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Systemic Antifungal Prescribing in Neonates and Children: Outcomes from the Antibiotic Resistance and Prescribing in European Children (ARPEC) Study


The appropriate use of systemic antifungals is vital in the prevention and treatment of invasive fungal infection (IFI) in immunosuppressed children and neonates. This multicenter observational study describes the inpatient prescribing practice of antifungal drugs for children and neonates and identifies factors associated with prescribing variability. A single-day point prevalence study of antifungal administration in hospitalized neonates and children was performed between October and December 2012. The data were entered through a study-specific Web-based portal using a standardized data entry protocol. Data were recorded from 17,693 patients from 226 centers. A total of 136 centers recorded data from 1,092 children and 380 neonates receiving at least one antifungal agent. The most frequently prescribed systemic antifungals were fluconazole (n = 355) and amphotericin B deoxycholate (n = 195). The most common indications for antifungal administration in children were medical prophylaxis (n = 325), empirical treatment of febrile neutropenia (n = 122), and treatment of confirmed or suspected IFI (n = 100 [14%]). The treatment of suspected IFI in low-birthweight neonates accounted for the majority of prescriptions in the neonatal units (n = 103). An analysis of variance (ANOVA) demonstrated no significant effect of clinical indication (prophylaxis or treatment of systemic or localized infection) on the total daily dose (TDD). Fewer than one-half of the patients (n = 371) received a TDD within the dosing range recommended in the current guidelines. Subtherapeutic doses were prescribed in 416 cases (47%). The predominance of fluconazole and high incidence of subtherapeutic doses in participating hospitals may contribute to suboptimal clinical outcomes and an increased predominance of resistant pathogenic fungi. A global consensus on antifungal dosing and coordinated stewardship programs are needed to promote the consistent and appropriate use of antifungal drugs in neonates and children.

Antimicrobial agents are among the most commonly prescribed drugs in neonates and children. The widespread use of broad-spectrum antimicrobials is known to contribute to antimicrobial resistance, while the failure to initiate appropriate treatment is associated with significantly increased attributable mortality (1). The appropriate use of antifungals is of particular importance in the prevention and treatment of infection in the presence of severe intercurrent illness, prematurity, and immunosuppression. Invasive fungal infections (IFI) continue to be associated with an unacceptably high mortality rate in these vulnerable populations. In low- and extremely low-birthweight neonates, IFI is associated with an attributable mortality rate of 30 to 40% (2). In the setting of hematopoietic stem cell transplantation (HSCT), the attributable mortality rates from IFI are 30 to 40% for yeast infections and up to 70% for mold infections (3, 4).

The surveillance of antimicrobial use in hospitals is an important means of observing prescribing trends, linking results with antimicrobial resistance patterns, and identifying areas for improvement in safe and effective prescribing. Cross-sectional point prevalence surveys (PPS) have provided informative data on the patterns of antimicrobial prescribing in adults and, more recently, in children (5, 6). To our knowledge, PPS methodologies have until now not been used to describe antifungal use in children and neonates. Here, we present data on antifungal prescribing from the Antibiotic Resistance and Prescribing in European Children (ARPEC) study, a multicenter global observational study investigating the current variation in antimicrobial prescription practices in hospitals.

MATERIALS AND METHODS

A single-day point prevalence study (PPS) of antifungal use was carried out in 226 centers. The details of the ARPEC study design have been outlined elsewhere (3). Briefly, the ARPEC study group was a collaborative partnership between members of the European Surveillance of Antimicrobial Consumption, European Society of Pediatric Infectious Diseases, and Global Research in Pediatrics networks. Hospital-based physicians caring for neonates or children within these networks were invited to participate. The departments within the participating centers recorded data between October and December 2012. All inpatients ≤18 years of age present at 8 a.m. on the day of survey were included in the

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denominator. Data were recorded for patients who were prescribed antifungal agents on the day of the survey. Neonates and children receiving antifungal agents known to have negligible bioavailability administered via the oral route (amphotericin B, nystatin, and miconazole) were excluded from analysis. The patient exclusion criteria included emergency admission on the day of study, patients on psychiatric wards, and patients <18 years old admitted to an adult ward.

