SEARCH FOR THE DECAY $\tau^+ \rightarrow 3\pi^+ 2\pi^0 \nu_{\tau}$

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Hadronic decays of \( \tau \) leptons provide an excellent laboratory for the study of the strong interaction. Decays of the \( \tau \) with one or three charged particles in the final state have been well studied in the past [1]. Higher multiplicity decays, however, have considerably lower branching ratios [1], and high luminosity experiments are needed to study them. Decays of the \( \tau \) are identified by the BABAR detector at the PEPII asymmetric-energy \( e^+e^- \) collider. The analysis uses 232 \( \text{fb}^{-1} \) of data at center-of-mass energies on or near the \( Y(4S) \) resonance. We observe 10 events with an expected background of 6.5 \( ^{+2.0}_{-1.4} \) events. In the absence of a signal, we set the limit on the branching ratio \( \mathcal{B}(\tau^- \rightarrow 3\pi^-2\pi^+2\pi^0\nu_\tau) < 3.4 \times 10^{-6} \) at the 90% confidence level. This is a significant improvement over the previously established limit. In addition, we search for the decay mode \( \tau^- \rightarrow 2\omega\pi^-\nu_\tau \). We observe 1 event with an expected background of 0.4 \( ^{+1.0}_{-0.4} \) events and calculate the upper limit \( \mathcal{B}(\tau^- \rightarrow 2\omega\pi^-\nu_\tau) < 5.4 \times 10^{-7} \) at the 90% confidence level. This is the first upper limit for this mode.

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The $\pi^0$ mesons are reconstructed from two photon candidates passing the photon selection criteria described above. We first search for $\pi^0$ candidates with energy $E_{\gamma} > 450$ MeV and mass $113 < M_{\gamma\gamma} < 155$ MeV/c$^2$. If two or more $\pi^0$ candidates share a photon, only the one with the smallest $|M_{\gamma\gamma} - M_{\pi^0}^{PDG}|$, where $M_{\pi^0}^{PDG}$ value is taken from [1], is retained. Next, we repeat the procedure for $\pi^0$ candidates with energy $300 < E_{\gamma} < 450$ MeV and mass $120 < M_{\gamma\gamma} < 148$ MeV/c$^2$.

The $\tau$ pair is produced approximately back-to-back in the $e^+e^-$ CM frame. This allows the event to be divided into two hemispheres by a plane perpendicular to the thrust axis, where the thrust is calculated from all charged particles and photons in the event [12]. The event thrust magnitude is required to be larger than 0.9. This requirement rejects more than 90% of the $q\bar{q}$ background and the $e^+e^- \rightarrow BB$ background is suppressed to a negligible level. Events are required to have one track in one hemisphere (the tag side) and five tracks in the other hemisphere (the signal side). To further suppress the background from $e^+e^- \rightarrow q\bar{q}$ events, we demand a well-identified electron or muon on the tag side with at most one additional photon (the signal side). To further suppress photon conversions by requiring the invariant mass of each pair of oppositely charged tracks to be larger than $5$ MeV/c$^2$. In addition, we apply cuts on the sums of the two lowest transverse momenta and two largest DOCA$_{XY}$ of the tracks on the signal side: $p_{t,\text{lowest1}} + p_{t,\text{lowest2}} > 0.4$ GeV/c and $\text{DOCA}_{XY}^{\text{largest1}} + \text{DOCA}_{XY}^{\text{largest2}} < 0.4$ cm.

The final event count is performed in the signal region $1.3 < M^* < 1.8$ GeV/c$^2$. According to MC studies, the signal efficiency after all cuts is $(0.66 \pm 0.05)$%. The error is a combination of systematic and statistical uncertainties. The systematic uncertainty on the signal efficiency includes contributions from the reconstruction of charged tracks and photons (4.3%), the reconstruction of two $\pi^0$ mesons (6.6%), and the uncertainty associated with the particle identification on the signal and tag sides (1.7%). A statistical uncertainty (1.8%) due to limited MC samples is added in quadrature to the systematic uncertainty.

The simulation of $\tau$-pair events yields a reliable estimate of their expected background contribution, verified by modifying the event selection criteria to suppress the $q\bar{q}$ background and allow for more $\tau$ events. The largest background is predicted to come from $\tau \rightarrow 3\pi^-2\pi^0\nu_\tau$ decays. For a detailed study, we use an MC sample of $\tau \rightarrow 3\pi^-2\pi^0\nu_\tau$ events corresponding to $1900$ fb$^{-1}$ of data. The pseudomass spectrum of the events passing the selection criteria is fitted with a “Crystal Ball” probability density function (PDF) [15]. In order to determine the shape parameters of this PDF, we first fit a larger sample selected without tagging of the one-prong side. Using this fixed shape, we then estimate the number of $\tau \rightarrow 3\pi^-2\pi^0\nu_\tau$ events within our signal region $(1.3 < M^* < 1.8$ GeV/c$^2$) from the MC sample with the one-prong tag applied. We obtain $3.6 \pm 0.6$ events, scaled to the luminosity of $232$ fb$^{-1}$, where the uncertainty is statistical only (see Fig. 2, left). Simply

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counting the number of events in the signal region yields 3.2 (scaled) MC events.

