Cardiac Output Measurement in Ventilated Lambs with a Significant Left-to-Right Shunt Using the Modified Carbon Dioxide Fick Method

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Key Words
Cardiovascular function, neonatal \cdot Cardiac output \cdot Hemodynamics \cdot Carbon dioxide Fick method, modified \cdot Systemic blood flow \cdot Shunt \cdot Patent ductus arteriosus \cdot Neonatal lambs

Abstract
Background: It remains a great challenge to measure systemic blood flow in critically ill newborns. In a former study we validated the modified carbon dioxide Fick (mCO\textsubscript{2}F) method for measurement of cardiac output in a newborn lamb model. In this new study we studied the influence of a left-to-right shunt on the accuracy of the mCO\textsubscript{2}F method. Objective: To analyze the influence of a left-to-right shunt on the agreement between cardiac output measurement with the mCO\textsubscript{2}F method and ultrasonic transit time pulmonary blood flow in a lamb model. Methods: The study was approved by the Ethical Committee on Animal Research of the Radboud University Nijmegen and performed in 8 random-bred lambs. A Gore-Tex\textsuperscript{L} shunt was placed between the left pulmonary artery and the descending aorta. This aortopulmonary shunt was intermittently opened and closed, while cardiac output was manipulated by creating hemorrhagic hypotension. Cardiac output measurement with the mCO\textsubscript{2}F method (Q\textsuperscriptr{mCO\textsubscript{2}F}) was compared with pulmonary blood flow obtained by a transit time ultrasonic flow probe positioned around the common pulmonary artery (Q\textsuperscriptr{APC}). Results: Bias, defined as Q\textsuperscriptr{mCO\textsubscript{2}F} – Q\textsuperscriptr{APC}, was calculated for each measurement. With an open shunt there was a significant left-to-right shunt (mean Qp/Qs ratio 2.26; range 1.56–3.69). Mean bias (SD) was –12.3 (50.4) ml·kg\textsuperscriptr{−1}·min\textsuperscriptr{−1} and –12.3 (42.7) ml·kg\textsuperscriptr{−1}·min\textsuperscriptr{−1} for measurements with a closed and open shunt, respectively (no statistical significant difference). Conclusions: Cardiac output measurement with the mCO\textsubscript{2}F method is reliable and easily applicable in ventilated newborn lambs, also in the presence of a significant left-to-right shunt.

Introduction
In neonates, cardiac output is usually estimated from indirect parameters of systemic blood flow, like blood pressure, urine output, blood gas analysis and capillary refill time, but these clinical variables are unreliable [1]. Objective cardiac output measurement remains very complicated in newborns. This is related to the specific cardiovascular changes that occur in the transition from fetus to newborn. The presence of intra- and/or extracardiac shunts potentially reduces the reliability of most methods of cardiac output measurement in infants and adults. Moreover, several technologies of cardiac output measurement...
monitoring cannot be applied to critically ill newborns due to size restraints and the use of potentially toxic indicators. Functional echocardiography is increasingly used on neonatal intensive care units for the assessment of cardiac output (left ventricular output and right ventricular output) and cardiac input (superior vena cava flow). It also provides the clinician with information about myocardial function, intra- and extracardiac shunts, organ blood flow, and tissue perfusion [2, 3]. However, not every neonatologist is skilled in functional echocardiography.

In search of a reliable, easily applicable, (semi)continuous method of objective cardiac output measurement in critically ill newborns, we previously validated the modified method of objective cardiac output measurement in echocardiography. However, not every neonatologist is skilled in functional echocardiography.

In this study, we analyzed the influence of a left-to-right shunt on the accuracy of the mCO₂F method in a lamb model.

Materials and Methods

We compared mCO₂F-derived cardiac output (QₘCO₂F) with pulmonary blood flow measured with a perivascular ultrasonic flow probe (QᵥAPC) positioned around the common pulmonary artery.

**Modified Carbon Dioxide Fick Method**

According to the Fick principle, carbon dioxide production in tissue equals pulmonary carbon dioxide exchange (VCO₂) in a steady state.

