

# Orbifolds as Groupoids: an Introduction

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## Introduction

The purpose of this paper is to describe orbifolds in terms of (a certain kind of) groupoids. In doing so, I hope to convince you that the theory of (Lie) groupoids provides a most convenient language for developing the foundations of the theory of orbifolds. Indeed, rather than defining all kinds of structures and invariants in a seemingly ad hoc way, often in terms of local charts, one can use groupoids (which are *global* objects) as a bridge from orbifolds to *standard* structures and invariants. This applies e.g. to the homotopy type of an orbifold (via the classifying space of the groupoid), the K-theory of an orbifold (via the equivariant vector bundles over the groupoid), the sheaf cohomology of an orbifold (via the derived category of equivariant sheaves over the groupoid), and many other such notions. Groupoids also help to clarify the relation between orbifolds and complexes of groups. Furthermore, the relation between orbifolds and non-commutative geometry is most naturally explained in terms of the convolution algebra of the groupoid. In this context, and in several others, the inertia groupoid of a given groupoid makes its natural appearance, and helps to explain how Bredon cohomology enters the theory of orbifolds.

Groupoids play a fundamental rôle in the theory of foliations, and from this point of view their use in the context of orbifolds is only natural (cf. Theorem 3.7 below), and was exploited at an early stage, e.g. by A. Haefliger. The more precise correspondence, between orbifolds on the one hand and proper étale groupoids on the other, originates I believe with [MP].

The present paper closely follows my lecture at the Workshop in Madison, Wisconsin. In particular, the paper is of an introductory nature, and there are no new results presented here. There are many elaborate introductions to the theory of groupoids (e.g. [CW, Mk], and for more technical results mentioned in this paper appropriate references are given. I have chosen to work in the context of  $C^\infty$ -manifolds, as is common in the theory of orbifolds [Sa, T]. However, it will be clear that most of the definitions and results carry over to other categories such as the topological one. Many of the results also have analogues in algebraic geometry, in the theory of (Deligne-Mumford and Artin) stacks.

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## 1 Lie groupoids

In this first section, we recall the basic definitions concerning Lie groupoids.

**1.1 Groupoids.** A groupoid is a (small) category in which each arrow is an isomorphism ([CMW], p. 20)); for example, the category of finite sets and bijections between them. Thus, a groupoid  $G$  consists of a set  $G_0$  of *objects* and a set  $G_1$  of *arrows*, together with various structure maps. To begin with, there are maps  $s$  and  $t : G_1 \rightrightarrows G_0$  which assign to each arrow  $g \in G_1$  its *source*  $s(g)$  and its *target*  $t(g)$ . For two objects  $x, y \in G_0$ , one writes

$$g : x \rightarrow y \text{ or } x \xrightarrow{g} y$$

to indicate that  $g \in G_1$  is an arrow with  $s(g) = x$  and  $t(g) = y$ .

Next, if  $g$  and  $h$  are two arrows with  $s(h) = t(g)$ , one can form their *composition* (or “product”)  $hg$ , with  $s(hg) = s(g)$  and  $t(hg) = t(h)$ . In other words, if  $g : x \rightarrow y$  and  $h : y \rightarrow z$  then  $hg$  is defined and  $hg : x \rightarrow z$ . This composition is required to be associative. It defines a map

$$G_1 \times_{G_0} G_1 \xrightarrow{m} G_1, \quad m(h, g) = hg \tag{1}$$

on the fibered product  $G_1 \times_{G_0} G_1 = \{(h, g) \in G_1 \times G_1 \mid s(h) = t(g)\}$ .

Furthermore, for each object  $x \in G_0$  there is a *unit* (or *identity*) arrow  $1_x : x \rightarrow x$  in  $G_1$ , which is a 2-sided unit for the composition:  $g1_x = g$  and  $1_x h = h$  for any two arrows  $g, h$  with  $s(g) = x = t(h)$ . These unit arrows together define a map

$$u : G_0 \rightarrow G_1, \quad u(x) = 1_x. \tag{2}$$

Finally, for each arrow  $g : x \rightarrow y$  in  $G_1$  there exists an *inverse*  $g^{-1} : y \rightarrow x$ , which is a 2-sided inverse for composition:  $gg^{-1} = 1_y$  and  $g^{-1}g = 1_x$ . These inverses define a map

$$i : G_1 \rightarrow G_1, \quad i(g) = g^{-1}. \tag{3}$$

Summarizing, a groupoid consists of two sets  $G_0$  and  $G_1$ , and five structure maps  $s, t, m, u$ , and  $i$ , which are required to satisfy various identities which express that composition is associative, with a 2-sided  $1_x$  for each  $x \in G_0$ , and a 2-sided inverse  $g^{-1}$  for each  $g \in G_1$ . Before we turn to examples, we add some smooth structure.

**1.2 Lie groupoids.** A *Lie groupoid* is a groupoid  $G$  for which, in addition,  $G_0$  and  $G_1$  are smooth manifolds, and the structure maps  $s, t, m, u$  and  $i$  are smooth; furthermore,  $s$  and  $t : G_1 \rightrightarrows G_0$  are required to be submersions (so that the domain of definition  $G_1 \times_{G_0} G_1$  of  $m$  in (1) is a manifold). In the present context, we will always assume  $G_0$  and  $G_1$  to be Hausdorff.

**1.3 Examples.** We list some elementary standard examples.

- (a) Any groupoid can be viewed as a Lie groupoid of dimension zero; we call such a Lie groupoid *discrete*.
- (b) Any manifold  $M$  can be viewed as a Lie groupoid in two ways: one can take  $G_1 = M = G_0$  which gives a groupoid all of whose arrows are units. This is called the *unit groupoid* on  $M$  and denoted  $u(M)$ . The other possibility, less relevant here, is to take  $G_0 = M$  and  $G_1 = M \times M$ , which gives a groupoid with *exactly* one arrow  $(y, x) : x \rightarrow y$  from any object  $x$  to any other object  $y$ . This is called the *pair groupoid*, and often denoted  $M \times M$ .
- (c) Any Lie group  $G$  can be viewed as a Lie groupoid, with  $G_0 = pt$  the one-point space, and  $G_1 = G$ . The composition in the groupoid is the multiplication of the group.
- (d) Suppose a Lie group  $K$  acts smoothly on a manifold  $M$ , say from the left. One can define a Lie groupoid  $K \ltimes M$  with as objects the points  $x \in M$ , and as arrows  $k : x \rightarrow y$  those  $k$  for which  $k \cdot x = y$ . Thus,  $(K \ltimes M)_0 = M$  and  $(K \ltimes M)_1 = K \times M$ , with  $s : K \times M \rightarrow M$  the projection, and  $t : K \times M \rightarrow M$  the action. Composition is defined from the multiplication in the group  $K$ , in the obvious way. This groupoid is called the *translation groupoid* or *action groupoid* associated to the action. (For right actions there is a similar groupoid, denoted  $M \rtimes K$ , whose arrows  $k : x \rightarrow y$  are  $k \in K$  with  $x = y \cdot k$ .)
- (e) Let  $M$  be a connected manifold. The fundamental groupoid  $\Pi(M)$  of  $M$  is the groupoid with  $\Pi(M)_0 = M$  as space of objects. An arrow  $x \rightarrow y$  is a homotopy class of paths from  $x$  to  $y$ . For any (“base”) point  $x_0 \in M$  the target  $t : \Pi(M)_1 \rightarrow M$  restricts to a map  $t : s^{-1}(x_0) \rightarrow M$  on the space of all arrows with source  $x_0$ , and this latter map is the universal cover with base point  $x_0$ .

**1.4 Isotropy and orbits.** Let  $G$  be a Lie groupoid. For a point  $x \in G_0$ , the set of all arrows from  $x$  to itself is a Lie group, denoted  $G_x$  and called the *isotropy* or *stabilizer group* at  $x$ . This terminology fits the one for group

actions (1.3(d)). In example 1.3(e), the stabilizer  $\Pi(M)_x$  is the fundamental group  $\pi_1(M, x)$ . Again inspired by group actions, the set  $ts^{-1}(x)$  of targets of arrows out of  $x$  is referred to as the *orbit* of  $x$ , and denoted  $G_x$ . This set has the structure of a smooth manifold, for which the inclusion  $G_x \hookrightarrow G$  is an immersion. The collection of all orbits of  $G$  will be denoted  $|G|$ . It is a quotient space of  $G_0$ , but in general it is not a manifold.

