volunteers were still protected on repeat CHMI up to 42 weeks later. Furthermore, 4 subjects underwent 7 CHMIs with heterologous strains of Pf, and there was 100% protection. 150 Gy was established as a sufficiently attenuating radiation dose to prevent blood stage infection without killing the parasites. This work provided proof-of-concept for high-grade protection against parasitemia in humans by targeting the SPZ (and/or the early liver stage parasites they become), and inspired efforts to develop pre-erythrocytic stage malaria vaccines. It was reasoned that if the antigenic components of whole SPZ could be identified and suitably formulated as vaccines, it should be possible for subunits to reproduce the high-grade protection induced by whole SPZ. The report in 1984 of the identification and cloning of the major surface protein of the *P. falciparum* (PF) SPZ, the circumsporozoite protein (CSP) [20], galvanized this effort, and led to the clinical testing of
trials are conducted with US FDA oversight under investigational new drug applications (IND), and with appropriate host nation regulatory oversight.

2. Products

Sanaria’s first PISPZ products are based on the NF54 strain of Pf, isolated in 1981 from a Dutch farmer living near Amsterdam’s Schiphol Airport and originating in Africa [31,32]. Pf NF54 strain is chloroquine sensitive. More recently, Sanaria has manufactured PISPZ derived from a clone of a Brazilian isolate, 7G8 [33], as well as PISPZ from a Cambodian clone, NF135.C10 [34].

Controlled human malaria infection (CHMI) and exposure to natural transmission have been used to test the efficacy of Sanaria’s vaccine products. CHMI has been performed by mosquito bite, using mosquitoes infected with NF54, 3D7 (a clone of NF54) or 7G8, or by the direct injection of infectious PISPZ (NF54) manufactured by Sanaria. When NF54 or 3D7 are used, the CHMI is considered homologous, since the parasite is identical or highly similar to the vaccine. When other strains are used to assess efficacy, the CHMI is considered heterologous, and a potentially better predictor of efficacy under conditions of natural transmission, where mosquitoes harbor heterogeneous populations of Pf.

2.1. PISPZ Vaccine

The first PISPZ product developed using Sanaria’s manufacturing technology was Sanaria® PISPZ Vaccine, which is composed of aseptic, purified, live (metabolically active), radiation-attenuated, cryopreserved PISPZ. Several clinical trials of PISPZ Vaccine have been completed in the USA. In the first trial, conducted at the Naval Medical Research Center (NMRC), the University of Maryland Baltimore, Center for Vaccine Development (UMB CVD), and the Walter Reed Army Institute of Research (WRAIR), the vaccine was administered ID or SC, was poorly immunogenic and protected at best only 2 of 16 volunteers at one dosage against CHMI [35]. A concurrent study in non-human primates (NHP) at the NIH Vaccine Research Center (VRC) administered the vaccine SC, as in the clinical trial, and by direct venous inoculation (DVI), as done in the original rodent experiments (Box 1). DVI induced far superior immune responses: 3.2% of CD8+ T cells in the livers of the three NHPs immunized by DVI responded with IFNγ production to PISPZ stimulation, compared with low to undetectable frequencies in SC-immunized monkeys [35]. This finding provided the proof of concept for the second trial, conducted at the VRC, where the vaccine was given IV through an in-dwelling catheter. This trial escalated through five increasing doses of the vaccine, administering four to six injections to the volunteers over the course of 20–26 weeks. Sterile protection in 6/6 (100%) subjects receiving the highest total dose (Table 1) demonstrated that the PISPZ were potent and provided the critical proof-of-principle that the model of protection by mosquito bite with radiation-attenuated SPZ (Box 1) could indeed be translated into a human injectable product. There was a clear dose-threshold effect, with the three lower doses showing limited, but dose-related protection, and the highest dose conferring high-grade sterile immunity (Table 1). There was a dose response for antibody and cellular immune responses, and antibody responses to PISPZ were associated with protection. The most significant association was with antibodies to PISPZ measured by the inhibition of sporozoite invasion into hepatocytes (ISI) assay [36].

