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Search for dark matter produced in association with a hadronically
decaying vector boson in pp collisions at $\sqrt{s} = 13$ TeV with the ATLAS
detector

The ATLAS Collaboration

A search is presented for dark matter produced in association with a hadronically
decaying W or Z boson using 3.2 fb$^{-1}$ of pp collisions at $\sqrt{s} = 13$ TeV recorded by the ATLAS detector at the Large
Hadron Collider. Events with a hadronic jet compatible with a W or Z boson and with large missing
transverse momentum are analysed. The data are consistent with the Standard Model predictions and
are interpreted in terms of both an effective field theory and a simplified model containing dark matter.
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Dark matter is the dominant component of matter in the universe, but its particle nature remains a mystery. Searches for a
weakly interacting massive particle (WIMP), denoted by $\chi$, and for interactions between $\chi$ and Standard Model (SM) particles are a
central component of the current set of dark-matter experiments.

At particle colliders, dark-matter particles may be produced in pairs via some unknown intermediate state. While in many
models direct detection experiments have the greatest sensitivity for dark-matter masses $m_\chi$ between 10 and 100 GeV, searches for dark matter at particle colliders are most powerful for lower
masses [1–3]. The final-state WIMPs are not directly detectable, but their presence can be inferred from the recoil against a visible
particle [1]. Two example processes are shown in Fig. 1.

The Tevatron and LHC collaborations have reported limits on the cross section of $p p \rightarrow \chi \bar{\chi} + X$ and $p p \rightarrow \chi \bar{\chi} + X$, respectively, where $X$ is a hadronic jet [1–3], a photon ($\gamma$) [4,5], a W/Z boson [6,7], or a Higgs boson [8,9]. In many cases, results are reported in terms of limits on the parameters of an effective field
theory (EFT) formulated as a four-point contact interaction [10–18]

between quarks and WIMPs. For such models, the strongest limits come from data in which the recoiling object is a jet. In other
models, however, the interaction is between dark matter and vec-
tor bosons [19], such that the primary discovery mode would be in
final states such as those analysed here, where the recoiling object is a W or Z boson.

In this Letter, a search is reported for the production of a W
or Z boson decaying hadronically (to $q\bar{q}$ or $q\bar{q}$, respectively) and
reconstructed as a single massive jet in association with large
missing transverse momentum from the undetected $\chi\bar{\chi}$ par-
ticles in data collected by the ATLAS detector from pp collisions with centre-of-mass energy $\sqrt{s} = 13$ TeV. This search is sensitive
to WIMP pair production, as well as to other dark-matter-related
models which predict invisible Higgs boson decays ($WH$ or $ZH$
production with $H \rightarrow \chi\bar{\chi}$).

The ATLAS detector [20] at the LHC covers the pseudorapidity range $|\eta| < 4.9$ and the full azimuthal angle $\phi$. It consists of an inner tracking detector surrounded by a superconducting solenoid,
electromagnetic and hadronic calorimeters, and an external muon
spectrometer incorporating large superconducting toroidal magnets.
A two-level trigger system is used to select interesting events to be recorded for subsequent offline analysis. Only data for which
beams were stable and all subsystems described above were operational are used. Applying these requirements to $pp$ collision data,
recorded during the 2015 LHC run, results in a data sample with a
time-integrated luminosity of 3.2 fb$^{-1}$. The systematic uncertainty

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1. ATLAS uses a right-handed coordinate system with its origin at the nominal in-
teraction point (IP) in the centre of the detector and the z-axis along the beam pipe.
The x-axis points from the IP to the centre of the LHC ring, and the y-axis points
upward. Polar coordinates $(r, \phi)$ are used in the transverse $(x, y)$ plane, $\phi$ being the
azimuthal angle around the beam pipe. The pseudorapidity is defined in terms of the
polar angle $\theta$ as $\eta = -\ln \tan(\theta/2)$. 