In a ventilated patient, pulmonary VCO₂ can be measured using a computer-aided analysis of expiratory airflow (Qₑxp) and carbon dioxide fraction in expiratory air (FeCO₂).

\[
VCO₂ = \left[\int_{0}^{T} Q_{\text{exp}}(t) \cdot FeCO₂(t) \cdot dt\right] \cdot T^{-1}
\]

VCO₂ = carbon dioxide exchange (liters/min); Qₑxp = expiratory airflow (liters/min); FeCO₂ = carbon dioxide fraction in expiratory air (gradient); T = time (min).

Carbon dioxide production (CO₂P) is the product of cardiac output (Q) and the venoarterial difference in carbon dioxide concentration (Cᵥ – P CO₂).

\[
CO₂P = Q \cdot (CᵥCO₂ – P CO₂)
\]

CO₂P = carbon dioxide production (ml/min); Q = cardiac output (liters/min); CᵥCO₂ = venous carbon dioxide concentration (ml/l); P CO₂ = arterial carbon dioxide concentration (ml/l).

Carbon dioxide concentration in blood (c₃CO₂) can be measured using different methods, depending on the calculation method of carbon dioxide concentration in the erythrocyte [5–8]. In this study we used the Douglas equation [8]:

\[
c₃CO₂ = c₄CO₂ \cdot \left[1 - \frac{0.0289 \cdot \text{Hb}}{3.352 - 0.456 \cdot sO₂ \cdot (8.142 - pH)}\right]
\]

VCO₂ need to be converted into 'standard temperature pressure, dry' (STPD) conditions.

\[
C,O₂ \text{STPD} = C,O₂ \text{BTPS} \cdot \left[\frac{T₀}{T_{\text{BTPS}}}\right] \cdot \left[\frac{P_{\text{BTPS}} - pH₂O}{P₀}\right]
\]

CO₂ STPD = carbon dioxide production under STPD conditions; CO₂ BTPS = carbon dioxide production under body temperature pressure, saturated (BTPS) conditions; T₀ = standard temperature (273 K); T BTPS = temperature under BTPS (K); P BTPS = pressure under BTPS conditions (kPa); pH₂O = partial pressure of water vapor at T BTPS (kPa); P₀ = standard pressure (101.4 kPa).

\[
VCO₂ \text{STPD} = VCO₂ \text{BTPS} \cdot \left[\frac{T₀}{T_{\text{BTPS}}}\right] \cdot \left[\frac{P_{\text{BTPS}} - pH₂O}{P₀}\right]
\]

VCO₂ STPD = pulmonary carbon dioxide exchange under STPD conditions; VCO₂ BTPS = pulmonary carbon dioxide exchange under ambient temperature pressure, saturated (BTPS) conditions;

Cardiac Output Measurement in the Presence of a Left-to-Right Shunt
The study was approved by the Ethical Committee on Animal Research of the Radboud University Nijmegen (RU-DEC No. 2005-034) and performed in 8 random-bred lambs (4.7–12.5 kg) in accordance with the Dutch national legislation concerning the guidelines for the care and use of laboratory animals. After intramuscular administration of midazolam (2 mg·kg⁻¹), pentobarbital (15–20 mg·kg⁻¹) and ketamine (10–15 mg·kg⁻¹), the lambs were orotracheally intubated with a cuffed endotracheal tube (ID 5–6 mm; Kruse, Marslev, Denmark). The lambs were mechanically ventilated in a pressure-control mode with a Babylog 8000 Plus ventilator (Dräger Medizintechnik, Lübeck, Germany). Anesthesia was maintained using the continuous intravenous administration of sufentanil (20 μg·kg⁻¹·h⁻¹), midazolam (0.2 mg·kg⁻¹·h⁻¹), ketamine (0.16 mg·kg⁻¹·min⁻¹) and pancuronium (loading dose 0.05 mg·kg⁻¹; maintenance dose 0.02 mg·kg⁻¹·h⁻¹). The ventilator settings were adjusted in order to aim at normoxemia (paO₂ 75–113 torr (10–15 kPa)) and normocapnia (paCO₂ 30–41 torr (4.0–5.5 kPa)). A servo-controlled heating mattress was used to maintain rectal temperature between 38 and 40 °C. Intravascular catheters were surgically inserted. The arterial catheter was inserted via the femoral artery with the tip positioned in the abdominal aorta. The venous catheter was inserted via the femoral vein with the tip in the right atrium. The position of the tip of the venous catheter was verified by autopsy. Likewise the flow probes were checked for zero flow value directly postmortem.