**1.5 Some classes of groupoids.** There are many important properties of groupoids one can study. The following ones will be particularly relevant here:

- (a) A Lie groupoid is called *proper* if the map  $(s, t) : G_1 \rightarrow G_0 \times G_0$  is a proper map [B]. In a proper Lie groupoid  $G$ , every isotropy group is a compact Lie group.
- (b) A Lie groupoid  $G$  is called a *foliation groupoid* if each isotropy group  $G_x$  is *discrete*. (For example, the holonomy and homotopy groupoids of a foliation have this property (see e.g. [P, CM1].)
- (c) A Lie groupoid  $G$  is called *étale* if  $s$  and  $t$  are local diffeomorphisms  $G_1 \rightrightarrows G_0$ . Any étale groupoid is obviously a foliation groupoid. (The converse is true “up to Morita equivalence”, 2.4 below.)
- (d) If  $G$  is an étale groupoid, then any arrow  $g : x \rightarrow y$  in  $G$  induces a well-defined germ of a diffeomorphism  $\tilde{g} : (U_x, x) \xrightarrow{\sim} (V_y, y)$ , as  $\tilde{g} = t \circ \hat{g}$ , where  $\hat{g} : U_x \rightarrow G_1$  is a section of the source map  $s : G_1 \rightarrow G_0$ , defined on a sufficiently small nbd  $U_x$  of  $x$  and with  $\hat{g}(x) = g$ . We call  $G$  *effective* (or *reduced*) if the assignment  $g \mapsto \tilde{g}$  is faithful; or equivalently, if for each point  $x \in G_0$  this map  $g \mapsto \tilde{g}$  defines an injective group homomorphism  $G_x \rightarrow \text{Diff}_x(G_0)$ .

## 2 Maps and Morita equivalence

The main purpose of this section is to introduce the notions of (Morita) equivalence and generalized map between Lie groupoids.

**2.1 Homomorphisms.** Let  $G$  and  $H$  be Lie groupoids. A *homomorphism*  $\phi : H \rightarrow G$  is by definition a smooth functor. Thus, a homomorphism consists of two smooth maps (both) denoted  $\phi : H_0 \rightarrow G_0$  and  $\phi : H_1 \rightarrow G_1$ , which together commute with all the structure maps of the groupoids  $G$  and  $H$ . So if  $h : x \rightarrow y$  is an arrow in  $H$ , then  $\phi(h) : \phi(x) \rightarrow \phi(y)$  in  $G$ , etc. In the examples 1.3(b),(c) this gives the familiar notion of a map. In example (e), any smooth map  $N \rightarrow M$  is part of a unique (!) homomorphism  $\Pi(N) \rightarrow \Pi(M)$ . In example (d), if  $K$  acts on  $M$  and  $L$  on  $N$ , a pair consisting of a homomorphism  $\alpha : K \rightarrow L$  of Lie groups and a smooth map  $f : M \rightarrow N$  which is equivariant (i.e.  $f(k \cdot m) = \alpha(k) \cdot f(m)$ ), induces a homomorphism  $K \ltimes M \rightarrow L \ltimes N$  between translation groupoids. However, not every homomorphism is of this form.

**2.2 Natural transformations.** Let  $\phi, \psi : H \rightarrow G$  be two homomorphisms. A *natural transformation*  $\alpha$  from  $\phi$  to  $\psi$  (notation:  $\alpha : \phi \Rightarrow \psi$ ) is a smooth map  $\alpha : H_0 \rightarrow G_1$  giving for each  $x \in H_0$  an arrow  $\alpha(x) : \phi(x) \rightarrow \psi(x)$  in  $G$ , “natural” in  $x$  in the sense that for any  $g : x \rightarrow x'$  in  $G$  the identity  $\psi(g)\alpha(x) = \alpha(x')\phi(g)$  should hold. We write  $\phi \simeq \psi$  if such an  $\alpha$  exists. For example, if  $H$  and  $G$  are Lie groups (1.3(c))  $\alpha$  is just an element  $g \in G$  by which the homomorphisms  $\phi$  and  $\psi$  are conjugate. And for example 1.3(e), if  $f, g : M \rightarrow N$  are two smooth maps and  $f_*, g_* : \Pi(M) \rightarrow \Pi(N)$  are the corresponding groupoid homomorphisms, then any homotopy between  $f$  and  $g$  defines a transformation  $f_* \Rightarrow g_*$ .

**2.3 Fibered products.** Let  $\phi : H \rightarrow G$  and  $\psi : K \rightarrow G$  be homomorphisms of Lie groupoids. The *fibered product*  $H \times_G K$  is the Lie groupoid whose objects are triples  $(y, g, z)$  where  $y \in H_0$ ,  $z \in K_0$  and  $g : \phi(y) \rightarrow \psi(z)$  in  $G$ . Arrows  $(y, g, z) \rightarrow (y', g', z')$  in  $H \times_G K$  are pairs  $(h, k)$  of arrows,  $h : y \rightarrow y'$  in  $H$  and  $k : z \rightarrow z'$  in  $K$  with the property that  $g'\phi(h) = \psi(h)g$ :

$$\begin{array}{ccccc} y & & \phi(y) & \xrightarrow{g} & \phi(z) & & z \\ \downarrow h & & \downarrow \phi(h) & & \downarrow \phi(k) & & \downarrow k \\ y' & & \phi(y') & \xrightarrow{g'} & \phi(z') & & z' \end{array}$$

Composition in  $H \times_G K$  is defined in the obvious way. This fibered product groupoid is a Lie groupoid as soon as the space  $(H \times_G K)_0 = H_0 \times_{G_0} G_1 \times_{G_0} K_0$  is a manifold. (For example, this is the case whenever the map  $t\pi_2 : H_0 \times_{G_0} G_1 \rightarrow G_0$  is a submersion.) There is a square of homomorphisms

$$\begin{array}{ccc} H \times_G K & \xrightarrow{\pi_2} & K \\ \pi_1 \downarrow & & \downarrow \psi \\ H & \xrightarrow{\phi} & G \end{array} \quad (4)$$

which commutes up to a natural transformation, and is universal with this property.

**2.4 Equivalence.** A homomorphism  $\phi : H \rightarrow G$  between Lie groupoids is called an *equivalence* if

(i) The map

$$t\pi_1 : G_1 \times_{G_0} H_0 \rightarrow G_0,$$

defined on the fibered product  $\{(g, y) \mid g \in G_1, y \in H_0, s(g) = \phi(y)\}$ , is a surjective submersion.

(ii) The square

$$\begin{array}{ccc} H_1 & \xrightarrow{\phi} & G_1 \\ (s,t) \downarrow & & \downarrow (s,t) \\ H_0 \times H_0 & \xrightarrow{\phi \times \phi} & G_0 \times G_0 \end{array}$$

is a fibered product.

Condition (i) says in particular that every object  $x$  in  $G$  is connected by an arrow  $g : \phi(y) \rightarrow x$  to an object in the image of  $\phi$ . Condition (ii) says in particular that  $\phi$  induces a diffeomorphism  $H(y, z) \rightarrow G(\phi(y), \phi(z))$ , from the space of all arrows  $y \rightarrow z$  in  $H$  to the space of all arrows  $\phi(y) \rightarrow \phi(z)$  in  $G$ .

Two Lie groupoids  $G$  and  $G'$  are said to be *Morita equivalent* if there exists a third groupoid  $H$  and equivalences

$$G \xleftarrow{\phi} H \xrightarrow{\phi'} G'.$$

This defines an equivalence relation. (To prove that the relation is transitive, one uses the fact that in a fibered product (4) above,  $\pi_1$  is an equivalence whenever  $\psi$  is.)