2.2. PISPZ Challenge

Sanaria® PISPZ Challenge is manufactured identically to PISPZ Vaccine except that the PISPZ are not irradiated, and are therefore fully infectious. CHMI with injectable PISPZ can replace traditional CHMI by the bite of mosquitoes to measure vaccine and drug efficacy, as well as to increase understanding of factors (genetic, immune) that affect Pf infectivity. The optimal dose and route for administering PISPZ Challenge were worked out in a series of eight clinical trials from 2010 to 2014, with the first five testing ID and IM routes. These were conducted at Radboud University Medical Center (RUMC), Nijmegen, the Netherlands [37], the University of Oxford, Oxford, UK [38], the Ifakara Health Institute (IHI), Bagamoyo, Tanzania [29], UMB CVD [51], and the Kenya Medical Research Institute (KEMRI), Nairobi, Kenya [39,40]. The aim of these studies was to administer a dose of PISPZ Challenge that reproduced the infectivity and prepatent period of five PISPZ-infected mosquitoes, namely 100% of volunteers infected and a prepatent period by thick smear microscopy of <12 days. ID administration has achieved 100% infection rates, but not the target prepatent period. IM administration of 7.5 × 10^7 PISPZ achieved both attributes [41]. IV inoculation using an in-dwelling intravenous catheter was first tested at the University of Tübingen, Germany, and achieved 100% infection rate after administering only 3.2 × 10^7 PISPZ [42]. The geometric mean (GM) prepatent period was 11.2 days (range 10.3–12.5 days). These results were then reproduced at the Barcelona Centre for International Health (CRESIB), Spain [41,42], establishing 3.2 × 10^7 PISPZ of PISPZ Challenge as the new gold standard for “mosquito-free” CHMI. In Barcelona the PISPZ were administered by DVI, inserting the 25 G needle directly into a vein and rapidly injecting the PISPZ in a volume of 0.5 mL (Fig. 1). This standard dose and DVI administration were used by the Lambaréné Centre for Medical

Fig. 1. Direct venous inoculation (DVI) of PISPZ [42]. Once the injection site is wrapped with an antiseptic such as 70% isopropyl alcohol, needle insertion, loosening the tourniquet and vaccine injection take only about 10–15 s. Note the small size of the needle (25 gauge) and syringe (1 mL), and blood flashback confirming successful puncture of, in this case, the median cubital or cephalic vein. Veins in the back of the hand or side of the wrist may also be used. Photograph by B. Mordmüller.
Research in Gabon to study the effect of naturally acquired immunity and sickle cell trait on the growth rate of Plasmodium falciparum (Lell et al., unpublished). These studies were performed using different PISPZ Challenge lots, some of which were manufactured years apart. In summary, these studies of PISPZ Challenge demonstrated that the purified, cryopreserved PISPZ produced by Sanaria were potent (infectious) and stable, and that IV and DVI routes were the most efficient means of administration.

Having established a standard route and dose, injections of 3.2 × 10^4 PISPZ of PISPZ Challenge, administered by DVI, have now been used successfully in seven CHMIs in Tanzania and Germany to test the efficacy of PISPZ Vaccine and PISPZ-CVac respectively, infecting all control volunteers (n = 43) (Mordmüller et al., unpublished; Shekalaghe et al., unpublished). CHMI by PISPZ Challenge reduces costs and streamlines the logistics compared with CHMI by mosquito bite. It also allows repeated CHMIs and adaptive clinical trial designs, since the timing of CHMI is independent of the complex process needed to produce a batch of infected mosquitoes. Since each inoculation is identical, PISPZ Challenge also standardizes the dose of infectious PISPZ, which cannot be done with CHMI by mosquito bite.

2.3. PISPZ-CVac

In a seminal study of chemoprophylaxis with SPZ (CPS) conducted at RUMC in Nijmegen [43], 3 × 12–15 bites from non-irradiated Anopheles stephensi mosquitoes harboring PISPZ administered to malaria-naïve adults concurrently taking chloroquine resulted in 100% sterile protection against CHMI (10/10 volunteers protected). The protection persisted for at least 28 months in the majority of volunteers undergoing a second CHMI, with 4/6 sterilely protected and 2/6 showing prolonged prepatent periods [44]. The Sanaria team and collaborators reasoned that PISPZ Challenge should be able to substitute for the mosquito bites, and accordingly, PISPZ Challenge was tested as the immunogen in a CPS vaccine approach called Sanaria® PISPZ-CVac (CVac = Chemoprophylaxis Vaccine).

The first PISPZ-CVac trial was conducted at RUMC; PISPZ Challenge was administered ID with chloroquine as the drug partner. Disappointingly, but in hindsight not unexpectedly, three or four ID administrations of 75,000 PISPZ induced minimal immunogenicity and little or no protection [45]. Once the superiority of the IV route was demonstrated for PISPZ Vaccine in the VRC312 trial, however, there was justification for a second trial of PISPZ-CVac, which was conducted at the University of Tübingen. PISPZ were administered by DVI rather than ID, chloroquine was retained as the partner drug, and this time the outcome was dramatically reversed [Mordmüller et al., unpublished]. The transformation from low- to high-grade immunogenicity and efficacy mirrored the similar transformation for PISPZ Vaccine when the route of administration was changed from ID or SC to IV. The finding that IV or DVI administration was needed to reveal the potency of PISPZ for immunizing volunteers parallels experience with PISPZ Challenge for which the IV or DVI route was by far the most efficient for infecting volunteers. Thus for each objective – inducing protective immunity with PISPZ Vaccine or the PISPZ-CVac approach, or inducing infection with PISPZ Challenge – there was a multifold difference in potency between the IV/DVI routes of administration and the more traditional ID, SC and IM routes.