Anonymized patient data were collected through a study-specific online portal using a standardized data entry protocol. The project focused on European centers. In addition, centers outside Europe that collected and submitted data according to the same methodology during the study periods were included in the analysis. The data collected for all patients receiving antimicrobials included age, gender, current weight and birthweight, ventilation status, and the prescribed antimicrobial agents, single unit dose, number of doses per 24 h, route of administration, and drug indication (therapeutic or prophylaxis). To facilitate data collection on the underlying diagnosis and reason for treatment, a predefined list of grouped underlying conditions, acute diagnoses, and anatomical site of infection was used and is described elsewhere (5). The individual center and department type were recorded categorically. Neonates were defined based on postmenstrual age at the time of participation. The classification of the level of neonatal care was defined locally, according to previously described predetermined categories (7). Where dose frequencies were less than daily and an antifungal agent was consequently prescribed but not administered on the day of study, patients were included and doses denormalized to account for the frequency of administration.

Descriptive statistical analysis was carried out using Stata 10 and R (version 2.15.3) (8, 9). An analysis of antifungal dosing was performed using the total daily dose in 24 h (TDD). The TDDs were analyzed per unit of current weight or estimated surface area (kg or m²), according to the current guidance for each drug (Table 1). The following Anatomical Therapeutic Chemical classes (version 2011) were analyzed: antymycotics (ATC J02), antifungals for systemic use (ATC D01B), and intestinal antifungals (ATC A07) (10). The dosing regimens were analyzed by nation and macrogeographical regions using the United Nations geoscheme (11).

The mean and variance of the TDDs for each antifungal agent were compared to currently recommended regimens. The recommended daily doses (RDD) were defined using collated guidance from the summary of product characteristics (SPC), the European Society of Pediatric Infectious Disease (ESPID), the Manual of childhood infections: the blue book, 3rd ed. (12), and Red book 2012: 2012 report of the Committee on Infectious Diseases (13). Where specific dosing recommendations for pediatric or neonatal populations could be derived from the respective SPC, these formed the basis of the total daily dose recommendations. The dosing recommendations are summarized in Table 1.

The upper and lower limits for recommended TDD were identified from the range produced from the collated dosing recommendations and used to define the maximum recommended daily doses (MaxRDD) and minimum recommended daily doses (MinRDD). Dosing errors were defined as prescription of medication at a dose meeting one of the following criteria: (i) TDD prescribed at ≥110% of the MaxRDD, or (ii) TDD prescribed at ≤90% of the MinRDD. For example, the MaxRDD and MinRDD for voriconazole in children age 2 to 12 years were determined as follows: the highest current recommended dosing regimen (excluding loading doses) was 8 mg/kg of body weight every 12 h, and the lowest dosing regimen was 4 mg/kg every 24 h (see Table 1) during the study period (14–22). The MaxRDD and MinRDD were therefore calculated to be 16 mg/kg and 8 mg/kg daily, respectively, and the supra- and subtherapeutic doses were calculated to be ≥17.6 mg/kg and ≤7.2 mg/kg, respectively. The doses were defined according to age categories and route of administration (where specified in the guidelines). A full description of this methodology is described in detail elsewhere (23). Doses exceeding values 10-fold above the MaxRDD or below the MinRDD were considered isolated prescribing or data entry errors and were excluded from analysis.

In accordance with European regulations, the anonymized data obtained during this observational study were gathered without additional therapy, monitoring procedures, or a change from existing clinical practices. Submission to the institutional review boards of participating centers was at the discretion of individual lead investigators.

RESULTS

Patient demographics. Data were recorded from 17,693 neonatal and pediatric inpatients from 226 centers. The participating centers were from 19 European countries and 17 countries outside Europe (see Table 2). A total of 1,345 inpatients from 136 centers were prescribed at least one oral or parenteral antifungal. This included 203 neonates and 379 children receiving antifungal agents known to have negligible bioavailability administered via the oral route (amphotericin B, nystatin, and miconazole) and were therefore excluded. The data from five patients were excluded due to errors in data entry. In total, 885 patients were evaluable (Fig. 1), with 174 neonates and 711 children (1 month to 18 years old). The median ages of the neonates and children in the study were 13 days (interquartile range [IQR], 7 to 19 days) and 6.5 years (IQR, 1 to 13 years), respectively.