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of 2.1% in the luminosity is derived following the same methodology as that detailed in Ref. [21].

Three non-exclusive categories of jet candidates are built, each using the anti-$k_T$ clustering algorithm [22]. Two categories use clusters of energy deposits in calorimeter cells seeded by those with energies significantly above the measured noise and calibrated at the hadronic energy scale [25]. They are distinguished by their radius parameters; jets with radius parameter of 1.0 (0.4) are referred to as large-$R$ jets (narrow jets). Large and narrow jets can share a fraction of their energy deposits. A third type of jet candidate is reconstructed from inner-detector tracks using the anti-$k_T$ algorithm with $R = 0.2$, referred to as track jets. Large-$R$ jets are trimmed [26] to remove energy deposited by pile-up jets, the underlying event, and soft radiation. In this process, the constituents of large-$R$ jets are reclustered using the $k_T$ algorithm [23,24] with a distance parameter of 0.2, and subjects with transverse momentum $p_T$ less than 5% of the large-$R$ jet $p_T$ are removed. Large-$R$ jets are required to satisfy $p_T > 200$ GeV and $|\eta| < 2.0$. These large-$R$ jets are intended to capture the hadronic products of both quarks from the decay of a $W$ or $Z$ boson, while the narrow jets and track jets are helpful in background suppression. The internal structure of the large-$R$ jet is characterized in terms of two quantities: $D_2$ [27,28], which identifies jets with two distinct concentration of energy [29,30], and $m_{jet}$, which is the calculated invariant mass of the jet. Narrow jets are required to satisfy $p_T > 20$ GeV for $|\eta| < 2.5$ or $p_T > 30$ GeV for $2.5 < |\eta| < 4.5$. Track jets are required to satisfy $p_T > 10$ GeV and $|\eta| < 2.5$. For both the large-$R$ and narrow jets, jet momenta are calculated by performing a four-vector sum over these component clusters, treating each topological cluster [25] as an $(E, \mathbf{p})$ four-vector with zero mass, and are calibrated to the hadronic scale. For narrow jets, the direction of $\mathbf{p}$ is given by the line joining the reconstructed vertex with the barycentre of the energy cluster. The missing transverse momentum $\cancel{E}_T^{miss}$ is calculated as the negative of the vector sum of the transverse momenta of reconstructed jets, leptons, and those tracks which are associated with the reconstructed vertex but not with any jet or lepton. A closely related quantity, $\cancel{E}_T^{miss}_{Wjets}$, is calculated in the same way but excluding reconstructed muons. A third variant, $\cancel{p}_T^{miss}$, is the missing transverse momentum measured using inner detector tracks. The magnitudes of the three missing-transverse-momentum variants are denoted by $\cancel{E}_T^{miss}$, $\cancel{E}_T^{miss}_{Wjets}$, and $\cancel{p}_T^{miss}$ respectively. Electrons, muons, jets, and $\cancel{E}_T^{miss}$ are reconstructed as described in Refs. [25, 31–33], respectively.

Candidate signal events are selected by an inclusive $\cancel{E}_T^{miss}$ trigger that is more than 99% efficient for events with $\cancel{E}_T^{miss} > 200$ GeV. Events triggered by detector noise or non-collision backgrounds are rejected as described in Ref. [34]. In addition, events are required to satisfy the requirements of $\cancel{E}_T^{miss} > 250$ GeV, no reconstructed electrons or muons, and at least one large-$R$ jet with $p_T > 200$ GeV, $|\eta| < 2.0$, $m_{jet}$ and $D_2$ consistent with a $W$ or $Z$ boson decay as in Ref. [35]. To further suppress backgrounds from multijet and $t\bar{t}$ production, events are required to satisfy $\cancel{p}_T^{miss} > 30$ GeV, a minimum azimuthal angular distance, $\Delta\phi$, of 0.6 between the $\cancel{E}_T^{miss}$ and the nearest narrow jet, and $\Delta\phi(\cancel{E}_T^{miss}, \cancel{p}_T^{miss}) < \pi/2$. Within a fiducial volume defined at parton level by similar selection requirements (except those on $D_2$ and $\cancel{p}_T^{miss}$), the reconstruction efficiency for the signal models described above varies from 38% to 49%.