**2.5 Generalized maps.** Roughly speaking, a “generalized map” from a Lie groupoid  $H$  to a Lie groupoid  $G$  is given by first replacing  $H$  by a Morita equivalent groupoid  $H'$  and then mapping  $H'$  into  $G$  by a homomorphism of Lie groupoids. One possible way to formalize this is via the calculus of fractions of Gabriel and Zisman [GZ]. Let  $\mathcal{G}$  be the category of Lie groupoids and homomorphisms. Let  $\mathcal{G}_0$  be the quotient category, obtained by identifying two homomorphisms  $\phi$  and  $\psi : H \rightrightarrows G$  iff there exists a transformation between them (cf. 2.2). Let  $W$  be the class of arrows in  $\mathcal{G}_0$  which are represented by equivalences (2.4) in  $\mathcal{G}$ . Then  $W$  admits a right calculus of fractions. Let  $\mathcal{G}_0[W^{-1}]$  be the category of fractions, obtained from  $\mathcal{G}_0$  by inverting all equivalences. An arrow  $H \rightarrow G$  in this category is an equivalence class of pairs of homomorphisms

$$H \xleftarrow{\varepsilon} H' \xrightarrow{\phi'} G$$

where  $\varepsilon$  is an equivalence. One may think of  $H'$  as a “cover” of  $H$ . If  $\delta : H'' \rightarrow H$  is a “finer” equivalence in the sense that there exists a homomorphism  $\gamma : H'' \rightarrow H'$  for which  $\varepsilon\gamma \simeq \delta$  (cf. 2.2), and if  $\phi' : H'' \rightarrow G$  is a homomorphism or which  $\phi\gamma \simeq \phi'$ , then

$$H \xleftarrow{\delta} H'' \xrightarrow{\phi'} G$$

represents the *same* arrow in the category  $\mathcal{G}_0[W^{-1}]$  of fractions. Now a generalized map  $H \rightarrow G$  is by definition an arrow in  $\mathcal{G}_0[W^{-1}]$ .

(This formulation in terms of categories of fractions occurs in [M1]. There is a more subtle “bicategory of fractions” for  $\mathcal{G}$  rather than  $\mathcal{G}_0$ , discussed in [Pr]. There is also a way to define these generalized morphisms in terms of principal bundles, see e.g. [HS, Mr2]).

**2.6 Remark.** Let  $H$  be a Lie groupoid, and let  $\mathcal{U} = \{U_i\}_{i \in I}$  be an open cover of  $H_0$ . Let  $H_{\mathcal{U}}$  be the Lie groupoid whose space of objects is the disjoint sum  $U = \coprod U_i$ . Write a point in  $U$  as a pair  $(x, i)$  with  $i \in I$  and  $x \in U_i$ . Arrows  $(x, i) \rightarrow (y, j)$  in  $H_{\mathcal{U}}$  are arrows  $x \rightarrow y$  in  $H$ . Then the evident map

$\varepsilon : H_{\mathcal{U}} \rightarrow H$  is an equivalence. Any generalized map  $H \rightarrow G$  can be represented by an open cover  $\mathcal{U}$  of  $H_0$  and the diagram

$$H \xleftarrow{\varepsilon} H_{\mathcal{U}} \xrightarrow{\phi} G$$

for some homomorphism  $\phi$ . Another such representation, by  $\varepsilon' : H_{\mathcal{U}'} \rightarrow H$  and  $\phi' : H_{\mathcal{U}'} \rightarrow G$ , represents the same generalized map iff, on a common refinement of  $\mathcal{U}$  and  $\mathcal{U}'$ , the restrictions of  $\phi$  and  $\phi'$  are related by a natural transformation.

**2.7 Invariance under Morita equivalence.** For many constructions on Lie groupoids, it is important to know whether they are functorial on generalized maps. By the universal property of the category of fractions (see [GZ]), a construction is functorial on generalized maps iff it is functorial on homomorphisms and invariant under Morita equivalence. Explicitly, let  $F : \mathcal{G}_0 \rightarrow \mathcal{C}$  be a functor into some category  $\mathcal{C}$ . Then  $F$  induces a functor on the category  $\mathcal{G}_0[W^{-1}]$  iff it sends equivalences to isomorphisms. For example, the functor mapping a Lie groupoid  $G$  to its orbit space  $|G|$  has this property, since any equivalence  $\phi : H \rightarrow G$  induces a homeomorphism  $|\phi| : |H| \rightarrow |G|$ .

Many *properties* (cf. 1.5) of Lie groupoids are invariant under Morita equivalence. For example, if  $\phi : H \rightarrow G$  is an equivalence then  $H$  is proper (respectively, a foliation groupoid) iff  $G$  is. Being étale is *not* invariant under Morita equivalence. In fact, a Lie groupoid is a foliation groupoid iff it is Morita equivalent to an étale groupoid [CM2]. For two Morita equivalent étale groupoids  $G$  and  $H$ , one is effective iff the other is. Thus it makes sense to call a foliation groupoid *effective* (or *reduced*) iff it is Morita equivalent to a reduced étale groupoid.

### 3 Orbifold groupoids

In this section we explain how to view orbifolds as groupoids, and state several equivalent characterizations of “reduced” orbifolds.

**3.1 Definition.** An *orbifold groupoid* is a proper foliation groupoid.

For example, if  $\Gamma$  is a discrete group acting properly on a manifold  $M$ , then  $\Gamma \ltimes M$  is a proper étale groupoid, hence an orbifold groupoid. Similarly, if  $K$  is a compact Lie group acting on  $M$ , and each stabilizer  $K_x$  is finite, then  $K \ltimes M$  is an orbifold groupoid. Observe that the slice theorem for compact group actions gives for each point  $x \in M$  a “slice”  $V_x \subseteq M$  for which the action defines a diffeomorphism  $K \times_{K_x} V_x \xrightarrow{\sim} M$  onto a saturated open nbd  $U_x$  of  $x$ . Then  $K_x \ltimes V_x$  is an étale groupoid which is Morita equivalent to  $K \ltimes U_x$ . Patching these étale groupoids together for sufficiently many slices  $V_x$  yields an étale groupoid Morita equivalent to  $K \ltimes M$  (cf. 2.7).

**3.2 Orbifold structures.** If  $G$  is an orbifold groupoid, its orbit space  $|G|$  is a locally compact Hausdorff space. If  $X$  is an arbitrary such space, an *orbifold structure* on  $X$  is represented by an orbifold groupoid  $G$  and a homeomorphism  $f : |G| \rightarrow X$ . If  $\phi : H \rightarrow G$  is an equivalence, then  $|\phi| : |H| \rightarrow |G|$  is a

homeomorphism and the composition  $f \circ |\phi| : |H| \rightarrow |G| \rightarrow X$  is viewed as defining an *equivalent* orbifold structure on  $X$ . (One should think of  $G$  and  $f$  as an orbifold atlas for  $X$ , and of  $H$  and  $f \circ |\phi|$  as a finer atlas.) Recall that for any orbifold groupoid  $G$ , there exist equivalences  $E \leftarrow H \rightarrow G$  for which  $E$  is a proper étale groupoid (cf. 2.7). Thus, an orbifold structure on  $X$  can always be represented by a proper étale groupoid  $E$  and a homeomorphism  $|E| \xrightarrow{\sim} X$ .

**3.3 The category of orbifolds.** An orbifold  $\underline{X}$  is a space  $X$  equipped with an equivalence class of orbifold structures. A specific such structure, given by  $G$  and  $f : |G| \xrightarrow{\sim} X$  as in 3.2, is then said to *represent* the orbifold  $\underline{X}$ . For two orbifolds  $\underline{X}$  and  $\underline{Y}$ , represented by  $(X, G, f)$  and  $(Y, H, g)$  say, a *map*  $\underline{Y} \rightarrow \underline{X}$  is a pair consisting of a continuous map  $Y \rightarrow X$  of spaces and a generalized map  $H \rightarrow G$  of orbifold groupoids, for which the square

$$\begin{array}{ccc} |H| & \longrightarrow & |G| \\ \downarrow \sim & & \downarrow \sim \\ Y & \longrightarrow & X \end{array}$$

commutes. Because of the definition of generalized map, this notion of map between orbifolds is independent of the specific representation. Notice that, by choosing a finer representation  $(Y, H', g')$  for  $\underline{Y}$ , one can always represent a given map  $\underline{Y} \rightarrow \underline{X}$  by a continuous map  $Y \rightarrow X$  together with an actual groupoid homomorphism  $H' \rightarrow G$ .