Antifungal use. The most commonly prescribed agent for neonates and children during the study was fluconazole, accounting for 355 (40%) prescriptions (see Fig. 2). Second-generation triazoles were less commonly prescribed (voriconazole, n = 87 [10%]; posaconazole, n = 14 [2%]). Fifty children (6%) received oral itraconazole. Amphotericin B deoxycholate was the second most frequently prescribed drug. Of 262 patients prescribed amphotericin B, 197 (75%) were prescribed amphotericin B deoxycholate (DAmB). The majority of the DAmB prescriptions (n = 150 [76%]) were from European centers. Lipid amphotericin B preparations (liposomal, lipid complex, and colloidal dispersion) were prescribed less frequently than was conventional amphotericin B (n = 65 [8%]), of which 51 prescriptions (78%) were within European centers. The use of echinocandins was less common than that of amphotericin B formulations and azoles (caspofungin, n = 55 [6%]; micafungin, n = 32 [4%]). One child received parenteral flucytosine for the treatment of a catheter-related bloodstream infection. Forty-two patients received combination antifungal therapy. The most commonly prescribed drug combinations used were amphotericin B-fluconazole (n = 7), amphotericin B-caspofungin (n = 6), and caspofungin-voriconazole (n = 6).

Indication. Systemic antifungal treatment was reported in 174 neonates. Extremely low-birthweight neonates accounted for the majority of antifungal prescriptions (n = 103 [60%]). The most common indications for systemic antifungal treatment in neonates were medical prophylaxis in 80 cases (46%) and treatment of suspected IFI in 77 cases (44%). The treatment of localized infection was uncommonly reported (cardiac infection, n = 2; central nervous system [CNS] infection, n = 4; genitourinary tract infection, n = 3; lower respiratory tract infection, n = 2; other/infected source unknown, n = 4). An ANOVA demonstrated no significant effect of clinical indication (prophylaxis or treatment of systemic or localized infection) on TDD (F = 1.1, P = 0.342).

The most common indication for antifungal administration in children was medical prophylaxis (n = 325 [46%]), followed by empirical treatment of febrile neutropenia (n = 122 [17%]) and treatment of confirmed or suspected IFI (n = 100 [14%]). Antifungal treatment of a localized infection was less commonly re-
amphotericin B lipid complex (mg/kg)

- Neonatal: No recommendation
- Pediatric: 5 daily

Liposomal amphotericin B (mg/kg)

- Neonatal: No recommendation
- Pediatric: 1–3 daily

Amphotericin B deoxycholate (mg/kg)

- Neonatal: No recommendation
- Pediatric: 1 daily

Caspofungin (mg/m²)

- Neonatal: 25 daily
- Pediatric: 50 daily

Fluconazole (mg/kg)

- Neonatal: 0–14 days, 3–12 every 72 h; 15–17 days, 3–12 every 48 h
- Pediatric: Treatment, 6–12 daily; prophylaxis, 3–12 daily

Itraconazole (mg/kg)

- Neonatal: No recommendation
- Pediatric: Intravenous, 2.5 every 12 h for first 2 doses and then 2.5 daily; oral, 3–5 daily (max, 200 mg daily)

Micafungin (mg/kg)

- Neonatal: Treatment, 2–4 daily; prophylaxis, 1 daily
- Pediatric (mg/kg) Body wt ≤40 kg: treatment, 2–4 daily; prophylaxis, 1 daily; body wt >40 kg: treatment, 100–200 mg daily; prophylaxis, 50 mg daily
- Treatment, 8 daily; prophylaxis, 1 daily

Posaconazole

- Neonatal: No recommendation
- Pediatric: No recommendation

Voriconazole (mg/kg)

- Neonatal: No recommendation
- Pediatric: Intravenous load, 9 every 12 h for first 2 doses and then 8 every 12 h; oral, 9 every 12 h (max, 700 mg daily)

The doses given for each drug are in the units in parentheses, unless otherwise specified. max, maximum.

The authors noted that the dosing recommendations for neonates and children were based on current published systemic antifungal dosing recommendations for neonates and children. The dosing regimens were influenced by factors such as age, weight, and the specific antifungal agent.