The dominant source of background events is $Z \rightarrow \nu\bar{\nu}$ production in association with jets. A secondary contribution comes from the production of jets in association with a leptonically decaying $W$ or $Z$ boson in which the charged leptons are not identified or the $\tau$ leptons decay hadronically. The third major background contribution comes from top-quark pair production. The kinematic distributions of these three largest backgrounds are estimated using simulated event samples but the normalization is determined using control regions where the dark-matter signal is expected to be negligible. Each control region requires $\cancel{E}_T^{miss} > 200$ GeV and $\cancel{p}_T^{miss} > 30$ GeV as well as one large-$R$ jet satisfying the substructure requirement on $D_2$ as applied in the signal region. The $Z$ boson control region requires exactly two muons with dimuon invariant mass $66 < m_{\mu\mu} < 116$ GeV. The $W$ boson (top quark) control region requires exactly one muon, and zero (at least one) b-tagged track jet not associated with the large-$R$ jet. Validation of the reconstruction of hadronic $W$ boson decays with large-$R$ jets is performed in the top-quark control region, as shown in Fig. 2, which also presents the distribution of the $D_2$ substructure variable. Other sources of background are diboson production and single-top-quark production. The contribution to the signal region from multijet production is negligible.

Samples of simulated $W +$ jets and $Z +$ jets events are generated using SHERPA 2.1.1 [36]. Matrix elements are calculated for up to two partons at next-to-leading order (NLO) and four partons at leading order (LO) using the Comix [37] and Open-Loops [38] matrix element generators and merged with the SHERPA parton shower [39] using the ME+PS@NLO prescription [40]. The CT10 [41] PDF set is used in conjunction with dedicated parton shower tuning developed by the SHERPA authors. The $W/Z$ production rates are normalized to a next-to-next-to-leading order (NNLO) calculation [42]. The production of $t\bar{t}$ and single-top processes, including s-channel, t-channel and Wt production is modelled with the POWHEG-BOX v2 generator [43–45] interfaced to PYTHIA 6.428 [46]. In these generators the CT10 and CTEQ6L1 [47] PDF sets are used, respectively. Top-quark pair production is normalized to NNLO with next-to-next-to-leading-logarithm corrections [48] in QCD while single-top processes are normalized at NLO [49,50] in QCD. The diboson ($WW$, $WZ$, $ZZ$) processes are simulated using SHERPA 2.1.1 with the CT10 PDF and normalized at NLO [51,52] in QCD. The multijet process is described using samples simulated with PYTHIA 6.428 [53] and the NNPDF23LO [54] PDF at leading order in QCD: these multijet samples were used to develop the background estimation strategy but not for the final background prediction.
Fig. 2. Pane (a) Distribution of $m_{\text{jet}}$ in the data and for the predicted background in the top-quark control region. Pane (b) Distribution of jet substructure variable $D_2$ in the data and for the predicted background in events satisfying all signal region requirements other than those on $D_2$. Also shown is the distribution for the simplified model with a vector-boson mediator, scaled by a factor of $10^4$ for given values of $m_{\chi}$ and $m_{\text{med}}$, the mediator mass. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