Of course, for a representation  $(X, G, f)$  as above, the space  $X$  is determined up to homeomorphism by the groupoid  $G$ , and a similar remark applies to the maps. This means that the category of orbifolds is *equivalent* ([CMW], page 91) to a full subcategory of the category  $\mathcal{G}_0[W^{-1}]$  of Lie groupoids and generalized maps, viz. the category determined by the orbifold groupoids. It is often easier to work explicitly with this category. Notice also that a fibered product  $H \times_G K$  in (4) is an orbifold groupoid whenever  $H$ ,  $G$  and  $K$  are (and the transversality conditions are met for  $H \times_G K$  to be smooth.) This defines a notion of fibered product of orbifolds.

**3.4 Local charts.** Let  $G$  be a Lie groupoid. For an open set  $U \subseteq G_0$ , we write  $G|_U$  for the full subgroupoid of  $G$  with  $U$  as a space of objects. In other words,  $(G|_U)_0 = U$  and  $(G|_U)_1 = \{g : x \rightarrow y \mid g \in G_1 \text{ and } x, y \in U\}$ . If  $G$  is proper and étale, then for each  $x \in G_0$  there exist arbitrary small neighborhoods  $U$  of  $x$  for which  $G|_U$  is isomorphic to  $G_x \ltimes U$  for an action of the isotropy group  $G_x$  on the neighborhood  $U$  (see e.g. [MP]). In particular, such a  $U$  determines an open set  $|U| \subseteq |G|$ , for which  $|U|$  is just the quotient of  $U$  by the action of the finite group  $G_x$ . Note also that in the present smooth context, one can choose coordinates so that  $U_x$  is a Euclidean ball on which  $G_x$  acts linearly. In the literature, one often *defines* an orbifold in term of local quotients. It is also possible to describe maps between orbifolds or orbifold groupoids (3.3) in terms of these local charts. This leads to the notion of *strict map* of [MP], or the equivalent notion of *good map* of Chen and Ruan.



**3.5 Embedding categories.** Let  $G$  be an étale groupoid, and let  $\mathcal{B}$  be a basis for  $G_0$ . The embedding category  $\underline{Emb}_{\mathcal{B}}(G)$  of  $G$  determined by  $\mathcal{B}$  is a discrete (small) category with elements of  $\mathcal{B}$  as objects. For  $U, V \in \mathcal{B}$ , an arrow  $U \rightarrow V$  in the embedding category is a smooth map  $\sigma : U \rightarrow G_1$  with the property that  $\sigma(x)$  is an arrow from  $x$  to some point  $y = t\sigma(x)$  in  $V$ , and such that the corresponding map  $t \circ \sigma : U \rightarrow V$ , sending  $x$  to  $y$ , is an embedding of  $U$  into  $V$ . For two such arrows  $\sigma : U \rightarrow V$  and  $\tau : V \rightarrow W$ , their composition  $\tau \circ \sigma : U \rightarrow W$  is defined in the obvious way from the composition in  $G$ , by

$$(\tau \circ \sigma)(x) = \tau(t\sigma(x))\sigma(x).$$

It is possible to reconstruct the groupoid  $G$  from the manifold  $G_0$ , its basis  $\mathcal{B}$ , and this embedding category  $\underline{Emb}_{\mathcal{B}}(G)$ . Such embedding categories play an important rôle, e.g. in describing the homotopy type of  $G$  and its characteristic classes; see also §4. It should also be observed that it is often easier to construct the embedding category  $\underline{Emb}_{\mathcal{B}}(G)$  directly, and not in terms of the groupoid  $G$ . This applies in particular to situations where an orbifold is given by local charts and “embeddings” between them, as in [Sa].

**3.6 Reduced orbifolds.** An orbifold structure is said to be *reduced* if it is given by an *effective* orbifold groupoid  $G$  (cf. 1.3(d)). An orbifold is called *reduced* if it is represented by a reduced orbifold structure. Any reduced orbifold can be represented by an effective proper étale groupoid  $G$ . If  $G$  is such a groupoid, then the local charts  $G_x \times U$  of 3.4 have the property that  $G_x$  acts effectively on  $U$ . Notice that if  $G$  is an arbitrary étale groupoid, then there is an evident quotient  $G \twoheadrightarrow \tilde{G}$ , which is an effective étale groupoid. The space  $\tilde{G}_0$  of objects is the same as that of  $G$ , i.e.  $\tilde{G}_0 = G_0$ , and  $|\tilde{G}| = |G|$ . Thus, an arbitrary orbifold structure on a space  $X$  always has an associated reduced structure on the same space  $X$ .

The following theorem lists some of the ways in which reduced orbifolds occur in nature.

**3.7 Theorem.** *For a Lie groupoid  $G$ , the following conditions are equivalent.*

- (i)  *$G$  is Morita equivalent to an effective proper étale groupoid.*
- (ii)  *$G$  is Morita equivalent to the holonomy groupoid of a foliation with compact leaves and finite holonomy.*
- (iii)  *$G$  is Morita equivalent to a translation groupoid  $K \times M$ , where  $K$  is a compact Lie group acting on  $M$ , with the property that each stabilizer  $K_x$  is finite and acts effectively on the normal bundle.*

More explicitly, in (iii) the stabilizer  $K_x$  acts on the tangent space  $T_x(M)$ , and this action induces an action on the quotient bundle  $N_x$  of tangent vectors normal to the orbits of the action. This latter action must be effective in (iii).

This theorem is stated and proved in some detail, e.g. in [MP]. However, each of the equivalences seems to be a folklore result. The equivalence between

(i) and (iii) is proved by the “frame bundle trick”, while the equivalence between (i) and (ii) is closely related to the Reeb stability theorem for foliations.

**3.8 Complexes of groups.** There is a variation on the construction of embedding categories  $\underline{Emb}_{\mathcal{B}}(G)$  which works well for reduced orbifolds, and is related to the theory of complexes of groups [Hf, Bs, Sr]. In general, a complex of (finite) groups over a small category  $I$  is a pseudofunctor  $F$  from  $I$  into the category of (finite) groups and injective homomorphisms. This means that  $F$  assigns to each object  $i$  of  $I$  a group  $F(i)$ , and to each arrow  $\sigma : i \rightarrow j$  in  $I$  an injective homomorphism  $F(\sigma) : F(i) \rightarrow F(j)$ . Furthermore, this assignment is “pseudo-functorial” in the sense that for any composable pair  $\sigma : i \rightarrow j$  and  $\tau : j \rightarrow k$  of arrows in  $I$ , there is an element  $g_{\tau,\sigma} \in F(k)$  for which

$$\hat{g}_{\tau,\sigma} F(\tau\sigma) = F(\tau)F(\sigma) : F(i) \rightarrow F(k),$$

where  $\hat{g}_{\tau,\sigma} : F(k) \rightarrow F(k)$  is the inner automorphism  $\hat{g}_{\tau,\sigma}(x) = g_{\tau,\sigma} x g_{\tau,\sigma}^{-1}$ . Moreover, these  $\hat{g}_{\tau,\sigma}$  should satisfy a coherence condition: for  $i \xrightarrow{\sigma} j \xrightarrow{\tau} k \xrightarrow{\rho} l$  in  $I$ ,

$$F(\rho)(g_{\tau,\sigma})g_{\rho,\tau\sigma} = g_{\rho,\tau}g_{\rho\tau,\sigma} \quad (5)$$

(an identity in the group  $F(l)$ ). We will assume that the pseudo functor  $F$  is “normal” in the sense that for any identity arrow  $1 : i \rightarrow i$  in  $I$ , it takes the value  $id : F(i) \rightarrow F(i)$ . To such a pseudofunctor  $F$  on  $I$  one can associate a total category  $\int_I F$  by the well known “Grothendieck construction”. In this particular case, this is a category with the same objects as  $I$ . Arrows  $i \rightarrow j$  in  $\int_I F$  are pairs  $(\sigma, g)$  where  $\sigma : i \rightarrow j$  and  $g \in F(j)$ . The composition of  $(\sigma, g) : i \rightarrow j$  and  $(\tau, h) : j \rightarrow k$  in  $\int_I F$  is defined as  $(\tau\sigma, hF(\tau)(g)g_{\tau,\sigma})$ ; this is an associative operation, by (5) above.