2.4. Comparative potency of PISPZ Vaccine and PISPZ-CVac

Our cumulative experience using PISPZ Vaccine shows that it requires several hundred thousand PISPZ to induce high-grade protection, while the same or better can be achieved using the PISPZ-CVac approach using a fraction of the dose. This parallels earlier experience using mosquito bite immunization: with CPS, it took exposure to ~45 PISPZ-infected mosquitoes to achieve durable, high-level protective efficacy. In contrast, it required exposure to the bites of at least 1000 mosquitoes carrying radiation-attenuated PISPZ to consistently achieve high-level protection (Box 1). The likely reason for this is that radiation-attenuated PISPZ invade hepatocytes and begin the process of development, and although they express ~1000 proteins their replication arrests early in liver stage development. In contrast, the infectious PISPZ in PISPZ-CVac invade hepatocytes, but then replicate 10,000–40,000-fold and express ~4500 different proteins, including blood stage proteins. Thus there are dramatically more parasites and antigens presented to the immune system per PISPZ injected with PISPZ-CVac than with PISPZ Vaccine.

2.5. PISPZ-GA1

Sanaria® PISPZ-GA1 consists of purified, aseptic, cryopreserved Pf sporozoites (NF54 strain) genetically attenuated by removal of the b9 and slarp genes to halt development in the early liver stages [46,47]. The parasite line was generated by the Leiden University Medical Center (LUMC) and RUMC in collaboration with Sanaria. PFAh9Δslarp parasites invade hepatocytes but are incapable of sustaining liver stage development, similar to radiation-attenuated PISPZ. The potential advantages of PISPZ-GA1 are that (1) the PISPZ are homogenous and have a precisely characterized genetic basis for attenuation; (2) manufacturing PISPZ-GA1 cannot result in accidental exposure of staff to infectious parasites, thereby simplifying the approach to safety of operators and lowering costs. PISPZ-GA1 also has the potential to induce more efficient protection than the radiation-attenuated PISPZ Vaccine due to a different pattern of developmental arrest and antigen expression.

2.6. Safety and tolerability

To date, 2155 doses of cryopreserved PISPZ from Sanaria products have been administered to 824 adult volunteers in 17 clinical trials via a variety of routes (Sanaria, unpublished). Several of these trials included randomized, double-blind allocation to PISPZ or normal saline (NS) placebo, and data are available from three such trials, involving 97 PISPZ recipients and 68 placebo recipients in

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Table 1: Summary of protective efficacy and antibody responses in volunteers immunized in the VRC 312 clinical trial with PISPZ Vaccine [36].

<table>
<thead>
<tr>
<th>Dose (PISPZx 10^4)</th>
<th>Number of doses</th>
<th>Maximum total dose (PISPZx 10^4)</th>
<th>Anti-PfCSP Antibodies (OD 1.0)*</th>
<th>Number of volunteers</th>
<th>Protective efficacy</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.075</td>
<td>4 or 6</td>
<td>0.45</td>
<td>13</td>
<td>6</td>
<td>0%</td>
</tr>
<tr>
<td>0.3</td>
<td>4 or 6</td>
<td>1.8</td>
<td>324</td>
<td>11</td>
<td>9%</td>
</tr>
<tr>
<td>1.35</td>
<td>4</td>
<td>5.4</td>
<td>3454</td>
<td>9</td>
<td>60%</td>
</tr>
<tr>
<td>1.35</td>
<td>5</td>
<td>6.75</td>
<td>6716</td>
<td>6</td>
<td>100%</td>
</tr>
</tbody>
</table>

* Anti-PfCSP antibody level 2 weeks after the last dose and before CHMI by ELISA (geometric mean of the inverse of the serum dilution at which the optical density (OD) was 1.0).

b 5/6 controls developed parasitemia.
total. The two groups were compared in each trial after unblinding the adverse event data; there were no differences in adverse event profiles between volunteers receiving PISPZ and placebo during the first seven days after injection [29] (Sissoko, Healy et al., unpublished; Mordmüller et al., unpublished). Moreover, there have been no allergic reactions to PISPZ, nor any serious adverse events attributed to PISPZ. Experience includes 30 IV injections of $9 \times 10^5$ PISPZ and 36 IM injections of $2.2 \times 10^6$ PISPZ (Lyke, Seder et al., unpublished). The absence of clinically significant side effects linked to PISPZ administration applies also to laboratory abnormalities, including liver function tests.