For example, fluconazole was administered via the oral route in 108/254 (43%) of the fluconazole prescriptions and 50/87 (57%) of the voriconazole prescriptions. The mean TDD for children receiving fluconazole via the oral route was significantly lower (X = 1.8 mg⁻¹ kg⁻¹ day; standard deviation [SD], 8.3) than that via the intravenous route (X = 3.5 mg⁻¹ kg⁻¹ day; SD, 6.2).

**Route of administration.** Systemic antifungals were administered via the oral route in 58% and the parenteral route in 42% of children. The majority of prescriptions in neonates were administered via the parenteral route (n = 154 [89%]). Twenty neonates received oral fluconazole. In children, oral administration accounted for 108/254 (43%) of the fluconazole prescriptions and 50/87 (57%) of the voriconazole prescriptions. The mean TDD for children receiving fluconazole via the oral route was significantly lower (X = 1.8 mg⁻¹ kg⁻¹ day; standard deviation [SD], 8.3) than that via the intravenous route (X = 3.5 mg⁻¹ kg⁻¹ day; SD, 6.2).
TABLE 2 Number of children and neonates from participating centers by country

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<th>Location</th>
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<th>No. of pediatric patients (n = 711)</th>
<th>No. of neonatal patients (n = 174)</th>
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P = <0.001). Similarly, the mean TDD for children receiving oral voriconazole was lower (X̄ = 6.1 mg⁻¹ kg⁻¹ day; SD, 4.9) than that for intravenous voriconazole (X̄ = 7.3 mg⁻¹ kg⁻¹ day; SD, 5.8; P = 0.257).

Dosing. The proportions of patients receiving doses outside the MinRDD and MaxRDD for each antifungal agent in neonates and children are shown in Fig. 2. Overall, fewer than one-half of the patients (n = 371 [42%]) received a TDD within the dosing range recommended in current guidelines. Subtherapeutic doses (<90% of the MinRDD) were prescribed in 416 cases (47%). The most commonly prescribed drug, fluconazole, was prescribed at subtherapeutic doses in 242/384 (63%) cases (Fig. 3). Amphotericin B deoxycholate was prescribed at a subtherapeutic TDD in 83/200 (42%) cases. Two neonates received amphotericin B lipid complex at a dose of 5 mg⁻¹ kg⁻¹ day. Recently, the efficacy and population pharmacokinetics of amphotericin B lipid complex (ABLC) have been described in neonates, and a dose of 2.5 to 5 mg⁻¹ kg⁻¹ day was incorporated into European guidelines for the treatment of invasive candidiasis (24).

Center and department categories. The majority of neonatal antifungal prescriptions occurred in tertiary (level III) neonatal units (n = 146 [84%]). For the pediatric population, antifungals were prescribed most frequently in hematology-oncology wards (n = 352 [50%]) and pediatric intensive care units (n = 121 [17%]). Less frequently, antifungal prescriptions were recorded in surgical transplant units (n = 75), general pediatric wards (n = 73), surgical wards (n = 32), cardiac wards (n = 16), and other specialist pediatric wards (n = 42).

The TDDs for children receiving therapeutic dosing regimens of fluconazole varied substantially between centers and by department; these are shown in Fig. 3. The mean TDDs were significantly lower in general pediatric wards (n = 18; X̄ = 3.9 mg⁻¹ kg⁻¹ day; SD, 4.9), hematology-oncology units (n = 50; X̄ = 3.6 mg⁻¹ kg⁻¹ day; SD, 5.4), and surgical transplant units (n = 12; X̄ = 6.1 mg⁻¹ kg⁻¹ day; SD, 3.7) than that in the pediatric intensive care unit (PICU) (n = 28; X̄ = 7.1 mg⁻¹ kg⁻¹ day; SD, 3.3), cardiac wards (n = 5; X̄ = 6.1 mg⁻¹ kg⁻¹ day; SD, 7.9), and surgical units (n = 15; X̄ = 6.3 mg⁻¹ kg⁻¹ day; SD, 7.5; P < 0.001) (Fig. 3). The mean therapeutic fluconazole TDDs were low in both level III (n = 67; X̄ = 2.2 mg⁻¹ kg⁻¹ day; SD, 6.3) and level I/II units (n = 6; X̄ = 3.5 mg⁻¹ kg⁻¹ day; SD, 3.9).