### 3.9 The complex of groups associated to the reduced orbifold.

Let  $G$  be a reduced étale groupoid, with orbit space  $|G|$ . By 3.4, there is a basis  $\mathcal{B}$  for  $G_0$ , consisting of simply connected open sets  $U \subseteq G_0$ , such that for each  $U$  in  $\mathcal{B}$  the restricted groupoid  $G|_U$  is isomorphic to a translation groupoid  $G_U \times U$ , where  $G_U = G_x$  is the stabilizer group at a suitable point  $x \in U$ . For such a basis  $\mathcal{B}$ , the images  $q(U) \subseteq |G|$  under the quotient map  $q : G_0 \rightarrow |G|$  form a basis  $\mathcal{A}$  for  $|G|$ . Fix such a basis  $\mathcal{A}$ , and choose for each  $A \in \mathcal{A}$  a specific  $U = \tilde{A} \in \mathcal{B}$  for which  $A = q(\tilde{A})$ . View the partially ordered set  $\mathcal{A}$  as a category, with just one arrow  $A \rightarrow A'$  iff  $A \subseteq A'$ , as usual. If  $A \subseteq A'$ , then the fact that  $\tilde{A}$  is simply connected readily implies that there exists an arrow  $\lambda_{A,A'} : \tilde{A} \rightarrow \tilde{A}'$  in the embedding category  $\underline{Emb}_{\mathcal{B}}(G)$ . This arrow induces a group homomorphism

$$(\lambda_{A,A'})_* : G_{\tilde{A}} \rightarrow G_{\tilde{A}'},$$

of local groups belonging to the “charts”  $\tilde{A}$  and  $\tilde{A}'$  in  $\mathcal{B}$ . If  $A \subseteq A' \subseteq A''$ , then  $\lambda_{A',A''} \circ \lambda_{A,A'}$  and  $\lambda_{A,A''}$  differ by conjugation by an element  $g$  of  $G_{A''}$ , and this element is unique if  $G$  is effective. Thus, the assignment  $A \mapsto G_{\tilde{A}}$  and  $(A \subseteq A') \mapsto (\lambda_{A,A'})_*$  is part of a uniquely determined complex of groups, which we denote by  $G_{\mathcal{A}}$ . Its total category  $\int_{\mathcal{A}} G_{\mathcal{A}}$  is smaller than, but in fact categorically equivalent to, the embedding category  $\underline{Emb}_{\mathcal{B}}(G)$ .

## 4 The classifying space

The purpose of this section is to introduce the homotopy type, as the classifying space of a representing groupoid.

**4.1 The nerve of a groupoid.** Let  $G$  be a Lie groupoid, given by manifolds  $G_0$  and  $G_1$  and the various structure maps. Let  $G_n$  be the iterated fibered product

$$G_n = \{(g_1, \dots, g_n) \mid g_i \in G_1, s(g_i) = t(g_{i+1}) \text{ for } i = 1, \dots, n-1\}.$$

In other words,  $G_n$  is the manifolds of composable strings

$$x_0 \xleftarrow{g_1} x_1 \leftarrow \dots \xleftarrow{g_n} x_n$$

of arrows in  $G$ . These  $G_n$  together have the structure of a simplicial manifold, called the *nerve* of  $G$ . The face operator  $d_i : G_n \rightarrow G_{n-1}$  for  $i = 0, \dots, n$  are given by “ $d_i = \text{delete } x_i$ ”; so  $d_0(g_1, \dots, g_n) = (g_2, \dots, g_n)$ , and  $d_n(g_1, \dots, g_n) = (g_1, \dots, g_{n-1})$ , while  $d_i(g_1, \dots, g_n) = (g_1, \dots, g_i g_{i+1}, \dots, g_n)$  for  $0 < i < n$ .

The same definition of course makes sense for groupoids in categories others than that of differentiable manifolds. In particular, if  $G$  is only a topological groupoid then the  $G_n$  form a simplicial topological space. The definition doesn't involve the inverse of a groupoid either, so makes sense for topological categories, for example.

**4.2 The classifying space.** ([Sg2]) For a simplicial space  $X_\bullet$ , we write  $|X_\bullet|$  for its geometric realization. This is a space obtained by gluing the space  $X_n \times \Delta^n$  along the simplicial operators; here  $\Delta^n$  is the standard  $n$ -simplex. If  $X_\bullet$  is a simplicial *set* (i.e. each  $X_n$  has the discrete topology) then  $|X_\bullet|$  is a CW-complex. However in general this is not the case and there are various subtleties involved in this definition. In particular, if the degeneracies  $X_{n-1} \hookrightarrow X_n$  aren't cofibrations, one should take the “thick” realization, cf. [Sg2]. For a Lie groupoid  $G$ , its classifying space  $BG$  is defined as the geometric realization of its nerve,

$$BG = |G_\bullet|$$

This notation should not be confused with the notation  $|G|$  for the orbit space of  $G$ .

**4.3 Homotopy type of an orbifold.** An important basic property of the classifying space construction is that an equivalence  $\phi : H \rightarrow G$  (cf. 2.4) induces a weak homotopy equivalence  $B\phi : BH \rightarrow BG$ . This means that for any point  $y \in H_0$  this equivalence  $H \rightarrow G$  induces an isomorphism of homotopy groups  $\pi_n(BH, y) \rightarrow \pi_n(BG, \phi y)$ . Thus, if  $\underline{X}$  is an orbifold, one can define its *homotopy type* as that of  $BG$  where  $G$  is any orbifold structure representing  $\underline{X}$ ; the definition

$$\pi_n(\underline{X}, x) = \pi_n(BG, \tilde{x})$$

is then independent of the groupoid  $G$  and the base point  $x \in X$ , and of a “lift”  $\tilde{x} \in G_0$  for which  $q(\tilde{x}) \in |G|$  is mapped to  $x \in X$  by the given homeomorphism  $|G| \rightarrow X$ .

**4.4 Local form of the classifying space.** Let  $G$  be a proper étale groupoid representing an orbifold  $\underline{X}$ . The map  $q : G_0 \rightarrow |G|$  to the orbit space induces an obvious map, still denoted  $q : BG \rightarrow |G|$ . By 3.4 (and as in 3.9) there is an open cover  $|G| = \bigcup V_i$  such that  $G|_{q^{-1}(V_i)}$  is Morita equivalent to  $G_i \ltimes U_i$ , where  $G_i$  is a finite group acting on an open set  $U_i \subseteq G_0$ . One can choose the  $V_i$  and  $U_i$  to be contractible. Thus  $q^{-1}(V_i) \subseteq BG$  is homotopy equivalent to  $B(G_i \ltimes U_i)$ , which is the Borel space  $EG_i \times_{G_i} U_i$ , homotopy equivalent to  $BG_i$  if we choose  $U_i$  to be contractible. Thus, over  $V_i$  the map  $q : BG \rightarrow |G|$  restricts to  $EG_i \times_{G_i} U_i \rightarrow U_i/G_i \cong V_i$ . In particular, it follows, for example, that  $q : BG \rightarrow |G|$  induces isomorphisms in rational cohomology.

**4.5 Other models for the classifying space.** Let  $G$  be an étale groupoid. Let  $\mathcal{B}$  be a basis for  $G_0$  consisting of contractible open sets, and let  $\underline{Emb}_{\mathcal{B}}(G)$  be the associated embedding category (3.5). In [M3] it is proved that there is a weak homotopy equivalence

$$BG \simeq B\underline{Emb}_{\mathcal{B}}(G).$$

Notice that  $\underline{Emb}_{\mathcal{B}}(G)$  is a discrete small category, so the right-hand side is a CW-complex.