DVI is rapid and efficient (Fig. 1). If the veins in the arm are suitable for obtaining blood via standard venipuncture, they are suitable for administration of PISPZ by DVI. The procedure involves the insertion of a 25G needle into the vein, a slight withdrawal on the plunger to demonstrate blood flashback, loosening of the restricting tourniquet and immediate injection of 0.5 mL of diluted PISPZ (or placebo), typically taking 10–15 s. More than half (in some trials >90%) of subjects have rated the injections as painless on a four-point scale (painless, mild pain, moderate pain, severe pain). DVI may cause slight bruising at the injection site if there is extravasation of blood from the vein, but there are no persistent local signs or symptoms such as tenderness, erythema or induration, since the vaccine is dispersed on injection. ID and SC injections are also well tolerated, indicating that if any inoculum is deposited into the surrounding tissues during DVI, it does not affect tolerability. The vaccine contains no adjuvant or pro-inflammatory material.

The demonstrated safety of PISPZ at doses up to $9 \times 10^5$ PISPZ by DVI has enabled plans for further dose escalation, in order to maximize the degree and duration of sterile immunity, and this will be done for both PISPZ Vaccine and PISPZ-CVac. An interesting aspect of the latter approach when using chloroquine as the antimalarial is that 5.5–7 days after injection merozoites are released into the blood and are detectable by qPCR, providing a transient low-grade parasitemia that is rapidly cleared by chloroquine. The kinetics of transient parasitemia allow an estimate of the number of infected hepatocytes, which can be used to correlate immunogen dose (number of sporozoites infecting hepatocytes) with protective efficacy.

3. Clinical development plan

3.1. PISPZ Vaccine

Springboarding off the two published trials of PISPZ Vaccine [35,36], particularly following the high-grade protection in VRC 312 and ongoing studies, there are five new trials completed or underway of this product that constitute “Stage 1” of the PISPZ Vaccine clinical development plan (CDP) (Fig. 2). Each of these trials was initiated by the primary performing institutions (Table 2), which also provided funding and developed protocols in close partnership with Sanaria. These studies have reproduced the high-grade efficacy seen in VRC 312, demonstrated that PISPZ Vaccine induces heterologous and durable (12 month) protection against CHMI and against naturally-transmitted malaria, and that a three-dose regimen can be highly protective. We have also learned that malaria-naive individuals in the U.S. respond better to the vaccine than malaria-exposed individuals in Africa after receiving an identical dose and regimen, exhibiting multifold higher titers of antibodies to PICSP by ELISA. This indicates that increased doses of PISPZ, and potentially interval changes between doses, will be required to achieve high-level immunogenicity and sterile protection in malaria-exposed individuals. This difference in responsiveness may result from the immune modulation caused by repeated malaria infections. The specific results from these trials will be published by the investigators.

Stage 2 of the clinical development plan, launched in late-2015, will address the following objectives:

1. Demonstrate high-grade sterile protection in malaria-naïve adults, including durable (≥6 month) protection against heterologous CHMI following a simplified (e.g. three dose) regimen. These studies aim to finalize the regimen for licensure to protect travelers, including military personnel, during stays in malarious areas.

2. Demonstrate high-grade sterile protection in malaria-exposed African adults, including durable (≥6 month) protection against naturally-transmitted malaria following a similarly simplified regimen. These studies aim to define a regimen that can be used in malaria elimination campaigns to halt infection and transmission.

3. Evaluate the tolerability, immunogenicity and efficacy of truncated regimens: 0, 1, and 4 weeks; 0 and 1 week; 0, 2, 4 and 6 days or even a single immunization. These studies aim to improve the operational feasibility of using the vaccine for all indications.

4. Demonstrate safety, immunogenicity and protection in African infants 5 months or older and in young children. These studies aim to protect the most vulnerable age groups from malaria and will optimize dose of vaccine with respect to age and body weight. We hypothesize that because African infants have had limited exposure to malaria, vaccinations will result in better protective responses than for African adults thereby providing an effective vaccine for the most vulnerable populations.

5. Demonstrate safety in the elderly and in HIV-infected individuals. These studies aim to show that screening for diminished health or immunodeficiency will not be required when conducting mass administration campaigns. Since radiation-attenuated parasites cannot replicate, they should prove safe in all individuals, including the immunocompromised.

6. Evaluate efficacy against Plasmodium vivax by CHMI and natural transmission. Pv and Pf share tens of thousands of minimal T cell epitopes [48,49], and PISPZ may induce cross-protective cell mediated immunity.

7. Establish immunological correlates of infection; all immune responses measured in these trials will be assessed as potential correlates.

8. Continue with operational research in preparation for phase 3 clinical trials and elimination campaigns with the licensed vaccine.