The lamb was sacrificed at the end of the experiment after which the position of the venous catheter was verified by autopsy. The shunt flow was calculated as the difference between Q AOpost and Q AOpre to the aortopulmonary shunt on the descending aorta.

**Experimental Protocol**

After a stabilization period of 30 min the study protocol was started (fig. 1). Intermittently the aortopulmonary shunt was fully opened and closed during the experiment. Next to this, cardiac output was manipulated by creating hemorrhagic hypotension by stepwise withdrawal of blood from the venous catheter to obtain a decrease in mean arterial blood pressure (MABP) of 10 mm Hg. After each intervention (shunt opening or closure, blood withdrawal), a 30-min period of stabilization was used in order to ensure a steady state. Steady state was assumed when pulmonary VCO₂ was stable for at least 6 min. After stabilization blood samples were taken from the right atrium and abdominal aorta. Blood gas analysis was performed immediately after sampling using a GEM Premier 3000™ analyzer combined with co-oximetry with the GEM OPL™ (Instrumentation Laboratory, Barcelona, Spain).

VCO₂ was measured continuously with the CO₂SMO Plus Respiratory Profile Monitor (Model 8100, Respironics, Pittsburgh, Pa., USA). According to the manufacturer, the bias of carbon dioxide measurement is –0.8% with an accuracy of ±3.6% [9]. We used biomedical data acquisition software (Poly, Inspektor Research Systems BV, Amsterdam, The Netherlands) to store the following data during the experiment with a 200-Hz sampling rate: pulmonary blood flow (Q APC), aortic blood flow proximal (Q AOpre) and distal (Q AOpost) to aortopulmonary shunt, systolic arterial blood pressure (SABP), mean arterial blood pressure (MABP), diastolic arterial blood pressure (DABP), rectal temperature (T rectal) and PEEP level. The shunt flow was calculated as the difference between Q AOpost and Q AOpre. The Qp/Qs ratio was calculated using the following equation:

$$\frac{Qp}{Qs} = \frac{Q_{APC} + Q_{AOpre} - Q_{AOpost}}{Q_{APC}}$$

The lamb was sacrificed at the end of the experiment after which the position of the venous catheter was verified by autopsy. Likewise the flow probes were checked for zero flow value directly postmortem.
Cardiac Output Measurement in the Presence of a Left-to-Right Shunt

**Statistical Analysis**

After each intervention mCO₂F-derived cardiac output (QₘmCO₂F) was measured and compared with pulmonary blood flow (QₐPC). The method described by Bland and Altman [10] was used to assess the agreement between the two methods of cardiac output measurement (QₘmCO₂F and QₐPC). Mean bias, defined as QₘmCO₂F − QₐPC, with standard deviation (SD) was calculated for the measurements with an open aortopulmonary shunt versus the measurements with a closed shunt. Limits of agreement are defined as mean bias ± 1.96 SD. The differences in mean bias between measurements with a closed and an open aortopulmonary shunt were statistically analyzed using the Mann-Whitney test. A p value of <0.05 is considered statistically significant. Data management was performed using Excel for Windows (Office 2003, Microsoft, Seattle, Wash., USA) and SPSS 14.0.2 for Windows (SPSS Inc., Chicago, Ill., USA) was used for statistical analysis.