The uncertainty of the \( \tau^+ \rightarrow 3 \pi^- 2\pi^+ \pi^0 \nu \), background estimate is based on the uncertainties of the fitted PDF shape parameters, namely, the central value and the width, and the correlation between them. The values of the PDF shape parameters are randomly generated according to their uncertainties expressed in the covariance matrix, and the resulting PDF is then used to estimate the number of background events in the signal region. The total uncertainty from the fitting (0.6 events, 16.7%) is added in quadrature with systematic uncertainties in the reconstruction of the tracks and neutrals, particle identification, luminosity and \( \tau \)-pair cross section (8.4%) and the uncertainty in the branching ratio of the \( \tau^+ \rightarrow 3 \pi^- 2\pi^+ \pi^0 \nu \), decay mode (14.9%).

An additional background contribution is expected from the \( \tau^+ \rightarrow 2\pi^- \pi^+ 2\pi^0 \nu \), mode. Using an MC sample corresponding to 675 fb\(^{-1}\) of data we estimate 0.7 \pm 0.5 background events in the signal region from this source. The uncertainty is dominated by the MC statistics. Contributions from other generic \( \tau \) decays are negligible. Combining both sources of the \( \tau \) background, we expect a total of 4.3 \pm 1.0 background events in the data.

For this analysis, a comparison of MC simulation and data has shown that the \( e^+ e^- \rightarrow q\bar{q} \), background contributions cannot reliably be extracted from simulation due to difficulties in modeling the fragmentation processes. The shape of the simulated pseudomass distribution appears to agree with the shape in the data, but the overall normalization does not. Therefore, the \( q\bar{q} \) background is estimated directly from the data, by fitting the data pseudomass spectrum with the sum of two Gaussians. This PDF is motivated by MC studies, which show that the \( e^+ e^- \rightarrow (u\bar{u},d\bar{d},s\bar{s}) \) and \( e^+ e^- \rightarrow c\bar{c} \), backgrounds have Gaussian pseudomass shapes with different parameters. The double-Gaussian fit to the MC pseudomass distribution of \( q\bar{q} \) background is shown in Fig. 2 (right).

To extract the \( q\bar{q} \), background in the signal region, we subtract the expected \( \tau \) background contribution from the data pseudomass distribution, and fit the resulting histogram in the range \( 1.8 < M^* < 3.3 \text{ GeV}/c^2 \) with a double-Gaussian PDF whose means and sigmas are allowed to float. To avoid experimenter bias, this fit is performed “blind”, with the data in the signal region hidden. The fit function is then extrapolated below 1.8 GeV/c\(^2\) and its integral between 1.3 and 1.8 GeV/c\(^2\) yields the \( q\bar{q} \) background estimate in the data, 2.2 events.

To calculate the statistical uncertainty of the \( q\bar{q} \) background estimate we vary the number of events in each bin of the data \( q\bar{q} \), pseudomass spectrum above 1.8 GeV/c\(^2\) according to its Poisson error and refit the resulting histogram for a new estimate. The statistical uncertainty of \( q\bar{q} \), events is extracted from the variance of the distribution of the generated \( q\bar{q} \), background estimates. Variations in the functional form of the fit PDF are taken into account as a systematic uncertainty of 0.7 events. The total uncertainty is calculated by adding the statistical and systematic uncertainties in quadrature. Thus, the \( q\bar{q} \) background estimate is \( 2.2 \pm 0.7 \) events.

To validate the \( e^+ e^- \rightarrow q\bar{q} \) background estimate method, we apply it to a \( \tau \)-event-free data sample, obtained by requiring at least 3 photons with energies greater than 300 MeV on the tag side not associated with a \( \pi^0 \). This requirement effectively suppresses \( \tau \) events to a negligible level and provides a clean \( q\bar{q} \) sample in the data. Comparison between the expected and observed \( q\bar{q} \), background levels for this sample shows good agreement, 11.8 predicted background events vs 12 observed.

Another cross-check we perform is the branching ratio measurement of the \( \tau^+ \rightarrow 3 \pi^- 2\pi^+ \pi^0 \nu \), decay mode using the same selection criteria (except for demanding only one \( \pi^0 \) on the signal side instead of two) as described above. The measured branching ratio is consistent with the Particle Data Group’s value [1].

Combining the background estimates from \( \tau \) and \( q\bar{q} \), events, we calculate a total of 6.5 \pm 1.0, background events.

\[ \text{Events} / (0.04 \text{ GeV}/c^2) \]

FIG. 3. Pseudomass distribution of the data events passing the \( \tau^+ \rightarrow 3 \pi^- 2\pi^+ \pi^0 \nu \), selection criteria. The solid curve represents the total expected background PDF. The dashed curve illustrates the \( \tau \) background contribution.
Figure 3 illustrates the final pseudomass spectrum of the data, along with the expected background PDF. We observe 10 events in the signal region and conclude that there is no evidence for the \( \tau^{-} \to 3\pi^{-}2\pi^{+}2\pi^{0}\nu_{\tau} \) decay.

