PDF hosted at the Radboud Repository of the Radboud University Nijmegen

The following full text is an author's version which may differ from the publisher's version.

For additional information about this publication click this link. http://hdl.handle.net/2066/60599

Please be advised that this information was generated on 2017-08-03 and may be subject to change.
A Communicative Perspective on Second Order Information Systems

S.J.B.A. Hoppenbrouwers and H.A. Proper
Nijmegen Institute for Informatics and Information Science,
Radboud University Nijmegen,
Nijmegen, the Netherlands
stijnh@cs.kun.nl

Abstract
We discuss our preliminary efforts to create a generic theoretical model of "the socio-technical (information) systems that produce information systems (i.e. of second order information systems). We emphasize the importance of communication, language, and meta-language as factors in information system development processes and systems. Central are conversations related to the specification of information systems. Such conversations typically concern both formal and informal specification, and involve conceptual activities such as creation, adaptation, elicitation, informing, negotiation, validation, and committing. An integrated part of every specification process are conversations for conceptualization.

Keywords
Information system development, information systems, language, communication, modelling, specification, conversation.

Introduction
Much is known about technical and symbolical structures (often mathematics based) constituting the specifications and code of information and knowledge systems, but science and industry still fail to understand, and successfully govern, the complex chain of inter-dependent activities that is an information system development process. We consider specification (including modelling) to be a fundamental factor in such a process. High-level identification and prescription of strategies, phases, and key deliverables is commonplace in development theory and practice (e.g. DSDM Consortium, 2001; Kruchten, 2000), but more detailed, concept-oriented governance of the (iterative) process of conceiving, writing, and negotiating specifications is yet out of reach. Science and industry have so far mostly focused on the products of specification: all sorts of information system specifications in their final form. Initially, the focus lay on technological specifications (e.g. software architectures, program code), but technology-independent specifications (e.g. requirements, enterprise and process architectures, business rules) have recently been gaining importance in systems development. Many different stakeholders (users, managers, modellers, programmers, architects, etc.), with highly varied concerns (e.g. finance, operations, strategy, security, technology) may contribute to a specification process. Ultimately, many different sorts of specification (strategic, operational, technical, etc., in various stages of maturity; i.e. with highly different goals, sources, and contexts) should come together in an integrated and coherent chain of development activities. Yet currently there is a large gap between many such areas of specification, and in some the necessary specification efforts simply fail to take off. This seriously hampers system development. We believe that at least three key arguments can be put forward to strive for better understanding and support of specification processes. First, there is an increasing need for effective specification in context. Specification activities and deliverables should be dedicated to
the goals and stakeholders related to them them, which are rarely generic (the effectivity argument). Second, there is a rapidly increasing need for quick extension and adaptation of specifications because of factors like personalization and shortened time-to-market (the flexibility argument). Third, better efficiency and user friendliness of specification activities is required. There is a growing specification bottleneck related to increasing pervasiveness of IS systems in organizations and society. Automation and standardization can help here, but will not fully replace the need for well-conceived, highly contextualized specifications (Hoppenbrouwers, 2003, p32-3) (the efficiency argument). Dynamics and rationales with respect to effective, flexible, and efficient specification are still poorly understood. We therefore believe it is time to turn to detailed, scientific study of the whole of specification processes involved in IS development, complementary to and integrated with existing insights into what proper specifications should look like for particular areas in system development. A formidable knowledge creation, sharing, and negotiation effort underlies each sizable development project, and what makes all this possible is communication. We therefore advocate a view on system specification as a rational communicative process (Veldhuizen van Zanten et al., 2003). Its goal is to achieve good specifications that are an acceptable reflection of combined requirements and possibilities with respect to all stakeholders and concerns involved, so that stakeholders can all truly, realistically, and safely commit to and support system specifications as they are created, improved, and realized in system development.

Second Order Information Systems
Second order information systems (ISs) are information-and-communication systems that lead to the creation and adaptation of first order (operational) information-and-communication systems. Importantly, like first order ISs, second order ISs are not necessarily automated: they include all actors (both human and automated) involved in a development process, and the information-related activities these actors perform. In addition, there can be considerable overlap between the actors developing the system and the actors using the system (Hoppenbrouwers, 2003, p81). In this respect, second order information systems typically envelop their first order "offspring". Note that the existence of any first order information system implies the existence (in past or present) of a second order information system. Also note that the definition is recursive (it is possible to conceive third order and fourth order information systems, and so on). It is widely accepted that the future of information systems lies in Evolutionary Systems (Proper, 1994); the combination of a first and second order information system in fact represents a particular view on evolutionary information systems. Though second order information systems should ultimately also be seen as evolutionary, we propose to take a modest approach and first study them as static entities. The idea underlying this is that at a theoretical level, it is better feasible to study and describe stable structures that bring forth dynamic phenomena. In a later stage of research, principles found may indeed be recursively applied to 2nd order information systems, and so on.