Amphotericin B was most frequently prescribed on hematology-oncology units and in the PICU. Overall, amphotericin B deoxycholate (DAmB) was prescribed more frequently than lipid formulations of amphotericin B (liposomal amphotericin B [LAmB]), accounting for 200 and 62 prescriptions, respectively. The mean TDDs for therapeutic amphotericin B were significantly lower in general pediatric wards (n = 14; X̄ = 0.6 mg⁻¹ kg⁻¹ day; SD, 2.0), hematology-oncology units (n = 78; X̄ = 0.7 mg⁻¹ kg⁻¹ day; SD, 2.8), and level I/II neonatal units (for DAmB, n = 10; X̄ = 0.4 mg⁻¹ kg⁻¹ day; SD, 3.2) than those in the PICU (for DAmB, n = 27; X̄ = 1.3 mg⁻¹ kg⁻¹ day; SD, 4.1), level III neonatal units (for DAmB, n = 28; x̄ = 1.2 mg⁻¹ kg⁻¹ day; SD, 0.8), surgical transplant units (for DAmB, n = 14; X̄ = 1.1 mg⁻¹ kg⁻¹ day; SD,
0.7), and other specialty medical wards (for DAmB, \( n = 11; \bar{X} = 1.2 \text{ mg}^{-1} \text{ kg}^{-1} \text{ day} \); SD, 2.0; \( P < 0.001 \)).

DISCUSSION

This prospective observational study has provided a detailed description of the current prescribing practices of systemic antifungals for hospitalized neonates and children. Significant underdosing within the participating centers was identified, with fewer than one-half of the recorded prescriptions delivering a daily dose within the ranges recommended in current international guidelines and SPCs. The lowest mean daily therapeutic doses were reported in general pediatric and hematology-oncology units. The dosing varied across countries and regions, but no specific relationship was found between geographical distribution and the proportion of patients receiving subtherapeutic doses. A similar variability in dosing has been identified in the treatment of invasive fungal infections in pediatric cancer patients (25). Recent observational studies have also identified that suboptimal dosing of antibacterial and antiviral drugs in children is similarly prevalent. Saxena et al. (26), reporting prescribing surveillance data from the United Kingdom, identified that children and adolescents prescribed oral penicillin received doses below the national recommendations in 40% and 70% of cases, respectively. Menson et al. (27) described the widespread suboptimal dosing of antiretroviral drugs in children and identified several potential causes of error, including the inadequacy of pharmacokinetic-pharmacodynamic (PK-PD) data. Evidence-based dosing recommendations for the older and more commonly prescribed antifungal agents in this study are extremely limited for neonates and children. Optimal doses have never been specifically defined for fluconazole and amphotericin B deoxycholate in pediatric populations, despite their widespread use. Many of the more recently introduced antifungal agents, such as echinocandins and second-generation triazoles, have been specifically studied and differentially licensed for use in neonates and children (28–31). Well-designed prospective PK-PD studies in clinical settings, in conjunction with modeling and simulation based on preclinical data, are the best tools for establishing equivalent evidence-based optimal dosing regimens for these older agents (32).

As in previous observational studies, systemic antifungals were most frequently prescribed for the prevention and treatment of IFI in immunosuppressed children and preterm neonates (33–35). Indication-specific dosing was recently described for fluconazole use in neonates. In the treatment of suspected or confirmed IFI in neonates, a minimum daily dose of fluconazole of 12 mg kg\(^{-1}\) day in the first 90 days of life results in comparable exposure to adults receiving 800 mg daily and achieves an area under the concentration-time curve (AUC)/MIC ratio of \( \geq 50 \) (36). This study observed a large proportion of patients receiving prophylactic treatment and no significant differences between the mean daily doses of fluconazole prescribed for prophylaxis versus therapeutic use. This suggests a lack of awareness of indication-specific dosing in clinical practice and may reflect the difficulties associated with diagnosing IFI in children.