If  $G$  is *proper*, one can choose the basis  $\mathcal{B}$  to consist of linear charts as in 3.4. Then both  $B$  and  $q(B)$  are contractible. Let  $\mathcal{A} = \{q(B) \mid B \in \mathcal{B}\}$  as in 3.9. Then  $\mathcal{A}$  is a basis for  $|G|$ , and when we view  $\mathcal{A}$  as a category,  $B\mathcal{A} \simeq |G|$ . If  $G$  is moreover *effective*, then there is an associated complex of groups  $G_{\mathcal{A}}$ . The classifying space of its total category  $\int_{\mathcal{A}} G_{\mathcal{A}}$  is again a model for the homotopy type of the orbifold, because there is a weak homotopy equivalence

$$BG \simeq B\left(\int_{\mathcal{A}} G_{\mathcal{A}}\right).$$

The quotient map  $q : BG \rightarrow |G|$  is modelled by the projection functor  $\int_{\mathcal{A}} G_{\mathcal{A}} \rightarrow \mathcal{A}$ , in the sense that there is a diagram

$$\begin{array}{ccc} B\left(\int_{\mathcal{A}} G_{\mathcal{A}}\right) & \xrightarrow{\sim} & BG \\ \downarrow & & \downarrow q \\ BA & \xrightarrow{\sim} & |G| \end{array}$$

which commutes up to homotopy.

## 5 Structures over orbifolds

In this section we show how the language of groupoids leads to a uniform definition of structures “over” orbifolds, like covering spaces, vector bundles, principal bundles, sheaves, etc. We continue to work in the smooth context, although everything in this section obviously applies equally to topological groupoids.

**5.1  $G$ -spaces.** Let  $G$  be a Lie groupoid. A (right)  $G$ -space is a manifold  $E$  equipped with an action by  $G$ . Such an action is given by two maps,  $\pi : E \rightarrow G_0$  and  $\mu : E \times_{G_0} G_1 \rightarrow E$ . The latter map is defined on pairs  $(e, g)$  with  $\pi(e) = t(g)$ , and written  $\mu(e, g) = e \cdot g$ . It satisfies the usual identities for an action, viz.  $\pi(e \cdot g) = s(g)$ ,  $e \cdot 1_x = e$  and  $(e \cdot g) \cdot h = e \cdot (gh)$  for  $z \xrightarrow{h} y \xrightarrow{g} x$  in  $G$  and  $e \in E$  with  $\pi(e) = x$ . For two such  $G$ -spaces  $E = (E, \pi, \mu)$  and  $E' = (E', \pi', \mu')$ , a map of  $G$ -spaces  $\alpha : E \rightarrow E'$  is a smooth map which commutes with the structure, i.e.  $\pi' \alpha = \pi$  and  $\alpha(e \cdot g) = \alpha(e) \cdot g$ . This defines a category ( $G$ -spaces).

If  $\phi : H \rightarrow G$  is a homomorphism of groupoids, there is an obvious functor

$$\phi^* : (G\text{-spaces}) \rightarrow (H\text{-spaces}) \quad (6)$$

mapping  $E$  to the pullback  $E \times_{G_0} H_0$  with the induced action. If  $\phi$  is an equivalence (2.4) then this functor  $\phi^*$  is an equivalence of categories. Thus, up to equivalence of categories, the category ( $G$ -spaces) only depends on the Morita equivalence class of  $G$ .

**5.2  $G$ -spaces as groupoids.** If  $E$  is a  $G$ -space, then one can form the translation groupoid  $E \rtimes G$  whose objects are point in  $E$ , and whose arrows  $g : e' \rightarrow e$  are arrows  $g : \pi(e') \rightarrow \pi(e)$  in  $G$  with  $e \cdot g = e'$ . In other words,  $(E \rtimes G)_0 = E$  and  $(E \rtimes G)_1 = E \times_{G_0} G_1$ , while the source and target of  $E \rtimes G$  are the action  $\mu$  and the projection  $E \times_{G_0} G_1 \rightarrow E$ . There is an obvious homomorphism of groupoids  $\pi_E : E \rtimes G \rightarrow G$ . Observe also that for a homomorphism  $\phi : H \rightarrow G$ , the square

$$\begin{array}{ccc} \phi^*(E) \rtimes H & \longrightarrow & E \\ \downarrow & & \downarrow \\ H & \longrightarrow & G \end{array}$$

is a fibered product (2.3) up to Morita equivalence. Notice that, while at the groupoid level the fiber of  $E \rtimes G \rightarrow G$  over  $x \in G_0$  is the fiber  $\pi^{-1}(x)$ , at the level of orbit spaces  $|E \rtimes G| \rightarrow |G|$  the fiber is  $\pi^{-1}(x)/G_x$ . It is easy to see that

- (i) If  $G$  is étale then so is  $E \rtimes G$ .
- (ii) If  $G$  is a foliation groupoid then so is  $E \rtimes G$ .
- (iii) If  $E$  is Hausdorff and  $G$  is proper then  $E \rtimes G$  is proper.

In particular, if  $G$  represents an orbifold  $\underline{X}$  then any Hausdorff  $G$ -space  $E$  represent an orbifold  $\underline{E} \rightarrow \underline{X}$  over  $\underline{X}$ .

We now mention some examples:

**5.3 Covering spaces.** A covering space over  $G$  is a  $G$ -space  $E$  for which  $\pi : E \rightarrow G_0$  is a covering projection. The full subcategory of ( $G$ -spaces) consisting of covering spaces is denoted  $Cov(G)$ . For a groupoid homomorphism  $\phi : H \rightarrow G$ , the functor  $\phi^* : H \rightarrow G$  in (6) restricts to a functor

$$\phi^* : Cov(G) \rightarrow Cov(H)$$

and this is an equivalence of categories whenever  $\phi$  is an equivalence. So, up to equivalence of categories, there is a well defined category  $Cov(\underline{X})$  of covering spaces of an orbifold  $\underline{X}$ .

If  $G$  is proper and étale while  $E$  is a covering space of  $G$ , then  $E \rtimes G$  is again proper and étale. The map  $|E \rtimes G| \rightarrow |G|$  of orbit spaces has local charts (cf. 3.4)  $\tilde{U}/\tilde{\Gamma} \rightarrow U/\Gamma$  where  $\tilde{U} \cong U$ ,  $\Gamma = G_x$  is a finite group, and  $\tilde{\Gamma} \subseteq \Gamma$  is a subgroup. This explains the relation to the definition of covering spaces of orbifolds given in [T].

Suppose  $|G|$  is connected. If  $x \in G_0$  is a base point, there is a fiber functor  $F_x : Cov(G) \rightarrow Sets$ , mapping  $E$  to  $E_x = \pi^{-1}(x)$ . Grothendieck's Galois theory applies and gives a unique (up to isomorphism) group  $\pi_1(G, x)$  for which there is an equivalence of categories

$$Cov(G) \xrightarrow{\sim} \pi_1(G, x)\text{-sets}$$

by which  $F_x$  corresponds to the forgetful functor  $\pi_1(G, x)\text{-sets} \rightarrow sets$ . It follows from earlier remarks that if  $\phi : H \rightarrow G$  is an equivalence and  $y \in H_0$  then  $\phi$  induces an isomorphism  $\pi_1(H, y) \rightarrow \pi_1(G, \phi(y))$ . In particular, the fundamental group  $\pi_1(G, x)$  only depends on the Morita equivalence class of  $G$ .

It is also possible to describe the fundamental group in terms of “paths”, i.e. generalized maps  $[0, 1] \rightarrow G$  as is done in work of Haefliger and of Mrcun. Alternatively it is not difficult to see that the map  $E \mapsto B(E \rtimes G)$  induces an equivalence of categories between covering spaces of  $G$  and covering spaces (in the usual sense) of  $BG$ . It follows that  $\pi_1(G) = \pi_1(BG)$ . (This is true more generally for arbitrary étale groupoids, see [M4]).