There are seven funded trials that will address these objectives in the USA, Germany, Tanzania, Mali, Burkina Faso, Kenya and Equatorial Guinea (Table 2), plus one additional trial of a new strain of PISPZ Challenge. A program for studying PISPZ Vaccine in pregnancy is in the planning stages. Stage 3 of the CDP will include expanded safety testing and large scale CHMI trials in malaria-naïve adults using one or more heterologous parasites for CHMI, and will also include large-scale field efficacy trials in malaria endemic areas including all age groups older than six months. These studies are planned for 2016–18. The studies in malaria-naïve adults will support the targeted submission of a biologics license application (BLA) in 2017–18 for a traveler’s vaccine, and the studies in endemic areas will support an additional indication for use in endemic areas subsequently. Operationally we intend to initially target infants, age 6–12 months, to reduce morbidity and mortality, and mass administration projects intended to achieve halting of transmission and elimination of malaria will follow.
3.2. PfSPZ-CVac

The CDP for PfSPZ-CVac parallels that of PfSPZ Vaccine with the added necessity of optimizing the administration, dose and regimen of the partner drug. Development is being prioritized and accelerated because of PfSPZ-CVac’s increased potency compared to PfSPZ Vaccine. One clinical trial is ongoing (TÜCHMI-002 trial at the University of Tübingen), one clinical trial has just started at the U.S. National Institutes of Health (NIH) Clinical Center, and three additional trials are planned for 2015–2016 (Table 3). These include trials of condensed regimens (as few as 3 doses in 10 days) and alternative drug partners (atovaquone/proguanil, azithromycin,

Table 2
Summary of Stage 1 and Stage 2 PfSPZ Vaccine clinical trials. The performing institutions are core members of the expanding International PfSPZ Consortium (see Table 4).

<p>| Stage 1 |
|------------------|-------------------------------------------------|-------------------------------------------------|</p>
<table>
<thead>
<tr>
<th>Country</th>
<th>Protocol name</th>
<th>Primary performing institutions</th>
<th>ClinTrials.gov Identifier</th>
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<tbody>
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<td>USA</td>
<td>VRC 314</td>
<td>NIAID Vaccine Research Center (VRC), University of Maryland Baltimore, Center for Vaccine Development (UMB CVD)</td>
<td>NCT02015091</td>
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<tr>
<td>Mali</td>
<td>14-I-N010</td>
<td>Malaria Research &amp; Training Center (MRTC), University of Bamako</td>
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<td>BSPZV1</td>
<td>Ifakara Health Institute (IHI)</td>
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<tr>
<td>USA</td>
<td>WRAIR 2080</td>
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</tr>
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</table>

<p>| Stage 2 |
|------------------|-------------------------------------------------|-------------------------------------------------|</p>
<table>
<thead>
<tr>
<th>Country</th>
<th>Primary performing institutions</th>
<th>Objectives</th>
<th>Efficacy assessment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Germany</td>
<td>University of Tübingen</td>
<td>Condensed regimen in adults</td>
<td>CHMI – PfSPZ Challenge</td>
</tr>
<tr>
<td>Tanzania</td>
<td>IHI</td>
<td>Age de-escalation in infants and efficacy in adults</td>
<td>CHMI – PfSPZ Challenge</td>
</tr>
<tr>
<td>Mali</td>
<td>MRTC</td>
<td>Dose escalation in adults</td>
<td>CHMI – PfSPZ Challenge + Natural exposure</td>
</tr>
<tr>
<td>Burkina Faso</td>
<td>LIMIV</td>
<td>Dose escalation and efficacy in adults</td>
<td>Natural exposure</td>
</tr>
<tr>
<td>USA</td>
<td>NMRC</td>
<td>Finalized regimen for adult travelers</td>
<td>CHMI – Mosquito bite</td>
</tr>
<tr>
<td>Equatorial Guinea</td>
<td>WRAIR</td>
<td>Efficacy in adults</td>
<td>CHMI – PfSPZ Challenge</td>
</tr>
<tr>
<td>Kenya</td>
<td>Kenya Medical Research Institute (KEMRI)</td>
<td>Efficacy in adults</td>
<td>Natural exposure</td>
</tr>
</tbody>
</table>

Fig. 2. Clinical development plan for PfSPZ Vaccine. Current activities fall into Stage 2.
Pyrimethamine). Close attention is being paid to safety considerations, since the PISPZ-CVac approach includes injecting healthy individuals with a human pathogen, notwithstanding the fact that PISPZ Challenge (NF54) is highly sensitive to chloroquine and other antimalarials. Any regimen will require ingestion and retention of a protective drug before the PISPZ are administered. This will be done under direct observation. The challenge during development is to demonstrate that vaccination with PISPZ-CVac is as safe as any other approach to vaccination. PISPZ-CVac may be most appropriate for use in elimination campaigns in endemic areas, where the population is already exposed to natural malaria transmission.

3.3 PISPZ-GA1

Clinical lots of PISPZ-GA1 have been manufactured. A proposal for the first trial has been submitted for funding. The first step will be to establish adequate attenuation and to compare protective efficacy with PISPZ Vaccine. A vaccine based on genetically attenuated Pf designed to arrest development late in the liver stage or immediately after release of parasites into the blood is also being actively pursued, as such parasites will mimic PISPZ-CVac without the need for administering anti-malarial drugs.