Language and Communication in Second Order Information Systems
The explicit part of information systems (code, specifications, protocols, documentation) is essentially a collection of texts: coherent and meaningful conglomerates of statements. By means of creating texts, people shape their environment; communication builds on previously created texts and also creates new texts (Taylor, 1993). From a systemic point of view, texts are created and used by actors (in some research areas called agents or participants). Specifications (including
computer programs) then are essentially agreements and commitments holding between and interpreted by actors, rooted in social conventions (including language; Hoppenbrouwers, 2003; van Reijswoud, 1996). However, they may at the same time be part of actual computational machines shaping the physical environment. This gives rise to a particularly complex whole of concepts and texts, on both second order and first order level (and including interaction between the levels). Within systems theory, a particularly important link exists between second order information systems and Second Order Cybernetics (Von Foerster, 1995; Pask, 1975), a field which has been a main inspiration for our approach. Given the diversity of contexts, concerns, and goals involved, as reflected in a great diversity of actors (stakeholders) and also the concepts (languages, interpretations) that are wielded by and between them, the "whole of concepts and texts" referred to above very much hinges on carefully conducted and controlled creation and sharing of meaning. Consequently, conversation leading to effectively shared language and meaning is a fundamental and integral part of any attempt to develop an information system (Hoppenbrouwers, 2004). In line with the 2nd Order Cybernetic approach, we adopt a constructivist view on meaning and language. The concepts that people share and use are considered reflections of the contexts they live and work in, and this entails the need for conceptual diversity. Thus, properly conducted creation, negotiation, translation, specification, and sharing of elementary and complex concepts is (or should be) an essential part of all specification. Language is the linking pin between highly individual "thought" and persistent, explicit structures that shape the world --not just through communication, but also in creating and governing the computational machines and systems that physically shape our working environments, organisations, and societies.

A Sketch of a Generic Model of Second Order Information Systems
Our generic Specification Process Model (SPM) centres on actors, and the communities in which conversations among them take place. The model includes in principle the individual speech acts performed, and the contexts in which they take place, as well as representations (statements, texts) that may result from speech acts. Key properties of actors (communicative capacities; terms and languages they know; resources; concerns) and their communication and conceptualisation goals, are also to be captured in instantiated Specification Process analyses. Thus, it should be possible to perform a rational analysis of goals and means underlying specification conversations --while making sure that sufficient respect is paid to the intricacies of communication and language. We aim to find and formulate detailed strategies and tactics used by actors in their specification conversations to fulfils the conversational goals by the means available to them. Thus, both analysis and guidance of specification comes within reach. Tactics for specification are anchored in creation, negotiation, and use of concepts in conversations and texts. Importantly, our rational analysis extends to the utility of concepts in view of IS development (Proper et al, 2004). Conversations both about concepts necessarily involve meta-concepts. Instead of assuming some standard set of meta-concepts, we include in our model the mechanisms for selecting, sharing, and adapting concepts and meta-concepts as required by the diverse contexts in the specification process (and activities relating them: negotiation, translation, refinement, etc.). Integrated in the specification process, therefore, are conceptualisation processes (Hoppenbrouwers, 2003). Many links can be observed between our approach and the field of conceptual modelling. Admittedly, our view on system development has been strongly influenced by work in conceptual modelling and domain modelling. Yet we emphatically put such modelling and specification in its wider IS development context, as described above. Importantly, we do not strive to capture specification and
conceptualisation processes in a strict step-by-step process model, and least of all a prescriptive one. Instead we wish to capture what drives and restrains actors in specification and conceptualisation activities, drawing on their natural language and communication abilities. However, we do want to add to humans' intuitive conceptualisation and specification capacities a goal-driven, functionalist and above all rational perspective on IS specification and development. Thus we hope to eventually combine the best of both worlds --intuitive "languaging" and rational specification--, and so realistically optimise specification processes (by making them more effective, flexible, and efficient).

Conclusion and Future Research
In this paper, we provided no more than a preliminary sketch of our model, and a basic rationale for creating it. The obvious next step (now in progress) is to put together a clear and concise formal "core model" that provides a good basis for further validation and refinement. Besides a generic model on a system-theoretical level, we will produce concrete specializations and even instantiations of the model concerning specific (and interrelated) fields of specification, for example enterprise architecture, requirements engineering, domain modelling, business process specification, programming, etc. We intend to validate and improve the SPM as sketched, using "field observations", as well as experimental methods borrowed from 2nd order cybernetics; possibly Pask's Conversation Theory (Pask, 1975). Pask's work mostly concerns the teaching and learning of scientific topics (for example, probability theory), but the explicit (re)construction of mutually meaningful conceptual frameworks he focuses on is essentially quite close to what happens during any specification process. What is particularly interesting about Pask's approach is that he combines a "microtheory" (descriptive and explanatory theory) with a "macrotheory" that provides means for the quantifying and measuring (in experimental setups) of activities covered by the microtheory. Finally a note about longer term applied directions. The SPM sketched above might eventually be used as a foundation for tools and frameworks supporting specification and conceptualisation processes, for example a specification authoring environment. Such an environment will integrate aspects of "decision support systems", "cooperative systems" (Computer Supported Cooperative Work), and "truth maintenance systems". It will obviously be both some sort of knowledge based system and a knowledge management system. What is special about it is that it will include the microdynamics of specification and modelling at the core of its underlying model.

Acknowledgement: This paper results from the ArchiMate project, a research initiative that provides concepts and techniques to support architect in the visualization, communication and analysis of integrated architectures. The ArchiMate consortium consists of ABN AMRO, ABP, the Dutch Tax and Customs Administration, Ordina, Telematica Instituut, Centrum voor Wiskunde en Informatica, Radboud University Nijmegen, and the Leiden Institute of Advanced Computer Science.
References