**5.4 Vector bundles.** A *vector bundle* over  $G$  is a  $G$ -space  $E$  for which  $\pi : E \rightarrow G_0$  is a vector bundle, and the action of  $G$  on  $E$  is fiberwise linear. (In particular, each fiber  $E_x$  is a linear representation of the stabilizer  $G_x$ .) Write  $Vect(G)$  for the category of vector bundles over  $G$ . Again, if  $G$  is Morita equivalent to  $H$  then  $Vect(G)$  is equivalent to  $Vect(H)$ , so up to equivalence of categories there is a well defined category of vector bundles over an orbifold  $\underline{X}$ , denoted  $Vect(\underline{X})$ . From  $Vect(\underline{X})$  one can build the Grothendieck group  $K(\underline{X})$  in the usual way, leading to  $K$ -theory of orbifolds; see e.g. [AR]. If  $\underline{X}$  is reduced, then by Theorem 3.7  $Vect(\underline{X})$  is equivalent to the category of  $K$ -equivariant vector bundles on a manifold  $M$ , and we are back to equivariant  $K$ -theory for compact Lie groups, [Sg3].

**5.5 Principal bundles.** Let  $L$  be a Lie group. A principal  $L$ -bundle over  $G$  is a  $G$ -space  $P$  with a left action  $L \times P \rightarrow P$  which makes  $\pi : P \rightarrow G_0$  into a principal  $L$ -bundle over the manifold  $G_0$ , and is compatible with the  $G$ -action in the sense that  $(l \cdot p) \cdot g = l \cdot (p \cdot g)$ , for any  $p \in P$ ,  $l \in L$  and  $g : x \rightarrow y$  with  $y = \pi(p)$ . For such principal bundles, one can construct characteristic classes exactly as for manifolds, via a “Chern-Weil” map from the algebra  $Inv(\mathfrak{l})$  of invariant polynomials on the Lie algebra  $\mathfrak{l}$  of  $L$  into  $H^*(BG)$ . For a recent geometric description of these in the general context of étale groupoids, see [CM2].

**5.6 Sheaves of sets and topoi.** A  $G$ -space  $E$  is called a sheaf of sets if  $\pi : E \rightarrow G_0$  is a local diffeomorphism. The category of all sheaves of sets is denoted  $Sh(G)$ . This category is a *topos*, from which one can recover the groupoid  $G$  as a topological groupoid up to Morita equivalence. More precisely, if  $G$  is étale, the sheaf of germs of smooth functions  $G_0$  has the structure of a  $G$ -sheaf, denoted  $\mathcal{A}_G$ . Thus  $(Sh(G), \mathcal{A}_G)$  is a ringed topos. Up to Morita equivalence one can reconstruct  $G$  with its smooth structure from this ringed topos. More generally, morphisms of ringed topoi  $(Sh(H), \mathcal{A}_H) \rightarrow (Sh(G), \mathcal{A}_G)$  correspond *exactly* to generalized maps of groupoids  $H \rightarrow G$ . Thus, the category of orbifolds could also have been introduced as a full subcategory of the category of ringed topoi. In the language of [SGA4], a ringed topos represents an orbifold iff it is a “separated smooth étendue”. This is the viewpoint taken in [MP].

A topos is defined more generally as the category of sheaves on a *site*. It is easy to give an explicit site for “orbifold topoi” of the form  $Sh(G)$ . Indeed, a site for  $Sh(G)$  is formed by any embedding category  $Emb_{\mathcal{B}}(G)$  with its evident Grothendieck topology, where a family  $\{\sigma_i : U_i \rightarrow V\}$  covers iff  $V = \bigcup \sigma_i(U_i)$ . These sites are useful in the description of “higher structures” over orbifolds such as gerbes [LU] and 2-gerbes. They are also relevant for the definition of the “étale homotopy groups” [AM] of the topos  $Sh(G)$ . By the general comparison theorem of [M4], these étale homotopy groups coincide with the homotopy groups of the classifying space  $BG$ . For yet another approach to the homotopy theory of orbifolds, see [Ch].

## 6 Cohomology and inertia orbifolds

In this section we will briefly discuss sheaf cohomology of orbifolds, and its relation to the cohomology of (the various models for) its classifying space. By way of example, we will in particular discuss the case of the “inertia orbifold” of a given orbifold, and its relation to K-theory and non-commutative geometry. We begin by mentioning, as a continuation of the previous section, yet another kind of structure over an orbifold.

**6.1 Abelian sheaves.** An abelian sheaf over an orbifold groupoid is a  $G$ -sheaf  $A$  (cf 5.6) for which the fibers  $A_x = \pi^{-1}(x)$  of  $\pi : A \rightarrow G_0$  have the structure of an abelian group, and this group structure varies continuously in  $x$  and is preserved by the action of arrows in  $G$ . For example, germs of local sections of a vector bundle over  $G$  form an abelian sheaf. The category  $Ab(G)$  of these abelian sheaves is a nice abelian category with enough injectives, and up to equivalence of categories it only depends on the Morita equivalence class of  $G$ . In fact,  $Ab(G)$  is the category of abelian group objects in the topos  $Sh(G)$ , so enjoys all the general properties of the [SGA4] framework. In particular, the derived category of complexes of abelian  $G$ -sheaves is a convenient model for the derived category  $D(\underline{X})$  of an orbifold, in terms of which one can define all the usual operations, prove change-of-base formulas, etc. We will not discuss these matters in detail here, but instead refer to [M2] and references cited here. Some of the following remarks 6.2-6.3 are just special cases of this general framework.

**6.2 Cohomology.** Let  $G$  be an orbifold groupoid, and let  $A$  be an abelian  $G$ -sheaf. The cohomology  $H^n(G, A)$  for  $n \geq 0$  is defined as the cohomology of the complex  $\Gamma_{inv}(G, I^\bullet)$ . Here  $0 \rightarrow A \rightarrow I^0 \rightarrow I^1 \rightarrow \dots$  is a resolution by injective abelian  $G$ -sheaves; furthermore, for an arbitrary  $G$ -sheaf  $B$ ,  $\Gamma_{inv}(G, B)$  denotes the group of sections  $\beta : G_0 \rightarrow B$  of  $\pi : B \rightarrow G_0$  which are invariant under the  $G$ -action, i.e.  $\beta(y) \cdot g = \beta(x)$  for any arrow  $g : x \rightarrow y$  in  $G$ . These cohomology groups are functorial in  $G$ , in the sense that any homomorphism of groupoids  $\phi : G' \rightarrow G$  induces group homomorphisms  $\phi^* : H^n(G, A) \rightarrow H^n(G', \phi^* A)$ . If  $\phi$  is an equivalence, then this map is an isomorphism. Thus if  $\underline{X}$  is an orbifold, there are well defined cohomology groups  $H^n(\underline{X}, A)$ , constructed in terms of any representing groupoid. The cohomology groups  $H^n(G, A)$  are also closely related to the (sheaf) cohomology groups of the classifying space  $BG$ , and to the cohomology groups of the small category  $\underline{Emb}_{\mathcal{B}}(G)$  (cf. 3.5).

**6.3 Direct image functor.** Let  $\phi : H \rightarrow G$  be a homomorphism between orbifold groupoids, which we may as well assume to be étale. Any  $H$ -sheaf  $B$  defines a  $G$ -sheaf  $\phi_*(B)$ , whose sections over an open  $U \subseteq G_0$  are given by the formula

$$\Gamma(U, \phi_* B) = \Gamma_{inv}(U/\phi, B).$$

Here  $U/\phi$  is the ‘‘comma’’ groupoid whose objects are pairs  $(y, g)$  with  $y \in H_0$  and  $g : x \rightarrow \phi(y)$  an arrow in  $G$ . Arrows  $(y, g) \rightarrow (y', g')$ , where  $g' : x' \rightarrow \phi(y')$ , in this groupoid  $U/\phi$  only exist if  $x = x'$  and arrows are  $h : y \rightarrow y'$  in  $H$  with  $\phi(h)g = g'$  in  $G$ . This groupoid  $U/\phi$  is again a proper étale groupoid, and  $B$  can be viewed as a  $U/\phi$ -sheaf, via the evident homomorphism  $U/\phi \rightarrow H$ . The higher derived functors  $\phi_*$  can be described by the formula

$$R^i \phi_*(B)_x = \lim_{\rightarrow x \in U} H^i(U/\phi, B),$$

for the stalk at an arbitrary point  $x \in G_0$ .