**Results**

Hemodynamic data for each lamb are shown in Table 1. With an open shunt the mean Qp/Qs ratio was 2.26 (range 1.56–3.69). With the aortopulmonary shunt opened the mean aortic blood flow proximal to the shunt (Qₐ₀pre) was 1,395 (range 830–1,950) ml/min and distal to the shunt (Qₐ₀post) the mean aortic blood flow was 511 (range 310–952) ml/min. At autopsy a correct position of the venous line with the tip in the right atrium was observed in all the lambs.

The difference between cardiac output obtained by the mCO₂F method and pulmonary blood flow is listed in Table 2. Mean bias (SD) was −12.3 (42.7) and −12.3 (50.4) ml·kg⁻¹·min⁻¹ for measurements with an open and closed aortopulmonary shunt. There was no significant difference between cardiac output measurements during an open or a closed shunt. The mean bias was not statistically significantly different from zero. We excluded one measurement from the 5th experiment because there was a non-physiological negative venoarterial carbon dioxide concentration difference in a phase with a closed aortopulmonary shunt. This was probably due to a pre-analytical error in the measurement of the venoarterial carbon dioxide concentration difference. The Bland-Altman plot is shown in Figure 2 for the combined measurement with an open and closed aortopulmonary shunt. Mean bias was −12.3 ml·kg⁻¹·min⁻¹ with a precision (1.96 SD) of ±90.5 ml·kg⁻¹·min⁻¹. The limits of agreement are −102.8 and 78.2 ml·kg⁻¹·min⁻¹, respectively.

<table>
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<th>Table 1. Hemodynamic data for each lamb during the experiment</th>
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MABP = Mean arterial blood pressure; ScvO₂ = central venous oxygen saturation (right atrium); Qp = pulmonary blood flow; Qs = systemic blood flow.

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<th>Table 2. Difference between cardiac output measurement obtained by the modified carbon dioxide Fick method (QₘmCO₂F) and pulmonary blood flow (QₐPC) with or without aortopulmonary left-to-right shunt</th>
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<tr>
<td><strong>QₘmCO₂F − QₐPC</strong>, ml·kg⁻¹·min⁻¹</td>
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<td>Mean (95% CI)</td>
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SD = Standard deviation; LOA = limits of agreement.  
Discussion

Treatment of critically ill and hemodynamically unstable newborns remains a major challenge. It is very difficult to estimate cardiac output from clinical variables like blood pressure, heart rate, urine production, blood gas analysis, etc. [11–13]. Knowledge of the actual cardiac output likely improves the indication and choice of subsequent treatment and the response to the intervention can be monitored and evaluated. This could prevent iatrogenic damage due to both under- and overtreatment. For example, recent studies show that a too vigorous fluid therapy is associated with increased morbidity and mortality in adults [14–16]. It still has to be proven that goal-directed therapy using cardiac output measurement will eventually lead to a better outcome in the short and long term. Cardiac output measurement is available in children using several techniques [17–21], but remains complicated in the newborn.

In a former study, we validated the mCO$_2$F method for measurement of cardiac output in newborn lambs [4]. In that study, the ductus arteriosus was ligated on purpose to exclude any influence of a ductal shunt on the measurements. However, on a neonatal intensive care unit the incidence of a patent ductus arteriosus varies between 20 and 60% depending on the diagnostic criteria and the population studied [22]. Theoretically, as long as measurements are performed in a steady state, the mCO$_2$F method should not be influenced by any intracardiac and/or intrapulmonary shunt. Carbon dioxide production is calculated as the difference between carbon dioxide concentration in venous and arterial blood. This carbon dioxide is produced in the peripheral tissues. Although the venous and/or carbon dioxide concentration can be influenced by any shunt (left-to-right or right-to-left), in case of a steady state there cannot be a misbalance between systemic carbon dioxide production and pulmonary VCO$_2$. mCO$_2$F-derived cardiac output can represent systemic blood flow and/or pulmonary blood flow, which depends on the site of blood sampling in relation to the position of a possible shunt. Shunting of blood will influence the venoarterial carbon dioxide concentration difference. One should be aware whether the systemic or the pulmonary venoarterial carbon dioxide concentration difference is measured. Regardless of the method of cardiac output measurement used, it is of the utmost importance to be informed about potential intra- and extracardiac shunts in order to correctly interpret the assessed cardiac output. This emphasizes the importance of functional echocardiography in critically ill newborns [2, 3].