3.4 International SPZ Consortium

A key aspect of the CDPs for PISPZ Vaccine, PISPZ-CVac and PISPZ-GA1 is their reliance on an informed and proactive consortium of research and funding institutions that together constitute the International PISPZ Consortium (I-PISPZ-C) (Table 4). Members meet periodically to share and critique data, and to discuss plans. The most recent meetings were held 11–12 March 2015, 9 September 2015 and 29–30 October 2015 in Tübingen, Basel and Philadelphia, respectively (Box 2). The enthusiasm and aggressive research strategies of the I-PISPZ-C have propelled innovative approaches and greatly accelerated the development and testing of PISPZ-based products. Sanaria acts as sponsor for nearly all the

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Table 3
Summary of current and planned PISPZ-CVac clinical trials.

<table>
<thead>
<tr>
<th>Country</th>
<th>Primary performing institutions</th>
<th>Objectives</th>
<th>Efficacy assessment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Germany</td>
<td>University of Tübingen (current) (ClinicalTrials.gov NCT02115516)</td>
<td>Condensed regimen</td>
<td>CHMI — PISPZ Challenge</td>
</tr>
<tr>
<td>USA</td>
<td>NIAID Laboratory of Malaria Immunology and Vaccinology (LMIV)</td>
<td>Alternative drug partner — azithromycin</td>
<td>CHMI — PISPZ Challenge</td>
</tr>
<tr>
<td></td>
<td>NIAID Laboratory of Malaria Immunology and Vaccinology (LMIV)</td>
<td>Alternative drug partner — pyrimethamine</td>
<td>CHMI — PISPZ Challenge</td>
</tr>
<tr>
<td>Africa</td>
<td>Kintampo Health Research Centre</td>
<td>Dose escalation, condensed regimen</td>
<td>CHMI — PISPZ Challenge</td>
</tr>
<tr>
<td>Germany</td>
<td>University of Tübingen</td>
<td>Alternative drug partners — atovaquone/proguanil</td>
<td>CHMI — PISPZ Challenge</td>
</tr>
</tbody>
</table>

Table 4
Members of the International PISPZ Consortium. Partners and funding organizations are listed by country and do not necessarily match left to right.

<table>
<thead>
<tr>
<th>Location</th>
<th>Collaborative and funding partners</th>
<th>Funding organizations</th>
</tr>
</thead>
<tbody>
<tr>
<td>USA</td>
<td>Naval Medical Research Center (NMRC), Department of Defense (DoD)</td>
<td>Military Infectious Disease Research Program (MIDRP)</td>
</tr>
<tr>
<td></td>
<td>Walter Reed Army Institute of Research (WRAIR), DoD</td>
<td>US Navy Advanced Medical Development Program</td>
</tr>
<tr>
<td></td>
<td>NIAID Vaccine Research Center (VRC)²</td>
<td>US Army Medical Materiel Development Activity (USAMMDA)</td>
</tr>
<tr>
<td></td>
<td>NIAID Laboratory of Malaria Immunology and Vaccinology (LMIV)²</td>
<td>NIAID Division of Microbiology and Infectious Diseases (DMID)</td>
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<td></td>
<td>University of Maryland Baltimore, Center for Vaccine Development (UMBCVD)</td>
<td>PATH Malaria Vaccine Initiative (MVI) (funded by Bill &amp; Melinda Gates Foundation) (BMGF)</td>
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<td></td>
<td>Centers for Disease Control and Prevention (CDC)²</td>
<td>Marathon Oil Corporation</td>
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<td></td>
<td>Medical Care Development International (MCIDI)</td>
<td>Noble Energy</td>
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<tr>
<td>Europe</td>
<td>Swiss Tropical and Public Health Institute (Swiss TPH)²</td>
<td>European Vaccine Initiative</td>
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<tr>
<td>Germany</td>
<td>University of Tübingen⁴</td>
<td>Swiss State Secretariat for Education, Research and Innovation</td>
</tr>
<tr>
<td></td>
<td>Radboud University Medical Center (RUMC), Leiden University Medical Center</td>
<td>German Centre for Infection Research</td>
</tr>
<tr>
<td>The Netherlaness</td>
<td>ISGlobal, Barcelona Centre for International Health Research (CRESIB)⁶</td>
<td>Top Institute Pharma</td>
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<tr>
<td>Spain</td>
<td>Jenner Institute, Oxford University—⁶</td>
<td>CRESIB, Spanish Government</td>
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<tr>
<td>UK</td>
<td>Tanzania</td>
<td>The Welcome Trust</td>
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<tr>
<td>Africa</td>
<td>Tanzania</td>
<td>Tanzania Commission on Science and Technology (COSTECH)</td>
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<td></td>
<td>Equatorial Guinea</td>
<td>Government of Equatorial Guinea</td>
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<td>Kenya</td>
<td>Equatorial Guinea LNG</td>
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<td>Republic of the Congo</td>
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<tr>
<td>Asia</td>
<td>Indonesia</td>
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</table>

⁴ Invested institutional funds in clinical trials of PISPZ-based products.