The upper limit for the \( \tau^{-} \to 3\pi^{-}2\pi^{+}2\pi^{0}\nu_{\tau} \) decay branching ratio is calculated from

\[
\mathcal{B}(\tau^{-} \to 3\pi^{-}2\pi^{+}2\pi^{0}\nu_{\tau}) < \frac{\lambda_{N_{\text{signal}}} \times 2 \times N_{\tau^{-}} \times \epsilon}{e},
\]

where \( \lambda_{N_{\text{signal}}} \) is the upper limit on the number of signal events at the 90\% CL. This number is obtained using a limit calculator program [16] that follows the Cousins and Highland approach [17] of incorporating systematic uncertainties into the upper limit, using the numbers of expected background and observed events, as well as the uncertainties on the background, signal efficiency and the number of \( \tau \) pairs. We find \( \lambda_{N_{\text{signal}}} = 9.2 \) events and \( \mathcal{B}(\tau^{-} \to 3\pi^{-}2\pi^{+}2\pi^{0}\nu_{\tau}) < 3.4 \times 10^{-6} \) at the 90\% CL. Table I summarizes the results of this analysis.

In addition to this inclusive result, we also search for the resonant decay mode \( \tau^{-} \to 2\omega\pi^{-}\nu_{\tau} \), with the subsequent decay \( \omega \to \pi^{-}\pi^{+}\pi^{0} \), which is predicted to be the main channel for the \( \tau^{-} \to 3\pi^{-}2\pi^{+}2\pi^{0}\nu_{\tau} \) decay [7]. The \( \tau^{-} \to 2\omega\pi^{-}\nu_{\tau} \) mode has a much narrower allowed pseudomass range (1.7 < \( M^{\pi^{-}} \pi^{+}\pi^{0} \) < 1.8 GeV/c^2) due to its kinematics. For the same reason, the background level is expected to be much smaller. The event selection is reoptimized for this analysis. Photons are required to have a minimum energy of 50 MeV, energy deposited in at least two crystals and a lateral energy profile consistent with that of a photon. Reconstructed \( \pi^{0} \) candidates must have energies above 200 MeV. The \( \omega \) resonance is reconstructed as a \( \pi^{-}\pi^{+}\pi^{0} \) combination with an invariant mass of 0.76 < \( M_{\pi^{-}\pi^{+}\pi^{0}} \) < 0.80 GeV/c^2.

Reconstruction of both \( \omega \) mesons suppresses the background and therefore further selection cuts can be substantially loosened to increase the signal efficiency. The conversion veto and the \( E_{\text{vis}} \) cuts are not used. In addition, we allow one charged particle of any type on the tag side, and only loose pion identification is required on the signal side. As a result, the \( \tau^{-} \to 2\omega\pi^{-}\nu_{\tau} \) efficiency for this selection is (1.53 ± 0.13)\%. The uncertainty is a combina-

**TABLE I.** Signal efficiency, expected background, observed data events, and the upper limit of the \( \tau^{-} \to 3\pi^{-}2\pi^{+}2\pi^{0}\nu_{\tau} \) decay at the 90\% CL.

| \( \tau^{-} \to 3\pi^{-}2\pi^{+}2\pi^{0}\nu_{\tau} \) selection | \( N_{\tau^{-}} \) | \( (206.5 \pm 4.7) \times 10^{6} \) | \( \text{Expected } \tau^{-}\pi^{-}\text{ background} \) | \( 4.3 \pm 1.0 \text{ events} \) | \( \text{Expected } q\bar{q} \text{ background} \) | \( 2.2^{+1.7}_{-1.4} \text{ events} \) | \( \text{Expected total background} \) | \( 6.5^{+1.0}_{-1.4} \text{ events} \) | \( \text{Observed events} \) | \( 10 \) | \( \mathcal{B}(\tau^{-} \to 3\pi^{-}2\pi^{+}2\pi^{0}\nu_{\tau}) < 3.4 \times 10^{-6} \) |
|-----------------|-----------------|------------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|

FIG. 4. Pseudomass distributions of the data (points) and MC (shaded histograms) events passing the \( \tau^{-} \to 2\omega\pi^{-}\nu_{\tau} \) selection criteria. The dark shaded histogram corresponds to the \( \tau \) background, whose level is determined from the simulation. The light histogram shows the total background, with the level of the \( q\bar{q} \) contribution scaled to agree with the data. The data signal region below 1.8 GeV/c^2 was blinded during the background estimation.
by more than a factor of 30. The upper limit for the decay, $\mathcal{B}(\tau^- \rightarrow 2\omega \pi^- \nu_\tau) < 5.4 \times 10^{-7}$, is reported here for the first time.

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[2] Throughout this paper, whenever a mode is given its charge conjugate is also implied.