In case of a left-to-right shunt distal to the right atrium, for example a patent ductus arteriosus, Q$_{mCO2F}$ will represent systemic blood flow (Qs), because the venous carbon dioxide concentration is not influenced by the left-to-right shunt. A significant left-to-right shunt through a patent ductus arteriosus will lead to an increased left ventricular output and a decreased right ventricular output. Measurement of cardiac output with the mCO$_2$F method in patients with a patent ductus arteriosus will inform the clinician about the systemic blood flow, i.e. the right ventricular output. Q$_{mCO2F}$ in a patient with a left-to-right shunt proximal to or at the right atrium, for example an open foramen ovale, will represent pulmonary blood flow (Qp). This is explained by the fact that the venous

![Fig. 2. Bland-Altman plot for comparison of the modified carbon dioxide Fick-derived cardiac output ($Q_{mCO2F}$) and pulmonary blood flow ($Q^{APC}$). The solid line represents mean bias and the dotted lines represent limits of agreement for all data (open and closed shunt).](image-url)
Carbon dioxide concentration is decreased by mixing of central venous blood with pulmonary venous blood through the foramen ovale. In fact, by sampling venous blood in the right atrium one measures the pulmonary carbon dioxide concentration difference instead of the systemic carbon dioxide concentration difference. The interpretation of $Q_{mCO2F}$ in the presence of a shunt is summarized in Table 3. In this study, we verified the assumption that a persistent left-to-right shunt does not have any influence on cardiac output measurement using the mCO2F method. In order to verify this hypothesis, we conducted this study after construction of an aortopulmonary shunt (artificial ductus arteriosus) and measurements were done intermittently with an open and closed left-to-right shunt. As is shown in Table 2, the mCO2F method is not influenced by the shunt, which suggests that this method is applicable for cardiac output measurement in critically ill newborns.

The bias and precision found in this study during a closed aortopulmonary shunt are consistent with the result of our former study [4], when sampling venous blood from the right atrium. This implies a good reproducibility of cardiac output measurements with the mCO2F method.

Systemic blood flow was underestimated by the mCO2F method (mean $-12.3 \text{ ml/kg}^{-1}\text{min}^{-1}$) with or without a left-to-right shunt, but this bias was not significantly different from zero. For clinical purposes one is interested in categorizing the level of systemic blood flow (high, high-to-normal, normal, normal-to-low or low) and being able to monitor fluctuations in response to different interventions. This implies that small biases are clinically irrelevant.

A prerequisite for the Fick principle is that measurements are done in a steady state. Despite the fact that the lambs were hemodynamically very instable with a Qp/Qs ratio up to 3.5, systemic blood flow was measured reliably when defining steady state as steady pulmonary $\dot{V}CO_2$ for at least 6 min.

Cardiac output measurements in this study were done using a cuffed endotracheal tube. Newborns are generally intubated with an uncuffed endotracheal tube. Because endotracheal tube leakage will result in a falsely decreased pulmonary $\dot{V}CO_2$ and therefore an underestimation of systemic blood flow, one should be cautious measuring cardiac output with the mCO2F method in the presence of a large expiratory endotracheal tube leakage. Tube leakage is usually defined as the difference between inspiratory tidal volume ($V_{Tins}$) and expiratory tidal volume ($V_{Texp}$) [23, 24]. The leak size is expressed in percentage, calculated by the following equation:

$$\text{Tube leakage} = \frac{V_{Texp} - V_{Tins}}{V_{Tins}} \times 100\%$$

(11)

Kondo et al. [25] demonstrated that endotracheal tube leakage was predominantly observed during the inspiratory phase, especially at end inspiration. In this model study they showed that with a volume leak of 22% the error in expiratory volume measured at the airway opening was only 3%. In a worst-case scenario the 3% missed expiratory volume solely consists of carbon dioxide, which would mean a falsely decreased pulmonary $\dot{V}CO_2$ of 3%. According to the equation to calculate the mCO2F-derived cardiac output ($\dot{V}CO_2 + (C_{(v-a)}CO_2)$), this means that an endotracheal tube leakage of 22% can lead to an underestimation of cardiac output with 3% at most.