² Only involved with PISPZ Challenge.
Box 2: The International PfSPZ Consortium.
The International PfSPZ Consortium (I-PfSPZ-C) has evolved to integrate the planning and governance of development among the organizations collaborating to take PfSPZ Vaccine and PfSPZ-CVac through to licensure. The I-PfSPZ-C has an inclusive structure in which all individuals, groups, institutions and funding organizations involved in studies with Sanaria’s PfSPZ-based products participate in the planning of trials, and reviewing data on safety, immunogenicity and protective efficacy of PfSPZ vaccines. They also contribute to the efforts to raise funds to support the program. The I-PfSPZ-C has met two to three times per year since November 2011, including sessions organized in association with the annual meeting of the American Society of Tropical Medicine and Hygiene (ASTMH). The first meeting of 2015 was held in March at the University of Tübingen, Germany, and was attended by 81 individuals from 36 different institutions based in 14 different countries in North America, Europe and Africa. More recently, a meeting was held in October in association with the annual meeting of the ASTMH in Philadelphia, and was attended by 106 individuals from 43 different institutions based in 16 different countries. In addition to clinical investigators, participants included representatives from African governments, policy agencies, regulatory authorities and funding agencies.

4. Conclusions

With the development of PfSPZ-based products for parenteral injection, the field of malaria vaccines is returning to principles of highly protective immunization first established in birds in the early 1900s, in mice in the 1960s and in humans in the 1970s (Box 1). The focus has been to reproduce the same durable protective immunity using an injectable product that is safe for human use. This approach, unencumbered by a priori restrictions on vaccine design, has led to rapid progress, and should translate into a more thorough understanding of the immunological mechanisms underlying protection. Moreover, the whole organism approach mirrors that of many other live, attenuated vaccine products, nearly all of which are highly protective.

The fact remains, however, that there are no vaccines licensed to protect humans against parasites, which are far more complex than viral or bacterial pathogens. It is therefore to be expected that numerous innovations have been required, and these have included novel manufacturing process steps for the production of highly PfSPZ-infected, aseptic mosquitoes and purifying and cryopreserving the PfSPZ. On the clinical side, it has been necessary to develop new immunization regimens, and to develop DVI as a method for efficient PfSPZ administration. Further process refinements are anticipated in the coming years after licensure of the first generation PfSPZ vaccine(s), and these include in vitro development of PfSPZ from sexual stage parasites. Although the worldwide need for a malaria vaccine can be met using current methods for manufacture, such innovations will simplify scale-up and reduce the cost of goods.

The rapid progress achieved by the I-PfSPZ-C would not have been possible without the open-minded and creative approaches adopted by Sanaria and its collaborators. Members of the I-PfSPZ-C have provided leadership in key developments including DVI administration, condensed immunization regimens, and novel vaccine concepts such as PfSPZ-CVac. Remarkably, our clinical experience has demonstrated excellent safety and tolerability, regardless of route of administration. This allows for the testing of higher doses, which appear needed to achieve our objectives in those with prior malaria exposure. As new technologies for manufacturing, formulation, cryopreservation and administration are developed, and as indicated by the results of ongoing clinical testing, optimized vaccine candidates and immunization regimens will be advanced under appropriate regulatory guidance. The long-term goal is durable, cross-strain, sterile immunity in >90% of vaccine recipients with the lowest numbers of PfSPZ in the least numbers of doses in the shortest period of time. The target product must also demonstrate operational, safety and tolerability characteristics suitable for use in mass administration campaigns. The I-PfSPZ-C is working toward these long-term objectives, aiming for a PfSPZ vaccine to be the cornerstone for malaria elimination and eradication.