Fig. 3. The $E_{\text{Tmiss}}$ distribution of the events in the control regions after the profile-likelihood fit to the data under the background-only hypothesis. Pane (a) shows the $t\bar{t}$ control region, pane (b) shows the $Z + \text{jets}$ control region, and pane (c) shows the $W + \text{jets}$ control region. The total background prediction before the fit is shown as a dashed line. The inset at the bottom of each plot shows the ratio of the data to the total post-fit background. The hatched bands represent the total uncertainty in the background. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)
Samples of simulated $W'\chi\bar{\chi}$ and $Z\chi\bar{\chi}$ events are generated using MadGraph5_AMC@NLO [55], and the underlying event and parton showering are simulated with Pythia8.186 [53]. Two theoretical models are used as benchmarks: a seven-dimensional $VV\chi\bar{\chi}$ EFT [19] model ($V$ meaning $W$ or $Z$) and a vector-mediated simplified model [56]. The strength of the EFT interaction is controlled by a mass scale, $M_\chi$, and the strength of the simplified model interaction is controlled by the product of the couplings of the mediator to the SM and the dark matter (DM) particles, $g_{3\text{SM}}$. The EFT model samples were generated with $M_\chi = 3000$ GeV, and the simplified model samples were generated with $g_{3\text{SM}} = 0.25$ and $g_{3\text{DM}} = 1$. The samples were generated as a function of dark-matter particle mass $m_\chi$ for the EFT model and in a grid of mediator mass $m_{med}$ and $m_\chi$ for the simplified model.

Major sources of systematic uncertainty are uncertainties in the modelling of large-$R$ jet observables, which have a 5-13% impact on the expected background and signal yields, and the energy scale of the narrow jets, which contribute a 1-5% uncertainty to the expected yields. Other sources of uncertainty include theoretical uncertainties in the simulated event samples used to model the background processes (1-10%), parton distribution functions (10-15%), and lepton reconstruction and identification efficiencies (up to 2%).

A profile-likelihood fit [57] to the $E_{T}^{\text{miss}}$ ($E_{T}^{\text{miss}}$, $E_{T}^{\text{miss}}$, $E_{T}^{\text{miss}}$) distribution in the signal region (control regions) is used to constrain the $W$ boson, $Z$ boson, and $t\bar{t}$ backgrounds and extract the signal strength, $\mu$, for each model as an overall normalization factor for the signal prediction. Besides the signal strength, three overall normalization factors for the $W$ boson, $Z$ boson, and $t\bar{t}$ backgrounds are parameters in the fit. The diboson and single-top backgrounds are estimated from simulation, and the multijet background is negligible. The likelihood function is defined as the product of Poisson distributions over all bins in $E_{T}^{\text{miss}}$ and $E_{T}^{\text{miss}}$, $E_{T}^{\text{miss}}$, and $E_{T}^{\text{miss}}$, and the likelihood is simultaneously maximized over the signal and control regions.

Variations of the expected signal and background to allow for their systematic uncertainties are described with nuisance parameters constrained by Gaussian probability distribution functions, and correlations across signal and background processes and regions are taken into account.

A background-only ($\mu = 0$) fit, shows no deviation from SM predictions, and Figs. 3 and 4 show kinematic distributions after the profile-likelihood fit. The floating background-normalization parameters are consistent with unity within one standard deviation. Tables 1 and 2 show the expected event yields after applying the signal selection and the background normalisation scale factors, respectively. The values in these tables are estimated for the background-only hypothesis.

Upper limits at 95% confidence level (C.L.) on $\mu$ are calculated using the CLs method [58]. For the $VV\chi\bar{\chi}$ EFT model, these limits are translated into constraints on the mass scale, $M_\chi$. Fig. 5(a) shows the limit on the mass scale, $M_\chi$, in the EFT model, as a function of $m_\chi$. Fig. 5(b) shows the limits on the signal strength, $\mu$, for a vector-mediated simplified model generated with couplings $g_{3\text{SM}} = 0.25$ and $g_{3\text{DM}} = 1$ in the plane of $m_\chi$ and $m_{med}$.

In conclusion, this Letter reports ATLAS limits on dark-matter production in events with a hadronically decaying $W$ or $Z$ boson and large missing transverse momentum. These limits from $3.2\text{ fb}^{-1}$ of $13$ TeV $pp$ collisions at the LHC improve on earlier ATLAS results. No statistically significant excess is observed over the Standard Model prediction.

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