Acute changes in pulmonary gas exchange (for example pneumothorax or atelectasis) will influence pulmonary $\dot{V}CO_2$ for a specific period. In this acute phase the mCO2F method cannot be used to measure cardiac output because a steady state is a prerequisite. But as soon as a new homeostasis has been established with a stable pulmonary $\dot{V}CO_2$ (in general within minutes), one can measure systemic blood flow with the mCO2F method.

The accuracy of the mCO2F method is highly dependent on accurate measurement of both pulmonary $\dot{V}CO_2$ and carbon dioxide concentration measurements in arterial and venous blood. We chose the equation of Douglas et al. [8] to calculate the carbon dioxide concentration in blood, although it is not known whether this equation can be used for fetal and neonatal blood as well. In this study, we used routine blood gas analysis and pulmonary $\dot{V}CO_2$ measurement with satisfactory results.
Most methods of cardiac output measurements, especially indicator dilution techniques, are not applicable in patients with shunts. This excludes several categories of patients that could potentially benefit from measurements of systemic blood flow, such as premature neonates with a patent ductus arteriosus and patients with cardiac defects. In these patients cardiac output can be measured with the mCO₂F method despite their shunts.

The mCO₂F method can be applied without any additional equipment, because it only requires gas analysis of arterial and central venous (right atrial) blood, as well as of expiratory air by in-line capnography and simultaneous measurement of expiratory airflow. This is usually feasible in critically ill patients on an intensive care.

Our study has several limitations. It was not possible to directly measure blood flow in the aortopulmonary shunt, because of the limited length of the shunt and the material used (Gore-Tex®). Ultrasound does not pass the Gore-Tex® material, which implies that we could not use the ultrasound transit time flow probe to measure the blood flow in the aortopulmonary shunt. Although the registered flow patterns in the descending aorta distal to the shunt (Qₐₘpost) were not consistent with a partly right-to-left shunt, we cannot rule out that there was some right-to-left shunting in early systole.

An interatrial shunt is frequently seen in preterm infants with a patent ductus arteriosus with a left-to-right shunt. In preterm infants <1,500 g with a `wide-open' ductus Evans and Iyer found a left-to-right and bidirectional interatrial shunt in 44.5 and 55.5% of the selected population [26]. As no echocardiographic studies could be performed during the experiment, we have no information about a possible interatrial shunt in the phases with an open aortopulmonary shunt. In the presence of a left-to-right shunt at both the ductal and interatrial level, the venoarterial carbon dioxide concentration difference will be decreased because of the interatrial shunting of blood. This will lead to a decreased venoarterial carbon dioxide concentration difference and therefore an overestimation of systemic blood flow (Qₛ). Because the site of venous blood sampling is proximal to the ductal shunt the mCO₂F-derived cardiac output will underestimate the pulmonary blood flow (Qₚ). In conclusion, in case of a left-to-right shunt both at the interatrial and ductal level, the mCO₂F method will represent a level in between Qₚ and Qₛ. The greater the ductal shunt in relation to the interatrial shunt, the more QₘCO₂F will approach Qₛ.

Conclusion

We conclude that cardiac output (systemic blood flow) can be measured reliably in newborn lambs using the mCO₂F method, even in the presence of a significant left-to-right shunt. This makes the mCO₂F method a promising technique for cardiac output measurement in critically ill patients with or without intra- and/or extracardiac shunts.

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References