Let us mention some examples:

(a) Let  $S$  be a  $G$ -space and consider the projection homomorphism  $\pi : S \times G \rightarrow G$ . Then  $U/\pi$  is Morita equivalent to the space  $\pi^{-1}(U) \subseteq S$  (viewed as a unit groupoid, see 1.3(b)), and  $R^i \pi_*(B)$  is the usual right derived functor along  $S \rightarrow G_0$ ; or more precisely, the diagram

$$\begin{array}{ccc} S & \xrightarrow{v} & S \times G \\ \pi \downarrow & & \downarrow \pi \\ G_0 & \xrightarrow{u} & G \end{array}$$

with unit groupoids on the left, has the property that

$$u^* \circ R\pi_* = R\pi_* \circ v^*.$$

(b) Let  $|G|$  be the orbit space of  $G$ , as before. In general  $|G|$  is not a manifold, but we can view it as a topological unit groupoid and consider the



quotient homomorphism of groupoids  $q : G \rightarrow |G|$ . Then for any  $G$ -sheaf  $A$ , and any  $x \in G_0$ , there is a natural isomorphism

$$R^i q_*(A)_{q(x)} = H^i(G_x, A_x).$$

On the right, we find the cohomology of the finite group  $G_x$  with coefficients in the stalk  $A_x$ . In particular,  $R^i q_* = 0$  for  $i > 0$  if the coefficient sheaf  $A$  is a sheaf of  $\mathbb{Q}$ -modules.

(c) Let  $\phi : H \rightarrow G$  as above. Call  $\phi$  *proper* if  $|G_0/\phi| \rightarrow G_0$  is a proper map. For such a  $\phi$  we have

$$R^i \phi_*(B)_x = H^i(x/\phi, B).$$

Here  $x/\phi \subseteq U/\phi$  is the comma groupoid with objects  $(x \xrightarrow{g} \phi(y))$  and arrows as in  $U/\phi$ . The  $H$ -sheaf  $B$  is viewed as an  $(x/\phi)$ -sheaf via the pullback along the projection  $x/\phi \rightarrow H$ .

**6.4 The inertia orbifold.** Let  $G$  be an orbifold groupoid, and consider the pullback of spaces

$$\begin{array}{ccc} S_G & \longrightarrow & G_1 \\ \beta \downarrow & & \downarrow (s,t) \\ G_0 & \xrightarrow{\text{diag}} & G_0 \times G_0. \end{array}$$

Thus,  $S_G = \{g \in G_1 : s(g) = t(g)\}$  is the space of “loops” in  $G$ . The map  $\beta$  sends such a loop  $g : x \rightarrow x$  to its “base point”  $\beta(g) = x$ . This map  $\beta$  is proper, since  $(s, t)$  is proper. Moreover, the space  $S_G$  is in fact a manifold. This is clear from the local charts (3.4) in the case  $G$  is étale, and it follows for general orbifold groupoids by considering local charts as in [CM1]. The space  $S_G$  has the structure of a  $G$ -space, with the action defined by conjugation. Let

$$\Lambda(G) = S_G \rtimes G.$$

Then  $\Lambda(G)$  is an orbifold groupoid, and  $\beta$  induces a proper (cf. 6.3(c)) homomorphism

$$\beta : \Lambda(G) \rightarrow G.$$

If  $\phi : H \rightarrow G$  is an equivalence then  $\phi^*(S_G) = S_H$ , and  $\phi$  induces an equivalence  $\phi^*(S_G) \rtimes H \rightarrow S_G \rtimes G$ , hence an equivalence  $\Lambda(H) \rightarrow \Lambda(G)$ . Thus, if  $\underline{X}$  is the orbifold represented by  $G$ , there is a well-defined orbifold  $\Lambda(\underline{X})$  represented by  $\Lambda(G)$ . Following usage in algebraic geometry we call  $\Lambda(\underline{X})$  the inertia orbifold of  $\underline{X}$ .

For an orbifold groupoid of the form  $K \rtimes M$  (see below 3.1) the space of loops is the “Brylinski space”

$$S = \{(k, x) \mid x \in M, k \in K, kx = x\}$$

with its natural action by  $K$  ( $l \cdot (k, x) = (lkl^{-1}, lx)$ ). The orbit space  $S/K$  was already considered in [K].

Consider an arbitrary proper étale groupoid  $G$  and a  $G$ -sheaf  $B$ . Then for the derived functor of  $\beta : \Lambda(G) \rightarrow G$ , the discussion in 6.3 gives  $R^i \beta_*(B)_x = H^i(G_x, B_x)$  where  $G_x = \beta^{-1}(x)$  is viewed as a discrete set. Thus  $R^0 \beta_* = \beta_*$  and  $R^i \beta_* = 0$  for  $i > 0$ . So for the composite  $q\beta : \Lambda G \rightarrow |G|$  we find

$$R^i(q\beta)_*(B)_x = R^i q_*(\beta_*(B))_x = H^i(G_x \rtimes G_x, B_x).$$

Here  $G_x$  acts on itself from the right by conjugation. Since the discrete groupoid  $G_x \rtimes G_x$  is equivalent to the sum of the centralizer subgroups  $Z(g)$  indexed by conjugacy classes  $(g)$  of elements  $g \in G_x$ , we find

$$R^i(q\beta)_*(B)_x = \prod_{(g)} H^i(Z(g), B_x),$$

which fits into a Leray spectral sequence

$$H^j(|G|, R^i(q\beta)_*(B)) \Rightarrow H^{i+j}(\Lambda(G), B).$$

In particular, if  $B$  is the constant sheaf  $\mathbb{C}$  of complex numbers, one finds that  $R^i(q\beta)_*(\mathbb{C})_x = 0$  for  $i > 0$  while  $R^0(q\beta)_*(\mathbb{C})_x = \prod_{(g)} \mathbb{C} = \text{Class}(G_x, \mathbb{C})$ , the set of class functions on  $\mathbb{C}$ , which is the same as the complex representation ring  $R_{\mathbb{C}}(G_x)$ . Thus

$$H^i(\Lambda(G), \mathbb{C}) = H^i(|G|, \underline{R}_{\mathbb{C}}),$$

where  $\underline{R}_{\mathbb{C}}$  is the representation ring sheaf with stalk  $R_{\mathbb{C}}(G_x)$  at  $x$ . One might call this the *Bredon cohomology* of the orbifold represented by  $G$ . (Indeed, if  $G$  represents a reduced orbifold, then  $G$  is Morita equivalent to a translation groupoid  $K \rtimes M$  by Theorem 3.7, and it is known in this case that  $H^i(M/K, \underline{R}_{\mathbb{C}})$  is isomorphic to the Bredon cohomology of  $M$  with coefficients in the representation ring system [Ho].)

If  $|G|$  is compact, there is a Chern character isomorphism ( $\nu = 0, 1$ )

$$K^\nu(G) \otimes \mathbb{C} \xrightarrow{\sim} \prod_i H^{2i+\nu}(|G|, \underline{R}_{\mathbb{C}})$$

which is “locally” the one of [Sl]; see [AR]. This Chern character factors naturally as a composition

$$K^\nu(G) \otimes \mathbb{C} \rightarrow HP^\nu(C_c^\infty(G)) \rightarrow \prod_i H^{2i+\nu}(|G|, \underline{R}_{\mathbb{C}}).$$

The first is the non-commutative Chern character into the periodic cyclic cohomology of the convolution algebra  $C_c^\infty(G)$  of  $G$  ([BC]). This is the algebra of compactly supported smooth functions  $\alpha : G_1 \rightarrow \mathbb{C}$ , with product defined exactly as for the group ring of a finite group:

$$(\alpha \cdot \beta)(g) = \sum_{g=hk} \alpha(h)\beta(k)$$

where the sum is over all ways of writing an arrow  $g$  in  $G$  as a composite  $g = hk$  in  $G$ . The second map is essentially the isomorphism occurring in a somewhat different form in [BN, Cr, CM3].

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