In conjunction with an improved evidence base to underpin antifungal dosing, a global consensus between key organizations issuing dosing recommendations (including those representing specialized high-risk populations) is needed for the treatment and prevention of IFI in pediatrics. An excellent example of such a consensus is the unification of pediatric antiretroviral guidelines published by the WHO, CDC, and Pediatric European Network for Treatment of AIDS (PENTA), which have resulted in consol-

![FIG 2](https://aac.asm.org/content/59/2/786/F2.large.jpg)

FIG 2  Numbers of patients receiving individual antifungal agents. For each drug, the left bar is pediatric patients and the right bar is neonates. Supratherapeutic (TDD, \( \geq 110\% \) of published MaxRDD), therapeutic, and subtherapeutic (TDD, \( \leq 90\% \) of published MinRDD) doses are represented in dark gray, light gray, and black, respectively. DAmB, amphotericin B deoxycholate; LAmB, liposomal amphotericin B; ABLC, amphotericin B lipid complex.
validate international guidelines for the treatment of HIV (37, 38). The harmonization of existing international pediatric antifungal guidelines (for example, the European Conference on Infections in Leukaemia [ECIL] and the European Society of Clinical Microbiology and Infectious Diseases [ESCMID]) should similarly aim to select pragmatic dosing and monitoring schedules while accounting for differences in PK across children and neonates of different sizes and developmental stages (25, 39).

Antimicrobial stewardship programs (ASP) have been shown to increase appropriate antimicrobial prescribing practices, improve individual patient outcomes, and reduce health care costs (40). Recently, ASPs incorporating antifungal stewardship have been described in adults. These programs have identified key components of antifungal stewardship, which include (i) the utilization of appropriate antifungal drugs, including consideration and monitoring of local resistance patterns, (ii) appropriate antifungal doses based on published guidelines, with considerations of patient-specific PK-PD, (iii) clinical considerations, including the removal of intravenous catheters, adequate diagnostics (serial blood culture, antigen testing, and imaging techniques), and the performance of examinations to investigate disseminated disease, and (iv) a clear distinction between prophylactic and therapeutic antifungal use, with appropriate use and duration of therapy based on explicit clinical criteria in these settings (41–44).

Antibacterial ASPs in pediatric centers worldwide have recently been reported (45–47). However, as in the adult population, the relative infrequency of antifungal use compared with the use of antibacterial drugs has led to the development of antifungal stewardship being less forthcoming. The integration of antifungal stewardship within existing ASPs that currently focus predominantly on antibacterial use seems to be a pragmatic way forward to stimulate and improve appropriate antifungal prescribing practices.

PPS studies provide a large volume of information from a wide range of clinical settings at one time, and the participation rates in this study were excellent compared with those in equivalent studies. A great strength of such a PPS study is the opportunity to collect data on dosing and specific indications without relying on subjective questionnaire-based studies. The opportunistic sampling technique does, however, mean that the prescribing practices reported in this study may not be representative of centers and/or countries that did not take part. Additionally, dosing episodes for rare indications or of antifungal agents that are infrequently prescribed may be omitted on the day of study. Further systematic observational studies should aim to increase the number of participating centers in a variety of global settings (including high- and low-income countries) in order to further describe geographical variations, center-specific outcomes, and patient-related trends in antimicrobial use. A significant proportion of centers reported therapeutic antifungal use. Further data about infective organism and resistance patterns will yield important information to guide antifungal stewardship programs. Furthermore, this study did not gather qualitative information, such as clinician rationale (for example, a consideration of renal and/or hepatic impairment) and team member involvement in clinical decisions regarding drug and dose selection. Repeated and/or longitudinal surveys within participating institutions may gather such data and identify prescribing trends over time.

Overall, variability in antifungal prescriptions and widespread systematic suboptimal prescription of antifungals appears to be significant problems in neonates and children. Well-designed clinical studies in conjunction with PK modeling and simulation to inform guidelines, as well as the incorporation of antifungal

FIG 3 Total daily dosing of fluconazole prescribed as treatment for invasive fungal infection in pediatric patients by macrogeographical regions (A) and center type (B). Interquartile range (IQR), median, 1.5× the IQR, and outlying data points are represented by boxes, central lines, whiskers, and open circles, respectively. The minimum and maximum recommended daily doses are indicated by the dashed lines.
stewardship into current and future ASPs, are urgently needed to improve prescribing practices and clinical outcomes.

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