Acknowledgements

We wish to acknowledge the invaluable support of the Sanaria Manufacturing, Quality, Regulatory and Clinical Teams and Protein Potential Assays Team, Rockville MD, USA: especially Yonas Abebe, Anusha Gunasekera, Tooba Mushedkar, Elizabeth Saverino, Abraham Eappen, Tao Li, Anita Manoj, Richard Stafford, Minglin Li, Adam Richman, Adam Ruben, Yun Wu, Aderonke Awe, Asha Patil, LiXin Gao, Natasha KC, Faith Beams, Vikrith Pich, Keith Nelson, Yingda Wen, Bing Jiang, Maria Orozco, Rui Xu, James Overby, Steve Matheny, Yeab Getachew, Enni Fomumbod, Mary King, Michelle Laskowski, Patricia De La Vega, Tint Wai, Jonathan Jackson, Meghan Marquette, Henry Huang, Debbie Padilla, and Preston Church.
We also warmly thank the many institutions, investigators and their teams who were or are involved in the conduct of completed, ongoing and planned trials of PISPZ products, for their collaboration and, in many cases, financial support (listed by institution in alphabetical order): Armed Forces Research Institute of Medical Sciences, Bangkok, Thailand; Ratawan Ubalee; Center for Malaria Research, Institute for Global Health, University of Maryland School of Medicine, Baltimore, MD, United States; Matthew Laurens, Andrea Berry, Robert Edelman, Christopher Plowe; Centre de Recherches Médicales de Lambaréné, Lambaréné, Gabon; Ayola Akim Adegnika, Maxime Selidji Agnandji, Jean-Claude Dejon Agobe, Bertrand Lell, Jeannot Zinzou; Centers for Disease Control and Prevention, Atlanta, GA, United States; Mary Hamel, Laurence Slutsker; Centre National de Recherche et de Formation sur le Paludisme, Burkina Faso; Sodimora Sirima; Eijkman-Oxford Clinical Research Unit, Jakarta, Indonesia; Kevin Baird; Equatorial Guinea Ministry of Health and Social Welfare, Malabo, Equatorial Guinea: Diosdado Nseu Milenge, Vicente Urbano Nseu Ndongo Nchama, Eka Ondé Martin; Fred Hutchinson Cancer Research Center; James Kublin; Group Health Research Institute, Seattle, WA, United States; Lisa Jackson; Ifakara Health Institute, Bagamoyo, United Republic of Tanzania: Seif Shekalaghe, Said Abdallah Jonge, Ally Olotu, Ali Hamad; Institute of Tropical Medicine, University of Tübingen, Tübingen, Germany; Markus Gmeiner; Barcelona Centre for International Health Research, University of Barcelona and ISGlobal, Barcelona, Spain; Patricia Gómez-Pérez; Jenni Institute, University of Oxford, Oxford, United Kingdom; Susanne Hodgson, Adrian Hill; Wellcome Trust, Kenya Medical Research Institute (KEMRI), and Centre for Research in Therapeutic Sciences (CREATES), Nairobi, Kenya; Philip Bejon and Kevin Marsh, Elizabeth Juma, and Bernhards Onguru, respectively; Kintampo Health Research Centre, Kintampo, Ghana: Kwaku Polo Asante, Seth Owusu-Agyei; Laboratory of Malaria Immunology and Vaccinology, National Institute of Allergy and Infectious Diseases, NIH, Rockville, MD, United States; Sara Healy, Erin Gabriel, Irfan Zaidah; La Paz Medical Center, Malabo, Equatorial Guinea: Oscar Embon, Michael Averbukh; Leiden University, Leiden, the Netherlands: Meta Roestenberg, Shahid Kahn, Chris Janse; Malaria Research and Training Center, University of Bamako, Bamako, Mali; Mamadou S. Sissoko; Marathon Oil, Malabo, Equatorial Guinea: Carl Maas, Mitoha Ondo’o Ayekaba; Medical Care Development International, Malabo, Equatorial Guinea, and Silver Spring, MD, United States: Chris Schwabe, Dianne Hergott, Feliciano Monti, Luis Segura; Naval Medical Research Center, Silver Spring, MD, United States: Alexandra Singer, Martha Segedah, Eileen Villasante; Radboud University Medical Center, Nijmegen, the Netherlands: Guido Bastiaensen, Else Bijker, Cornelus Hermsen, Ben van Schaik; Swiss Tropical and Public Health Institute, Basel, Switzerland: Claudia Daubenerben, Tobias Schindler; University of Washington, Seattle, WA, United States: Sean Murphy; Vaccine Research Center, National Institute of Allergy and Infectious Disease, NIH, Bethesda, MD, United States: Julie Ledgerwood, Mary Enama, Barney Graham; Walter Reed Army Institute of Research, Silver Spring, MD, United States: Silas Davidson, Jason Richardson, Jittawadee Murphy, Lindsey Garver, Kris Paolino, Robert Paris; University of California, San Francisco: Awaludin Sutamihardja.

We gratefully acknowledge the past and current support of the following funding institutions: Division of Microbiology and Infectious Diseases, NIAID, NIH; Vaccine Research Center, NIAID, NIH; US Navy Advanced Medical Development Program; Military Infectious Disease Research Program; US Army Medical Materiel Development Activity; PATH Malaria Vaccine Initiative (Bill & Melinda Gates Foundation); Institute for OneWorld Health (Bill & Melinda Gates Foundation); Marathon Oil Corporation (in partnership with Noble Energy, EG LNG, AMPCO); German Centre for Infection Research; European Vaccine Initiative; Swiss State Secretariat for Education, Research and Innovation; Tanzanian Commission on Science and Technology (COSTECH); Government of Equatorial Guinea; Ghana Ministry of Health.

We thank the 824 research volunteers who have participated to date in Sanaria's trials and received Sanaria's products.

**Conflict of interest statement:** TLR, PFB, BKS, ERJ, SC and SLH are salaries, full-time employees of Sanaria Inc., the developer and sponsor of Sanaria PISPZ Vaccine, PISPZ Challenge, and the PISPZ-CVac vaccine approach.

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